

1 **Modelling methane emissions and grain yields for a double-rice system in**
2 **Southern China with DAYCENT and DNDC models**

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14

15 **Abstract**

16 Methane (CH₄) is an important greenhouse gas (GHG) that contributes to climate
17 change and one of its major sources is rice cultivation. The main aim of this paper was
18 to compare two well-established biogeochemical models, namely Daily Century
19 (DAYCENT) and DeNitrification-DeComposition (DNDC) for estimating CH₄
20 emissions and grain yields for a double-rice cropping system with tillage practice
21 and/or stubble incorporation in the winter fallow season in Southern China. Both
22 models were calibrated and validated using field measured data from November 2008
23 to November 2014. The calibrated models performed effectively in estimating the
24 daily CH₄ emission pattern (correlation coefficient, $r = 58\text{--}63$, $p < 0.001$), but model
25 efficiency (EF) values were higher in stubble incorporation treatments, with and

26 without winter tillage (treatments S and WS) ($EF = 0.22\text{--}0.28$) than that in winter
27 tillage without stubble incorporation treatment (W) ($EF = -0.06\text{--}0.08$). We
28 recommend that algorithms for the impacts of tillage practice on CH_4 emission should
29 be improved for both models. DAYCENT and DNDC also estimated rice yields for all
30 treatments without a significant bias. Our results showed that tillage practice in the
31 winter fallow season (treatments WS and W) significantly decreased annual CH_4
32 emissions, by 13–37% ($p < 0.05$) for measured values, 15–20% ($p < 0.05$) for
33 DAYCENT-simulated values, and 12–32% ($p < 0.05$) for DNDC-simulated values,
34 respectively, compared to no-till practice (treatments S), but had no significant impact
35 on grain yields.

36 **Keywords:** Methane; Management practices; DAYCENT model; DNDC model;
37 Double-rice cropping system; China

38

39 **1. Introduction**

40 Methane (CH_4) is a powerful greenhouse gas (GHG), the atmosphere amount of
41 which has more than doubled since pre-industrial times, and approximately 60% of
42 CH_4 originates from anthropogenic sources (Nisbet et al., 2019; UNEP and CCAC,
43 2021). Rice paddy fields are a major source of CH_4 emissions, which are responsible
44 for 8–11% ($5\text{--}38 \text{ Tg } CH_4 \text{ yr}^{-1}$) of global anthropogenic CH_4 emissions (Shukla et al.,
45 2019; Saunio et al., 2020). Quantification of CH_4 emissions from rice paddy soils is
46 necessary for developing mitigation options and policies. However, accurate
47 estimation of CH_4 emissions is a great challenge due to the time consuming and
48 expensive field flux measurements. Consequently, process-based models for
49 estimating CH_4 emissions have been developed to complement physical experiments
50 by employing computers to calculate the likely outcomes of different physical

51 phenomena (Giltrap et al., 2010).

52 Simulation models allow complex interactions and real-world problems to be
53 examined in a cost- and time-effective way (Giltrap et al., 2010; Cheng et al., 2013).
54 DAYCENT and DeNitrification-DeComposition (DNDC) are two popular ecological
55 process-based models to simulate methane (CH₄) emissions from rice paddy fields in
56 China (Li et al., 2006; Cheng et al., 2014; Zhang et al., 2019; Wang et al., 2021).
57 Cheng et al. (2013) developed and evaluated the DAYCENT CH₄ module using total
58 97 rice paddy sites across China, with an overall *r* of 0.83 for model predictions vs.
59 measurements. In addition, the DNDC model has been corroborated by many CH₄
60 emission datasets from Chinese rice fields, and the simulated values are generally in
61 good agreement with the observed CH₄ field emissions (Zhang et al., 2002; Li et al.,
62 2006; Zhang et al., 2019; Zhao et al., 2020; Wang et al., 2021).

63 China is the largest rice producer in the world and is also trying to increase rice
64 grain yield by improving rice cultivation management, while at the same time,
65 minimizing CH₄ emissions from rice paddy. Rice is a one of the primary cereal crops
66 in China, with an area of about 30 million ha (FAO, 2020). Double rice is the common
67 cropping system in China, accounting for >40% of the total harvested area and
68 emitting about 50% of the total CH₄ emission from rice paddy fields in China (Zhang
69 et al., 2011; Chen et al., 2013). The double rice cropping system typically consists of
70 one winter fallow season, and two rice growing seasons each year. Considerable
71 research has been conducted on improving field management in the double-rice
72 cropping system to mitigate CH₄ emissions while maintaining optimal rice yields.
73 These have mainly focused on the fertilization rate and method (Tang et al., 2020; Fu
74 et al., 2021; Wang H. et al., 2021), irrigation management method (Li et al., 2020;
75 Zeng and Li, 2020), and tillage management (Chen et al., 2021; Wang X. et al., 2021).

76 Tillage after rice harvest in the winter fallow season can play key role in CH₄
77 emissions. It is beneficial for rainwater to run through into the subsoil, and thus
78 reduce rainwater accumulation in the winter fallow season. Consequently, it would
79 directly reduce CH₄ emission during off-rice season because of a less anaerobic
80 environment in the topsoil (Zhang et al., 2016). Moreover, it could also indirectly
81 inhibit CH₄ emissions during the following rice growth seasons. For example, tillage
82 incorporates rice residues into the soil during winter fallow season, and soil
83 microorganisms accelerate the decomposition of organic matter and thereby facilitate
84 CH₄ production and emissions (Pandey et al., 2012; Hussain et al., 2015).
85 Subsequently, it would reduce the carbon substrate for methanogenesis during the
86 following rice seasons, and thus decrease CH₄ emissions (Yang et al., 2018).

87 As a representative region of the double-rice cropping system, Jiangxi Province
88 has the largest rice area; about 11% of total rice area in China (Yearbook, 2014) and
89 emits substantial quantities of CH₄. However, to the best of our knowledge, the
90 process-based DNDC and DAYCENT models have not previously been calibrated and
91 evaluated for a double-rice cropping system with different management in the winter
92 fallow season in China. Moreover, little research has been done to compare different
93 process-based models in simulating CH₄ emissions. Therefore, the main objective of
94 this study was to compare the results from two well-established biogeochemical
95 models (DAYCENT and DNDC) for estimating CH₄ emissions and crop yields from a
96 double rice system in Jiangxi Province, southeast China from November 2008 to
97 November 2014 under three different managements in the winter fallow season. This
98 study will improve process understanding and enhance further applicability of
99 DAYCENT and DNDC models for predicting CH₄ emissions from the Chinese paddy
100 rice ecosystem.

101

102 **2. Materials and Methods**

103 *2.1. Experimental site and treatments*

104 This field experiment was conducted at Yingtan City, Jiangxi Province, China
105 (28°15'N, 116°55'E) for 6 years from November 2008 to November 2014. This region
106 is a typical double-rice cropping cultivation area, with one winter fallow season and
107 two rice growing seasons each year. The selected soil is classified as a typical
108 Haplaquept (18.2% clay, 31.3% silt, 50.5% sand), with its initial properties as follow:
109 SOC 16.2 g kg⁻¹, soil total nitrogen 1.43 g kg⁻¹, bulk density 1.12 g cm⁻³, pH (H₂O)
110 4.74. The detailed site description and soil parameters were reported by Yang et al.
111 (2018). The daily air temperature (°C) and precipitation (mm) were collected from
112 weather station at the study site (Fig. S1). The average annual temperature and total
113 precipitation were 18.2°C and 194.2 cm, respectively. The monthly mean air
114 temperature and rainfall from 2008 and 2014 at the field site are presented in Table
115 S1.

116 Compared with rice stubble incorporation during the rice season, applying rice
117 stubble during the fallow season produces much lower CH₄ emissions (Yan et al.,
118 2009). Additionally, soil tillage with rice stubble incorporation in the winter fallow
119 season has been reported to reduce CH₄ emission relative to rice stubble incorporation
120 just before rice transplanting (Zhang et al., 2016; Yang et al., 2018). In this study,
121 three treatments were laid out in the winter fallow season with three replicates in a
122 fully randomized block design: rice stubble incorporation without winter tillage (S),
123 winter tillage with rice stubble incorporation (WS), and winter tillage without rice
124 stubble incorporation (W). Fresh rice stubble was left standing in the fields after late
125 rice harvest in treatments S and WS, with a dry weight of 2.5–4.0 t ha⁻¹ (about 30 cm

126 long), while stubble was moved out of field after late rice harvest in treatment W. No
127 extra straw/stubble was incorporated in the following rice seasons.

128 Generally, ploughing is the traditional tillage practice in the local area, with
129 tillage occurring before the transplantation of early- and late-rice. For better
130 cultivation, all experimental plots (S, WS and W) were ploughed before the
131 transplantation of early- and late-rice rice without any rice stubble/straw incorporation.
132 The winter tillage plots (treatments WS and W) were ploughed again as soon as the
133 late rice had been harvested. The tillage operation (up to 20 cm soil depth) was the
134 same for all tillage practices.

135 Local rice cultivars, Zhongzao 33 and Nongxiang 98, were planted in the
136 following early-rice and late-rice seasons, respectively. Seeds were sown in the
137 seeding nursery and then transplanted to the experimental plots at the third and fourth
138 leaf stage. The early rice seedlings were transplanted in middle or late April and
139 harvested in middle or late July, and then late rice seedlings were transplanted
140 immediately after the early rice harvest and harvested in November or December from
141 2009 and 2014 (Table 1). For each rice season, the total amount of nitrogen (N) and
142 potassium (K) fertilizers applied were 180 kg N ha⁻¹ and 150 kg K ha⁻¹, respectively.
143 These fertilizers were applied at three different times as basal, tillering and panicle
144 initiation fertilizer with a ratio of 5:3:2 and 3:4:3, respectively. Phosphorus (P)
145 fertilizer was applied as a basal fertilizer at a rate of 75 kg P ha⁻¹.

