1	Cetacean-fishery interactions in Galicia (NW Spain): results and management
2	implications of a face-to-face interview survey with local fishers
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4	Sabine Goetz <sup>1,2</sup> , Fiona L. Read <sup>2,3</sup> , M. Begoña Santos <sup>2</sup> , C. Pita <sup>1,4</sup> and Graham J.
5	Pierce <sup>1,5</sup>
6	
7	1 Centro de Estudos do ambiente e do mar (CESAM), Universidade de Aveiro, Campus
8	Universitário de Santiago, 3810-193 Aveiro, Portugal
9	
10	2 Centro Oceanográfico de Vigo, Instituto Español de Oceanografía (IEO), Subida a
11	Radio Faro 50, 36390 Vigo (Pontevedra), Spain
12	
13	3 Instituto de Investigaciones Marinas (C.S.I.C.), Eduardo Cabello 6, 36208 Vigo
14	(Pontevedra), Spain
15	
16	4 eGEO, Geography and Regional Planning Research Centre, Faculdade Ciências
17	Sociais e Humanas, Universidade Nova de Lisboa, Portugal
18	
19	5 School of Biological Sciences, University of Aberdeen, Zoology Building, Tillydrone
20	Avenue, Aberdeen AB24 2TZ, UK
21	
22	Corresponding author address:
23	Centro de Estudos do Ambiente e do Mar (CESAM)
24	Universidade de Aveiro, Campus Universitário de Santiago

25 3810-193 Aveiro, Portugal

26 Tel. +351 234370200; fax. + 351 23470985

- 27 E-mail address: <u>sa.goetz@web.de</u>
- 28

# 29 Abstract

30 Galicia (NW Spain) is an important fishing region with a high potential for cetacean-31 fishery interactions Cetacean depredation on catch and damage to fishing gear can 32 potentially lead to substantial economic loss for fishers, while cetacean bycatch raises 33 conservation concerns. With the aim to gather information on the types and scale of 34 interactions and to suggest possible management strategies, we conducted face-to-face 35 interviews with fishers in local fishing harbours, in particular to identify specific 36 problematic interactions and to quantify the level of economic loss and bycatch rates 37 associated with these interactions. We found that cetacean-fishery interactions are 38 frequent, although damage to catch and fishing gear by cetaceans was mostly reported 39 as small. Nevertheless, substantial economic loss can result from common bottlenose 40 dolphins (Tursiops truncatus) damaging coastal gillnets and from short-beaked common 41 dolphins (Delphinus delphis) scattering fish in purse seine fisheries. Cetacean bycatch 42 mortality was reported to be highest for trawls and set gillnets, and probably exceeds 43 sustainable levels for local common and bottlenose dolphin populations. Although 44 interview data may be biased due to the perceptions of interviewees, and therefore 45 should be interpreted with care, the methodology allowed us to cover multiple sites and 46 fisheries within a reasonable time-frame. Minimising cetacean-fishery interactions 47 requires the implementation of case-specific management strategies with the active 48 participation of fishers. For set gillnet and purse seine fisheries, the use of acoustic

49	deterrent devices (pingers) may prevent cetaceans from approaching and getting trapped
50	in the nets. For trawl fisheries, where bycatch appears to be particularly high at night in
51	water depths of 100 - 300 m, possible solutions include the implementation of time/area
52	closures and the relocation of some fishing effort to deeper waters.
53	
54	Keywords: cetacean-fishery interactions, depredation, dolphin bycatch, interview
55	survey, fishers' opinions, fisher participation
56	
57	1. Introduction
58	Cetacean-fishery interactions remain a cause for concern, with cetacean bycatch being
59	considered a serious threat to cetacean populations world-wide, particularly if
60	threatened species are affected (IWC, 1994). In addition, damage to fishing gear and
61	loss of catch (although the latter is difficult to prove) can potentially lead to substantial
62	economic loss for fishers, especially in areas with acute conflict. Although interactions
63	can be beneficial for some fisheries, for instance in purse seining where the presence of
64	dolphins is used as a cue to detect fish concentrations (e.g. Allen, 1985), the majority of
65	reports describe adverse effects, i.e. catch loss and gear damage through cetacean
66	depredation (Bearzi et al., 2011; Brotons et al., 2008a; Gazo et al., 2008; Gilman et al.,
67	2006; Lauriano et al., 2004; Rocklin et al., 2009; Silva et al., 2011) and scattering of
68	fish (Wise et al., 2007). In Mediterranean waters, Bearzi et al. (2011) estimated the
69	mean economic loss of artisanal trammel net fishers as $\in$ 2561 per year and Brotons <i>et</i>
70	al. (2008a) calculated that trammel net fishers may lose around 5.3% of their total catch
71	value due to interactions with cetaceans.
70 71	<i>al.</i> (2008a) calculated that trammel net fishers may lose around 5.3% of their total catch value due to interactions with cetaceans.

72	Galicia (41°48' - 43°47' N), situated in the northwest corner of the Iberian Peninsula
73	(Figure 1), is the most important Spanish fishing region, accounting for almost half of
74	the Spanish fleet and landings in 2010-2011 (Galician Institute for Statistics, 2013;
75	Spanish Ministry of Agriculture, Food and Environment, 2013). Cetacean-fishery
76	interactions are frequently observed in the region, involving a large variety of gears and
77	cetacean species (Aguilar, 1997; Fernández et al., 2011a, 2011b; Fernández Contreras et
78	al., 2010; López et al., 2003; Pierce et al., 2010). The short-beaked common dolphin
79	(Delphinus delphis) is the most abundant and frequently sighted cetacean species in the
80	area, followed by the common bottlenose dolphin (Tursiops truncatus), which mainly
81	inhabits the coastal inlets (rías) of South Galicia. Other frequently sighted species
82	include long-finned pilot whales (Globicephala melas), striped dolphins (Stenella
83	coeruleoalba), harbour porpoises (Phocoena phocoena), Risso's dolphins (Grampus
84	griseus) and other large toothed and baleen whales (López et al., 2002, 2004; Pierce et
85	<i>al.</i> , 2010; Spyrakos <i>et al.</i> , 2011).
86	López et al. (2003) suggested that the bycatch mortality of common and bottlenose
87	dolphins in Galician waters almost certainly substantially exceeds the maximum
88	bycatch mortality rate (1.7% of the best available population estimate) recommended by
89	ASCOBANS <sup>1</sup> . Catch loss and gear damage due to interactions with cetaceans have also
90	been reported in the area (Aguilar, 1997; López et al., 2003) although, to date, no
91	detailed assessment of the extent and negative effects on fisheries has been carried out.
92	
93	Cetacean conservation on the one hand and the interests of fishers on the other provide a

94 classic example of a user-environment conflict (Proelss *et al.*, 2011), that requires a

<sup>&</sup>lt;sup>1</sup> ASCOBANS (Agreement on the Conservation of Small Cetaceans of the Baltic, North East Atlantic, Irish and North Seas), United Nations Environment Programme, New York, 17 March 1992

holistic management approach in order to find an acceptable solution for all parties
involved. The first important step for an effective management strategy is the clear
identification of specific problematic interactions, i.e. fisheries and/or marine areas in
which interactions are most prevalent, and the cetacean species that are most involved.

We conducted a face-to-face interview survey to collect data on the experiences and opinions of fishers. Apart from making use of fishers' ecological knowledge (FEK), the co-operation with fishers in scientific research also allows for the establishment of partnerships between scientists and fishers - which is thought to increase data quality, create buy-in among stakeholders and facilitate fishers' support for future management strategies (Johnson and van Densen, 2007).

106

107 As explained above, previous studies of cetacean-fishery interactions in Galician waters 108 mainly focussed on the assessment of cetacean bycatch, while adverse effects on 109 fisheries received little attention. Therefore the main objective of our interview survey 110 was to obtain a holistic view on cetacean-fishery interactions by assessing all types of 111 interactions ("positive" and "negative") as observed by Galician fishers, determining the 112 types of gears and cetacean species most involved, and fishing areas (geographical 113 location, water depth and distance to coast) where these interactions mainly occur. We 114 further wanted to quantify the economic loss and bycatch rates associated with 115 cetacean-fishery interactions and identify which mitigation methods were being applied 116 by fishers. Finally, based on the results, we suggest possible management and 117 mitigation strategies for specific cases.

118

#### 119 **2. Methods**

## 120 **2.1. Study area and local fisheries**

121 Galicia's coastline (about 1200 km in length) is characterized by a series of large,

122 coastal inlets (rías) (Fariña *et al.*, 1997) the size and orientation of which affects the

123 frequency and intensity of the seasonal upwelling events which boost this area's

124 productivity. The four Southern rías are much larger and oriented towards the SW,

125 while the Northern rías are smaller and more exposed to the oceanic influence,

126 displaying a variety of orientations (Figueiras et al., 2002; ICES, 2011a). Due to these

127 differences, which also condition the human exploitation of the rías, we have divided

128 our study area into two sub-areas (North and South Galicia), Punta Queixal (5 km north

129 of the town of Muros) representing the geographic border between the North and South

130 Galician coasts (Fernández et al., 2011a) (Figure 1).

131

132 There are 128 fishing harbours along the Galician coast, with Vigo, Ribeira, A Coruña, 133 Burela and Celeiro being the most important in terms of landings (Galician Ministry of 134 Fisheries, 2013). In 2011, the Galician fleet comprised 4734 boats of which the majority 135 (87.6%) fishes with "minor gears" (small-scale fisheries involving vessels < 12 m) such 136 as pots, artisanal longlines and a large variety of artisanal gillnets (trammel nets, single 137 panel bottom-set gillnets and driftnets), targeting fish, cephalopods, crustaceans and 138 bivalves in coastal waters. A substantial proportion (26.3%) of the small-scale fishing 139 fleet is also engaged in shellfish harvesting (with hand- and boat dredges, rakes or 140 manual collection). Most small-scale fishing boats are polyvalent, i.e. they shift between 141 gears depending on the season.

