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Sex differences in stroke mortality in Thailand: a National cohort study.

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Published in:
Annales de cardiologie

Publication date:
2022

Document Version
Peer reviewed version

[Link to publication](#)

Citation for published version (APA):

Abdel-Fattah, A-R., Pana, T., Tiamkao, S., Sawanyawisuth, K., Kasemsap, N., Mamas, M. A., & Myint, P. K. (Accepted/In press). Sex differences in stroke mortality in Thailand: a National cohort study. *Annales de cardiologie*.

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1 **Différences sexuelles dans la mortalité par accident vasculaire cérébral en**

2 **Thaïlande: une étude de cohorte nationale**

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1 **ABSTRAIT**

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3 **Contexte**

4 Plus de la moitié du fardeau mondial croissant de la mortalité par accident vasculaire
5 cérébral est imputable au seul sous-continent est-asiatique. Les différences entre les sexes dans
6 la mortalité par accident vasculaire cérébral dans la population asiatique n'ont pas encore été
7 évaluées dans la littérature. Nous avons cherché à évaluer les différences entre les sexes dans
8 la mortalité après un AVC dans une grande cohorte de patients thaïlandais.

9

10 **Méthodes**

11 Toutes les admissions d'AVC entre 2004 et 2015 ont été incluses à partir de la base de
12 données de l'assurance-santé publique thaïlandaise. L'association entre le sexe et la mortalité
13 a été évaluée à l'hôpital, à un mois, un an et cinq ans, à l'aide de regressions de Cox
14 multivariées, séparément pour l'AVC ischémique (IS), l'AVC hémorragique (HS) et l'AVC de
15 -type indéterminé (SUT), en ajustant les facteurs de confusion.

16

17 **Résultats**

18 608 890 patients ont été inclus: 370 527 patients avec IS(60,9%), 173 236 avec
19 HS(28,5%) et 65 127 avec SUT(10,6%). Les femmes étaient plus âgées que les hommes dans
20 les trois groupes et avaient une prévalence plus élevée de comorbidités. Les rapports de risque
21 (RR) ajustés de la mortalité ont montré que les femmes avaient une mortalité post-IS plus
22 élevée que les hommes (à l'hôpital: HR:1,20 ;IC à 95%:1,17-1,23 ; à un mois : HR:1,17; IC à
23 95%:1,15-1,20 ; un an : HR:1,10 ; IC à 95%:1,09-1,12 et cinq ans : HR 1,02 ;IC à 95%:1,01-
24 1,03). Les femmes avaient également une mortalité plus élevée après HS (à l'hôpital :
25 HR:1,02 ;IC à 95%:1,00-1,04 ; à un mois : HR:1,08 ;IC à 95%:1,06-1,10 ; à un an : HR:1,04;

1 IC à 95%:1,03-1,06 et à cinq ans : HR:1,09; IC à 95%:1,08-1,11) et SUT (à l'hôpital : HR:1,04 ;
2 IC à 95%:1,03-1,06 ;un mois : HR:1,20; IC à 95%:1,14-1,27 ; un an : HR:1,14; IC à 95%:1,09-
3 1,18 et cinq ans: HR:1,06; IC à 95%:1,03-1,10).

4

5 **Conclusion**

6 Comparativement aux hommes, les femmes étaient plus âgées au moment du diagnostic
7 d'AVC et présentaient une charge plus élevée de facteurs de risque d'AVC. Les femmes avaient
8 également une mortalité plus élevée après un AVC, quel que soit le type d'AVC ou la durée
9 depuis le début de l'AVC. Après l'IS, la surmortalité par accident vasculaire cérébral chez les
10 femmes était la plus élevée pendant la période d'hospitalisation, tandis que la surmortalité par
11 accident vasculaire cérébral augmentait avec le temps chez les femmes atteintes d'HS. Aucune
12 relation claire n'a été trouvée entre la durée depuis le début de l'AVC et la mortalité chez les
13 patients qui ont subi un SUT.

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15 Mots-clés: différence de sexe; AVC ischémique; AVC hémorragique; mortalité; Asie

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1 **Sex differences in stroke mortality in Thailand: a National cohort study**

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3 **ABSTRACT**

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5 **Background**

6 Over half of the growing global stroke-mortality burden is accounted for by the East-
7 Asian-subcontinent alone. Sex differences in stroke-mortality in the Asian population is yet to
8 be assessed in the literature. We aimed to assess the sex-differences in mortality following
9 stroke in a large cohort of Thai-patients.

