

Impact of initiating biologics in patients with severe asthma on long-term OCS or frequent rescue steroids (GLITTER): data from the International Severe Asthma Registry

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300 which produces phytopharmaceuticals; owns 74% of the social enterprise Optimum Patient Care Ltd
301 (Australia and UK) and 74% of Observational and Pragmatic Research Institute Pte Ltd (Singapore);
302 5% shareholding in Timestamp which develops adherence monitoring technology; is peer reviewer for
303 grant committees of the Efficacy and Mechanism Evaluation programme, and Health Technology
304 Assessment; and was an expert witness for GlaxoSmithKline.

305 **Word count**

306 Main text: 3495

307 Abstract: 249

308

HIGHLIGHTS BOX

What is already known about this topic?

In real-life, biologic use is associated with significant improvement in asthma outcomes, but their effectiveness has not been established in patients with high oral corticosteroid exposure (HOCS) or compared to continuing with HOCS alone.

What does this article add to our knowledge?

Continued HOCS or switch to biologics were both associated with improvement in severe asthma outcomes. However, HOCS patients who initiated biologics experienced even greater improvements than those who continued with long-term or frequent rescue OCS.

How does this study impact current management guidelines?

These findings may influence guidelines to recommend biologics, even in patients showing improvement on long-term or regular rescue OCS, as a cost-effective strategy to improve outcomes while reducing OCS exposure.

309

310

311 **Keywords:** biologics; effectiveness; ISAR; oral corticosteroids; real-life

312 **List of Abbreviations:**

313 ADEPT: Anonymized Data Ethics Protocols and Transparency

314 AR: allergic rhinitis

315 BEC: blood eosinophil count

316 BMI: body mass index

317 Bx: biologic

318 CRS: chronic rhinosinusitis

319 EAACI: European Academy of Allergy and Clinical Immunology

320 ED: emergency department

321 EMA: European Medicine Agency

322 EUPAS: European Union Electronic Register of Post-Authorisation Studies

323 GINA: Global Initiative for Asthma

324 GLM: generalized linear model

325 HCRU: healthcare resource utilization

326 HOCS: high oral corticosteroid exposure

327 ICS: inhaled corticosteroid

328 ISAR: International Severe Asthma Registry

329 IgE: anti-immunoglobulin E

330 IL: anti-interleukin

331 LABA: long acting β_2 -agonist

332 NP: nasal polyps

333 OCS: oral corticosteroids

334 RCT: randomized controlled trial

335 SD: standard deviation

336 SMD: Standardized mean difference

337 T2: type 2

338 UAE: United Arab Emirates

339 UK: United Kingdom

340

341

342 **ABSTRACT**

343

344 **BACKGROUND:** Effectiveness of biologics has neither been established in patients with high oral
345 corticosteroid exposure (HOCS), nor been compared to effectiveness of continuing with HOCS alone.

346 **OBJECTIVE:** To examine the effectiveness of initiating biologics in a large, real-world cohort of adult
347 patients with severe asthma and HOCS.

348 **METHODS:** This was a propensity-score-matched, prospective cohort study using data from the
349 International Severe Asthma Registry (<http://isaregistries.org/>). Between January 2015 and February
350 2021, patients with severe asthma and HOCS (long-term OCS ≥ 1 year or ≥ 4 courses of rescue OCS
351 within a 12-month period) were identified. Biologic initiators were identified and, using propensity
352 scores, matched 1:1 with non-initiators. The impact of biologic initiation on asthma outcomes were
353 assessed using generalized linear models.

354 **RESULTS:** We identified 996 matched pairs of patients. Both groups improved over the 12-month
355 follow-up period, but improvement was greater for biologic-initiators. Biologic initiation was associated
356 with a 72.9% reduction in the average number of exacerbations/year versus non-initiators (0.64 vs 2.06,
357 rate ratio: 0.27 [95%CI, 0.10, 0.71]). Biologic initiators were 2.2 times more likely than non-initiators to
358 take a daily long-term OCS dose < 5 mg (probability: 49.6% vs 22.5%; $p=0.002$) and had a lower risk of
359 asthma-related emergency department visits (relative risk: 0.35 [95% CI: 0.21, 0.58]; rate ratio 0.26
360 [0.14, 0.48]) and hospitalizations (relative risk: 0.31 [95% CI: 0.18, 0.52]; rate ratio 0.25 [0.13, 0.48]).

361 **CONCLUSIONS:** In a real-world setting, including patients with severe asthma and HOCS from 19
362 countries, and within an environment of clinical improvement, initiation of biologics was associated with
363 further improvements across multiple asthma outcomes, including exacerbation rate, OCS exposure,
364 and healthcare resource utilization.

365

366

367

368 **Introduction**

369 Severe asthma refers to asthma that is uncontrolled despite high dose inhaled corticosteroid (ICS)/long-
370 acting β_2 -agonist (LABA), or that requires high dose ICS/LABA to remain controlled.¹ It is thought to
371 affect up to 10% of the total asthma population² and is associated with significant morbidity, mortality,
372 and socioeconomic burden.^{3,4} Recent global characterization analyses showed the high treatment
373 burden associated with severe asthma(over one-third of patients with severe asthma were on Global
374 Initiative for Asthma (GINA) step 5 treatment, and over half received intermittent oral corticosteroid
375 (OCS) bursts⁵) and the predominance of the eosinophilic phenotype.⁶ Despite this high treatment
376 burden, it has been reported that over half of these patients had poorly controlled disease and
377 experienced >1 exacerbation per year on average.⁵ As a consequence, healthcare costs in severe
378 asthma are disproportionately high, with direct costs higher than for type 2 diabetes, stroke, or chronic
379 obstructive pulmonary disease,⁷ and total costs accounting for more than 60% of total asthma
380 expenditure.⁸