Littoral, medium- to large-scale fisheries (vessel length  $\geq 12$  m) only account for 12.4% of the Galician fleet. These vessels target shoaling pelagic and demersal species with purse seines, bottom trawls, longlines and large bottom-set gillnets mainly in Galician waters, but also off Asturias, Cantabria, the Basque Country and outside Spanish waters (in the latter case, < 5% of the Galician fleet) (Galician Ministry of Fisheries, 2010, 2013).



149 Figure 1. Map of the study area (Galicia, NW Spain). *Black dots* indicate harbours

150 where interviews were conducted.

151

# 152 **2.2. Interview survey**

153 Interview surveys are increasingly applied in ecology due to being an effective

154 methodology to sample multiple sites and (in the present context) multiple types of

155 fisheries in a comparatively time- and cost-effective way (Moore et al., 2010; White et

*al.*, 2005), that would not be possible otherwise. Furthermore, interviews offer the
possibility to obtain valuable insights into the characteristics of local fisheries and their
interactions with the marine environment (Johannes *et al.*, 2000), including preliminary
data on bycatch rates (e.g. López *et al.*, 2003; Moore *et al.*, 2010).

160

161 We conducted a face-to-face interview survey in Galician fishing harbours, applying a 162 stratified sampling procedure, with strata based on the type of fishing gear (seven strata, 163 see Tables 1.2). This sampling approach was selected because fishers operating the 164 same gear were assumed to experience similar types of interactions with cetaceans. 165 Fisheries operating outside Spanish waters were not included in order to delimit the 166 study area. Shellfish harvesters operating manual dredges and rakes were also excluded 167 since interactions with cetaceans were assumed to be unlikely. To get a representative 168 sample of Galician fisheries we aimed for a proportional sample, i.e. the sample size 169 (number of vessels) for each stratum being proportional to the overall composition of 170 the sampled fleet. Many harbours in Galicia specialize in certain fishing gears, 171 especially the smaller harbours. Therefore, in order to get sufficient samples for each 172 stratum, we selected harbours (the primary sampling units) according to their 173 representativeness for a certain fishing gear (thus selecting 23 out of 128 harbours) and 174 then sampled boats (secondary sampling units) opportunistically, i.e. we targeted all 175 fishers present and available for interviewing, within the selected harbours (Lauriano et 176 al., 2009). In order to maximize the number of interviews for each sampling day, timing 177 of interviewing was adjusted to the seasonal and daily routine of the fisheries sampled. 178

We designed a structured questionnaire<sup>2</sup> mainly composed of closed-ended questions, 179 180 making sure all possible answers were covered and allowing for the answer "don't 181 know", following White et al. (2005). Since we were also interested in fishers' opinions 182 and suggestions we included some open-ended questions. In order to optimize response 183 rates, we began with "easier", more general, questions, and asked more difficult and 184 open-ended questions towards the end of the interview. The interviews took 15-20 185 minutes and were conducted face-to-face by two interviewers who surveyed fishers - if 186 possible the skippers of the vessels – simultaneously, but separately, in the pre-selected 187 harbours. Only professionally active fishers were interviewed. All interviews were kept 188 anonymous and we assured interviewees that all personal data would be treated as 189 confidential. Prior to the implementation of the survey, the questionnaire was pre-tested, 190 first conducting the interview with colleagues and then with a small number of fishers 191 (n = 20). Unclear or ambiguous wording was corrected and sequence of questions was 192 adjusted to improve clarity and flow. The survey collected information about: the 193 interviewee's profile (to determine level of experience), characterization of the fishing 194 activity (gears used, main fishing grounds, target species and amount of catch), attitude 195 towards cetaceans (positive, negative, neutral), cetacean sightings (sighted species), 196 occurrence of positive and negative interactions with cetaceans and non-cetacean 197 species, consequences of these interactions for fisheries (description and level of 198 damage, including catch loss through depredation and scattering of fish, gear damage 199 and associated economic loss) and cetaceans (level of bycatch), mitigation measures 200 employed and suggestions for solutions to avoid interactions. To obtain an overview of 201 cetacean-fishery interactions that also accounts for potential seasonal variations, we

<sup>&</sup>lt;sup>2</sup> The questionnaire form used for this article can be found as an online Appendix

202 asked fishers to describe their general experience of such interactions or, in the case of 203 questions that included the estimation of numbers (e.g. catch loss, gear damage and 204 cetacean bycatch), to relate their observations to the last 1-2 years, rather than reporting 205 specific events during their last fishing trip. Catch loss was quantified as the % of total 206 catch lost per depredation/scattering event. Economic loss associated with catch 207 loss/gear damage was quantified as the amount of money (in €) lost per year and 208 by catch as the number of cetaceans (by species) caught per year (Table 1). When asking 209 about cetacean sightings during the interview, we provided an identification catalogue 210 with colour photographs taken in the area, not labelled with species names, and asked 211 fishers to point to the species seen and indicate the name. Incorrect identification of 212 cetaceans in the catalogue was noted by the interviewer in the questionnaire and all 213 species-related information given in the respective interview was excluded from further 214 analysis.

In order to identify the main local fishing grounds, we provided a nautical map for
fishers to indicate the approximate geographic location of their usual fishing grounds.
At the end of each interview, we asked fishers to give us their general opinion about the

factors which most influence the occurrence/level of cetacean interactions with Galician
fisheries. In addition, fishers' narratives (e.g. comments and anecdotes) were recorded,
when possible. This qualitative information was collected in order to complement and

221 corroborate the results obtained by the quantitative data analysis.

222

# 223 **2.3. Data analysis**

In order to simplify the dataset and to avoid digit preference, the answers to somequestions were grouped into categories (Table 1). If a respondent indicated a range of

values, we used the mid-point value. To obtain comparable values for the economic loss
associated with catch loss and gear damage for each fishery, we converted the reported
monetary loss into the % of gross income (estimated from mean catch volume based on
the market price of the main target species) lost per vessel/year. Boats were assigned to
North or South Galicia according to the geographical location of their main fishing
grounds.

232

233 To check the reliability of answers we compared the answers for the most important 234 questions (e.g. proportion of interviewees that report negative interactions with 235 cetaceans) collected by one interviewer with the answers collected by the other 236 interviewer. Any significant differences might indicate that our results are biased by an 237 interviewer effect, i.e. unintended influence of the interviewee by the interviewer. We 238 also analysed whether the interviewees' work experience and function on-board of the 239 vessel had a significant effect on their ability to correctly identify the cetacean species 240 displayed in the catalogue.

241

242 Since some interviewees operated more than one type of fishing gear, we recorded

243 multiple responses by the same interviewee for all gear–related questions (e.g.

244 occurrence/consequences of interactions with cetaceans and other species, mitigation

245 measures employed) and analysed these responses separately. For analysis that did not

include gear type or other gear-related variables (e.g. interviewee's profile, cetacean

sightings, factors influencing interactions and suggestions for solution), only one

248 response per interviewee was included.

249

Since the final number of interviews per stratum (i.e. type of fishing gear) was not exactly in proportion to the relative fleets' sizes, for the purpose of summary statistics, we weighted the strata, adjusting their relative proportion in the sample to their actual proportions in the surveyed fleet (Table 2). For statistical modelling, gear-type is an explanatory variable and no weighting was necessary.

255

256 Generalized linear models (GLM) were used in order to determine which factors are

257 most influential on the frequency of occurrence of cetacean-fisheries interactions, the

extent of associated economic loss and the choice of mitigation methods employed

259 (Cameron and Trivedi, 1998; Chambers and Hastie, 1992; White et al., 2005).

260 All response variables were binary and a binomial distribution was used with the logit 261 link function if the dataset contained more ones than zeros and the cloglog link function 262 otherwise. We ran a GLM with all relevant covariates, also including interaction terms 263 between variables, using a backward selection procedure. At each step, non-significant 264 variables were dropped (F-Test) and the model was re-run, until all remaining 265 covariates were significant. All variables included in the analysis are listed in Table 1. 266 The variable "harbour" was included into the model to account for any variability 267 between harbours that was independent of gear type. We then validated the final model, 268 checking if the assumptions of homogeneity and independence of residuals were met, 269 also checking for the existence of influential data points. For categorical covariates with 270 more than two categories we created dummy variables, in order to investigate which 271 categories of the covariate are significantly different from each other, and applied a 272 Bonferroni correction for multiple comparisons.

