

1 **Effect of in-person delivered behavioural interventions in people with multimorbidity: systematic**
2 **review and meta-analysis**

3 **Abstract**

4 **Background:** To investigate the effect of in-person delivered behavioural interventions in people
5 with multimorbidity and which Behaviour Change Techniques (BCTs), targeting lifestyle behaviours,
6 are associated with better outcomes.

7 **Methods:** Systematic review of randomised controlled trials. We searched MEDLINE, EMBASE,
8 CENTRAL and CINAHL and screened reference list of reviews including people with multimorbidity,
9 registries, and citation tracking of included studies. Meta-analyses using random-effects model to
10 assess the effect of behavioural interventions and meta-regression analyses and effectiveness ratios
11 to investigate the impact of mediators on effect estimates. Cochrane 'Risk of Bias Tool' 2.0 and the
12 GRADE assessment to evaluate the overall quality of evidence.

13 **Results:** Fourteen studies involving 1,378 people. Behavioural interventions had little to no effect on
14 physical activity (standardised mean difference 0.38, 95%CI -0.120.87) and the effect on weight loss
15 was uncertain (BMI mean difference -0.17, 95%CI -1.10.83) at the end-treatment follow-up. Small
16 improvements were seen in health-related quality of life (SMD 0.29, 95% CI 0.170.42) and physical
17 function (SMD 0.42, 95% CI 0.120.73), and moderate improvements were seen for depression
18 symptoms (SMD -0.70, 95%CI -0.97-0.42). Studies using the BCTs 'action planning' and 'social
19 support (practical)' reported greater physical activity and weight loss.

20 **Conclusions:** Behavioural interventions targeting lifestyle behaviours may improve health-related
21 quality of life and physical function, and reduce depression ~~symptoms~~, whereas little to no effect
22 was achieved on physical activity and weight loss in people with multimorbidity. However, the
23 evidence for physical activity and weight loss were of low quality and the end-treatment benefits
24 diminished over time.

25 **Keywords:** Physical activity, behavioural therapy, multimorbidity, function, disability, health

26 **INTRODUCTION**

27 Living with multiple chronic conditions (i.e. multimorbidity) is very common not only in the elderly
28 population (1). Compared to people living with single chronic conditions, people with multimorbidity
29 are at increased risk of dying prematurely, being admitted to and have an increased length of stay in
30 hospital (2, 3), have poorer physical and psychosocial health, higher intake of multiple drugs and
31 increased health care utilization (4, 5). This challenges the current usual care of people with
32 multimorbidity focusing on single-disease management approaches as opposed to individualised,
33 multimorbidity care (6, 7).

34 Individualised care for people with multimorbidity includes recommendations related to a healthy
35 lifestyle (8). Physical activity is low in people with multimorbidity (9), although being a key behaviour
36 for survival and overall health alongside a healthy diet, not smoking and low alcohol consumption
37 (10). While interventions targeting lifestyle behaviours, including physical activity and diet, benefit
38 people with single chronic conditions (11) and those at risk of developing chronic conditions (12),
39 less is known about their effects in people with multimorbidity, which are often excluded from
40 clinical trials (13). Some Behaviour Change Techniques (BCTs) that is 'an observable, replicable and
41 irreducible component of an intervention designed to alter or redirect causal processes that regulate
42 behaviour such as action planning, self-monitoring and goal setting' (14) are strongly associated with
43 improved health behaviours in people without chronic conditions (11). The self-regulatory process
44 may be the driver of these benefits, however, the association between BCTs and health behaviours
45 in people with multimorbidity is unclear, including why some BCTs may be more effective than
46 others.