381

382 ICSs represent the cornerstone of asthma treatment.¹ However, there are two major limitations
383 associated with their use, namely local and systemic side effects that are more common at higher
384 doses, and the persistence of exacerbations and poor control seen in some patients, predominantly
385 among those with severe disease.^{9,10} For example, a survey in the United Kingdom (UK) found that
386 64% of patients with asthma taking ICS reported ≥ 1 side effect.¹¹ GINA recommends short-course OCS
387 for those on medium-dose maintenance ICS/formoterol (Step 4) whose initial presentation is with
388 severely uncontrolled asthma, or with an acute exacerbation.¹ Low-dose maintenance OCS is also one
389 of the options that may be added at Step 5 to high dose ICS/LABA to control symptoms and minimize
390 future exacerbation risk.¹ However, the cumulative burden of OCS, from short-course and maintenance
391 doses is associated with adverse effects including obesity, diabetes, osteoporosis, cataracts,
392 hypertension, and adrenal suppression, as well psychological side effects such as depression and
393 anxiety.¹² Indeed, even short-term OCS use is associated with sleep disturbance and increased risk of
394 infection, fracture, and thromboembolism.¹³ Strategies to minimize need for OCS are, therefore, a high
395 priority.¹ According to OCS stewardship statements supported by the American College of Allergy
396 Asthma & Immunol and the American Lung Foundation (among others),¹⁴ *it is time to protect patients*

397 *with asthma from potential over-exposure to OCS and to recognize OCS overuse for what it often is: a*
398 *treatment plan failure*.¹⁴

399 Biologics (including anti-immunoglobulin [Ig]-E), anti-interleukin [IL]5/5R, anti-IL4R α , and anti-TSLP),
400 that target key mediators of the type 2 (T2) inflammatory cascade can be effective strategies to achieve
401 that aim. They are recommended for patients with severe asthma with exacerbations or poor symptom
402 control on high dose ICS/LABA, who have increased levels of T2 biomarkers (e.g., high blood eosinophil
403 count) or need maintenance OCS.¹ Their efficacy and safety is well-established within the randomized
404 controlled trial (RCT) setting.¹⁵ A systematic review comparing the five current biologics to standard of
405 care for severe eosinophilic asthma found that there is high certainty that all approved biologics reduce
406 the rate of severe asthma exacerbations and—for benralizumab, dupilumab, and mepolizumab—for
407 reducing OCS.¹⁵ However, these confirmatory efficacy studies are limited by restrictive eligibility criteria,
408 relatively small patient populations, and varying study methodology. As such, the generalizability of
409 individual study results to the broader asthma population is limited.¹⁶

410

411 In real life, biologic use has been associated with a significant improvement in lung function and asthma
412 control, and a reduction in the number of asthma exacerbations and OCS use.^{17–20} However, the
413 majority of these real-life studies have been small, have used different definitions of severe asthma and
414 asthma exacerbations, and included patients receiving widely varying OCS doses at baseline.
415 Effectiveness of biologics has neither been established in high OCS exposure (HOCS) patients, nor
416 been compared to effectiveness of continuing with HOCS alone and not initiating biologic therapy.¹⁶

417

418 Our aim was to examine the effectiveness of initiating biologics in a large real-world cohort of adult
419 patients worldwide with severe asthma and HOCS.

420

421 **Methods**

422 Study design and data source

423 This was a propensity-score-matched, prospective cohort study using data from the International
424 Severe Asthma Registry (ISAR; <https://isaregistries.org/>). Registry details have been described
425 elsewhere.²¹ We included data from 19 countries (Argentina, Australia, Bulgaria, Canada, Colombia,
426 Denmark, Greece, India, Ireland, Italy, Japan, Kuwait, Mexico, South Korea, Saudi Arabia, Spain,
427 Taiwan, United Arab Emirates, and the UK) that shared data with ISAR between January 2015 and
428 February 2021. The study was designed, implemented, and reported in compliance with the European
429 Network Centres for Pharmacoepidemiology and Pharmacovigilance Code of Conduct (EMA 2014;
430 EUPAS33582) and with all applicable local and international laws and regulation. The ISAR database
431 has ethical approval from the Anonymized Data Ethics Protocols and Transparency Committee
432 (ADEPT0218).

433

434 Patients

435 Patients were required to be aged ≥ 18 years at enrolment and have severe asthma (i.e., receiving
436 treatment at GINA 2018 Step 5 or with uncontrolled asthma at GINA Step 4).²² See **Table E1** for
437 Individual registry diagnostic and severe asthma criteria. Biologic prescription criteria variability
438 between ISAR-participating countries has been published elsewhere.²³ Patients were also required to
439 have a history of HOCS use defined as: long-term use of OCS for at least 1 year or ≥ 4 courses of rescue
440 steroid bursts during the 12-month baseline period. The latter was agreed *a priori* and in line with
441 previous publications.²⁴ HOCS patients were divided into the biologic-initiated group (who received
442 biologics (anti-IgE, anti-IL5/5R, and anti-IL4R α) and the biologic-not-initiated group (who were never
443 administered a biologic). Effectiveness was assessed from the date of biologic initiation in the biologic-
444 initiated group (which for some patients was before the first ISAR visit) and from the date of study entry
445 for the biologic-not-initiated group. Various demographic and clinical variables of interest were retrieved
446 at this date (e.g. age, gender, ethnicity, and smoking status). An intention-to-treat approach was
447 applied, in which patients remained in the groups to which they were originally assigned, regardless of
448 any potential changes of treatment (e.g., stopped HOCS) over time. Previously, we found that only 10%
449 of ISAR patients who initiated biologics stopped treatment.²⁵ Patients with a history of bronchial

450 thermoplasty, prior history of biologic use, or with inadequate background data at the date of initiation
451 were excluded.