273	A rough estimation of fishery-related cetacean mortality in Galician waters was derived
274	by extrapolating the average annual number of dead animals reported by the fisheries
275	with highest bycatch in the current interview dataset (i.e. trawls, trammel nets and
276	single panel bottom-set gillnets) to the entire Galician trawl and set gillnet fleets,
277	accounting for the proportion of each fleet that reports to have bycatch.
278	
279	Statistical analysis was performed using SPSS Statistics 19 (IBM) and, for modelling,
280	Brodgar 2.7.2 (Highland Statistics Ltd.).
281	
282	

	Variables	Description and categories
	harbour	names of all fishing harbours where interviews were conducted
	fisher work experience	low (< 5 years), intermediate, high ( $\geq$ 30 years)
	function on board of vessel	skipper, crew member
	fishing gear	pair- and otter trawls <sup>1</sup> , purse seines <sup>1</sup> , surface driftnets <sup>1</sup> , single panel
		bottom-set gillnets ("betas" <sup>1</sup> , "volantas" <sup>2</sup> , "rascos" <sup>2</sup> )*, bottom-set tra
а		nets, i.e. three panels ("trasmallos" <sup>1</sup> , "miños" <sup>1</sup> )*, bottom longlines <sup>1</sup> ,
ry dat	target species	European hake (Merluccius merluccius), European conger (Conger
fishe		conger), other large demersal fish, blue whiting (Micromesistius
file &		poutassou), shoaling pelagic species, i.e. sardine (Sardina pilchardu
ee pro		horse mackerel (Trachurus spp), Atlantic mackerel (Scomber scomb
rview		molluscs (cephalopods & bivalves), crustaceans
Inte	type of fishery	vessel length in m: small-scale (< 12m), medium- to large- scale ( $\geq$ 1
	mean catch volume	in kilogram/haul: low (< 100 kg), intermediate, high ( $\geq$ 500 kg)
	mean water depth	in metres: shallow (< 50 m), intermediate, deep ( $\geq$ 100 m)
	mean distance to coast	in nautical miles: nearshore (< 12 nm), offshore ( $\geq$ 12 nm)
	main fishing grounds	North Galicia (N-Galicia), South Galicia (S-Galicia)
&	cetacean sightings	common dolphin, bottlenose dolphin, striped dolphin, long-finned pi
ntings itudes	(individuals or groups)	whale, harbour porpoise, Risso's dolphin, killer whale, sperm whale,
ın sigh rs`att		baleen whales
etaces fishe	attitude towards cetaceans	negative, neutral, positive
C		

Table 1. List of variables used in the analysis with their description and categories.

	_	
	positive interactions	cetaceans indicate fish schools
	negative interactions	catch damage/loss (depredation & scattering of fish) and gear damage
		cetaceans and non-cetacean species, cetacean bycatch
	approach gear	cetaceans approach gear (or not)
tions	catch (%) loss	% of catch lost per vessel/interaction event: low ( $< 10\%$ ), intermedia
Iteract		high (≥ 50%)
Ir I	economic (€) loss	% of gross income lost per vessel/year: minimal (< 10%), significant
		10%)
	bycatch	occurrence and number of animals caught per vessel/year: minimal (
		low (2-10), intermediate (11-30), high (> 30)
uo	mitigation measures	change of fishing area, scare cetaceans away, wait until cetaceans lea
Mitigati		use of pingers, reduce fishing time, other
L	<u></u>	

- 285 \*different net dimension, mesh size and soak time
- 286 <sup>1</sup> small-scale/artisanal fisheries
- 287 <sup>2</sup> medium- to large-scale fisheries

288

**3. Results** 

291 Between May 2008 and August 2010 we conducted 283 interviews (accounting for 283 292 vessels) in 23 harbours along the Galician coast, covering around 6.3% of the Galician 293 fleet operating in national waters (4450 vessels; Galician Ministry of Fisheries, 2013). If 294 considering only the fleet of interest (excluding shellfish harvesters), interviews covered 295 11.6% of vessels (from a total of 3267). Including multiple responses given by the 296 interviewees who operated more than one type of gear, the total sample size was 330. 297 (Table 2). The response rate was high (97%) with only a few fishers (n = 8) refusing to 298 take part in the survey because they had no time for the interview. There were no 299 significant differences in answers for the most important questions between the two 300 interviewers, suggesting that interviewer effect was negligible. The factor "harbour" 301 was not significant in any of the GLMs, which indicates that our sampling procedure 302 did not introduce notable bias into our data and that there were no differences between 303 harbours not captured by other variables already included in the analysis (e.g. gear type, 304 fishing area).

305

306 **3.1. Characteristics of the sampled fleet** 

307 Fishers interviewed were almost exclusively males (99.3%), between 19 – 65 years of

308 age and had a mean working experience of 25 years (SD = 11.45). The majority

309 (90.7%) reported family links to fisheries. Most fishers interviewed were skippers

310 (73.6%), the remainder being crew members (26.4%).

311

312 Gillnets were the fishing gear most frequently used (trammel nets 22.7%, single panel

313 gillnets 15.8% and driftnets 3%), followed by pots (21.8%), purse seines (17.6%),

314	trawls (otter-trawl 6% and pair-trawl 5.5%) and longlines (7.6%). 63.2% of our
315	interviewees were fishing in South Galician waters, 30.3% in North Galicia and the
316	remaining 6.5% along the Asturian, Cantabrian and Basque Country coasts.
317	High catches ( $\geq$ 500 kg/haul) were mostly reported by trawl fishers (blue whiting, large
318	demersal fish and shoaling pelagic species mainly in deep offshore waters) and purse
319	seiners (shoaling pelagic species in nearshore waters). Fishers operating longlines and
320	single panel bottom-set gillnets mostly targeted hake, conger and other large demersal
321	fish in nearshore waters and achieved low to intermediate catches (< 500kg)., Trammel
322	nets, pots and driftnets were mostly set in shallow waters (< 50 m), achieving small
323	catches (< 100 kg); the former two targeted cephalopods, crustaceans and large
324	demersal fish, while the latter caught exclusively shoaling pelagic fish (Table 2).
325	
326	
327	Table 2. Composition and detailed description of the surveyed fleet (excluding vessels
328	fishing outside Spanish waters and shellfish harvesters) and sample, including the
329	number of vessels and percentages of vessels associated with each type of fishery
330	(stratum), and the weighting factors applied in descriptive analysis. Moreover the
331	characteristics of each type of fishery are summarized for the sample. The percentage of
332	surveyed vessels within each category is indicated. (SPBG - single panel bottom-set
333	gillnet).

			Туре	of fishing gear				
	Trawl	Purse seine	SPBG	Trammel net	Driftnet	Longline	Pot	Total
surveyed fleet (N)								
number of vessels	84	158	343	701	148	762	1071	3267
%	2.6	4.8	10.5	21.5	4.5	23.3	32.8	
sample (n)								
number of interviews	38	58	52	75	10	25	72	330
%	11.5	17.6	15.8	22.7	3.0	7.6	21.8	
weighting factor	0.22	0.28	0.67	0.94	1.49	3.08	1.50	
type of fishery (vessel length):								
small-scale (< 12 m)		6%	60%	80%	100%	60%	87%	
medium- to large-scale ( $\geq 12 \text{ m}$ )	100%	94%	40%	20%		40%	13%	
mean water depth:								
shallow (< 50 m)		63%	43%	68%	92%	56%	78%	
intermediate		31%	26%	29%	8%	12%	19%	
deep (≥ 100 m)	100%	6%	31%	3%		32%	3%	
mean distance to coast:								
nearshore (< 12 nm)	11%	100%	79%	96%	100%	84%	100%	
offshore ( $\geq 12 \text{ nm}$ )	89%		21%	4%		16%		
main target species:								
European hake	11%		43%	1%		23%		
European conger						48%		
other large demersal fish	22%		54%	69%	7%	29%		
blue whiting	34%							
shoaling pelagic fish	33%	100%			93%			
molluses				17%			81%	
crustaceans			3%	13%			19%	
mean catch volume:								
low (< 100 kg)			50%	85%	59%	29%	86%	
intermediate	12%	13%	38%	12%	33%	63%	14%	
high ( $\geq$ 500 kg)	88%	87%	12%	3%	8%	8%		

335 3.2. Cetacean sightings: species composition and fishers' attitudes towards 336 cetaceans 337 Based on weighted interview data, the cetacean species most frequently sighted were 338 bottlenose dolphins (40.1% of sightings) and common dolphins (35.4%), followed by 339 non-identified cetaceans (10.8%), harbour porpoises (5.2%), long-finned pilot whales 340 (5%), and striped dolphins (1.8%). Risso's dolphins, sperm whales (Physeter 341 macrocephalus), killer whales (Orcinus orca) and baleen whales were also occasionally 342 sighted (all < 1%). 343 344 The majority (73.5%) of fishers were able to identify the common cetacean species 345 correctly, independent of their work experience or their function on-board of the vessel 346 (no significant differences were detected). 347 348 Fishers' attitudes towards cetaceans were mostly neutral (70.6%); they reported that 349 animals do not disturb fishing operations, at least not with their gears, although they 350 acknowledged that they may be problematic for other gears. Negative opinions about 351 cetaceans (17.4% of respondents) were significantly related to catch- and gear damage 352 (Table 3). Fishers with a positive opinion (12%) frequently replied that they like to see 353 cetaceans, because "they break their routine" and that "their presence indicates the 354 presence of fish schools". 355 356 Table 3. GLM results: All response variables followed a binomial distribution (yes/no). 357 Results displayed are as follows: nominal explanatory variables included in the final

358 model, their significance based on  $\chi^2$  tests, with p-value (the significantly different

- 359 categories of each explanatory variable are specified in the text of sections 3.3 and 3.4),
- 360 the degrees of freedom (d.f.), the number of observations (n) and the overall percentage
- 361 of deviance explained (%dev) by the model.
- 362 Abbreviations: Common dolphin (DDE), bottlenose dolphin (TTR), cetaceans (cet) and
- 363 non-cetacean species (non-cet). For a detailed description of variables see Table 1.