10

11 **Methods**

12 All stroke admissions between 2004-2015 were included from the Thailand public-
13 health-insurance-database. The association between sex and mortality was assessed in-hospital,
14 at one-month, one-year and five-years, using multivariable Cox-regressions, separately for
15 ischaemic-stroke(IS), haemorrhagic-stroke(HS) and stroke-of-undetermined-type(SUT),
16 adjusting for confounders.

17

18 **Results**

19 608,890 patients were included: 370,527 patients with IS(60.9%), 173,236 with
20 HS(28.5%) and 65,127 with SUT(10.6%). Women were older than men in all three groups and
21 had higher prevalence of comorbidities. Adjusted hazard-ratios(HRs) of mortality showed
22 women had higher mortality post-IS compared to men (in-hospital: HR:1.20; 95%CI:1.17-
23 1.23; one-month: HR:1.17; 95%CI:1.15-1.20; one-year: HR:1.10; 95%CI:1.09-1.12 and five-
24 years: HR:1.02; 95%CI:1.01-1.03). Women also had higher mortality after HS (in-hospital:
25 HR:1.02; 95%CI:1.00-1.04; one-month: HR:1.08; 95%CI:1.06-1.10; one-year: HR:1.04;

1 95%CI:1.03-1.06 and five-years: HR:1.09; 95%CI:1.08-1.11), and SUT (in-hospital: HR:1.04;
2 95%CI:1.03-1.06; one-month: HR:1.20; 95%CI: 1.14-1.27; one-year: HR:1.14; 95%CI:1.09-
3 1.18 and five-years: HR:1.06; 95%CI:1.03-1.10).

4

5 **Conclusions**

6 Compared to men, women were older at time of stroke-diagnosis and had higher burden
7 of stroke risk-factors. Women also had higher mortality after stroke regardless of stroke-type
8 or duration since stroke-onset. Post-IS, excess stroke-mortality in women was greatest during
9 the in-hospital period, whereas excess stroke-mortality increased with time in women who had
10 HS. No clear relationship was found between duration since stroke-onset and mortality in
11 patients who had SUT.

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13 **Keywords:** sex difference; ischemic stroke; hemorrhagic stroke; mortality; Asia

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1 INTRODUCTION

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3 Addressing the increasing global stroke mortality burden is an important public health
4 concern.^[1,2] Whether sex differences in stroke mortality exist has been subject to growing
5 debate in the literature.^[3] A large number of studies suggest that women are less likely to die
6 after stroke compared to men despite having more severe strokes.^[4-6] However, there is an
7 apparent bias in the evidence-base as the large majority of studies are in Western populations.
8 Therefore, the sex differences in mortality of stroke patients in Asia remain poorly understood.
9 Marked differences in biology, risk factors, comorbidity profile, socioeconomic conditions,
10 and access to healthcare in Asian populations would suggest that the relationship between sex
11 and stroke mortality may be different to that of Caucasians.^[6]

12

13 A literature review of 18 studies examining temporal and geographical trends of stroke
14 in South Asia in 2014 highlighted the marked paucity of literature examining stroke in women
15 in this region, with no studies assessing stroke mortality specifically.^[35] Despite this, the 2014
16 review found that stroke had become the leading cause of death in South-Asian women over
17 the age of 60 years. In addition, it concluded that 60% of stroke deaths occur in the East-Asian
18 subcontinent alone.^[35] Recognising these data is vital in order to stimulate appropriate changes
19 to service provision and stroke care for women and address the overall increase in global
20 burden of stroke mortality.^[35]

21

22 We aimed to determine the sex differences in stroke subtype-specific mortality at
23 various follow-up points, from in-hospital mortality to five-years post-discharge, in a
24 population of hospitalised Thai patients admitted with ischaemic stroke (IS), haemorrhagic
25 stroke (HS) or stroke of undetermined-type (SUT).

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METHODS

The study population consisted of consecutively admitted stroke patients from the Thailand Universal Coverage (UC) Health Insurance Database between October 2004 and September 2015. Eligible patients were selected using the recorded primary diagnosis from the database, using International-Classification of Disease-tenth edition (ICD-10) codes I61 (intracerebral hemorrhage), I63 (cerebral infarction) and I64 (Stroke, not specified as haemorrhage or infarction).