452

453 Propensity score matching

454 Propensity score matching was required as patients with severe asthma and HOCS who initiated
455 biologics have different clinical characteristics than those who do not. These data have been published
456 in detail elsewhere.²⁶ It was performed to obtain unbiased effectiveness estimates by comparing
457 patients with severe asthma and HOCS who initiated biologics to those with similar clinical
458 characteristics but who did not. Missing data were imputed using a robust multiple imputation approach
459 before matching. Propensity score was derived using logistic regression, with initiation of biologics as
460 the dependent variable. Covariates included age, gender, ethnicity, age at asthma onset, body mass
461 index (BMI), blood eosinophil count (BEC), smoking status, use of invasive ventilation, positive allergen
462 test, presence of allergic rhinitis, chronic rhinosinusitis, eczema, nasal polyps, atopic condition, and
463 geographical locations; all these covariates were measured at baseline, defined as within the past 12
464 months of biologic initiation or study entry for the biologic initiated and not initiated groups, respectively.
465 Of note, following expert recommendation, outcome history covariates were excluded in the matching
466 to ensure objectivity of the study design.²⁷ A 1:1 nearest neighbor matching with replacement and
467 subsequent regression analyses was then performed, such that the non-biologic patients could be
468 matched to one or more biologic users (see online repository text).

469

470 Outcome variables

471 The primary outcome was reduced rate of asthma exacerbations with initiation of a biologic therapy,
472 compared to non-initiation. The secondary outcomes included improvement in asthma control,
473 reduction in OCS dose, reduced number of asthma-related emergency department (ED) visits and
474 asthma-related hospital admissions. The exploratory outcome included reduced risk of OCS-related co-
475 morbidities. All outcomes were estimated during a 365-day follow up period. Definitions and longitudinal
476 measurement are provided in **Table E2**.

477

478

479 Statistical Analyses

480 The statistical analysis plan was pre-defined and analyses performed using Stata version 17 (College
481 Station, TX, USA). Continuous and categorical data were described as mean (standard deviation) and
482 n (%), respectively. Overall, we performed generalized linear models (GLM; with the choice of the
483 distribution and link function depending on the nature of the dependent variable) with generalized
484 estimating equations to obtain robust inference by accounting for clustering (matched pairs and time-
485 series measurements of specific outcomes). All regression analyses were adjusted for follow-up period
486 (i.e., follow-up days were included either as a covariate in the linear and logistic regressions, or as an
487 off-set variable in the Poisson and negative binomial regressions, for the specific type of outcomes).
488 The impact of biologic initiation on outcomes was estimated as marginal effects during the first 365
489 days of follow-up. Outcomes were not reported for all patients as our study included 9 longitudinal
490 outcomes with different data types (e.g., censored count and binary data, time-series multinomial data),
491 which were measured at irregularly-repeated real-world clinic visits over time. To prevent uncertainty in
492 assumption and potential bias associated with the use of complex imputation methods, we did not
493 impute missing outcome data. The missing pattern of outcome data and the number of observations
494 included in each outcome is provided in **Table E3**. Additional details are provided in the online repository
495 text.

496

497 *Primary analysis*

498 A GLM with negative binomial distribution was used to estimate change in rate of exacerbations due to
499 biologic initiation. Using a special causal inference technique (i.e. G-computation), covariate-adjusted
500 effects of biologic initiation were estimated overall, and according to age category, sex group, smoking
501 status, BMI category, and eosinophilic phenotype (**Figure E1**), with further adjustments for exacerbation
502 history and variables whose distribution was still unbalanced (defined as standardized difference >0.25
503 after matching),²⁸ (i.e. smoking status and ethnicity).

504

505 *Secondary analyses*

506 A GLM with multinomial distribution was used to estimate the change in OCS dose due to biologic
507 initiation. OCS dose was categorized in two ways: (i) total cumulative OCS dose per day during follow-
508 up, which included maintenance and burst dose and (ii) long-term cumulative OCS dose per day, which

509 included maintenance dose only. Both total and long-term daily cumulative OCS dose reduction from
510 baseline to follow-up were categorized as: increased dose (<0% reduction), low dose reduction (0% to
511 $\leq 50\%$), moderate dose reduction (>50% to $\leq 75\%$), and optimal dose reduction (>75%). An additional
512 logistic regression was used to assess the likelihood of achieving low OCS use, with an OCS dose of
513 <5 mg used to define both low total dose and low long-term dose. Independent variables were the same
514 as the main OCS model. A GLM with multinomial distribution was used to assess change in asthma
515 control. Healthcare resource utilization (HCRU) was assessed using a 2-part GLM separately for
516 asthma-related ED visits and asthma-related hospitalizations. The first part was a probit model to
517 estimate the probability of having any outcome event during follow-up, the second part involved a
518 negative binomial model to estimate the number of outcome events for those who had at least one
519 event. An exploratory logistic regression was used to assess the incidence of any OCS-related co-
520 morbidities and any OCS-related chronic co-morbidities (median follow-up period 721 days; interquartile
521 range: 366-1182 days). All secondary analysis regressions were adjusted for unbalanced propensity
522 score variables, exacerbation history, and the history of the corresponding secondary outcome.