146 For water management, flooding was initiated 2-4 days before early-rice
147 transplanting, drained after tillering fertilization application for 5-8 days midseason
148 aeration, re-flooded for two or three weeks, then subjected to drying-wetting
149 alternation (with a cycle of 5-day drying and 5 day-wetting) until roughly 1-2 weeks
150 of a dry period before early rice harvest. During the late-rice season, the water

151 management was similar to that during the early-rice season but the duration of the
152 dry period before late rice harvest was roughly 3-5 weeks. A detailed schedule of the
153 field management, including soil tillage, rice cultivation and water management, is
154 presented in Table 1.

155 ***2.2. Field measurements and GHG emissions***

156 The CH₄ fluxes were measured using a static chamber (Ma et al., 2009), every 2
157 to 6 days over the rice seasons, and every 7 to 10 days over the winter fallow seasons
158 in 15 min intervals. The yield of early- and late-rice grain was determined at harvest
159 in each plot by subtracting a moisture content of 0.14 g H₂O g⁻¹ fresh weight. The
160 details of measurement information for daily CH₄ flux and yield were described by
161 Yang et al. (2018).

162 GHG emissions (kg CO₂-eq ha⁻¹ yr⁻¹) based on CH₄ emission were estimated
163 using global warming potential (GWP) (CO₂-eq) for CH₄ over a 100-year time span
164 (Eq (1)). The GWP for CH₄ is 28 over a 100-year time span (IPCC, 2021):

$$165 \quad \text{GHG}_{\text{CH}_4} = 28 \times \text{CH}_4 \quad (1)$$

166 To determine the emission intensity of production, GHG emission per unit of
167 crop yield was calculated (Eq (2)):

$$168 \quad \text{yield-scaled GHG} = \text{GHG} / (\text{early rice yield} + \text{late rice yield}) \quad (2)$$

169

170 ***2.3. Model descriptions and simulations***

171 We used two process-based ecosystem models, DAYCENT and DNDC,
172 developed to simulate soil carbon and nitrogen dynamic in plant-soil system (Parton
173 et al., 1998; Li, 2000; Gilhespy et al., 2014). Model concept and mechanisms are
174 described in greater detail elsewhere for DAYCENT (Del Grosso et al., 2001; Cheng
175 et al., 2013; Begum et al., 2019), and DNDC (Li et al., 1994; Li et al., 2006). Daily

176 weather data, plant, soil and management data including N fertilizer, water
177 management and tillage are needed as inputs for both models.

178 With an understanding of the processes of CH₄ production, oxidation and
179 emission, a methanogenesis sub-model for the DAYCENT model was developed for
180 predicting methane fluxes dynamics in rice paddy soils by Cheng et al. (2013).
181 Rice-DAYCENT simulates plant production, soil organic matter (SOM)
182 decomposition, soil hydrology and thermal regimes. The methanogenesis sub-model
183 simulates CH₄ emissions based on methanogenic substrate derived from SOM
184 decomposition and root rhizodeposition, and associated influences of redox potential
185 (Eh) and soil temperature (Huang et al., 1998; Cheng et al., 2013). As described in
186 Cheng et al. (2013), the decomposition of organic matter in soil was simulated by
187 DAYCENT model through heterotrophic respiration using three kinetically defined
188 active, slow and passive pools. The amount of carbon added to the soil through
189 rhizodeposition was simulated using a simplified linear equation with root carbon
190 production estimated in the plant production sub-model. The influence of Eh was
191 simulated under flooding and drainage, respectively. Only part of the CH₄ produced in
192 the process of methanogenesis is emitted to atmosphere because about 40-90% of CH₄
193 is oxidized to CO₂ by methanotrophs at aerobic-anaerobic interfaces (Huang et al.,
194 1998; Chen et al., 2013). The pathway of CH₄ from the paddy soil into the atmosphere
195 occurs in various ways: *via* aerenchyma in the plant (90%), *via* ebullition (10%) or *via*
196 diffusion through the soil and water layer (1%) (Groot et al., 2003). The
197 methanogenesis sub-model adopted the approach proposed by Huang et al. (2008,
198 2004) to simulate CH₄ emissions through the rice plant and ebullition. The simulation
199 of CH₄ emission rates through the rice plant was based on the CH₄ production rate,
200 and the fraction of CH₄ emitted *via* rice. The algorithm for simulating CH₄ emissions

201 through ebullition was based on CH₄ production rate, soil temperature, and root
202 biomass. The CH₄ oxidation model was based on field capacity, bulk density, soil
203 temperature, water-filled pore space and volumetric soil water content.

204 The DNDC model was modified by adding a series of anaerobic process for
205 simulating the carbon cycle and CH₄ emission in rice paddy field as described in Li et
206 al. (2000; 2004). The DNDC model accommodates two components. The first
207 component consists of three main sub-models as follow: the soil climate sub-model
208 calculating soil temperature, moisture and Eh profiles; the plant growth sub-model
209 simulating crop biomass accumulation and partitioning; the decomposition sub-model
210 simulating concentration of substrates, i.e., dissolved organic carbon and NH₄⁺,
211 nitrogen oxides. The second component, namely the fermentation sub-model, predicts
212 the CH₄ fluxes dynamics from plant-soil systems. For example, CH₄ production rate
213 was simulated using kinetical equations based on available carbon concentration and
214 temperature as soon as the simulated Eh reaches -150 mV or lower. In addition, CH₄
215 oxidation rate was simulated using a function of soil CH₄ concentration and Eh.
216 DNDC models simulated CH₄ emissions through plant aerenchyma and ebullition,
217 respectively, based on CH₄ concentration, soil temperature and soil porosity.

218

219 ***2.4. Model calibrations and sensitivity analyses***

220 This study investigated the suitability of the DAYCENT and DNDC models for
221 estimating CH₄, crop yield for typical double rice paddy field in Southern China. This
222 double rice cropping system in our study consists of a 4- or 5-month long winter
223 fallow season, followed by early rice (grown from April to July), and then late rice
224 planted immediately after the early rice harvest (grown from July to
225 November/December). The DAYCENT model was calibrated on crop yield / annual

226 CH₄ emissions for the site using the measured data from the control treatment S.
227 Model calibration for crop yield / annual CH₄ emissions was done by optimizing the
228 crop parameters of radiation use efficiency (PRDX), optimum temperature (PPDF(1)),
229 and the fraction of CO₂ from soil respiration used to produce CH₄ (Table 2), as
230 suggested by previous studies (Cheng et al., 2014; Begum et al., 2019). The parameter
231 values were modified until the DAYCENT model matched measured grain
232 yield/annual CH₄ emission values from the control treatment S. The calibrated model
233 was then used to run those for another two treatments WS and W from November
234 2008 to November 2014.

235 Similarly, the DNDC model was also calibrated on crop yield/annual CH₄
236 emissions for the site using the measured data from the control with treatment S.
237 Model calibration for crop yields and CH₄ emissions was done by optimizing a
238 combination of different crop growth parameters, including maximum biomass
239 production, biomass fraction, biomass C/N ratio, thermal degree days (Table 3), as
240 suggested by Zhang et al. (2019) and Abdalla et al. (2020). Crop parameter input
241 default values were tested until the DNDC model matched the measured grain
242 yield/annual CH₄ emission values from the control treatment S. The calibrated model
243 was then used to run those for another two treatments WS and W from November
244 2008 to November 2014.

245 The sensitivity of DAYCENT and DNDC and the attribution of CH₄ and
246 early-/late-rice grain yields to different input parameters were investigated to quantify
247 the effects of these parameters on the CH₄ emissions and grain yields (Smith and
248 Smith, 2007; Cheng et al., 2013; Wang et al., 2021). The baseline scenario was
249 composed based on the treatment S. Only one parameter was changed at a time and all
250 the other kept constant. Simulations were run to assess how CH₄ and grain yields were

251 affected by average daily temperature (increased/decreased by a range from -2°C to
252 $+2^{\circ}\text{C}$), initial SOC content (decreased/increased by a rang from -50% to $+50\%$), soil
253 pH (decreased/increased by a range from -1 to $+1$) and the amounts of N fertilizer
254 (decreased/increased by a rang from -50% to $+50\%$).

255

256 **2.5. Statistical methods**

257 The models were validated by comparing measured and simulated values. Based
258 on the statistical routines provide in MODEVAL (Smith et al., 1997; Smith & Smith,
259 2007), the total difference between measured and simulated values was assessed by
260 calculating the root mean square error (RMSE, Eq. (3)), relative RMSE (rRMSE, Eq
261 (4)), relative deviation (RD, Eq(5)):

$$262 \quad \text{RMSE} = \sqrt{\frac{\sum_{i=1}^n (S_i - M_i)^2}{n}} \quad (3)$$

$$263 \quad \text{rRMSE} = \frac{\text{RMSE}}{\bar{M}} \times 100 \quad (4)$$

$$264 \quad \text{RD} = \frac{M_i - S_i}{M_i} \times 100 \quad (5)$$

265 Where S_i is the simulated value, M_i is the measured value, n is the number of
266 measured values, and \bar{M} is the average of the measured values. The rRMSE can
267 compare between different models whose errors are measured in the different units,
268 and a low rRMSE often indicates a strong predictive power.

269 The DAYCENT and DNDC models' accuracies were evaluated by calculating
270 modelling efficiency (EF, Eq (6)). EF provides a comparison of the efficiency of the
271 chosen model compared to describing the data as the mean of the measurements
272 (Yang et al., 2014):

273
$$EF = 1 - \frac{\sum_{i=1}^n (S_i - M_i)^2}{\sum_{i=1}^n (M_i - \bar{M})^2} \quad (6)$$

274 Values of EF can be positive or negative values. Specifically, a positive value
 275 shows that the simulated values describe the trend in the measured data better than the
 276 mean of the measurements, and closer to 1 suggests a better modelling efficiency. A
 277 negative value indicates that the simulated values describe the data less well than a
 278 mean of the measurements.

279 The sample correlation coefficient (r) was used (Eq. (7)) to test for association
 280 between the simulated and measured values (Smith et al., 1997).

281
$$r = \frac{\sum_{i=1}^n (M_i - \bar{M})(S_i - \bar{S})}{\sqrt{[\sum_{i=1}^n (M_i - \bar{M})^2]} \sqrt{[\sum_{i=1}^n (S_i - \bar{S})^2]}} \quad (7)$$

282 All the statistical analyses were conducted in R version 3.4.0 (Team, 2008) and
 283 Minitab (Minitab, Limited Liability Company, USA), and a Map was created using
 284 Original (Origin Lab Corporation, USA).