Response variables	Explanatory variables	$\chi^2$	р	d.f.	n	%dev
negative attitude towards cetaceans	catch and gear damage by cetaceans	104.23	< 0.0001	1	330	27.4
positive interactions	target species	33.91	< 0.0001	6	285	24.9
	water depth	9.33	0.0049	2		
	presence of DDE	3.07	0.0798	1		
cetaceans approach gear	gear damage	27.22	< 0.0001	1	313	30.2
	catch damage	7.18	0.0074	1		
cetacean catch damage	main fishing grounds	16.98	< 0.0001	1	267	31
	target species	63.39	< 0.0001	6		
catch damage by DDE	catch volume	8.85	0.0119	2	58	20.9
	water depth	6.25	0.0439	2		
catch damage by TTR	catch volume	21.45	< 0.0001	2	58	26.8
high catch (%) loss (cet)	catch volume	36.62	< 0.0001	2	77	34.7
non-cetacean catch damage	catch volume	6.31	0.0426	2	232	15.6
catch damage by cephalopods	target species	20.13	0.0012	5	53	30.5
	water depth	12.66	0.0018	2		
catch damage by sharks	target species	12.98	0.0235	5	53	46.1
	water depth	7.22	0.027	2		
high catch (%) loss (non-cet)	catch damage by crustaceans	25.61	0.0202	1	58	22.8

cetacean gear damage	fishing gear	80.48	< 0.0001	6	229	29.3
gear damage by TTR	fishing gear	16.13	0.0028	6	66	17.7
gear damage by DDE	fishing gear	14.66	0.0119	6	89	12.4
significant economic (€) loss (cet)	gear damage by TTR	4.5	0.034	1	73	5.98
non-cetacean gear damage						
gear damage by crustaceans	fishing gear	15.09	0.0099	6	32	41.9
significant economic (€) loss (non-cet)	gear damage by crustaceans	7.99	0.0047	1	29	40.8
	gear damage by conger	4.84	0.0278	1		
cetacean bycatch (yes/no)	fishing gear	62.99	< 0.0001	6	235	30.5
	water depth	18.59	< 0.0001	2		
bycatch of DDE	fishing gear	11.41	0.0483	6	83	10.5
bycatch of TTR	type of fishery	12.04	0.0005	1	83	17.5
mitigation measures (yes/no)	gear damage	21.16	< 0.0001	1	316	46.1
	fishing gear	35	< 0.0001	6		
	catch damage	13.69	0.0002	1		

366	3.3. Interactions
367	Based on weighted data, slightly over one-third (38.6%) of fishers reported having
368	interactions with cetaceans, the majority (83.5%) being classified as negative.
369	
370	Positive interactions were mostly associated with common dolphins, primarily because
371	dolphins were associated with presence of schools of pelagic species in intermediate
372	water depth (Table 3).
373	
374	Negative interactions comprised damage/loss of catch (depredation and scattering of
375	fish; 42.2%), gear damage (34.3%) and cetacean bycatch (23.5). In contrast, only 0.5%
376	of fishers considered bycatch to be their most serious cetacean-related problem.
377	
378	Fishers reported damage to catch and gear caused by cetaceans (52.3% of damage
379	events), but also by other animals (47.7%), such as bony fish (conger), elasmobranchs
380	(blue shark, Prionace glauca; shortfin mako, Isurus oxyrinchus), cephalopods (common
381	octopus, Octopus vulgaris; European squid, Loligo vulgaris; common cuttlefish Sepia
382	officinalis), crustaceans (green crab, Carcinus maenas; parasitic isopods Cymothoa
383	spp.; lobster, Homarus spp), starfish and seagulls (Figure 2a,b).
384	



387 Figure 2. Contribution of cetacean (*grey*) and non-cetacean species (*black*) to



390 Cetaceans as well as non-cetacean species were described to feed on catch or bait

- 391 trapped in the gear (depredation). Fishers reported being able to identify which group
- 392 was responsible for depredation, either through direct observation or based on the nature
- 393 of the damage. They mentioned that cetaceans normally tear the body of the fish,
- 394 leaving characteristic bite marks and often just the fish head in the nets, whereas sharks

395 typically bite the fish in half leaving clean borders. The presence of several small bites 396 on the fish body indicate depredation by conger, cephalopods and crustaceans. While 397 the latter frequently bite small holes into the nets during feeding, cetaceans and sharks 398 may tear medium-sized to large holes into the nets when they remove fish. Fishers 399 reported that large sections of the nets may also be torn if cetaceans accidentally get 400 entangled in static nets. In purse seine fisheries, cetaceans were frequently observed to 401 scatter fish before the net was pursed, while in trawl fisheries they occasionally twisted 402 the gear, resulting in catch loss.

403

404 The reported contribution of cetaceans (mainly bottlenose dolphin, followed by 405 common dolphin) to catch damage/loss was considerably lower than the contribution of 406 non-cetacean species (conger, cephalopods, sharks and crustaceans) (36.8% and 63.2%, 407 respectively; Figure 2a), while damage to gear was reported as being more frequently 408 caused by cetaceans than by non-cetacean species (72.1% and 27.9%, respectively; 409 Figure 2b). Cetaceans were sighted close to the gear in the majority of cases when catch 410 damage/loss (89.6% of cases) and gear damage (90%) occurred (Table 3). Longlines 411 and pots were the only gears that were not affected by any type of interactions with 412 cetaceans.

413

414 Significantly higher rates of catch damage/loss caused by cetaceans were reported by
415 fishers operating in South Galicia and targeting shoaling pelagic species (Table 3).

416

417 Bottlenose dolphin was the main species associated with depredation on catch (61.4%418 of all reported depredation events), preying primarily on small catches, while common

dolphin was reported to be most likely to scatter fish (50% of scattering events) in
intermediate water depth, predominantly interfering with fisheries achieving large
catches (Table 3).

422

The reported occurrence of gear damage by cetaceans was significantly higher for
artisanal driftnets (100% of the driftnet users reported gear damage; n=15) than for all
other gears. Single panel bottom-set gillnets also had a relatively high proportion of
damage by cetaceans (54.3% of single panel bottom-set gillnet users), while there were

427 no reports of damage to pots (Table 3).

428 Damage to gear caused by bottlenose dolphin was observed mainly in driftnets and set
429 gillnets, while common dolphin caused net damage mostly in trawls and purse seines
430 (Table 3).

431

432 Catch loss per vessel/interaction event was classified as low (<10% of total catch) by

433 42.6% of the fishers who had reported catch damage. 41.9% of interviewees reported

high catch loss ( $\geq$ 50% of total catch), frequently mentioning that it is not unusual to lose

the whole catch when cetaceans interfere with the fishing operation. This was

436 significantly linked to fisheries with high catches (Table 3). Purse seine fishers

437 estimated that losing the whole catch during a fishing operation is equivalent to a

438 monetary loss of 3500 - 6000 Euros per event.

439 The annual economic loss associated with catch damage caused by cetaceans was,

- 440 however, mostly (77.7% of catch damage reports) reported to be minimal (< 10% of
- 441 gross income) (Figure 3). In only 22.3% of cases, economic loss was reported to be

442 significant ( $\geq 10\%$  of gross income), over half (57.1%) of these cases relating to catches



443 of shoaling pelagic species.



Figure 3. The contribution (in %) of cetaceans and non-cetacean species to catch damage/loss (a total of 97 interviewees reported catch damage). The level of economic loss (as % of gross income lost per vessel/year) associated with cetacean and noncetacean catch damage is also illustrated, *grey* referring to minimal (<10%) and *black* referring to significant ( $\geq$ 10%) economic loss.

450

451 Economic loss associated with gear damage by cetaceans was mainly reported to be

452 minimal (72.9% of gear damage reports; Figure 4). Significant economic loss (27.1%)

453 was strongly related to gear damage by bottlenose dolphins (Table 3). Although fishing

454 gear was not significant in our model, high economic loss was a lot more common in

455 coastal gillnets (93.8% of cases) than other gears.

456

457 Depredation by non-cetacean species was reported to be mainly associated with low

458 catches, octopus mostly preying on catches of crustaceans in deep waters and sharks

- 459 preying on hake in intermediate water depth, while gear damage was mainly associated
- 460 with crustaceans damaging pots (Table 3).
- 461 Economic loss associated with depredation and gear damage by non-cetacean species
- 462 was reported to be significant in only 4.9% (n=3) and 12.9% (n=4) of interaction events
- 463 with these species, respectively (Figures 3,4). The main non-cetacean species causing
- 464 significant catch and gear damage were conger (44.4% of these cases) and crustaceans
- 465 (33.3%; Table 3), cephalopods (21.1%) and starfish (10.5%).



Figure 4. The contribution (in %) of cetaceans and non-cetacean species to gear damage (a total of 90 interviewees reported gear damage). The level of economic loss (as % of gross income lost per vessel/year) associated with cetacean and non-cetacean gear damage is also illustrated, *grey* referring to minimal (<10%) and *black* referring to significant ( $\geq$ 10%) economic loss.