523 **Results**

524 Patients

525 Between January 2015 and February 2021, of 10,606 adult patients with severe asthma from 19 ISAR
526 participating countries, there were 5379 prospectively recruited patients, of whom 1412 had HOCS
527 during the baseline period and met the inclusion criteria. The median follow-up period was 597 days,
528 with an interquartile range of 360 to 964 days. Among these patients, 996 (70.5%) initiated biologics
529 and 416 (29.5%) did not (**Figure 1**). All those who initiated a biologic were kept and matched with those
530 who did not initiate a biologic (with replacement) yielding 996 patients per group (**Figure 1**). Of those
531 who initiated a biologic, the majority (n=604, 62.7%) were prescribed mepolizumab, followed by
532 omalizumab (n=260; 27.0%). Relatively small proportions of patients initiated benralizumab (n=82;
533 8.5%), reslizumab (n=12; 1.2%), and dupilumab (n=6; 0.6%).

534

535 Baseline characteristics: propensity matching

536 Post-propensity score matching, biologic-initiated and not-initiated cohorts were well-balanced for age,
537 gender, ethnicity, age of asthma onset, BMI, BEC, smoking status, history of invasive ventilations,
538 testing positive for allergen tests (either skin prick test to aeroallergens or serum specific IgE to
539 aeroallergens), atopic sensitization (being recorded as atopic), and the incidence of relevant co-
540 morbidities and country (**Table 1A; Figure 2**).

541

542 The pre- and post-matching baseline characteristics are summarized in **Table E4** and the propensity
543 score distribution is displayed in **Figure E2**. Of note, although eosinophilic gradient phenotype was not
544 a propensity scoring variable, most matched patients from both the biologic-initiated and not-initiated
545 groups were in ISAR eosinophilic grade 3: most likely eosinophilic (89% and 75%, respectively) (**Table**
546 **E4**). Patients were also well matched for asthma exacerbation rate, long-term and total OCS dose,
547 asthma control, and HCRU (**Table 1B**). See **Figure E3** for prevalence of OCS-related co-morbidities
548 per group.

549

550

551 Change from baseline in key efficacy variables

552 Improvement from baseline in asthma exacerbations, asthma control and reductions in HCRU (i.e.
553 asthma related ED visits and hospitalizations), was noted both in those who initiated and those who did
554 not initiate biologic therapy. However, the improvements were greater in those who started biologics
555 (**Figure 3A-D**). For example, over a 12-month follow-up period, patients who initiated a biologic
556 experienced an 88.0% reduction in exacerbation rate, compared with a 58.8% reduction in the biologic-
557 not-initiated group (**Figure 3A**). A similar differential between biologic-initiated and not-initiated groups
558 was noted for asthma control (**Figure 3B**), with superiority of biologic-initiated (vs not-initiated) also
559 observed for the number of ED visits (**Figure 3C**) and hospital admissions (**Figure 3D**).

560

561 Exacerbation rate

562 In the regression analysis of propensity score matched cohorts, biologic initiation was associated with
563 an estimated average reduction of 1.43 exacerbations per year relative to the biologic-not-initiated
564 group in the first year (0.64 vs 2.06, rate ratio=0.27 [95% CI, 0.10, 0.71]), corresponding to a 72.9%
565 reduction. (**Figure 4**). This pattern of estimated rate reduction remained consistent across age, gender,
566 smoking status, BMI, and eosinophilic phenotype categories.

567 OCS exposure

568 Patients who initiated a biologic were 2.48 times more likely to achieve a daily total OCS dose (i.e.,
569 maintenance plus burst) <5 mg compared to the biologic-not-initiated group (estimated risk probability
570 of 38.0% vs 15.3%; p=0.011) and 2.20 times more likely to achieve a daily long-term OCS dose (i.e.,
571 maintenance dose only) <5 mg (risk probability 49.6% vs 22.5%, p=0.002). Compared to those who did
572 not initiate a biologic, those who initiated a biologic were also 3.82 times (95% CI: 1.58, 9.25) more
573 likely to have a moderate (50 to ≤75%) total OCS reduction from baseline (probability of 16.2% vs 5.5%;
574 p=0.001) and tended to be 7.73 times (95%CI: 0.71, 84.27) more likely to have an optimal (>75%) total
575 OCS reduction (risk probability 13.4% vs 3.3%; p=0.063) (**Table 2**).

576 Asthma control and OCS-related co-morbidities

577 No significant difference in the likelihood of having controlled asthma was observed within the first year
578 (biologic-initiated vs not-initiated relative risk for staying uncontrolled was 0.66 [95% CI: 0.37, 1.16]).

579 Likewise, the 365-day risk of any new OCS-related comorbidity was very low in both groups, and the
580 difference was uncertain given the wide confidence intervals of relative risks (**Table 3**).

581

582 Healthcare resource utilization

583 Initiation of biologics was associated with a reduction in risk of asthma-related ED visits by 0.09,
584 corresponding to a 65.0% reduction ($p=0.003$) compared to the biologic-not-initiated group. Adjusted
585 ED visits in the first year were 0.12 for those who initiated biologics compared to 0.33 for those who did
586 not (rate ratio=0.26 [95% CI: 0.14, 0.48]) (**Table 4**). Biologic therapy initiation was also associated with
587 a 0.07 reduction in risk of experiencing any asthma-related hospitalizations (69% reduction; $p=0.001$),
588 with the first-year frequency of asthma-related hospitalizations of among the biologic-initiated group
589 being 25% of that of the biologic-not-initiated group (95% CI: 0.13, 0.48; **Table 4**).