285

286 **3. Results**

287 *3.1. Models calibration and sensitivity analyses*

288 The DAYCENT and DNDC models were calibrated by adjusting the
 289 combination of crop parameters as shown in Tables 2 and 3 to enhance their
 290 performances in simulating CH₄ emissions and grain yields. The calibrated
 291 DAYCENT and DNDC model accurately simulated the measured annual CH₄
 292 emissions, early and late rice yields for the control with treatment S from November
 293 2008 to November 2014 (Table 4).

294 The sensitivity of the DAYCENT- and DNDC-models to the essential input
 295 parameters (i.e. SOC content, soil pH, the N fertilizer rate and air temperature) for

296 simulating annual CH₄ emission and grain yield of double-cropping rice system was
297 tested. As shown in Fig. 1, DAYCENT was more sensitive to changes in SOC content
298 and soil pH than the other parameters, whilst the DNDC was more sensitive to
299 changes in air temperature and N fertilizer. For grain yields, neither model was
300 sensitive to change in air temperature, but DNDC was very sensitive to changes in N
301 fertilizer rate and SOC content (Fig. 1).

302

303 *3.2. Performance of DAYCENT and DNDC models in simulating CH₄ emissions* 304 *and rice grain yields*

305 *3.2.1. CH₄ emissions*

306 Fig. 2 shows that, for all treatments, DAYCENT- and DNDC-simulated daily
307 CH₄ emissions pattern were generally consistent with the measured CH₄ flux
308 dynamics. The daily CH₄ emissions for all three treatments increased under
309 continuous flooding, with the highest peak measured at about 3–5 weeks after the
310 early-rice transplanting and 2–4 weeks after late-rice transplanting. Thereafter, daily
311 CH₄ emissions dramatically decreased after midseason aeration. An emission peak
312 occurred again after re-flooding, particularly in the early-rice season. CH₄ emissions
313 always showed a lower peak in the treatment W, observed both in simulations and
314 measurements. As shown in Table 5, DAYCENT and DNDC models performed better
315 when simulating treatments S and WS, with a lower rRMSE (i.e., 124–129) and
316 higher EF values (i.e., 0.22–0.28), than treatment W (i.e., 140–150 and –0.07–0.08,
317 respectively), but all three treatments showed significant correlations of simulated
318 versus measured daily emission values ($r = 58-63$, $p < 0.001$).

319 The annual CH₄ emissions simulated by DAYCENT and DNDC models were
320 also generally similar to the measured annual values for all three treatments (Table 4).

321 The measured average annual CH₄ emissions were 175, 152, and 111 kg C ha⁻¹ for the
322 treatment S, WS and W, respectively (Table 4). Correspondingly, the DAYCENT- and
323 DNDC-simulated average annual CH₄ emissions were 173, 148 and 138 kg C ha⁻¹,
324 and 173, 153 and 117 kg C ha⁻¹, respectively. Both the observed and simulated results
325 showed significantly lower ($p < 0.05$) annual CH₄ emissions from the treatment W
326 than from the treatment S. Over the six annual rotation cycles from November 2008 to
327 November 2014, the measured annual CH₄ emission was not significantly different
328 within years for treatment S, while significantly decreased from the first rotation year
329 of 2008-2009 to final rotation year of 2013-2014 for treatments WS and W (Fig.3).

330 As shown in Fig.4, winter tillage (treatments WS and W) decreased the seasonal
331 CH₄ emission for early rice season from -36 to -15% for measured values ($p < 0.05$),
332 from -26 to -17% for DAYCENT-simulated values, and from -38 to -13% for
333 DNDC-simulated values. Similarly, the seasonal CH₄ emissions for late rice season
334 also decreased from -40 to -14% for measured values, from -18 to -14% for
335 DAYCENT-simulated values, and from -28 to -11% for DNDC-simulated values.
336 By contrast, the tillage in winter fallow season (treatments WS and W) increased the
337 seasonal CH₄ emission by 31-87% for measured values ($p < 0.05$) and 9-36% for
338 DAYCENT-simulated ($p < 0.05$) compared to no-till treatment (treatment S).

339 **3.2.2. Rice yields**

340 The DAYCENT and DNDC models estimated grain yield for all treatments
341 effectively (Table 4). As shown in Fig. 5, the correlation coefficient (r) of simulated
342 against measured yields of both early and late rice season were 0.90, 0.85 and 0.92 by
343 DAYCENT model ($p < 0.001$), which were higher than the values of 0.82 ($p < 0.01$),
344 0.67 ($p < 0.05$) and 0.58 by the DNDC model, for treatments S, WS and S,
345 respectively.

346 On average, the measured yields were 6.3, 6.6, and 6.5 t ha⁻¹ over early rice, and
347 6.4, 6.5, and 6.3 t ha⁻¹ over late rice, for the treatments S, WS and W, respectively
348 (Table 4). Correspondingly, the DAYCENT-simulated average yields were 6.4, 6.4,
349 and 6.4 t ha⁻¹ over early rice, and 6.3, 6.3, and 6.3 t ha⁻¹ over late rice;
350 DNDC-simulated average yields were 6.1, 6.7, and 7.0 t ha⁻¹ over early rice, and 6.6,
351 6.8, and 6.7 t ha⁻¹ over late rice, respectively. Overall, the grain yields were not
352 significantly different among the three treatments, observed both in measurements and
353 simulations (Table 4).

354 Over the six annual rotation cycles from November 2008 to November 2014, the
355 annual yields were not significantly different within most years, except in the rotation
356 year of 2009-2010. The lower annual yield in 2010 was due to the flood damage,
357 resulting in the delaying of late rice transplanting, thus reducing the rice grain yields
358 (Fig.3).

359

360 *3.2.3. Yield-scaled GHG emissions*

361 Compared with the treatment S, measured yield-scaled GHG emissions were
362 lower by 17% for treatment WS and by 38% for treatment W ($p < 0.01$) (Table 3).
363 Similarly, simulated yield-scaled GHG emissions were lower by 15% and 16% with
364 treatment WS, by 21% and 37% with treatment W ($p < 0.01$) for DAYCENT and
365 DNDC, respectively.

366

367 **4. Discussion**

368 *4.1. Model calibration and sensitivity analysis*

369 In this study, calibration and validation of DAYCENT and DNDC models was
370 required because of differences in the Chinese rice cultivars and climates (Cheng et al.,

371 2013; Wang et al., 2021). However, the adopted parameters for calibration between
372 DAYCENT and DNDC models are different due to differences in the crop growth and
373 CH₄ algorithms in the two models (Li, 2000; Cheng et al., 2013).

374 Sensitivity analysis was also used to evaluate the response of the simulated
375 results to the variation in the input parameters. We utilized the calibrated DAYCENT
376 and DNDC models to test how CH₄ emission and rice grain yield were influenced by
377 soil properties, climate factors and N fertilizer application rates. As the CH₄ algorithm
378 is implemented in different ways, the results indicate the robustness and uncertainty of
379 the different processes. While the models showed good performances on aerobic
380 systems, impacts of management changes and mitigation strategies, the diverse
381 management on the considered sites will allow the models to be challenged on these
382 aspects as well. For both of CH₄ emission and grain yields, DAYCENT and DNDC
383 models were not sensitive to the same parameters as shown in Fig. 1, which may be
384 due to differences in the algorithms of the methanogenesis sub-model (Li, 2000;
385 Cheng et al., 2013), thus resulting in the differences of dominant factors influencing
386 CH₄ emissions, with the effects of other factors being overshadowed by the influence
387 of the dominant factors (Wang et al., 2021).

388 For simulating CH₄ emissions, the DAYCENT model is more sensitive to
389 changes in initial SOC content. The initial SOC content determined the amount of
390 carbon substrate for methanogenic bacteria, for CH₄ production and also emissions
391 (Conrad, 2007). Therefore, annual CH₄ emissions changed with a change in the initial
392 SOC content in the same direction under otherwise identical conditions (Fig. 1). By
393 contrast, the DNDC model was less sensitive to the changes of initial SOC content
394 (Fig. 1), which was also reported by Wang et al. (2021). This can be explained by
395 differences in the calculation of available C from SOM decomposition between the

396 two process models. Moreover, DAYCENT and DNDC models have a different way
397 of representing initial SOC. For example, the initial SOC stock (g m^{-2}) at 20 cm soil
398 depth was required to define the initial soil organic matter pools in DAYCENT model,
399 but initial SOC content (kg kg^{-1}) at 10 cm soil depth was required in DNDC model.
400 Therefore, when the same changes of initial SOC content were applied, DAYCENT
401 and DNDC models have different relative changes of initial carbon stock input, thus
402 different changes of available C concentration.

403 Decreased soil pH ($\text{pH} < 4.7$, under acidic conditions) significantly decreased
404 annual CH_4 emissions in DAYCENT model, but increased pH slightly increased CH_4
405 emissions, which is related to the soil pH thresholds effecting decomposition rate in
406 the model. When pH value decreases especially from ~ 5 to 3, the decomposition rate
407 dramatically reduces in the DAYCENT model, thereby significantly decreasing CH_4
408 emissions. By contrast, when soil pH value increases from 5 to 7, the decomposition
409 rate barely changes because, it is close to the maximum rate in the DAYCENT model.
410 Cheng et al. (2013) also showed that the performance in simulating in CH_4 emission
411 by DAYCENT was mainly controlled by the initial SOC content and soil pH.
412 However, for DNDC, the annual CH_4 emission was not sensitive to the changes of soil
413 pH, but very sensitive to air temperature (Wang et al., 2021). As shown in Li (2000),
414 the effect of temperature on CH_4 production rates in DNDC is based on an
415 exponential function, and when temperature increase, the temperature effect becomes
416 larger directly. Moreover, DNDC simulates CH_4 fluxes diffusion through ebullition to
417 atmosphere using a simplified linear equation with temperature. Therefore, this is
418 probably why a significantly effect of temperature on CH_4 emissions was observed in
419 DNDC model. By contrast, in DAYCENT model, the algorithm for calculating
420 transport CH_4 through ebullition was based on a natural logarithm function with

421 temperature, thus there is barely changes of temperature effects when temperature
422 increase/decrease within 2 °C (Cheng et al., 2013).