## 473 Estimated versus perceived loss

474 At the end of each interview, fishers who reported suffering catch and/or gear damage

475 by cetaceans were asked if they perceived this damage as problematic, i.e. significant

476	for their activity, 62.5% of fishers answered "yes". This percentage markedly exceeds
477	the proportion of interviewees whom we estimated to suffer significant economic loss
478	

479 Cetacean bycatch

480 One-fifth (20.2%) of fishers reported incidental bycatch of cetaceans, mainly in trawls, 481 purse seines, trammel nets (trasmallos and miños) and single panel bottom-set gillnets 482 (betas and volantas), identifying common dolphin as the species most frequently 483 bycaught (53.3%), followed by non-identified cetaceans (23.3%) and bottlenose dolphin 484 (18.3%). Pilot whale, striped dolphin and harbour porpoise represented only 5.1% of 485 bycatch reported during interviews (based on weighted data). Almost half (49%) of the 486 interviewees who reported cetacean bycatch, declared that they catch fewer than 10 487 animals per year, 44.4% had minimal by catch ( $\leq 1$  animal/year) and only 6.6% said that 488 bycatch was high (> 30 animals/year). In our model, the probability of cetacean bycatch 489 was highest for trawls, purse seines and trammel nets, and generally increased with 490 increasing water depth (Table 3). Cetacean bycatch reported by trawlers (mainly of 491 common dolphins) was concentrated in waters of 100 - 300 m depth, while for trammel 492 nets and purse seines bycatch mainly occurred in shallower waters (< 100m). Bycatch in 493 single panel bottom-set gillnets occurred mainly between 50 - 300 m without any clear 494 trend (Figure 5). Bycatch of bottlenose dolphins was significantly related to small-scale 495 fisheries (Table 3). According to fishers, animals encircled in purse seines usually 496 survived, either by escaping unaided or being helped to escape by the lowering of the 497 corkline.

498

499 Of those fishers reporting any bycatch, trawl fishers reported catching 12 animals per 500 year on average, and fishers operating fixed gillnets reported catching two (trasmallos 501 and volantas) or three (miños and betas) animals per year on average. To estimate total 502 by catch by the whole Galician trawl and set gillnet fleets, we first calculated the number 503 of boats within each sector which would have bycatch (68.4% of 84 trawls, 30% of 363 504 trasmallos, 54.5% of 39 volantas, 52.4% of 338 miños and 25% of 301 betas), and then 505 multiplied these numbers with the average annual bycatch number of each sector. 506 Summing up all products, this would give a total estimate of 1707 cetaceans killed by 507 Galician fisheries each year (159 common dolphins, 136 bottlenose dolphins, 73 long-508 finned pilot whales, 40 harbour porpoises and 1299 non-identified cetaceans).





Figure 5. Reported depth distribution (mean fishing depth in m) of fishing activity and
occurrence of cetacean bycatch for trawls, set gillnets (trammel nets and single panel
bottom-set gillnets - SPBG) and purse seines. The bars represent the number of
interviews in each depth category. The proportions of interviews reporting cetacean

514 bycatch are highlighted with diagonal white stripes, while the proportions of interviews515 with no bycatch reports are highlighted in black.

516

# 517 **3.4. Mitigation measures**

518 Almost half (42.6%; weighted percentage) of the interviewees who reported negative 519 interactions also reported the application of mitigation. The main measure was to 520 navigate to alternative fishing grounds away from the cetaceans (44.4% of fishers that 521 used mitigation measures). Another strategy was scaring the cetaceans away from the 522 vessel (28.8%), for instance by making noise, using firecrackers, throwing stones at the 523 animals or hosing them with seawater. Some fishers mentioned that they postpone the 524 fishing operation until the cetaceans leave the area (16.4%) and very few interviewees 525 reported that they reduce the fishing/soak time (7.1%) or use pingers (3.3%) to avoid 526 interactions. 527 Mitigation measures were used significantly more frequently by fishers suffering gear 528 and catch damage, compared to those suffering no damage, particularly by those using 529 driftnets and purse seines (Table 3), and when scattering of fish was reported as the

530 main problem.

531

# 532 **3.5. Influential factors and fishers' suggestions for solutions**

When asking fishers about the most important factors influencing the amount ofinteractions with cetaceans, they indicated that the type of fishing gear used was the

- 535 most influential factor (56.6%). Gillnets were identified as the most problematic gear.
- 536 Another factor frequently indicated was the catch target species (22%), namely when
- 537 fishing for shoaling pelagic species. 8.1% of interviewees believed that season was also

538	an important factor, with interactions occurring more frequently in summer and spring
539	and 6.8% mentioned that fishing area may be influential, interactions occurring more
540	frequently nearshore than offshore. Other factors mentioned ( $< 5$ %, in each case)
541	included fishing time/duration, weather, water depth, cetacean behaviour, moon cycle
542	and resource availability.
543	
544	Relatively few fishers (15.7%) provided suggestions about how to solve the problem of
545	cetacean-fisheries interactions. Suggestions included measures to benefit fisheries and
546	cetaceans in approximately equal proportions. The former ranged from deterring
547	cetaceans from approaching the gear (for instance with acoustic deterrent devices) and
548	financial compensation, to a few rather extreme suggestions, namely the hunting and
549	deliberate killing of cetaceans reduce the local population.
550	
551	Measures to benefit cetaceans mainly comprised the prohibition of fishing gears with
552	high bycatch levels, a large-scale reduction of fishing effort and the establishment of
553	cetacean conservation areas, where fishing is restricted. The need for alternative
554	"cetacean friendly" fishing methods and more environmental education was also
555	emphasized.
556	
557	4. Discussion
558	4.1. Cetacean species sighted and their interactions with fisheries
559	Quantitative analysis as well as qualitative information provided by Galician fishers
560	suggests that the occurrence/level of cetaceans' interactions is primarily influenced by

the type of fishing gear, target species and fishing area. Coastal demersal gillnet

fisheries and purse seine fisheries for shoaling pelagic species are the main fisheries
affected by catch/gear damage, while offshore trawling causes the highest cetacean
bycatch mortality.

565

566 The cetacean species sighted by the respondents and their relative frequency of

567 occurrence are consistent with those previously described by other authors for the North

568 West Iberian Peninsula using a variety of methods, including sightings from vessels and

from the coast, and interviews (Aguilar, 1997; López et al., 2002, 2003, 2004; Pierce et

570 *al.*, 2010; Spyrakos *et al.*, 2011).

571 As in several similar studies, bottlenose dolphin was reported to be the species most

572 strongly associated with depredation and gear damage, particularly for set gillnets

573 (Aguilar, 1997; Bearzi et al., 2011; Brotons et al., 2008a; Lauriano et al., 2004, 2009;

574 López et al., 2004; Rocklin et al., 2009). Common dolphins were also frequently

575 mentioned to interact with the fishing activity, but primarily with purse seines.

576 Although the report of interaction frequency was generally high in our survey, the

577 majority of interviewees had a neutral or positive attitude towards cetaceans and the

578 economic loss resulting from negative interactions was mainly classified as low. This

579 contrasts with the perception of fishers affected by catch loss and gear damage who

580 mostly classified cetacean-fishery interactions as "problematic". This discrepancy

581 between the estimated and the perceived impact of cetacean-fishery interactions, which

582 was also observed by Silva *et al.* (2011) and Wise *et al.* (2007), may be linked to the

583 fact that fishers who frequently experience negative interactions with cetaceans might

584 tend to exaggerate the real economic impact in order to draw attention to their situation

585 or may perceive the interviews as an opportunity to influence decision-making with

respect to governmental monetary compensations for catch loss and gear damage (Bearzi *et al.* 2011). In contrast, cetacean bycatch that was reported by almost onequarter of fishers, was rarely considered a serious problem, most likely because (apart from occasional gear damage) bycatch did not have a direct negative impact on fishers' profit and/or because fishers may be afraid of the implementation of bycatch reduction measures that restrict their activity.

592

593 However, there were two circumstances where dolphins were reported to have a 594 significant negative impact on fisheries: interactions between purse seiners and common 595 dolphins and interactions between bottlenose dolphins and coastal gillnets. Purse seine 596 fisheries target sardine, one of the main prey species of common dolphins in Galician 597 waters (Méndez Fernández et al., 2012; Santos et al., 2013). They frequently use 598 observations of dolphins as a cue for the presence of a large fish school, although, in 599 contrast, some interviewees indicated that if dolphins are in an area, they avoid it. 600 Fishers reported that dolphins cause scattering or sinking of entire fish schools, 601 frequently leading to the complete loss of the catch for the affected haul. Such 602 occurrences are plausible and are probably directly linked to the fish school's awareness 603 of the presence of a predator (Wise et al., 2007). Nevertheless, due to the low frequency 604 of interactions and stable catch rates, Wise et al. (2007) concluded that small cetaceans 605 are not harmful to purse seine fisheries in Portuguese waters. Our study, however, 606 indicates that catch may be significantly reduced if cetaceans interact during purse 607 seining. In fishing areas with high dolphin abundance such interactions are likely to 608 occur and associated economic losses may therefore be substantial.

609

610 Gear damage by bottlenose dolphins in particular was considered to be a problem for 611 fishers who target shoaling pelagic species with artisanal surface driftnets and hake and 612 other large demersal fish with single panel bottom-gillnets inside the South Galician 613 rías. Both types of fish are important in the diet of bottlenose dolphins (Santos et al., 614 2007). As the dolphins attempt to remove fish trapped in the nets, they frequently tear 615 large holes in the net (Brotons et al., 2008a). Fishers also indicated that dolphins 616 sometimes get entangled in the gear and damage larger sections of the net. Fishers 617 mentioned that net repair is too expensive and that they usually continue using the 618 damaged gear (which becomes ineffective, reducing catch) until the end of the fishing 619 season before replacing it. 620 In contrast, fishers reported that depredation on catch by bottlenose dolphins occurred 621 less frequently than gear damage by the same species in set net fisheries. This may 622 indicate that dolphins mainly prey on fish in the water column and only occasionally

take fish from nets as an additional food source, which was also hypothesized by

624 Rocklin *et al.* (2009).

625

626 It was not only cetaceans that were reported to interact with fisheries: damage of catch 627 by crustaceans, cephalopods, conger and sharks was more frequently reported than 628 damage by dolphins in coastal small-scale net fisheries. Cephalopods were mentioned to 629 consume all the shellfish from gillnets and pots and leave only the shells, while 630 crustaceans and conger were reported to cause significant monetary loss (although only 631 occasionally). It is therefore important to note that non-cetacean predators can also 632 contribute substantially to catch loss and gear damage (Bearzi et al., 2011; Rocklin et 633 al., 2009). The types of catch and gear damage described by our interviewees were

634 consistent with those reported by similar studies (Brotons et al., 2008a; Gazo et al. 635 2008; Gönerer and Özdemir, 2012; Secchi and Vaske, 1998) and we are therefore 636 confident that fishers were able to identify types of damage correctly. However, it is 637 possible that, since dolphins were more visible to fishers than other predatory species, 638 some damage to catch and gear attributed to dolphins may be caused by other species. 639 Seasonal or spatial variation in fish abundance or catchability, as well as oceanographic 640 conditions, may be also responsible for reduced catches (Lauriano et al., 2004). Gear 641 damage may also arise when the nets get caught on the seafloor or collect marine debris, 642 as mentioned by some interviewees.