Pre-existing co-morbidities, demographic and clinical data were extracted from insurance reimbursement forms using ICD-10 codes detailed in **Table 1**. Details regarding this health insurance database have been previously described.^[11,12] In Thailand, stroke diagnosis is ascertained by clinicians based on the clinical and radiological features, including computed-tomography (CT) scan findings. The ICD codes (I61, I63 and I64) were assigned to patients admitted to public hospitals and who were covered by Universal Coverage Health Security Insurance Scheme admitted by a board-certified clinician based on the patient's pre-existing co-morbidities and clinical presentation.

Ethics

The study protocol conforms to the ethical guidelines of the 1975 Declaration of Helsinki. Ethical approval was obtained from the Ethics Committee in Human Research, Khon Kaen University, Khon Kaen, Thailand.

Inclusion criteria

1 The inclusion criteria, outcomes of interest (mortality in-hospital, at one-month, one-
2 year and five-years) and selection of study variables were determined *a priori*. The exclusion
3 criteria were defined as follows: patients younger than 18 (n=1427) or older than 100 (n=43)
4 and those missing post-discharge data (n=5419).

6 *Statistical Analysis*

7 All analyses were performed using STATA 14.2 SE (StataCorp 2015) for the entire
8 cohort, and then separately for patients with IS, HS and SUT. Differences in baseline
9 characteristics between women and men were compared using the Student's t-test for normally
10 distributed continuous variables and the chi-squared test for categorical variables.

12 The mortality outcome was analysed in-hospital, at one-month, one-year and five-year
13 time-points. Patients with missing post-discharge data were deemed lost to follow-up. For each
14 of the time-points, both univariable and multivariable Cox-proportional hazards models and
15 Kaplan-Meier survival plots were constructed. The variables adjusted for in the multivariable
16 analysis were: age, hypertension (HTN), hyperlipidaemia (HL), ischaemic heart disease (IHD),
17 atrial fibrillation (AF), congestive-cardiac-failure (CCF), peripheral-vascular-disease (PVD),
18 diabetes mellitus (DM), chronic-kidney-disease (CKD), chronic-obstructive-pulmonary-
19 disease (COPD), liver disease (LD), cancer and anaemia (**Table 3**). The confounders were
20 chosen based on previous literature^[7-10] and clinical relevance. The satisfaction of the
21 proportional hazards assumption was verified for each Cox-model using log-minus-log plots
22 of the survival function stratified by sex over time.

24 **RESULTS**

1 *Descriptive Statistics*

2 The study population consisted of 608, 890 consecutive stroke patients, from the
3 Thailand Universal Coverage (UC) Health Insurance Database admitted between October 2004
4 and September 2015 (**Figure 1**). Details of patient characteristics on admission are detailed in
5 **Table 1**. There were 370,527 (60.9%) patients who were diagnosed with IS, 173,236 patients
6 with HS (28.5%) and 65, 127 patients with SUT (10.6%) on admission. Women accounted for
7 46.6% of patients in the IS cohort (n=172, 800), 41.3% in the HS cohort (n=69, 828) and 48.1%
8 in the SUT cohort (n=31326). Further, women were older in all three groups; mean-age (SD):
9 67.1 (13.4) in comparison to 64.1 (12.9) in men, 64.2 (14.3) in comparison to 59.1 (13.9) in
10 men and 66.4 (13.4) in comparison to 62.6 (13.5), respectively.

11

12 *Comorbidities*

13 **Table 1** shows that in patients admitted following an IS, women had a higher
14 prevalence of HTN, HL, IHD, AF, CCF, DM, cancer, and anaemia than men. However, women
15 were found to have had a lower prevalence of CKD and COPD. In patients admitted following
16 a HS or SUT, women had higher prevalence of HTN, HL, AF, DM, and anaemia, whereas,
17 they had a lower prevalence of COPD.