423 For simulating yields, the DAYCENT model was slightly sensitive to changes of
424 air temperature, which may be due to the saturation effect above 30 °C for rice paddy
425 in the model. In the test site, the average values of maximum temperature in rice
426 reproductive period were 30.29–34.58 °C during June to September, therefore the
427 simulated yields only slightly changed with air temperature changes. In contrast, the
428 DNDC model was also slightly sensitive to changes of air temperature, but very
429 sensitive to the changes of N fertilizer rate and initial SOC content. In the plant
430 growth sub model of DNDC, N uptake by crop is the key process linking crop growth,
431 and the availability of NH_4^+ and NO_3^- in soil profile is one of main controlling factors
432 on N uptake rate (Li et al. 1994). Therefore, changes of N fertilizer rate directly affect
433 the concentration of NH_4^+ and NO_3^- in the model, and thereby influence rice plant
434 growth and yields as well. On the other hand, calculating NH_4^+ concentration from N
435 fertilizer in DNDC model is also controlled by the concentration of soluble C from
436 decomposition sub model, which is why changes of initial SOC content in DNDC
437 directly affects the rice plant growth and grain yields (Li et al., 1992).

438

439 ***4.2. Evaluation of DAYCENT and DNDC models***

440 ***4.2.1. CH₄ emissions***

441 Simulation of substrate C available under different water and field management
442 is crucial for predicting CH₄ emissions accurately by DAYCENT (Cheng et al., 2013)
443 and DNDC (Li, 2007). Large CH₄ emissions were simulated at the middle growth
444 stage in the month of May for early rice, and at the early growth stage in the month of
445 July-August for late rice, when carbohydrates derived from plants was greater, and

446 soil Eh was lower due to continuous flooding conditions after rice transplantation in
447 this study. A clear CH₄ peak was also simulated during the re-flooding period after
448 midseason aeration in the month of May-June for early rice and August-September for
449 late rice. This could be due to re-flooding cutting off the oxygen supply from the air
450 into soil and decreasing soil Eh, thus benefiting methanogenetic activity (Cai et al.,
451 2000). Correspondingly, both the DAYCENT and DNDC models simulated relatively
452 lower soil Eh during re-flooding period after midseason aeration, with on average
453 values of -193, -192 and -190 and -185, -174 and -173 mV for treatment S, WS
454 and W, respectively.

455 A difference between seasonal simulated and measured CH₄ emissions was
456 observed in this study, especially in the winter fallow season. In the test sites, field
457 plots were fallow in the winter season with soil being undrained after late rice harvest,
458 which were often flooded after rain (Zhang et al., 2016), hence providing favourable
459 anaerobic conditions for CH₄ production. Compared with DNDC model, DAYCENT
460 accurately estimated the seasonal CH₄ emissions during the winter fallow season,
461 mainly due to better simulating the water condition during the winter fallow season.
462 However, the DNDC model runs without setting flooding conditions during the winter
463 fallow season because there is not a suitable corresponding flood setting option in the
464 model, thereby resulting in underestimated seasonal CH₄ emissions during winter
465 fallow season. But the seasonal CH₄ emissions during the winter fallow season
466 contributed, on average, around 2% to the annual CH₄ emissions observed in
467 measured and DAYCENT-simulated values, hence it had small effects on the
468 estimation of annual CH₄ emissions. On the other hand, DNDC underestimated the
469 seasonal CH₄ emissions from early rice seasons while slightly overestimating
470 emissions from late rice seasons for all treatment S, WS and W, which may be due to

471 the sensitivity of the DNDC model to air temperature changes. Slightly lower air
472 temperatures were found in the month of May-June (i.e., 22.9–26.0 °C) compared to
473 July-September (i.e., 25.6–29.6 °C) in this study, which also led to a CH₄ emission
474 peak for early and late rice season, respectively.

475 The response of CH₄ emissions to the incorporation of stubble was influenced
476 by the winter tillage. Winter tillage (treatments WS and W) significantly increased
477 CH₄ emission by 31–87% for measured values during the winter fallow season
478 relative to no winter tillage (treatment S) (Fig. 4), in agreement with previous
479 measurements from a single-cropping rice field in northeast China (Liang et al., 2007).
480 By contrast, it significantly decreased CH₄ emissions during the following early- and
481 late-rice seasons by –36 to –15% (Fig. 4), in agreement with our early field
482 observation (Yang et al., 2017), and previous measurements from a single-cropping
483 rice field in southern Brazil (Bayer et al., 2015).

484 The impact of winter tillage practices was satisfactorily replicated by both
485 DAYCENT and DNDC models. Compared to no-tillage in the winter fallow season,
486 winter tillage promotes the decomposition of rice stubble, which creates an anaerobic
487 soil environment suitable for methanogenic activity because of oxygen consumption,
488 and thereby enhanced observed/simulated CH₄ emissions in the winter fallow season
489 (Zhang et al., 2015; Yang et al., 2018). By contrast, as the easily decomposable
490 portion of the rice stubble has largely been decomposed during the whole winter
491 fallow season, the positive effect of the remaining rice stubble (a less-decomposable
492 part of organic matter) on CH₄ production and emissions is greatly reduced during the
493 following seasons (Watanabe and Kimura, 1998; Bayer et al., 2015).

494

495 **4.2.2. Rice yields**

496 An adequate simulation of yield is of key importance to accurately predict CH₄
497 emissions for process-based models of plant-soil systems because carbohydrate
498 exudation from roots, the major labile carbon source driving CH₄ emissions, is closely
499 related to rice plant biomass (Cheng et al., 2013). Both models simulating rice yields
500 performed effectively after calibration in this study. Significant positive correlations
501 of simulated against measured rice yields were observed in this study, with r values of
502 0.85–0.92 for DAYCENT, and 0.67–0.82 for DNDC (Fig. 5). Similar previous studies
503 in China were also able to simulate rice yield adequately using the DAYCENT
504 (Stehfest et al., 2007; Cheng et al., 2013) and DNDC models (Zhang et al., 2019;
505 Zhao et al., 2020). It is crucial the key growth processes (i.e. plant production and
506 allocation of net primary production, mineralization/immobilization, and nutrients
507 uptake by plant) are well represented in the approaches of the DAYCENT and DNDC
508 models (Li et al., 1994; Cheng et al., 2013).

509 Tillage and/or stubble incorporation in winter fallow season did not impact rice
510 yields significantly (Table 4 and Fig. 3). In the DNDC and DAYCENT models, once
511 the soil is ploughed, decomposition rates of soil organic matter would be directly
512 increased due to the changes in soil structure and aeration conditions (Li et al., 1994;
513 Cheng et al., 2013). As for stubble incorporation after harvest, SOM would increase
514 by a certain percentage in DAYCENT and DNDC models. However, changes SOM
515 (i.e. soil C content) would not have a direct effect on simulation of yield, especially in
516 DAYCENT. Moreover, only 15% of leaf and stem was assumed to be left in field after
517 harvest in the DNDC model, which might have less impact on total SOM, and thereby
518 rice yields.

519

520 ***4.2.3. Yield-scaled GHG emissions***

521 Compared with the treatment S, annual CH₄ emissions were clearly lower in the
522 treatments of WS and W, observed in both field measured and simulated results (Table
523 4). Similar measured results from a single-cropping rice field in northeast China were
524 reported by Liang et al. (2007). Additionally, maintaining rice paddy yield has always
525 been given priority before implementation of alternative management practice (Liu et
526 al., 2016). In this study, no significant differences in rice paddy yields were observed
527 among three treatments over the six years, consequently, annual yield-scaled GHG
528 emissions were lower in the treatments of WS and W compared with treatment S for
529 both model simulated and field measured results (Table 4). Similar findings were
530 shown by Zhang et al. (2016) and Yang et al. (2018). This indicates that the tillage
531 practice in the winter fallow season could be a potential strategy for reducing annual
532 GHG emissions without a significant impact on grain yield in double rice cropping
533 systems.

534

535 **5. Conclusions**

536 This study has provided an insight into the differences of model performance
537 between DNDC and DAYCENT in simulating CH₄ emission from a double-rice
538 cropping system in Southern China. Both models were able to effectively estimate
539 daily CH₄ emission patterns and grain yields across all treatments from November
540 2008 to November 2014. Compared with the DNDC model, DAYCENT simulated the
541 seasonal CH₄ emissions during winter fallow seasons better, mainly due to better
542 reflecting the water conditions in the real field for winter fallow seasons. Moreover,
543 the high sensitivity of the DNDC model to air temperature results in imperfectly
544 estimated seasonal CH₄ emissions for early and late rice seasons. As observed in the
545 simulations of both models and field measurements, the tillage practice in the winter

546 fallow season could be a potential strategy for reducing annual CH₄ emissions without
547 a significantly impacting grain yield in double rice cropping systems. Further
548 measurements of emissions for tillage and/or stubble incorporation in the winter
549 fallow season are recommended before implementing the model outcomes.

550

551 **Declaration of competing interest**

552 The authors declare that they have no known competing financial interests or personal
553 relationships that could have appeared to influence the work reported in this paper.

554

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561

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701 **Table**

702 **Table 1** Schedule of field management practices in the experimental plots over the six years from November 20108 to November 2014.