643

644 Galician fishers also reported occurrence of cetacean bycatch, which was classified as 645 particularly high for trawls, purse seines and trammel nets, mainly affecting common 646 dolphins. This is consistent with the findings of Aguilar (1997), Fernández Contreras et 647 al. (2010) and López et al. (2003) for the same area. The high bycatch frequency of 648 common dolphins in trawl nets is probably linked to the fact that pair-trawlers off 649 Galicia usually operate in water depths between 125 and 700 m, mainly targeting blue 650 whiting, horse mackerel, Atlantic mackerel and hake (Fernández Contreras et al., 2010), 651 which overlaps with both important prey species of common dolphins and the range of 652 water depths over which the species occur (López et al., 2004; Pierce et al., 2010; 653 Santos et al., 2013). Purse seines can be considered to have a low impact on cetacean 654 mortality due to the high survival rate of encircled dolphins (Aguilar, 1997; Hamer et 655 al., 2008; Wise et al., 2007). 656 In contrast, bottlenose dolphins and harbour porpoises, due to their generally more

657 coastal distribution in Galician waters (López et al., 2004; Pierce et al., 2010), are more

658 likely to interact with set gillnets. Nevertheless, the reported bycatch rate of these

659 species was relatively low when compared to common dolphins in trawls. Buscaino et

660 *al.* (2009) and Cox *et al.* (2003) both pointed out that bottlenose dolphins frequently

661 interact with gillnets, but rarely get entangled.

Although the bycatch rates reported by Galician fishers may seem to be moderate

663 (mostly < 10 animals per year), it has to be considered that coastal gillnet fisheries make

up a large proportion of the Galician fleet and that the sum of animals killed by this

665 fishery may actually be considerable. Our preliminary estimate of fishery-related

666 cetacean mortality for trawls and set gillnets is 1707 animals per year (of which 159 are

667 common and 136 bottlenose dolphins); see Read *et al.*, In Prep, for a more detailed

668 examination of likely bycatch rates based on the interview data. This total estimate is

almost double that derived by López et al. (2003), who estimated that 917 cetaceans

670 (trawls and gillnets being responsible for 90.3% of bycatch, i.e. 828 cetaceans) are

671 killed by fisheries in Galician waters each year (including approximately 690 common

and 48 bottlenose dolphins in trawls and gillnets only), based on interview data from the

673 late 1990s. It is however difficult to compare the two sets of figures due to the much

higher proportion of non-identified cetaceans in the present dataset. In addition, survey

675 designs, including detailed content of the questionnaires, were different.

Based on results from the SCANS II survey (SCANS-II, 2008), Santos *et al.*(submitted) estimated that the common dolphin population in Galicia and adjacent
Northern Spanish waters was around 7050, which compares to an estimate of 8140 for
Galicia, from opportunistic surveys, used by López *et al.* (2003). Similarly, using
SCANS II results, the bottlenose dolphin population of the North West Iberian
Peninsula, excluding animals in the coastal rías, is probably around 3000; López *et al.*

682 (2003) quoted a figure of 660 animals for Galician waters including the rías. Even 683 selecting the smallest bycatch estimates and the largest population size estimates from 684 these given above, the annual bycatch rates for common dolphin (159/8140 or 2.0%) 685 and bottlenose dolphin (48/3000 or 1.6%) are close to the limit of 1.7% recommended 686 by ASCOBANS, and other combinations of these figures would yield annual bycatch 687 rates of over 10% for common dolphins and over 20% for bottlenose dolphins. 688 Moreover, analysis of stranded animals in Galicia suggests that fishery-related mortality 689 rates of harbour porpoise may be unsustainable (Read et al., 2012).

690 Based on the present study, there is cause for concern in the case of both common and

691 bottlenose dolphins. Given the limitations of interviews as a means to collect reliable

quantitative data, we believe that a new study of cetacean bycatch in Galicia, based on

693 on-board observation, is urgently needed.

694

# 695 4.2. Mitigation measures and possible management strategies

696 Interviewees frequently mentioned that "interactions are natural and we have to accept

697 them" and the majority offered no suggestions about solutions. Nevertheless, a number

698 of fishers provided constructive, feasible ideas.

699 Avoidance of fishing areas where dolphins are present was the most frequently

700 mentioned strategy for all types of fisheries. However, due to the substantial overlap

701 between cetacean feeding areas and preferred fishing grounds, the avoidance strategy

702 obviously has its limitations. Technical solutions, such as acoustic deterrent devices,

703 were mentioned by a few affected fishers.

704

705 In our study we were able to identify three specific problematic cetacean-fishery 706 interactions, each of which is likely to need a case-specific management strategy. For 707 set gillnets, which are mostly used inside the South Galician rías, the goals are to reduce 708 by catch of bottlenose dolphins as well as damage to gear, while in purse seine fisheries 709 common dolphins need to be deterred from approaching the nets in order to avoid 710 scattering of fish. The use of pingers, which are low-intensity acoustic signal generators 711 emitting mid to high frequency sounds, designed to prevent small cetaceans from approaching fishing gear (Reeves et al., 2001), represent a possible solution, at least for 712 713 static gears. The devices can be relatively easily attached to nets, although operational 714 issues have been reported, including pinger breakages and interference with fishing 715 operations (e.g. Northridge, 2011; Dawson et al., 2013). Numerous trials showed that 716 pingers can be effective in reducing damage caused by, and by catch rates of, bottlenose 717 dolphins (e.g. Brotons et al., 2008b; Buscaino et al., 2009; Gazo et al., 2008; Gönerer 718 and Özdemir, 2012; Leeney et al., 2007; Read and Waples, 2009) and common dolphins 719 (Barlow and Cameron, 2003; Carretta and Barlow, 2011), although there are also 720 studies that could not demonstrate any obvious aversive reactions of common dolphins 721 to pinger sounds (e.g. Berrow et al., 2008; Sagarminaga et al., 2006). McPherson et al., 722 (2004) reported that pingers are not effective in reducing bottlenose dolphin 723 entanglement in gillnets and that the dolphins sometimes behaved aggressively toward 724 pingers, repeatedly attacking them. All of the above-mentioned trials were based on 725 fixed gears. For mobile gears like trawls, the high level of associated noise means that 726 pingers are unlikely to be effective: additional noise is unlikely to enhance detection of 727 the gear (thus permitting avoidance) or act as a deterrent. Operation of a purse seine is 728 perhaps not as noisy as trawling but in addition to the main vessel, motor launches may

be deployed to help herd the fish into the net (e.g. ICCAT, 2008) so pingers may not beeffective.

731 Even in the case of static gear, the long-term effectiveness of pingers is still 732 controversial since especially bottlenose dolphins may potentially habituate to the 733 pinger sounds and consequently start to ignore them or even become attracted to them 734 (e.g. Cox et al., 2003; Northridge et al., 2003). For common dolphins, however, no such 735 effect was detected by Caretta and Barlow (2011), who conducted a long-term study 736 over 19 years. The likelihood of habituation may be minimized by using responsive 737 pingers that only activate when receiving cetacean clicks (Leeney et al., 2007) or by 738 periodically modifying pinger emission frequencies (Gazo et al., 2008). Furthermore it 739 is essential to ensure that the signal does not affect the fishery target species in order to 740 avoid negative impacts on catch rates. Since pingers are relatively expensive and may 741 not be affordable for small-scale fishers, governmental subsidies for the acquisition of 742 pingers could be needed.

The possibility of avoiding fishing grounds with high cetacean abundance should be explored. Although it may not be viable if dolphins favour the areas with highest fish abundance, there may be differences between species and size classes targeted by fisheries and those preferred by dolphins which would permit some spatial separation.

For trawl fisheries, the mitigation of dolphin bycatch is the main objective. There are
certain operational factors that can influence bycatch: incidental capture is more likely
to occur in shallow waters (< 300m) and during nocturnal fishing (Fernández Contreras</li> *et al.*, 2010; López *et al.*, 2003; Morizur *et al.*, 1999). Interviewees reported that most
dolphins were captured in water depths between 100 and 300 m. Time/area closures can

753 be effective when patterns of bycatch are predictable in time and space (Murray et al., 754 2000), and therefore the relocation of some trawling effort to waters deeper than 300 m 755 and imposition of limits on trawling in waters shallower than 250m, as suggested by 756 Fernández Contreras et al. (2010), combined with a reduction of nocturnal trawling 757 (López et al., 2003) could dramatically reduce cetacean bycatch in Galicia. However, 758 since few of the fishers interviewed fished in deeper waters, we cannot be sure that 759 cetacean bycatch rates of trawlers in deeper waters would be lower. The impact of any 760 measures designed to reduce by catch clearly needs to be monitored, preferably using 761 on-board observers.