590

591 **Discussion**

592 Accurate estimation of biologic effectiveness in real life is important, as it may influence guideline
593 recommendations for biologic use, as well as access to, choice, and cost-effectiveness of prescribed
594 biologics. In this global study, we assessed biologic effectiveness across a range of clinical outcomes,
595 in patients with severe asthma and HOCS to reflect the overuse and over-reliance on OCS in real-
596 life,^{14,31} considering their potential to cause serious side effects and irreversible harm.^{12,13} We found
597 that improvement in exacerbation rate, asthma control, and HCRU occurred in patients with severe
598 asthma and HOCS irrespective of subsequent biologic initiation, highlighting the value of severe asthma
599 services especially in terms of background therapy choice and adherence. However, those patients
600 who initiated biologics showed the greatest improvements, exhibiting a 72.9% greater reduction in
601 exacerbation rate and approximately one-third the risk and frequency of asthma-related ED visits and
602 hospitalizations (i.e. serious exacerbations) compared to patients who did not initiate a biologic
603 treatment. These additional benefits are likely caused by direct effects of biologics themselves over and
604 above those associated with tertiary care management in these patients with evidence of eosinophilic
605 asthma, a phenotype associated with more severe exacerbations and poorer asthma control.³² Initiation
606 of biologic therapy may also have cost-saving potential considering the mean direct cost of treating a
607 hospitalization for a severe exacerbation has recently been estimated at €4997 per exacerbation.³³ This
608 superiority of biologics was noted within an environment of improving asthma control in both groups as
609 well as reduced OCS exposure in the biologic group. Patients who initiated biologics had a 2-times
610 higher chance of achieving a daily long-term OCS dose <5 mg and a 4-times higher chance of reducing
611 their total OCS dose by >75% from baseline than patients who did not initiate a biologic.

612

613 Despite available care, recurrent asthma exacerbations are an issue in a proportion of patients with
614 severe asthma.^{2,34} RCT data has found a biologic-associated reduction in exacerbation rate of 49% for
615 benralizumab,³⁵ 47% for mepolizumab,³⁶ 26% for omalizumab,³⁷ 41-50% for reslizumab,³⁸ and 48% for
616 dupilumab,³⁹ compared to a 58.8% reduction compared to biologic non-initiators and an 88.0%
617 reduction relative to baseline observed in the current study (all biologics combined). This is remarkably
618 similar to the 81% reduction in exacerbation rate recently reported for benralizumab in a real-life cohort
619 of patients with severe asthma in the UK, an effect that was independent of previous biologic use.⁴⁰
620 Improved effectiveness of biologics in the current study may be a consequence of a broader and more

621 heterogenous population, the size of the study, or differences in the extent of OCS exposure and
622 associated baseline exacerbation rate in the populations studied. Biologic use has also previously been
623 associated with exacerbation rate reduction outside the controlled settings of RCTs, but results have
624 been variable (ranging from a 30 to 69% reduction),^{19,41,42} likely due to differences in the background
625 characteristics of the biologic users in real-world settings. Our findings and those of others, therefore,
626 confirm the usefulness of biologics in reducing the considerable exacerbation burden experienced by
627 patients with severe asthma, and their potential for cost-saving in terms of reduced HCRU. Indeed, in
628 the current study, biologic use was associated with a marked reduction in the risk of asthma-related
629 hospitalizations.

630 It has been estimated that up to 60% of patients with severe asthma are prescribed OCS,⁴³ and although
631 OCS undoubtedly have a place in short bursts for the treatment of exacerbations, steroid-related
632 adverse events are common.¹³ Several steroid sparing strategies are now available to physicians
633 including referral to specialist asthma centers, improving adherence to treatment, adding on therapies
634 like long-lasting muscarinic antagonist and macrolides, and treating with biologics.^{1,43} In our study,
635 patients treated with biologics were 2.48 times more likely to have a moderate long-term OCS reduction
636 and 2.20 times more likely to achieve a daily long-term OCS dose <5 mg, in agreement with other real
637 life studies, albeit in small numbers of patients.^{17,19,44} For example, the REALITI-A study found that
638 mepolizumab reduced daily OCS by 50% after 21-24 weeks of treatment.¹⁹ The value of OCS reduction
639 with biologic therapy is clear, but perhaps we can be even more aggressive and institute personalized
640 OCS tapering algorithms, as advocated by the PONENTE trial.⁴⁵ Real-world evidence is needed to
641 bridge the gap between clinical trials and clinical practice and to examine the long-term impact of steroid
642 reduction on new OCS-related adverse events.

643

644 We found no difference in asthma control between the biologic-initiated and biologic-not-initiated
645 groups; both groups showed marked improvement in asthma control from baseline (see **Figure 2B**).
646 This could be a consequence of referral to, and management in, a severe asthma service. Detection of
647 a positive control signal was also challenging in the current study as control was assessed categorically
648 making it more difficult to show a small change, particularly in an environment of clinical improvement.
649 Interestingly, the European Academy of Allergy and Clinical Immunology (EAACI) also concluded in its
650 recent systematic review of biologics that although some biologics probably improve asthma control

651 with moderate certainty of evidence, none of them showed an improvement above the minimal
652 important difference threshold of 0.5.¹⁵ Others have postulated that this may be because either asthma
653 control does not indicate improvements caused by reduced eosinophilic airway inflammation, or that a
654 dissociation exists between symptoms and exacerbations in patients with severe asthma.⁴⁶ We also did
655 not see the expected reduction in new incidence of OCS-related co-morbidities in the biologic-initiated
656 group, but our study was not designed to do so, and the few observed incidences and wide confidence
657 intervals introduced a high level of uncertainty in these findings. However, the patients in biologic-
658 initiated group were more likely to have an OCS dose reduction than patients in the biologic-not-initiated
659 group. A longer-follow up time may be required to observe this effect. Others have also found a
660 disconnect between OCS reduction and toxicity.⁴⁷