Season	Field Management	2008-2009	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
Winter							
fallow	Winter tillage	8 Nov 2008	13 Nov 2009	2 Dec 2010	3 Nov 2011	5 Dec 2012	11 Nov 2013
Early-rice	Spring tillage	12 Apr 2009	17 Apr 2010	19 Apr 2011	23 Apr 2012	20 Apr 2013	10 Apr 2014
	First flooding	13 Apr 2009	17 Apr 2010	21 Apr 2011	23 Apr 2012	22 Apr 2013	10 Apr 2014
	Basal fertilizers	17 Apr 2009	26 Apr 2010	22 Apr 2011	27 Apr 2012	24 Apr 2013	13 Apr 2014
	Rice transplants	17 Apr 2009	27 Apr 2010	23 Apr 2011	27 Apr 2012	24 Apr 2013	13 Apr 2014
	Tillering fertilizers	26 Apr 2009	11 May 2010	14 May 2011	15 May 2012	17 May 2013	29 Apr 2014
	Midseason drainage	8 May 2009~15 May 2009	23 May 2010~27 May 2010	23 May 2011~31 May 2011	25 May 2012~5 Jun 2012	28 May 2013~3 Jun 2013	22 May 2014~29 May 2014
	Second flooding	16 May 2009~2 Jun 2009	28 May 2010~2 Jun 2010	1 Jun 2011~24 Jun 2011	6 Jun 2012~18 Jun 2012	-	30 May 2014~16 Jun 2014
	Panicle initiation fertilizers	26 May 2009	12 Jun 2010	16 Jun 2011	12 Jun 2012	14 Jun 2013	10 Jun 2014
	Dry/wet alternation	3 Jun 2009~3 Jul 2009	22 Jun 2010~15 Jul 2010	25 Jun 2011~3 Jul 2011	19 Jun 2012~23 Jun 2012	-	17 Jun 2014~29 Jun 2014
	Final drainage	4 Jul 2009	16 Jul 2010	4 Jul 2011	24 Jun 2012	4 Jul 2013	30 Jun 2014
	Rice harvest	9 Jul 2009	22 Jul 2010	11 Jul 2011	13 Jul 2012	18 Jul 2013	16 Jul 2014
Late-rice	Tillage	10 Jul 2009	31 Jul 2010	11 Jul 2011	14 Jul 2012	22 Jul 2013	19 Jul 2014
	First flooding	12 Jul 2009	31 Jul 2010	12 Jul 2011	15 Jul 2012	24 Jul 2013	20 Jul 2014
	Basal fertilizers	14 Jul 2009	5 Aug 2010	16 Jul 2011	27 Jul 2012	24 Jul 2013	22 Jul 2014

Rice translates	15 Jul 2009	5 Aug 2010	16 Jul 2011	27 Jul 2012	24 Jul 2013	22 Jul 2014
Tillering fertilizers	29 Jul 2009	23 Aug 2010	3 Aug 2011	14 Aug 2012	13 Aug 2013	4 Aug 2014
Midseason drainage	16 Aug 2009~23 Aug 2009	4 Sep 2010~8 Sep 2010	17 Aug 2011~23 Aug 2011	22 Aug 2012~1 Sep 2012	23 Aug 2013~4 Sep 2013	-
Second flooding	24 Aug 2009~6 Sep 2009	8 Sep 2010~29 Sep 2010	24 Aug 2011~4 Sep 2011	2 Sep 2012~1 Oct 2012	5 Sep 2013~19 Sep 2013	1 Sep 2014~22 Sep 2014
Panicle initiation fertilizers	30 Aug 2009	20 Sep 2010	23 Aug 2011	4 Sep 2012	4 Sep 2013	4 Sep 2014
Dry/wet alternation	7 Sep 2009~9 Oct 2009	30 Sep 2010~29 Oct 2010	5 Sep 2011~7 Oct 2011	3 Oct 2012~25 Oct 2012	20 Sep 2013~17 Oct 2013	23 Sep 2014~15 Oct 2014
Final drainage	10 Oct 2009	30 Oct 2010	8 Oct 2011	26 Oct 2012	18 Oct 2013	16 Oct 2014
Rice harvest	30 Oct 2009	1 Dec 2010	2 Nov 2011	4 Dec 2012	10 Nov 2013	6 Nov 2014

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713 **Table 2** The plant production and cultivation parameter files used to calibrate DAYCENT model for simulating CH₄ emission and grain yield.

Name of the file	Parameter	Description	Unit	Value
Crop.100	PRDX	Coefficient for calculating potential aboveground monthly production as a function of solar radiation outside the atmosphere	Scaling factor, (g C production) m ⁻² month ⁻¹ Langley ⁻¹	3.00
	PPDF (1)	Optimum temperature for production for parameterization of a Poisson Density Function curve to simulate temperature effect on growth	°C	25
	PPDF (2)	Maximum temperature for production for parameterization of a Poisson Density Function curve to simulate temperature effect on growth	°C	45
	Sitepar.100	CO ₂ _to_CH ₄	Fraction of CO ₂ from soil respiration used to produce CH ₄	0.15

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722 **Table 3** The crop parameters used to calibrate DNDC model for simulating CH₄ emission and grain yield.

Cropping season/parameter	Grain	Leaf	Stem	Root
<i>Early rice (Zhongzao 33)</i>				
Maximum biomass production (kg C ha ⁻¹ y ⁻¹)	8500	4829	4636	1352
Biomass fraction	0.44	0.25	0.24	0.07
Biomass C/N ratio	51	85	85	30
Thermal degree days	2000			
Water demand (g water/g DM)	508			
Optimum temperature (°C)	25			
<i>Late rice (Nongxiang 98)</i>				
Maximum biomass production (kg C ha ⁻¹ y ⁻¹)	8500	4829	4636	1352
Biomass fraction	0.44	0.25	0.24	0.07
Biomass C/N ratio	50	85	85	30
Thermal degree days	2850			
Water demand (g water/g DM)	508			
Optimum temperature (°C)	25			

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725 **Table 4.** Comparison between the DAYCENT- and DNDC-simulated and measured average annual CH₄ (kg C ha⁻¹ yr⁻¹) fluxes, early- and late-rice yield (t
726 ha⁻¹), yield-scaled GHG emission (kg CO₂-eq ha⁻¹ yr⁻¹) by the treatment of stubble incorporation (S), winter tillage with stubble incorporation (WS) and winter
727 tillage (W). RD means relative deviation between simulated and measured emission/yield.

Treatments	Measured	DAYCENT	RD (%)	DNDC	RD (%)
Annual CH₄ flux (kg C ha⁻¹ yr⁻¹)					
S	175±26A ^a	173±15A	1	173±15A	1
WS	152±29AB	148±19AB	3	153±20A	-1
W	111±26B	138±18B	-24	117±22B	-5
Early rice yield (t ha⁻¹)					
S	6.3±0.2A	6.4±0.4A	-1	6.1±0.3A	2
WS	6.6±0.3A	6.4±0.4A	3	6.7±0.6A	-2
W	6.5±0.2A	6.4±0.4A	2	7.0±0.8A	-8
Late rice yields (t ha⁻¹)					
S	6.4±0.8A	6.3±0.8A	1	6.6±0.7A	-3
WS	6.5±0.9A	6.3±0.8A	4	6.8±0.7A	-4
W	6.3±0.9A	6.3±0.8A	0	6.7±0.7A	-6
Yield-scaled GHG_{CH₄} (kg CO₂-eq ha⁻¹ yr⁻¹)					
S	0.52±0.03A	0.52±0.03A	0	0.51±0.03A	2
WS	0.43±0.03AB	0.44±0.03AB	-2	0.43±0.03AB	0
W	0.32±0.03B	0.41±0.03B	-28	0.32±0.03B	0

728 ^a Values followed by the same letter are not significantly different within the treatments at p < 0.05 based on Turkey tests.

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730

731 **Table 5.** Statistical describing the performance of the DAYCENT and DNDC models for the simulations of daily CH₄ fluxes under different treatments in the
 732 double rice paddy from November 2008 to November 2014. The n is the number of measured CH₄ fluxes from November 2008 to November 2014.

Treatment	Model	Measured (kg C ha ⁻¹)	RMSE (kg C ha ⁻¹)	rRMSE (%)	EF	r	M (kg C ha ⁻¹)
S (n=398)	DAYCENT	0.67	0.85	127	0.28	0.60***	0.04 ^{ns}
	DNDC		0.85	128	0.27	0.61***	0.03 ^{ns}
WS (n=398)	DAYCENT	0.58	0.75	129	0.22	0.58***	0.04 ^{ns}
	DNDC		0.72	124	0.28	0.63***	0.02 ^{ns}
W (n=335)	DAYCENT	0.42	0.63	150	-0.07	0.59***	-0.08*
	DNDC		0.52	140	0.08	0.60***	-0.02 ^{ns}

733 ^a S, stubble incorporation without winter tillage; WS, winter tillage with stubble incorporation; W, winter tillage without stubble incorporation.

734 * Significant correlation (r) between modelled and measured values at p < 0.05, or significance mean error (M) at p=0.025.

735 *** Significant correlation (r) between modelled and measured values at p < 0.001.

736 ^{ns} Non-significant between modelled and measured values at p < 0.05, or no significance mean error (M) at p=0.025.

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740

741 **Figure legends**

742 **Fig. 1.** Sensitivity of CH₄ fluxes and yield to changes in the input parameters. SOC: soil organic carbon content (from 0.5 to 1.5 times the
743 baseline). pH: soil pH (from “baseline – 1” to “baseline + 1”). N fer: application of N fertilizer (from 0.5 to 1.5 times the baseline). T: air
744 temperature (from “baseline – 2” to “baseline + 2”). The SOC, pH, N fertilizer and daily average air temperature were 0.016 g kg⁻¹, 4.6, 360 kg
745 N ha⁻¹ and 18.16 °C.

746 **Fig. 2.** Comparison between the DAYCENT- and DNDC-simulated and measured daily CH₄ flux (Kg C ha⁻¹ d⁻¹) from November 2008 to
747 November 2014 for the treatments of stubble incorporation (S), winter tillage with stubble incorporation (WS) and winter tillage (W).

748 **Fig. 3.** Measured annual CH₄ emission (kg C ha⁻¹ yr⁻¹) and yield (t ha⁻¹ yr⁻¹) over the six annual rotation cycles from November 2008 to
749 November 2014 for the treatments of stubble incorporation (S), winter tillage with stubble incorporation (WS) and winter tillage (W). Values are
750 the means with standards deviations shown by vertical bars (n=3); uppercase letters indicate significant differences within years at p < 0.05.

751 **Fig. 4.** Comparison between DAYCENT- and DNDC-simulated and measured seasonal CH₄ (kg C ha⁻¹) for three treatments of stubble
752 incorporation (S), winter tillage with stubble incorporation (WS) and winter tillage (W) from November 2008 to November 2014.

753 **Fig. 5.** Relationship between the DAYCENT- and DNDC-simulated and measured yields of early and late-paddy rice for the treatments of
754 stubble incorporation (S), winter tillage with stubble incorporation (WS) and winter tillage (W).