762

# 763 **4.3.** The suitability of interview surveys to assess cetacean-fishery interaction

764 Our qualitative research results are in accordance with quantitative findings for the area 765 (Aguilar, 1997; Fernández Contreras et al. 2010; López et al., 2002, 2003, 2004; Pierce 766 et al., 2010; Spyrakos et al., 2011), showing that fishers' ecological knowledge can 767 serve as a useful data source that may also be valuable for wildlife management 768 (Johannes et al., 2000). Nevertheless, information based on reports from fishers (like all 769 interview data) may be potentially influenced by the opinions, perceptions and personal 770 interests of the interviewees (Bearzi et al., 2011). Therefore the damage and bycatch 771 rates indicated by our interviewees should be interpreted with care as economic loss 772 may be overestimated, while bycatch rates are likely to be underreported by fishers.

773

774 Nevertheless, interview surveys can be particularly useful where extensive scientific 775 studies may be impractical or financially unfeasible (Johannes, 1998), as it is the case 776 for cetacean-fishery interactions that usually occur in remote locations over a wide

777 geographic area. Interview surveys are clearly less costly and time-consuming than on-778 board sampling and allow for a wide geographic coverage and sampling of multiple 779 gears at the same time (White et al., 2005). In our study we covered more than 5% of 780 the fishing fleet of interest, which is in accordance with the minimum sample size 781 recommended for interview surveys by Czaja and Blair (2005). Furthermore, by 782 applying a stratified sampling strategy (Moore et al., 2010; White et al., 2005), we 783 ensured the sample was reasonably representative of the entire Galician fleet, covering 784 all types of fisheries operating in coastal and offshore waters that are possibly affected 785 by interactions with cetaceans.

786 The assessment of cetacean-fishery interactions only by on-board observers would be 787 financially and logistically unfeasible. Based on a fleet size of 3267 vessels fishing 5 788 days a week, around 42 610 observer days, would be needed every year to monitor 5% 789 of the fleet activity, i.e. requiring 163 full-time observers. Clearly, this is a maximum 790 estimate (some vessels probably fish fewer days per week or only during certain 791 seasons) and observations could be focused on those fishing activities most likely to 792 generate interactions with cetaceans. López et al. (2003) estimated that a minimum of 793 between 500 and 2000 observer trips per year would be needed to quantify cetacean 794 bycatch in Galician fisheries. Nevertheless, the need for additional data sources is 795 apparent. For routine monitoring, some combination of vessel-based observations by 796 trained observers in a small fraction of the fleet, interview surveys and (as recently 797 trialled in several studies, see ICES, 2011b) on-board video cameras may provide the 798 best solution.

799

800 We chose face-to-face interviews because, in contrast to telephone or postal surveys, 801 they create more confidence between interviewer and respondents, allowing for good 802 quality of recorded responses, a high response rate and, consequently low non-response 803 bias (i.e. difference in the answers of respondents from the potential answers of those 804 who did not answer; Czaja and Blair, 2005; Lien et al., 1994; White et al., 2005). A 805 common point of criticism of this methodology is the interviewer effect, i.e. the 806 unintended influence on the interviewee through the interviewer (Czaja and Blair, 807 2005). In our survey we did not detect such an effect.

808

# 809 **5.** Conclusions

810 The data derived from our interview survey indicate that cetacean-fishery interactions 811 are frequent in Galicia, although negative consequences for fishers and cetacean bycatch 812 levels were mostly classified by fishers as low to moderate. Nevertheless some 813 interactions may lead to serious conservation and/or economic problems. Our 814 preliminary calculations suggest that bycatch rates for both common dolphin and 815 bottlenose dolphin are likely to be unsustainable. It is therefore essential to improve the 816 situation of affected fisheries and cetacean populations through the implementation of 817 appropriate management plans, the success of which largely depends on fishers' 818 willingness to cooperate, apart from legal enforcement and monitoring (Campbell and Cornwell, 2008). There are many cases where cetacean bycatch levels have been 819 820 successfully reduced with the direct co-operation of fishers (IWC, 1994). Fishers have 821 expertise with fishing gears and should therefore be involved in the creation and trial of 822 new gear technologies. Their active participation into dolphin watching activities, as 823 well as the promotion of eco-labelling of fish and fishery products could even help to

824	improve earnings (e.g. Salomon et al., 2011). If the large scale use of pingers is
825	considered as a management option, long-term scientific trials need to be conducted to
826	determine which type of pinger is most effective and least likely to cause habituation in
827	dolphins. It could also prove useful to put cameras on nets to verify the cetacean species
828	that cause damage to gear, at what point during fishing activities bycatch occurs, and
829	how many fish are actually removed or damaged, in order to direct research and
830	mitigation measures on a more species- and gear-specific basis.
831	
832	Supplementary material
833	The following supplementary material is available at ICESJMS online: Questionnaire
834	form used for the interview survey (translated into English).
835	
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<ul> <li>840</li> <li>841</li> <li>842</li> <li>843</li> <li>844</li> </ul>	and Julio Martínez Portela for suggestions on the manuscript. The study was funded by the EC projects MEXC-CT-2006-042337 and MEST-CT- 2005-020501), the German Academic Exchange Service (DAAD) and the Fundação para a Ciência e a Tecnologia (FCT). MBS participation was part of the EU FP7 grant
<ul> <li>840</li> <li>841</li> <li>842</li> <li>843</li> <li>844</li> <li>845</li> </ul>	and Julio Martínez Portela for suggestions on the manuscript. The study was funded by the EC projects MEXC-CT-2006-042337 and MEST-CT- 2005-020501), the German Academic Exchange Service (DAAD) and the Fundação para a Ciência e a Tecnologia (FCT). MBS participation was part of the EU FP7 grant MYFISH (no. 289257) and the LOTOFPEL project (Plan Nacional de I + D + I, CTM
<ul> <li>840</li> <li>841</li> <li>842</li> <li>843</li> <li>844</li> <li>845</li> <li>846</li> </ul>	and Julio Martínez Portela for suggestions on the manuscript. The study was funded by the EC projects MEXC-CT-2006-042337 and MEST-CT- 2005-020501), the German Academic Exchange Service (DAAD) and the Fundação para a Ciência e a Tecnologia (FCT). MBS participation was part of the EU FP7 grant MYFISH (no. 289257) and the LOTOFPEL project (Plan Nacional de I + D + I, CTM 2010-16053).

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- 1120 bycatch are highlighted with diagonal white stripes, while the proportions of interviews
- 1121 with no bycatch reports are highlighted in black.

SIGHTINGS/	<b>INTERACTIONS OF CET</b>	ACEANS WITH FISHERIE	s
			interview code
Date	Harbour	Interviewer	_

This questionnaire is designed to find out a few things about your job, fisheries in Galicia in general and the interactions of cetaceans (dolphins, porpoises and whales) with these fisheries. Please answer the questions truthfully. There are no right or wrong answers.

This work is for statistical purposes only. All information will be treated confidentially and will not be distributed to a third party

(please fill in the relevant box or tick one or more answers)

1. What is your fur	nction on board o	f the vessel?				
skipper	sailor	mecl	hanic	other_		
2. What kind of fi	shing gear do you	use?				
pair trawl _				gillnets <i>(spe</i>	ecify type)	
otter trawl _				purse seine		
bottom long	ine			pots		
surface long	ine			other		
<b>3. What length/to</b> <i>(indicate just one)</i>	nnage/crew has t )	he vessel ?				
me	eters	tons			crew members	5
4. In which area a	re you fishing?					
Fishing area						
inside the rías						
outside of rías						
Sub-area						
1 Ría Ribadeo	o - Estaca de Bares			5 Cabo Corr	ubedo - Cabo Ho	ome
2 Estaca de B	ares - Pta. Segaño (S	5 ría Ferrol)		6 Cabo Hom	e - Río Miño	
3 Pta. Segaño	o - Cabo Fisterra			other		
4 Cabo Fister	ra - Cabo Corrubedo	)				
Mean distance to o	coast (m/nm): _		-			
Mean water depth	(m/fathoms):					
5. What time do yo	ou leave for fishin	g?	6. Wh	at time do y	you return to t	he harbour?