661

662 **Limitations**

663 Limitations of this study include those common to all observational studies, such as recall bias, as well
664 as the potential for an initiation bias due to differences in socioeconomic and geographical factors not
665 accounted for in the matching. Results may have been influenced by missing data, the uneven
666 distribution of patients on each biologic, which was a consequence of the date of data development and
667 requirement for a 1-year follow-up period, and inter-country variability in biologic access criteria.²³ This
668 latter issue has been mitigated in another ISAR study, which found that anti-IL5/5R biologics were more
669 effective than anti-IgE, in patients eligible for, and with access to, both classes.⁴⁸ In addition, there may
670 be some confounding by country (e.g., the UK was over represented in the biologic-initiated group,
671 which may have skewed findings); however, this was accounted for during propensity score matching.
672 Strengths of our study are the inclusion of a large, multi-national severe and heterogenous asthma
673 cohort, generalizable to the severe asthma population. Rigorous statistical analyses were also
674 employed, including use of weighted and adjusted regression models and marginal effect estimates,
675 and the potential for bias minimized by use of propensity score matching and multiple imputation.

676

677 **Conclusions**

678 In conclusion, in a real-world setting, initiation of biologics is associated with reduced exacerbation rate,
679 OCS exposure, and HCRU in patients with severe asthma and HOCS.

680 **Ethics Approval**

681 This study was designed, implemented, and reported in compliance with the European Network Centres
682 for Pharmacoepidemiology and Pharmacovigilance Code of Conduct (EMA 2014; EUPAS33582) and
683 with all applicable local and international laws and regulation. Registration of the ISAR database with
684 the European Union Electronic Register of Post-Authorization studies was also undertaken
685 (ENCEPP/DSPP/23720). ISAR has ethical approval from the Anonymised Data Ethics Protocols and
686 Transparency (ADEPT) committee (ADEPT0218). Governance was provided by The Anonymous Data
687 Ethics Protocols and Transparency (ADEPT) committee (registration number: ADEPT0420). All data
688 collection sites in the International Severe Asthma Registry (ISAR) have obtained regulatory agreement
689 in compliance with specific data transfer laws, country-specific legislation, and relevant ethical boards
690 and organizations

691

692

693

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787 **Data Availability**

788 In line with ISAR governance restrictions, sharing individual deidentified participant data is subject to
789 the consent of the ISAR steering committee (ISC) members in accordance with patient consent, patient
790 confidentiality, and ethical considerations. The study documents (protocol, statistical analysis plan,
791 clinical study report) will be made available in accordance with the criteria of the European Network of
792 Centres for Pharmacoepidemiology and Pharmacovigilance (EUPAS38128). Proposals should be
793 directed to info@isaregistries.org; to gain access, if approved by the regulatory boards, data requestors
794 will need to sign a data access agreement.

795 **Author Contributions**

796 David B. Price agrees to be accountable for all content and aspects of the work, ensuring that questions
797 related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.
798 All authors had full access to all the data in the study and take responsibility for the integrity of the data
799 and the accuracy of the data analysis. All authors were involved in data acquisition or analysis and
800 interpretation, as well as the critical revision of the manuscript for important intellectual content. Wenjia
801 Chen, Trung N. Tran, David B. Price, and Marianna Alacqua were involved in the conception and design
802 of the study. Wenjia Chen and Nigel Chong Boon Wong performed the data analysis. Ruth Murray was
803 responsible for drafting the manuscript and data interpretation. Nasloon Ali, Con Arti, Lakmini
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805 The study was supervised by David B. Price. All authors approved the final version of this manuscript
806 and agree to be accountable for all aspects of the work.

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946

947 **Table 1A: Post-matching baseline characteristics of propensity scoring variables**

	Bx initiated (n=996)	Bx not initiated (n=996)	SMD
Age, years Mean (SD)	51.7 (13.9)	51.1 (14.6)	-0.04
Gender, n (%)			0.19
Male	387 (38.9)	296 (29.7)	
Female	609 (61.1)	700 (70.3)	
Ethnicity, n (%)			0.34*
Caucasian	689 (69.2)	682 (68.5)	
Asian	62 (6.2)	65 (6.5)	
African	36 (3.6)	42 (4.2)	
Mixed	17 (1.7)	55 (5.5)	
Other	83 (8.3)	108 (10.8)	
Unknown	109 (10.9)	46 (4.6)	
Age of asthma onset, years Mean (SD)	28.4 (18.7)	28.2 (18.8)	-0.01
BMI (kg/M ²), Mean (SD)	29.3 (6.8)	28.5 (7.4)	-0.11
BEC (n/ml) Mean (SD)	479.8 (469.7)	527.4 (471.3)	0.10
Smoking status, n (%)			0.27*
Current smoker	25 (2.5)	70 (7.0)	
Ex-smoker	285 (28.6)	210 (21.1)	
Non-smoker	686 (68.9)	716 (71.9)	
Invasive ventilation, n (%)	69 (6.9)	138 (13.9)	0.23
Positive allergen test, n (%)	618 (62.0)	623 (62.6)	0.04
Allergic rhinitis, n (%)	313 (31.4)	302 (30.3)	0.08
Chronic rhinosinusitis, n (%)	246 (24.7)	167 (16.8)	0.20
Eczema, n (%)	98 (9.8)	61 (6.1)	0.14
Nasal polyps, n (%)	351 (35.2)	266 (26.7)	0.19
Atopic sensitization, n (%)	819 (82.2)	866 (86.9)	0.13
Country, n (%)			0.22
Argentina	1 (0.1)	1 (0.1)	
Australia	43 (4.3)	43 (4.3)	
Bulgaria	4 (0.4)	3 (0.3)	
Canada	23 (2.3)	26 (2.6)	
Colombia	1 (0.1)	1 (0.1)	
Denmark	170 (17.1)	124 (12.4)	
Greece	10 (1.0)	9 (0.9)	
India	0 (0.0)	0 (0.0)	
Ireland	0 (0.0)	0 (0.0)	
Italy	136 (13.7)	132 (13.3)	
Japan	6 (0.6)	8 (0.8)	
Kuwait	70 (7.0)	73 (7.3)	
Mexico	9 (0.9)	3 (0.3)	
Saudi Arabia	15 (1.5)	18 (1.8)	
South Korea	2 (0.2)	1 (0.1)	
Spain	7 (0.7)	7 (0.7)	
Taiwan	4 (0.4)	3 (0.3)	
UAE	0 (0.0)	0 (0.0)	