1 Supporting Information for

2
3 **Modelling methane emissions and grain yields for a double-rice system in**

4 **Southern China with DAYCENT and DNDC**

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18 Summary:

19 Number of Figures: 1

20 Number of Tables: 1

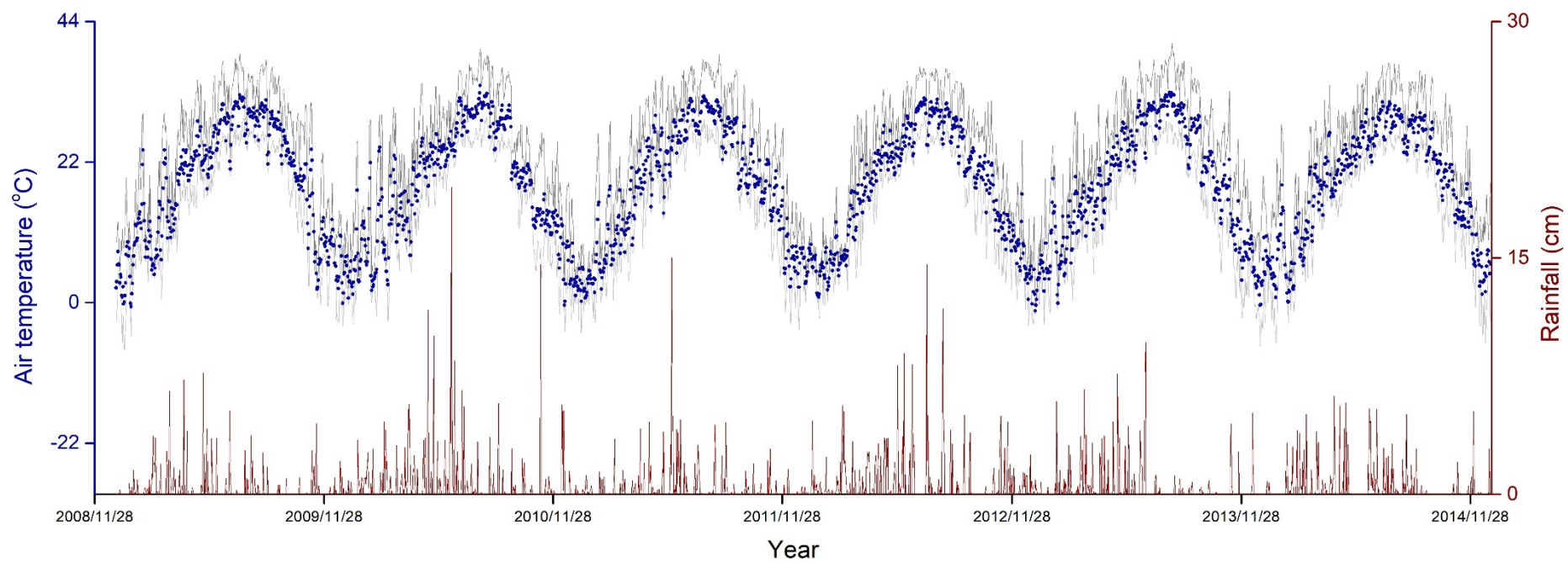


Fig. S1 Measured daily air temperature ($^{\circ}\text{C}$) and rainfall (cm) during the experimental period (from November 2008 to November 2014) in the Yingtan of China.

Table S1 Monthly mean air temperature and rainfall from 2008 and 2014 at the field site.

Year/Month	Rainfall (cm)								Mean air temperature (°C)							
	2008	2009	2010	2011	2012	2013	2014	Mean	2008	2009	2010	2011	2012	2013	2014	Mean
January	10	3.8	11.3	5.6	13.0	3.2	1.9	6.5	3.9	4.6	6.6	2.9	5.3	5.1	6.7	5.2
February	7.0	6.8	15.3	5.0	9.7	16.7	16.6	11.7	5.3	11.5	10.1	8.4	6.3	9.1	7.3	8.8
March	17.4	29.8	22.8	8.8	29.9	27.5	28.3	24.5	13.6	12.6	12.5	11.2	11.7	13.9	13.4	12.5
April	25.8	19.0	34.5	15.2	25.2	20.4	16.1	21.7	19.0	19.1	15.5	19.1	19.3	16.9	19.3	18.2
May	14.2	18.5	41.5	11.9	36.2	25.2	21.5	25.8	23.8	23.0	22.7	22.5	23.3	23.4	22.3	22.9
June	26.1	10.3	58.3	49.4	38.3	39.8	22.8	36.5	25.8	27.1	24.5	26.1	26.0	26.4	25.8	26.0
July	18.9	12.1	22.2	11.8	25.1	2.8	22.6	16.1	29.7	29.2	29.6	29.9	29.8	30.1	28.8	29.6
August	5.9	14.0	7.4	19.2	36.2	3.3	15.4	15.9	29.1	29.0	29.9	28.8	28.5	30.6	27.5	29.1
September	5.2	2.3	14.0	2.0	14.4	3.4	4.1	6.7	26.7	26.9	26.6	25.2	23.3	25.2	26.5	25.6
October	6.1	1.3	7.6	6.5	3.5	1.8	0.3	3.5	20.6	20.8	18.4	19.3	19.6	19.3	20.6	19.6
November	13.0	13.2	18.4	7.1	25.5	11.5	6.7	13.7	12.5	10.8	13.4	16.5	11.9	13.2	14.4	13.4
December	2.1	6.7	20.3	4.2	13.2	7.6	9.5	10.2	7.2	6.9	7.9	6.7	6.5	5.9	6.0	6.6

1 **Table**

2 **Table 1** Schedule of field management practices in the experimental plots over the six years from November 20108 to November 2014.

Season	Field Management	2008-2009	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
Winter							
fallow	Winter tillage	8 Nov 2008	13 Nov 2009	2 Dec 2010	3 Nov 2011	5 Dec 2012	11 Nov 2013
Early-rice	Spring tillage	12 Apr 2009	17 Apr 2010	19 Apr 2011	23 Apr 2012	20 Apr 2013	10 Apr 2014
	First flooding	13 Apr 2009	17 Apr 2010	21 Apr 2011	23 Apr 2012	22 Apr 2013	10 Apr 2014
	Basal fertilizers	17 Apr 2009	26 Apr 2010	22 Apr 2011	27 Apr 2012	24 Apr 2013	13 Apr 2014
	Rice translates	17 Apr 2009	27 Apr 2010	23 Apr 2011	27 Apr 2012	24 Apr 2013	13 Apr 2014
	Tillering fertilizers	26 Apr 2009	11 May 2010	14 May 2011	15 May 2012	17 May 2013	29 Apr 2014
	Midseason drainage	8 May 2009~15 May 2009	23 May 2010~27 May 2010	23 May 2011~31 May 2011	25 May 2012~5 Jun 2012	28 May 2013~3 Jun 2013	22 May 2014~29 May 2014
	Second flooding	16 May 2009~2 Jun 2009	28 May 2010~2 Jun 2010	1 Jun 2011~24 Jun 2011	6 Jun 2012~18 Jun 2012	-	30 May 2014~16 Jun 2014
	Panicle initiation fertilizers	26 May 2009	12 Jun 2010	16 Jun 2011	12 Jun 2012	14 Jun 2013	10 Jun 2014
	Dry/wet alternation	3 Jun 2009~3 Jul 2009	22 Jun 2010~15 Jul 2010	25 Jun 2011~3 Jul 2011	19 Jun 2012~23 Jun 2012	-	17 Jun 2014~29 Jun 2014
	Final drainage	4 Jul 2009	16 Jul 2010	4 Jul 2011	24 Jun 2012	4 Jul 2013	30 Jun 2014
Rice harvest	9 Jul 2009	22 Jul 2010	11 Jul 2011	13 Jul 2012	18 Jul 2013	16 Jul 2014	
Late-rice	Tillage	10 Jul 2009	31 Jul 2010	11 Jul 2011	14 Jul 2012	22 Jul 2013	19 Jul 2014
	First flooding	12 Jul 2009	31 Jul 2010	12 Jul 2011	15 Jul 2012	24 Jul 2013	20 Jul 2014
	Basal fertilizers	14 Jul 2009	5 Aug 2010	16 Jul 2011	27 Jul 2012	24 Jul 2013	22 Jul 2014

Rice translates	15 Jul 2009	5 Aug 2010	16 Jul 2011	27 Jul 2012	24 Jul 2013	22 Jul 2014
Tillering fertilizers	29 Jul 2009	23 Aug 2010	3 Aug 2011	14 Aug 2012	13 Aug 2013	4 Aug 2014
Midseason drainage	16 Aug 2009~23 Aug 2009	4 Sep 2010~8 Sep 2010	17 Aug 2011~23 Aug 2011	22 Aug 2012~1 Sep 2012	23 Aug 2013~4 Sep 2013	-
Second flooding	24 Aug 2009~6 Sep 2009	8 Sep 2010~29 Sep 2010	24 Aug 2011~4 Sep 2011	2 Sep 2012~1 Oct 2012	5 Sep 2013~19 Sep 2013	1 Sep 2014~22 Sep 2014
Panicle initiation fertilizers	30 Aug 2009	20 Sep 2010	23 Aug 2011	4 Sep 2012	4 Sep 2013	4 Sep 2014
Dry/wet alternation	7 Sep 2009~9 Oct 2009	30 Sep 2010~29 Oct 2010	5 Sep 2011~7 Oct 2011	3 Oct 2012~25 Oct 2012	20 Sep 2013~17 Oct 2013	23 Sep 2014~15 Oct 2014
Final drainage	10 Oct 2009	30 Oct 2010	8 Oct 2011	26 Oct 2012	18 Oct 2013	16 Oct 2014
Rice harvest	30 Oct 2009	1 Dec 2010	2 Nov 2011	4 Dec 2012	10 Nov 2013	6 Nov 2014

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13 **Table 2** The plant production and cultivation parameter files used to calibrate DAYCENT model for simulating CH₄ emission and grain yield.