# 7. Which are your main target species ?

Fish			
Abadexo Castañeta		Maragota/Pinto	Rapante
Acedía	Cazón	Marraxo	Robaliza
Agulla	Choupa/Pancha	Maruca	Rodaballo
Alavanco	Congro	Melga	Saboga
Anchoa/Bocareu	Coruxo	Mero	Salmón
Anguía	Doncella	Muxo	Salmonete
Barbada	Dourada	🗌 Palometa roja	Sanmartiño
Bertorella	Escacho	Peixe espada	🗌 Sardiña
Besugo/Ollomol/Pancho	Escarapote	Peixe pao	Sargo
Boga	Escolar	Peixe sabre	Serrán
Bolo	Faneca	Peixe sapo	Solla
Bonito	🗌 Fodón	Pescada(illa)/Merluza	Xarda/Cabala/Rincha
Burro	<b>Fogoneiro</b>	🗌 Piarda	🗌 Xuliana
Cabalón	Gata	Prago	Xurelo
Cabra	Linguado	Quenlla	mixture
Cabracho		Raia	
·	Com	halopods Crustad	ceans Other
Bivalves	Сер	-	
Bivalves         Ameixa       M         Berberecho       N         Cadelucha       O         Carneiro       Ra         Centola       Ra         Cornicha       Vi         Longueirón       Vo	Iexillón       Cal         avalla       Chu         stra       Chu         abioso       Lu         eló       Pol         ieira       Pol         olandeira       Put         exatch ?       don't	bezón Boi boco Camaró opiño Cigala ra Lagosta bo Lumbrig ra Nécora ntilla Percebe	n
Bivalves         Ameixa       M         Berberecho       N         Cadelucha       O         Cadelucha       O         Cadelucha       O         Carneiro       Ra         Centola       Ra         Cornicha       Vi         Longueirón       Va         8. What is your average         per haul       per t         (indicate just one; if average)         total       in l         (for each target species)	Iexillón       Cal         avalla       Cha         avalla       Cha         stra       Cha         abioso       Lun         eló       Pol         ieira       Pol         olandeira       Pun         e catch ?       don't         rip       last trip         ge catch cannot be estin         kg (tons)	bezón Boi bezón Camaró opiño Cigala ra Lagosta bo Lumbrig a Nécora ntilla Percebe know mated, indicate amount of o in crates	n
Bivalves         Ameixa       M         Berberecho       N         Cadelucha       O         Cadelucha       O         Carneiro       Ra         Centola       Ra         Cornicha       Vi         Longueirón       Vo         8. What is your average         per haul       per t         (indicate just one; if average)         total       in la         (for each target species)         in	Iexillón       Cal         avalla       Chu         stra       Chu         abioso       Lun         eló       Pol         ieira       Pol         olandeira       Pun         e catch ?       don't         rip       last trip         ge catch cannot be estin         kg (tons)	bezón Boi bezón Camaró opiño Cigala ra Lagosta bo Lumbrig ra Nécora ntilla Percebe know <i>nated, indicate amount of a</i> in crates	n
Bivalves         Ameixa       M         Berberecho       N         Cadelucha       O         Cadelucha       O         Carneiro       Ra         Centola       Ra         Cornicha       Vi         Longueirón       Vo         8. What is your average         per haul       per t         (indicate just one; if average)         total       in l         (for each target species)	lexillón Cal   avalla Ch   avalla Ch   stra Ch   abioso Lu   eló Pol   ieira Pol   olandeira Put   e catch ? don't   rip last trip   ge catch cannot be estin   kg (tons)	bezón Boi bezón Boi coo Camaró popiño Cigala ra Lagosta bo Lumbrig ra Nécora ntilla Percebe know mated, indicate amount of c in crates in crates	n
Bivalves         Ameixa       M         Berberecho       N         Cadelucha       O         Cadelucha       O         Carneiro       R         Centola       R         Centola       Vi         Longueirón       Vo         8. What is your average         per haul       per t         (indicate just one; if average)         total       in l         (for each target species)         in       in	lexillón Cal   avalla Ch   atra Ch   abioso Lui   eló Pol   ieira Pol   olandeira Put   e catch ? don't   rip last trip   ge catch cannot be estin   kg (tons)   kg (tons)	bezón Boi bezón Boi beco Camaró opiño Cigala ra Lagosta bo Lumbrig a Nécora ntilla Percebe know mated, indicate amount of d in crates in crates in crates	n
Bivalves         Ameixa       M         Berberecho       N         Cadelucha       O         Cadelucha       O         Carneiro       Ra         Centola       Ra         Centola       N         Cornicha       Vi         Longueirón       Va         8. What is your average         per haul       per t         (indicate just one; if average)         total       in l         (for each target species)         in       in	lexillón Cal   avalla Ch   atra Ch   abioso Lui   abioso Lui   eló Pol   ieira Pol   olandeira Pui   e catch ? don't   rip last trip   ge catch cannot be estin   kg (tons)   kg (tons)   kg (tons)	bezón Boi bezón Boi coo Camaró opiño Cigala ra Lagosta bo Lumbrig a Nécora ntilla Percebe know mated, indicate amount of o in crates in crates in crates in crates	n

9. Do you usually	see dolphi	ns and wha	les in you	ır fishing area?			
yes [	no			-> if answer is no,	go to quesi	tion <b>36</b>	
10. What kind of d frequently?	<b>lolphins ar</b> don't kn	nd whales d	lo you see	e and how many? Do yo	u see then	n	
$(nres = nresent \cdot N^{o})$	= number of	individuals	· frea = fre	quent: rare)			
(pres – present, iv	- number of	marriadais,	<i>ncq</i> – <i>nc</i>	quent, rare)		_	
non-identified (NI) o common dolphin bottlenose dolphin striped dolphin Risso's dolphin harbour porpoise	p dolphins	res         N°	freq rare	long-finned pilot whale sperm whale killer whale baleen whales other	pres	Nº freq	rare ] ] ] ] ] ] ] ] ] ] ] ] ] ] ] ] ] ] ]
ID correct?	yes I r ommon spec	10 cies names u	sed by loca	l fishers)			
11.Do vou think th	e number	of dolphins	s/whales i	in the area hasduring	g the last <b>5</b>	5 vears?	
increased	decrease	ed bee	n constant	don't know			
12. What are your	general fe	elings abou	ıt dolphir	ns/whales?			
positive     Why?	nega	ative	neutral	don't know			_
13. Do you use the	presence of	of dolphins	/whales to	) locate fish?			
yes	no no	do	n't know				
14. Are the dolphi	ns/whales	<b>seen in clo</b>	<b>se proxin</b> n't know	nity to the gear during f	ishing ope	eration??	
15. If yes, which sj	pecies ?		🗌 don	't know			
NI dolphins	s Dphin dolphin	striped dolp Risso's dolp harbour po	ohin ohin rpoise	long-finned pilot whale sperm whale killer whale	baleen	whales	_

16. Do the dolphins/whales and/or other animals consume catch? 🗌 don't know
yes dolphins/whales -> go to question <b>17</b>
other animals -> go to question <b>18</b>
no -> <i>if answer is no/don't know, go to question</i> <b>21</b>
17. Which species of dolphins/whales? don't know
NI dolphins striped dolphin long-finned pilot whale baleen whales
common dolphin Risso's dolphin sperm whale other
bottlenose dolphin harbour porpoise killer whale
<b>18. Which other animals?</b> don't know
19. Can you estimate the proportion of catch damaged/consumed?
no yes % of catch per trip (by dolphins/whales)
% of catch per trip (other animals)
there is none
20. Can you estimate the economic loss associated with this catch damage/loss?
no yes by dolphins/whales per trip year
by other animals per
<b>21. Do the dolphins/whales and/or other animals cause damage in the gear?</b> don't know
yes dolphins/whales -> go to question <b>22</b>
other animals -> go to question <b>23</b>
no -> if answer is no/don't know, go to question <b>27</b>
<b>22. Which species of dolphins/whales?</b> don't know
NI dolphins striped dolphin long-finned pilot whale baleen whales
common dolphin Risso's dolphin sperm whale other
bottlenose dolphin harbour porpoise killer whale
<b>23. Which other animals</b> don't know

24. What kind of damage do the dolphins/whales cause? don't know
25. What kind of damage do other animals cause? don't know
26. Can you estimate the economic loss associated with this gear damage?
no yes by dolphins/whales per 🗆 trip 🗆 year
$\begin{tabular}{ c c c c } \hline there is none & by other animals & \end{tabular} & per \begin{tabular}{ c c c c } trip & \end{tabular} year & \end{tabular}$
27. Are dolphins/whales accidentally bycaught?
yesondon't know-> if answer is no/don't know, go to question $34$
28. Which species of dolphins/whales and how many       don't know         month year       month year       month year       month year         NI dolphins       striped dolphin       long-finned pilot whale       baleen whales
alivedeaddon't know-> if answer is dead go to question 31
<b>30. Do they survive?</b> yes no don't know
<b>31. What do you do with the carcasses? (</b> don't know
bring them back to the harbour throw them back into the sea other
<ul> <li>32. Do you think the amount interactions with dolphins/whales hasduring the last 5 years?</li> <li>increased</li> <li>decreased</li> <li>been constant</li> <li>don't know</li> </ul>
33. Is there a season with more bycatch?
yes $\Box$ no $\Box$ don't know-> if answer is no/don't know, go to question 34
Which season?

# 34. Do you take any measures to avoid interactions(damage to catch/gear and bycatch) with dolphins/whales?

		yes	no	-> if answer is no, go to question <b>36</b>
35	. Wł	hat type of r	neasures?	
		acoustic dev	vices (specify)	
		] navigate to	alternative fishing	grounds away from the dolphins/whales
		] postpone th	ne fishing operation	n until the dolphins/whales leave the area
		reduce the	fishing/soak time	
		scare the c	etaceans away from	the vessel (specify)
		] other <i>(speci</i>	ify)	
36	. In	your opinio	on, what are the m	ain problems with dolphins/whales and fisheries?
(Fi	ill in	n 3 boxes ac	cording to their in	nportance: 1 – most important, 3- least important)
		] don't know		
		] there are no	problems	

the dolphins/whales cause additional costs, e.g. fuel costs from changing fishing grounds

37. In your opinion, what are the most important factors influencing the amount o
interactions (damage to catch/gear and bycatch) with dolphins/whales?

the dolphins/whales eat too many fish, i.e. competition for resources

don't know
there are no factors
fishing time, e.g. day or night/duration
catch target species
fishing area
water depth
season
type of fishing gear
weather
behaviour of dolphins/whales
other (specify)

the dolphins/whales damage the gear the dolphins/whales damage the catch

the dolphins/whales scatter the fish

there is too much bycatch of dolphins/whales other *(specify)* \_\_\_\_\_

# 39. What are your suggestions to reduce conflicts between dolphins/whales and fisheries?

# Some personal information.....

How old are you?	How many years of working	experience do you have?
Do you have family links	with fisheries? yes	no

male	female
------	--------

**Comments:**