UK	495 (49.7)	547 (54.9)	
BEC: blood eosinophil count; BMI: body mass index; Bx: biologic; SD: standard deviation; SMD: standardized mean difference; UAE: United Arab Emirates. *Following guideline recommendation, a standardized difference ranging 0.1 or 0.25 represents acceptable standardized biases. Covariates with a standardized difference >0.25 were adjusted in the regression analyses.			

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949 **Table 1B: Post-matching baseline clinical characteristics**

	Bx initiated (n=996)	Bx not initiated (n=996)	SMD
No. asthma exacerbations in the past year Mean (SD)	5.1 (4.1)	5.0 (3.8)	-0.02
Long-term OCS, n (%)	612 (61.4)	508 (51.0)	0.21
Total daily OCS Dose, mg Mean (SD) Interquartile range	16.11 (15.69) 6.64-16.58	12.45 (7.31) 8.29-21.10	-0.299
Long-term daily OCS Dose, mg Mean (SD) Interquartile range	12.72 (8.92) 5.00-12.50	9.99 (6.21) 5.00-20.00	-0.356
Asthma Control, n (%)* Well controlled Partially controlled Not controlled	51 (6.0) 98 (11.6) 628 (74.2)	35 (4.1) 98 (11.6) 713 (84.3)	0.12
Emergency department visits Mean (SD)	1.7 (4.3)	1.8 (3.7)	0.02
Hospital admissions Mean (SD)	0.9 (2.0)	0.9 (1.6)	0.00
ICS Adherence, n (%) Adherent Poor: Clinical impression Poor: Prescription records	774 (88.7) 12 (1.4) 87 (10.0)	603.5 (69.7) 74.8 (8.6) 187.5 (21.7)	0.50
ICS: inhaled corticosteroids; OCS: oral corticosteroid; SD: standard deviation; SMD: standardized mean difference. Assessed by GINA control criteria ¹ , Asthma Control Questionnaire, ²⁹ or Asthma Control Test, ³⁰			

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952 **Table 2: Effectiveness of biologic initiation versus non-initiation on OCS reduction in 365 days.**

	Bx not initiated	Bx initiated	Marginal difference in % probability (95% CI)	Relative risk (95% CI)
Total OCS				
% With:	N=331*	N=1071*		
Increased dose	27.6	16.0	-11.6 (-29.8, 6.7)	0.51 (0.17, 1.51)
Low reduction	63.6	54.4	-9.2 (-24.8, 6.4)	0.87 (0.61, 1.24)
Moderate reduction	5.5	16.2	10.7 (4.2, 17.3)	3.82 (1.58, 9.25)
Optimal reduction	3.3	13.4	10.0 (-0.6, 20.7)	7.73 (0.71, 84.27)
Long term OCS				
% With:	N=311*	N=1066*		
Increased dose	14.3	8.6	-5.7 (-18.0, 6.5)	0.51 (0.12, 2.17)
Low reduction	73.6	68.5	-5.1 (-22.5, 12.3)	0.94 (0.69, 1.28)
Moderate reduction	4.2	8.9	4.8 (-1.7, 11.2)	2.55 (0.78, 8.37)
Optimal reduction	7.9	14.0	6.1 (-7.7, 19.9)	4.16 (0.21, 82.18)

953 Results are expressed as marginal difference in absolute % probability [95% confidence interval] and
 954 relative risk [95% confidence interval]

955 Increased dose (<0% reduction), low dose reduction (0% to ≤50%), moderate dose reduction (>50%
 956 to ≤75%), and optimal dose reduction (>75%).

957 *Number of time-series observations; sample sizes vary as outcomes not reported for all patients. N
 958 numbers provide for each category for biologic not initiated and initiated groups, respectively. Total
 959 OCS: total (n=331/n=1071); increased dose (n=89/n=118); low reduction (n=203/n=464); moderate
 960 reduction (n=21/n=173); optimal reduction (n=18/n=316); LTOCS: total (n=311/n=1066); increased
 961 dose (n=48/n=86); low reduction (n=220/n=597); moderate reduction (n=12/n=123); optimal reduction
 962 (n=31/n=260).