18

19 *Mortality analysis*

20 **Table 2** illustrates the adjusted-mortality results of the data analysis and **Figures 2-4**
21 illustrate the Kaplan-Meier survival plots of unadjusted mortality data. Compared to men,
22 women had higher mortality in-hospital, one-month, one-year, and five years after IS (HR:
23 1.20; 95%CI: 1.17-1.23, p<0.01; HR: 1.17; 95%CI: 1.15-1.20, p<0.01; HR: 1.10; 95%CI: 1.09-
24 1.12, p<0.01; HR: 1.02; 95%CI: 1.01-1.03, p<0.01, respectively). Women also had a higher
25 mortality after HS (HR: 1.02; 95%CI: 1.00-1.04, p<0.01; HR: 1.08; 95%CI: 1.06-1.10, p<0.01;

1 HR: 1.04; 95%CI: 1.03-1.06, p<0.01; HR: 1.09; 95%CI: 1.08-1.11, p<0.01, respectively).
2 Similarly, in patients with SUT, women had higher mortality than men (HR: 1.04; 95% CI:
3 1.03-1.06, p<0.01; HR: 1.20; 95% CI: 1.14-1.27, p<0.01; HR: 1.14; 95% CI: 1.09-1.18, p<0.01;
4 HR: 1.06; 95% CI: 1.03-1.10, p<0.01). The excess mortality in women after IS appeared to be
5 highest in-hospital, whereas in HS the excess mortality appeared to increase with time after
6 discharge. In the SUT group, the excess mortality in women was highest at one-month after
7 discharge and lowest in hospital.

8

9 **DISCUSSION**

10

11 This study is the first to describe the sex differences in stroke mortality in the Thai
12 population. Our results showed that women were older at time of stroke onset. Women also
13 had a greater burden of risk factors for stroke than men. Most pertinently however are our
14 mortality findings. Compared to men, women were found to experience 20% more deaths after
15 IS in-hospital and approximately 10% more deaths after HS at five years. These differences in
16 mortality risk in women appeared to be highest in-hospital after IS, whereas the excess
17 mortality in women who had HS appeared to increase over the five-year follow-up period. Our
18 data also showed that women experienced 20% more deaths after SUT at one-month and 14%
19 at one-year, however no clear relationship between duration since SUT-onset and mortality
20 was found.

21

22 Compared to studies examining sex differences in stroke mortality of Western
23 populations, our data revealed opposing conclusions. Data from a large national cohort study
24 of 29 549 patients between 2003-2005 from the Danish National Indicator Project (DNIP)
25 (which included all acute strokes with a vascular aetiology), showed that women had a 21%

1 lower risk of mortality (adjusted mortality-rate-ratio[MRR]: 0.79; 95% CI 0.72-0.86) at 30-
2 days.^[28] In comparison, our study found that Thai women have a 17% greater risk of mortality
3 at 30 days (HR: 1.17; 95% CI: 1.15-1.20, p<0.01) after IS and 8% greater risk after HS (HR:
4 1.08; 95% CI: 1.06-1.10, p<0.01). In another Danish community-based study of 999 patients,
5 focussing on long-term follow-up, women had a 47% greater likelihood of survival at five-
6 years (HR 1.47; 95% CI 1.23-1.76, p<0.01). In comparison, our study found a marginally
7 greater likelihood of mortality at 5-years following IS and a larger difference following HS (IS:
8 HR:1.02; 95% CI: 1.01-1.03, p<0.01 and HS: HR:1.09; 95% CI: 1.08-1.11, p<0.01,
9 respectively).^[8] Two large cohort studies in Sweden (n=20 761)^[32] and Ontario (n=44 832)^[33]
10 also drew the same conclusions for sex differences in mortality after stroke as the Danish
11 studies.

12
13 Several propositions have been made to explain the higher stroke mortality in Asian
14 compared to Caucasian populations, and in particular Asian women compared to men. The
15 overall demographic differences include a greater prevalence of HTN (p=0.002), DM
16 (p=0.028) and HL, and specifically plasma LDL concentration (p=0.02).^[21] Our data provides
17 additional evidence that these comorbidities disproportionately affect Asian women compared
18 to men (HT: 51.7% vs. 43.9%, p<0.001; DM 25.3% vs. 16.1%, p<0.001; 33.2% vs. 29.2%,
19 p<0.001). In addition, the literature shows that the preponderance of IS subtype varies by region
20 as the pattern of atherosclerotic disease is ethnicity-dependant.^[19] One example of this is the
21 higher burden of small-vessel disease and intracranial atherosclerosis in Chinese populations
22 compared to Caucasians (69.1% vs. 38.5%, p=0.02).^[19]

23
24 Alongside underlying differences in risk factor profile, a Chinese registry-based cohort
25 study in 2019 revealed differences in access to healthcare and chronic-disease management