Name of the file	Parameter	Description	Unit	Value
Crop.100	PRDX	Coefficient for calculating potential aboveground monthly production as a function of solar radiation outside the atmosphere	Scaling factor, (g C production) m ⁻² month ⁻¹ Langley ⁻¹	3.00
	PPDF (1)	Optimum temperature for production for parameterization of a Poisson Density Function curve to simulate temperature effect on growth	°C	25
	PPDF (2)	Maximum temperature for production for parameterization of a Poisson Density Function curve to simulate temperature effect on growth	°C	45
Sitepar.100	CO ₂ _to_CH ₄	Fraction of CO ₂ from soil respiration used to produce CH ₄		0.15

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22 **Table 3** The crop parameters used to calibrate DNDC model for simulating CH₄ emission and grain yield.

Cropping season/parameter	Grain	Leaf	Stem	Root
<i>Early rice (Zhongzao 33)</i>				
Maximum biomass production (kg C ha ⁻¹ y ⁻¹)	8500	4829	4636	1352
Biomass fraction	0.44	0.25	0.24	0.07
Biomass C/N ratio	51	85	85	30
Thermal degree days	2000			
Water demand (g water/g DM)	508			
Optimum temperature (°C)	25			
<i>Late rice (Nongxiang 98)</i>				
Maximum biomass production (kg C ha ⁻¹ y ⁻¹)	8500	4829	4636	1352
Biomass fraction	0.44	0.25	0.24	0.07
Biomass C/N ratio	50	85	85	30
Thermal degree days	2850			
Water demand (g water/g DM)	508			
Optimum temperature (°C)	25			

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25 **Table 4.** Comparison between the DAYCENT- and DNDC-simulated and measured average annual CH₄ (kg C ha⁻¹ yr⁻¹) fluxes, early- and late-rice yield (t ha⁻¹), yield-scaled GHG emission (kg CO₂-eq ha⁻¹ yr⁻¹) by the treatment of stubble incorporation (S), winter tillage with stubble incorporation (WS) and winter tillage (W). RD means relative deviation between simulated and measured emission/yield.

Treatments	Measured	DAYCENT	RD (%)	DNDC	RD (%)
Annual CH₄ flux (kg C ha⁻¹ yr⁻¹)					
S	175±26A ^a	173±15A	1	173±15A	1
WS	152±29AB	148±19AB	3	153±20A	-1
W	111±26B	138±18B	-24	117±22B	-5
Early rice yield (t ha⁻¹)					
S	6.3±0.2A	6.4±0.4A	-1	6.1±0.3A	2
WS	6.6±0.3A	6.4±0.4A	3	6.7±0.6A	-2
W	6.5±0.2A	6.4±0.4A	2	7.0±0.8A	-8
Late rice yields (t ha⁻¹)					
S	6.4±0.8A	6.3±0.8A	1	6.6±0.7A	-3
WS	6.5±0.9A	6.3±0.8A	4	6.8±0.7A	-4
W	6.3±0.9A	6.3±0.8A	0	6.7±0.7A	-6
Yield-scaled GHG_{CH₄} (kg CO₂-eq ha⁻¹ yr⁻¹)					
S	0.52±0.03A	0.52±0.03A	0	0.51±0.03A	2
WS	0.43±0.03AB	0.44±0.03AB	-2	0.43±0.03AB	0
W	0.32±0.03B	0.41±0.03B	-28	0.32±0.03B	0

28 ^a Values followed by the same letter are not significantly different within the treatments at p < 0.05 based on Turkey tests.

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31 **Table 5.** Statistical describing the performance of the DAYCENT and DNDC models for the simulations of daily CH₄ fluxes under different treatments in the
 32 double rice paddy from November 2008 to November 2014. The n is the number of measured CH₄ fluxes from November 2008 to November 2014.

Treatment	Model	Measured (kg C ha ⁻¹)	RMSE (kg C ha ⁻¹)	rRMSE (%)	EF	r	M (kg C ha ⁻¹)
S (n=398)	DAYCENT	0.67	0.85	127	0.28	0.60***	0.04 ^{ns}
	DNDC		0.85	128	0.27	0.61***	0.03 ^{ns}
WS (n=398)	DAYCENT	0.58	0.75	129	0.22	0.58***	0.04 ^{ns}
	DNDC		0.72	124	0.28	0.63***	0.02 ^{ns}
W (n=335)	DAYCENT	0.42	0.63	150	-0.07	0.59***	-0.08*
	DNDC		0.52	140	0.08	0.60***	-0.02 ^{ns}

33 ^a S, stubble incorporation without winter tillage; WS, winter tillage with stubble incorporation; W, winter tillage without stubble incorporation.

34 * Significant correlation (r) between modelled and measured values at p < 0.05, or significance mean error (M) at p=0.025.

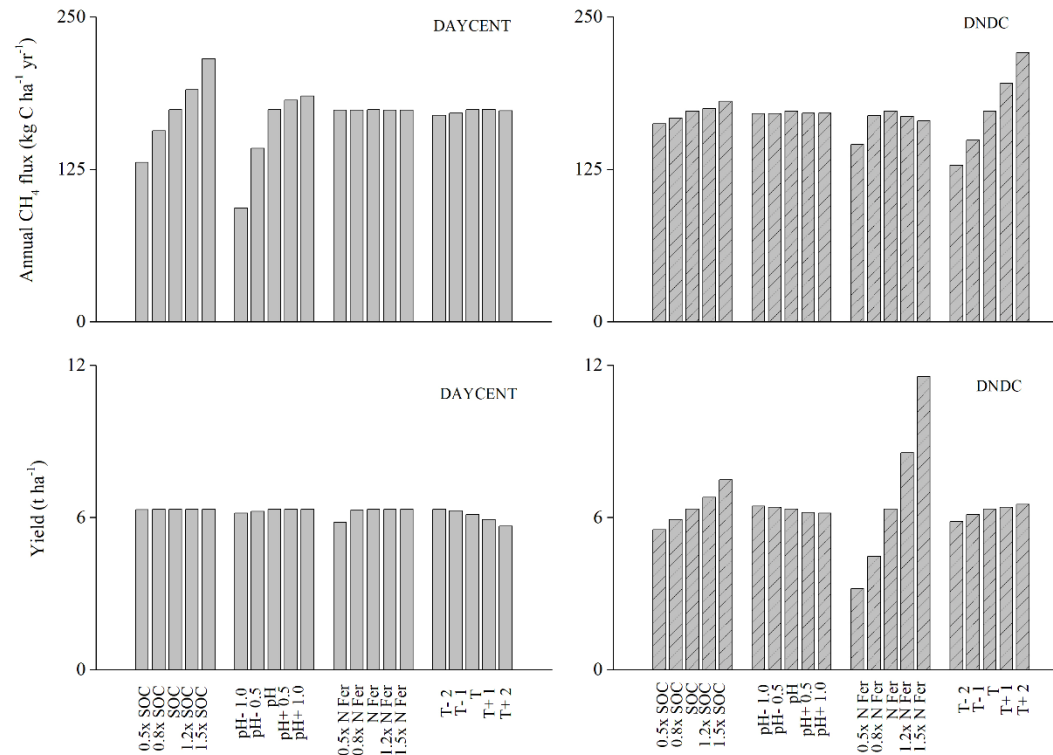
35 *** Significant correlation (r) between modelled and measured values at p < 0.001.

36 ^{ns} Non-significant between modelled and measured values at p < 0.05, or no significance mean error (M) at p=0.025.

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39 **Figure legends**

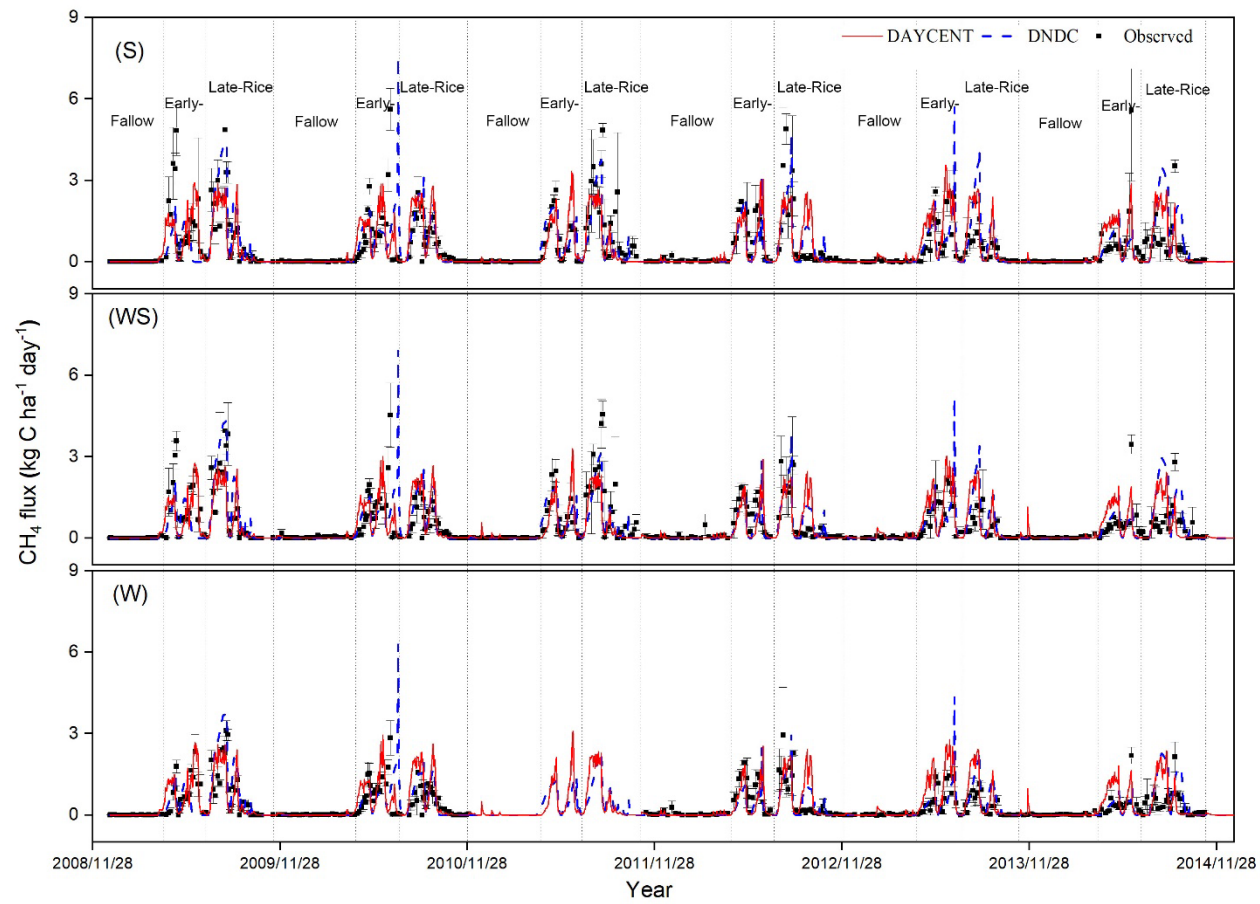


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41 **Fig. 1.** Sensitivity of CH₄ fluxes and yield to changes in the input parameters. SOC: soil organic carbon content (from 0.5 to 1.5 times the baseline).