963 Abbreviations: Bx: biologic; CI: confidence interval; OCS: oral corticosteroid

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967 **Table 3: Effectiveness of biologic initiation versus non-initiation on asthma control* and new**
 968 **incidence of OCS-related comorbidities† in 365 days.**

	Bx not initiated	Bx initiated	Marginal difference in % probability (95% CI)	Relative risk (95% CI)
Asthma control				
% Patients:	N=177‡	N=354‡		
Well controlled	49.5	51.1	1.6 (-22.0, 25.2)	1.04 (0.58, 1.84)
Partly controlled	20.3	28.5	8.1 (-16.1, 32.3)	1.57 (0.46, 5.38)
Uncontrolled	30.2	20.5	-9.7 (-22.7, 3.2)	0.66 (0.37, 1.16)
Comorbidity incidence				
% patients with:	N=252‡	N=380‡		
Any OCS-related	0.18	2.31	2.13 (-1.81, 6.07)	12.74 (1.12, 144.82)
Any chronic OCS-related	0.11	2.00	1.88 (-1.58, 5.35)	26.02 (0.22, 3025.63)

969 Results are expressed as marginal difference in % probability (95% confidence interval) and relative
 970 risk (95% confidence interval)

971 * assessed by GINA control criteria, Asthma Control Test or Asthma Control Questionnaire;

972 †new OCS-related co-morbidities include: osteoporosis, heart failure, myocardial infarction, stroke,
 973 pulmonary embolism, glaucoma, cataract, renal failure, depression, anxiety, T2 diabetes, peptic ulcer,
 974 pneumonia, obstructive sleep apnea; OCS-related chronic co-morbidities include: osteoporosis, heart
 975 failure, myocardial infarction, stroke, pulmonary embolism, glaucoma, cataract, renal failure, T2
 976 diabetes, peptic ulcer, obstructive sleep apnea;

977 ‡Number of patients; sample sizes vary as outcomes not reported for all patients. N numbers provide
 978 for each category for biologic not initiated and initiated groups, respectively. Asthma control: total
 979 (n=177/n=354); well-controlled (n=83/n=164); partly controlled (n=50/n=104); uncontrolled
 980 (n=44/n=86); comorbidity incidence: total (n=9/n=70); any OCS-related (n=6/n=39); any chronic OCS-
 981 related (n=3/n=31)

982 Abbreviations: Bx: biologic; CI: confidence interval; OCS: oral corticosteroid

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984 **Table 4: Healthcare Resource Utilization in 365 days**

Outcome	Bx not initiated	Bx initiated	Marginal Difference	Relative Risk (for Risk) / Rate Ratio (for Rate)
	N=502*	N=661*		
Risk of ED Visit	14% [9%, 20%]	6% [4%, 7%]	-9% [-14%, -3%]	0.35 [0.21, 0.58]
Rate of ED Visit	0.33 [0.12, 0.55]	0.12 [0.05, 0.20]	-0.21 [-0.37, 0.05]	0.26 [0.14, 0.48]
	N=514	N=667		
Risk of Hospitalization	12% [8%, 16%]	5% [4%, 7%]	-7% [-10%, -3%]	0.31 [0.18, 0.52]
Rate of Hospitalization	0.23 [0.13, 0.33]	0.10 [0.06, 0.14]	-0.13 [-0.23, -0.04]	0.25 [0.13, 0.48]

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986 *N = per-patient observation used in the regression analysis

987 Abbreviations: ED: emergency department

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Figure Legend

Figure 1: Subject disposition. HOCS: high oral corticosteroid exposure. * long-term use of OCS for at least 1 year or ≥ 4 courses of rescue steroid bursts during the 12-month baseline (pre-index) period. OCS: oral corticosteroid; HOCS: high oral corticosteroid exposure

Figure 2: Comparison of pre- and post-propensity score matching baseline characteristics. SMD: standard mean difference between biologic-initiated and not-initiated groups. AR: allergic rhinitis; BEC: blood eosinophil count; BMI: body mass index; CRS: chronic rhinosinusitis; NP: nasal polyps. The matched cohort included data on 996 patients who initiated biologics and 996 patients who did not. These patients were matched for baseline characteristics shown on the Y-axis. Patients were not matched by baseline characteristics in the unmatched cohort which comprised 996 patients who initiated biologics and 416 who did not initiate biologics.

Figure 3: Change from baseline in (A) mean exacerbation rate/year*, (B) asthma control†, (C) asthma-related emergency department visit and (D) asthma-related hospitalization in those who initiated and did not initiate biologic therapy. *defined as an event requiring rescue oral corticosteroids in the past year; †Asthma control was defined by either GINA Asthma Control Criteria,¹ Asthma Control Questionnaire,²⁹ or Asthma Control Test³⁰ in different settings. Bx: biologic

Figure 4: Effectiveness of biologic initiation versus non-initiation on mean exacerbation rate (in the next 365 days)* reduction in patients with severe asthma and high oral corticosteroid exposure. Results are expressed as marginal rate difference [95% confidence interval] and rate ratio [95% confidence interval]. BMI: body mass index; Bx: biologic; * sample sizes vary as outcomes not reported for all patients. N numbers of per-patient observation used in the regression provided for each category for biologic not initiated and initiated groups, respectively: overall (n=634/n=801); 18-34 (n=127/n=111); 35-54 (n=251/n=345); ≥ 55 (n=256/n=345); male (n=174/n=316); female (n=460/n=485); smoker (n=63/n=18); ex-smoker (n=128/n=219); non-smoker (n=443/n=219); underweight (n=87/n=11); normal weight (n=137/n=216); overweight (n=175/n=256); obese (n=236/n=318); Grade 0 (n=25/n=3); Grade 1 (n=28/n=24); Grade 2 (n=86/n=51); Grade 3 (n=496/n=719) and exacerbation defined as an event requiring rescue oral corticosteroids in the past year. Eosinophilic phenotype grades (0-3) are defined according to a previously published algorithm (Figure E1).⁶