1 (for example access to anti-hypertensive medication), particularly for women living in more
2 rural settings with lower incomes and lower educational attainment.^[37] These disparities are
3 addressable facets contributing to this sex difference, and provide a more plausible rationale
4 beyond the over-simplification of aetiology due to biological differences alone.^[35,36]
5 Furthermore, the majority of the literature concludes that patients living in Asia have poorer
6 access to healthcare and poorer social-care services (important as women who live longer than
7 men, often in isolation or as widows) compared to the West.^[26-27] There is also less emphasis
8 on engagement with primary prevention measures, such as: diabetic monitoring,
9 echocardiography and angiography.^[28-29] Combined with a poorer pre-stroke functional status
10 and less prompt stroke intervention in women compared to men^[27], it appears plausible that
11 women, and in particular Asian women, receive substandard stroke care that does not cater for
12 their specific health requirements.

13

14 Previous studies in Caucasian populations have suggested that women have a lower
15 mortality risk after stroke based on the ‘Female Stroke Survival Advantage’ hypothesis. This
16 theory explains the sex difference by the neuroprotective properties of oestrogen and female
17 gonadal hormones.^[35,36] However, more recent literature in Asian women has suggested that
18 the neuroprotective properties of female gonadal hormones is influenced by ethnicity, and that
19 there is a naturally lower plasma oestrogen level in Asian women.^[23,24] Similarly, a secondary
20 analysis of data from the Women’s Health Initiative (WHI) randomised-controlled-trials of 27
21 347 patients, investigating the role of hormone therapy on cardiovascular disease and stroke
22 risk in post-menopausal women, found that oestrogen played no role in reducing the risk of
23 stroke in women in the post-menopausal period.^[37]

24

25 *Strengths and Limitations*

1 This large nationwide cohort study has a number of strengths. Our sample size includes
2 over half-a-million patients from South-East Asia increasing the generalisability of our analysis
3 to the wider Asian hemisphere. It is also the first study to focus exclusively on the sex
4 differences in mortality of women compared to men in an Asian population. With such a large
5 sample size, stratification of mortality by stroke-subtype was also made possible. Moreover,
6 the large sample size facilitated the control of many confounding variables such as age, HTN,
7 AF and several other comorbidities, leading to robust statistical analyses and valuable
8 epidemiological findings. Nonetheless, there are some limitations of note. This study only
9 included patients who were admitted and diagnosed with stroke in a hospital setting. Therefore,
10 patients who died of stroke in the community were not included. This may be a more significant
11 matter in Asian populations, such as Thailand, where poor access to healthcare is an inherent
12 issue. Another notable limitation is that there was an obvious lack of treatment information
13 both acutely and at discharge. Possible differential treatment between sexes may have
14 contributed to the higher mortality rate in women. In addition, the absence of a stroke-severity
15 scoring system (such as the National-Institute-of-Health-Stroke Scale [NIHSS]) has limited our
16 ability to comment on potential sex differences in stroke severity which may have influenced
17 mortality.

18

19 *Recommendations*

20 Public health panels, involving local community representatives, should assemble to
21 consider ways to address the sex differences in mortality after stroke, particularly in Asian
22 populations. Addressing modifiable risk factors including HTN, DM, HL and obesity in women
23 is a key primary prevention strategy to tackle this disparity. In addition, integration of health
24 and social care services is an important policy change which can improve healthcare access for

1 elderly patients and help engage the community with chronic disease services, including self-
2 monitoring of blood sugar and hypertension clinics.

3

4 **CONCLUSION**

5

6 Compared to men, women were older at time of stroke-diagnosis and had higher burden
7 of risk factors for stroke. Women also had higher mortality after stroke regardless of stroke-
8 type or duration since stroke onset. Excess stroke mortality in women was greatest during the
9 in-hospital period after IS, whereas excess mortality increased with time in women who had a
10 HS. No clear relationship was found between duration since stroke-onset and mortality in
11 patients who had SUT. More high-quality research into sex differences in stroke mortality in
12 non-Western populations is essential to identify regions requiring population-tailored changes
13 to the delivery of stroke care for women and to reduce the overall global burden of stroke-
14 related morbidity and mortality.

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1 **ACKNOWLEDGEMENTS**

2 AA: primary author, drafting manuscript

3 TAP: statistical analysis and supervision

4 ST: data acquisition of Thai data, data interpretation

5 KS: data acquisition of Thai data, data interpretation

6 NK: data acquisition of Thai data, data interpretation

7 MAM: supervision, critical revision

8 PKM: supervision, senior author critical revision

9 PKM is the guarantor.

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