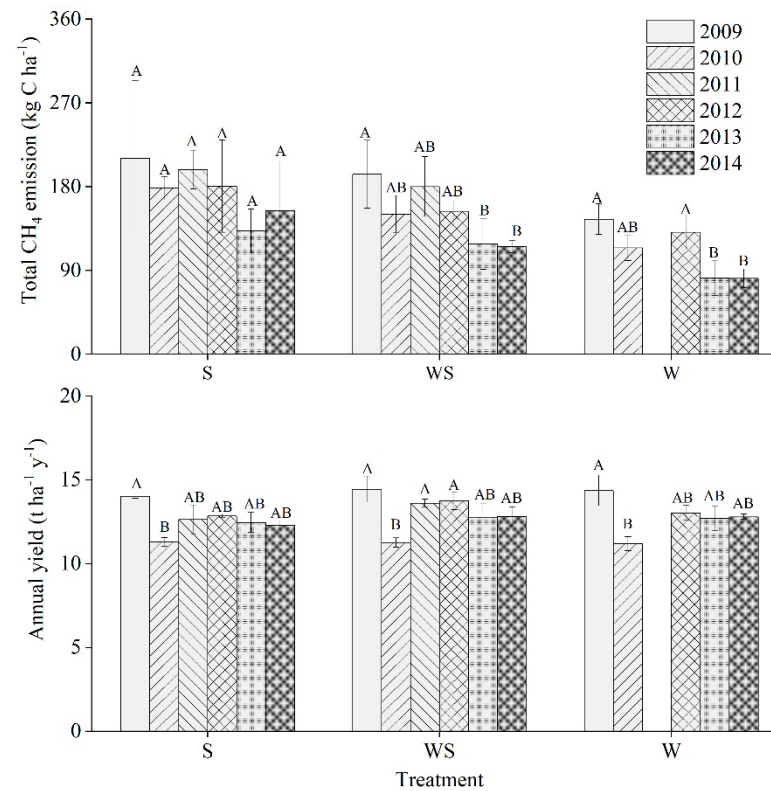
42 pH: soil pH (from “baseline - 1” to “baseline + 1”). N fer: application of N fertilizer (from 0.5 to 1.5 times the baseline). T: air temperature (from

43 “baseline - 2” to “baseline + 2”). The SOC, pH, N fertilizer and daily average air temperature were 0.016 g kg⁻¹, 4.6, 360 kg N ha⁻¹ and 18.16 °C.



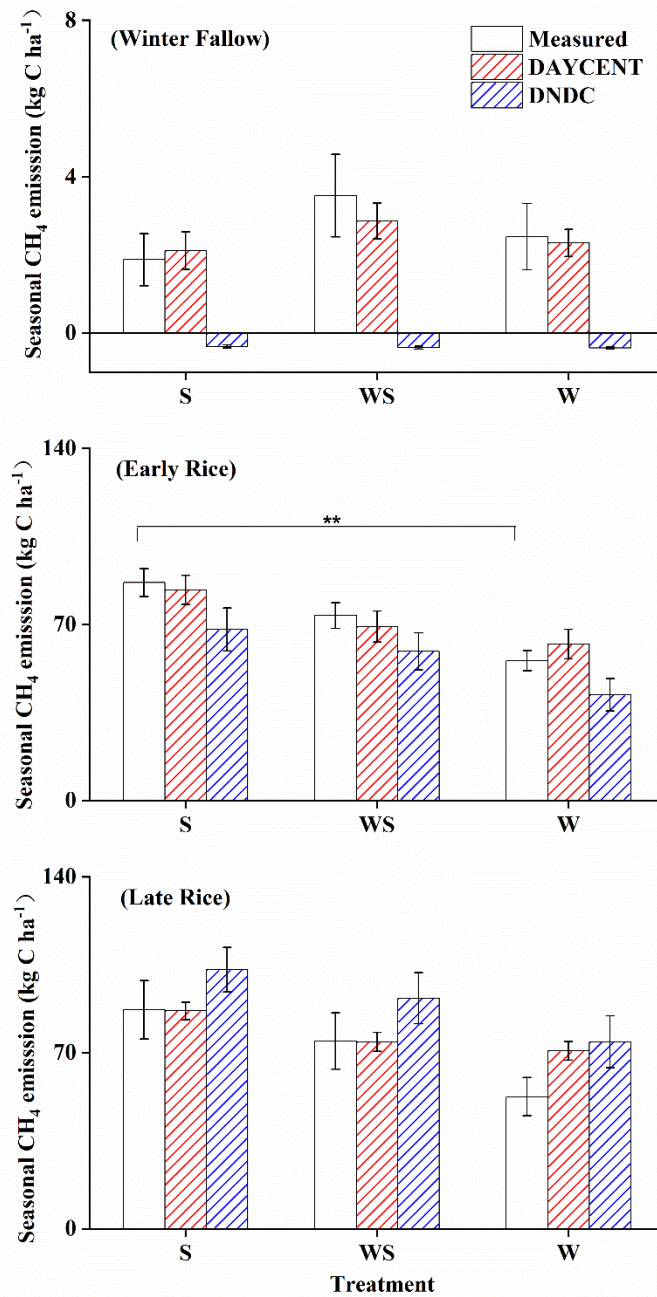
44

45 **Fig. 2.** Comparison between the DAYCENT- and DNDC-simulated and measured daily CH_4 flux ($\text{Kg C ha}^{-1} \text{d}^{-1}$) from November 2008 to November
 46 2014 for the treatments of stubble incorporation (S), winter tillage with stubble incorporation (WS) and winter tillage (W).



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48 **Fig. 3.** Measured annual CH₄ emission (kg C ha⁻¹ yr⁻¹) and yield (t ha⁻¹ yr⁻¹) over the six annual rotation cycles from November 2008 to
 49 November 2014 for the treatments of stubble incorporation (S), winter tillage with stubble incorporation (WS) and winter tillage (W). Values are
 50 the means with standards deviations shown by vertical bars (n=3); uppercase letters indicate significant differences within years at p < 0.05.

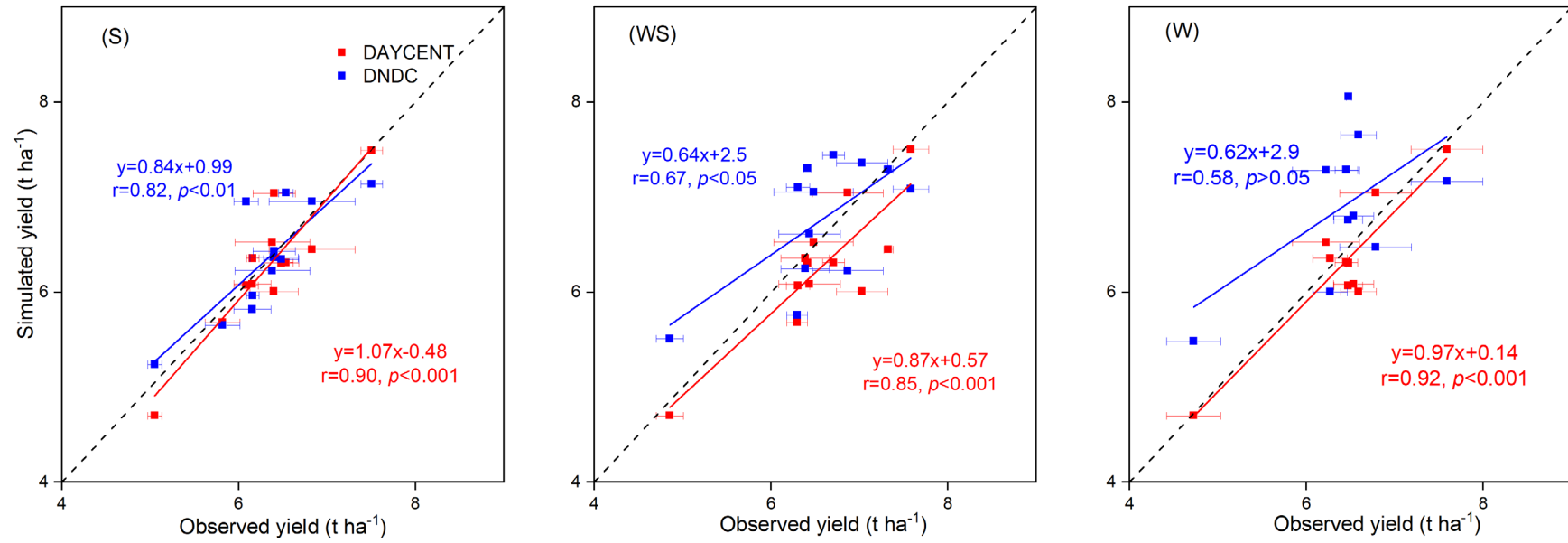


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52 **Fig. 4.** Comparison between DAYCENT- and DNDC-simulated and measured seasonal
 53 CH₄ (kg C ha⁻¹) for three treatments of stubble incorporation (S), winter tillage with
 54 stubble incorporation (WS) and winter tillage (W) from November 2008 to November
 55 2014.

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59 **Fig. 5.** Relationship between the DAYCENT- and DNDC-simulated and measured yields of early and late-paddy rice for the treatments of stubble
60 incorporation (S), winter tillage with stubble incorporation (WS) and winter tillage (W).