47 Due to the complexity of multimorbidity, to provide individualised care, it has been suggested to
48 focus on specific combinations of conditions, linked by specific risk factors (e.g. inactivity) and
49 pathogenesis (e.g. systemic low grade inflammation) (15-18). Osteoarthritis of the knee or hip,
50 hypertension, type 2 diabetes, depression, heart failure, ischemic heart disease, and chronic
51 obstructive pulmonary disease are among the leading causes of global disability (19). Given these
52 conditions are triggered by physical inactivity and systemic low grade inflammation, interventions

53 targeting physical activity have the potential to improve the physical and psychosocial health of this
54 population, thanks to the anti-inflammatory effect of physical activity (20). However, to our
55 knowledge, no systematic reviews have investigated the effect of behavioural interventions and
56 BCTs in the aforementioned combinations of (medical) conditions. While the BCTs that are effective
57 for people without chronic conditions may well work also for people with multimorbidity, it is
58 important to gather direct evidence (i.e., evidence delivered to the populations in which we are
59 interested) to generalise the result to the multimorbidity population. Providing a summary of the
60 effect of behavioral interventions in this population and identifying effective BCTs to improve
61 lifestyle behaviors and the physical and psychosocial health of people with multimorbidity may also
62 help to individualise treatment options for this population.

63 This systematic review aims to investigate the effect of behavioural interventions and BCTs on
64 behavioural, physical and psychosocial outcomes in people with at least two of the following chronic
65 conditions: osteoarthritis of the knee or hip, hypertension, type 2 diabetes, depression, heart failure,
66 ischemic heart disease, and chronic obstructive pulmonary disease.

67 **METHODS**

68 We followed the Cochrane Handbook recommendations for performing systematic reviews (21) and
69 and the Methodological Expectations of Cochrane Intervention Reviews (MECIR) for performing this
70 systematic review (22). This systematic review was reported following the Preferred Reporting Items
71 for Systematic Reviews and Meta-analyses (PRISMA) guidelines (23). The protocol for this systematic
72 review was made publicly available on the Open Science Framework website (24) before the title
73 and abstract screening phase was initiated.

74 **Eligibility criteria**

75 **Population.** The review included RCTs published in peer-reviewed journals including adults (≥ 18
76 years old), including people diagnosed with at least two of the following conditions (based on clinical
77 records or screening with validated instruments): osteoarthritis of the knee or hip, heart failure,
78 ischemic heart disease, hypertension (systolic blood pressure ≥ 140 and diastolic blood pressure

79 ≥90), type 2 diabetes mellitus, chronic obstructive pulmonary disease and depression as defined by
80 the studies or calculated from baseline participant characteristics. As an example, we only included
81 studies in people with depressive symptoms which required treatment. This is in line with clinical
82 guidelines for depression, highlighting that a patient with any degree of depression severity is
83 considered to have depression if offered a treatment (25). This approach prevented us from
84 including studies that included people that did not have clinical depression.

85 **Interventions.** Interventions were included if they targeted self-directed health behaviours. For
86 example, multifaceted interventions to increase physical activity and/or weight loss, among other
87 lifestyle behaviours, delivered by health care providers in a group or one-to-one format..

88 ***Behaviour Change Technique (BCT) Coding***

89 Interventions were coded for BCTs using the Behaviour Change Technique Taxonomy (v1) (14) by
90 two researchers (XX and XX). The BCT taxonomy is a reliable method for specifying, interpreting, and
91 implementing the active ingredients of interventions to change behaviours. The BCT Taxonomy v1
92 contains a cross-domain, hierarchically structured taxonomy of 93 distinct BCTs with labels,
93 definitions, and examples (14), and it is a useful method for both research and practice. Each of the
94 researchers coded all the interventions independently. Disagreements were resolved through
95 discussion, and a third reviewer (MJo) mediated where a consensus could not be reached. MJä and
96 GZ are trained in using the taxonomy and practised coding BCTs before this task via the online BCT
97 community (<https://www.bct-taxonomy.com/>). All the intervention elements that contain specific
98 BCT were coded. Only intervention (components) that closely correspond to the definitions of the
99 BCTs provided in the taxonomy were coded. Authors were contacted if data was missing or unclear,
100 and intervention protocols (or manuals) were requested to aid the BCT coding, if they were not
101 included in the RCT publications or as additional materials.

102 **Comparators.** Studies comparing interventions targeting self-directed health behaviours (i.e.,
103 physical activity and/or weight loss) to usual/standard ([e.g., advice from their health care provider](#)).

104 **Outcomes.** The rationale for including these outcomes is based on a consensus study (including 26
105 experts from 13 countries) which identified core outcomes for multimorbidity intervention studies
106 (26). This consensus highlighted the importance of selective outcome measures relevant for people
107 with multimorbidity to help create a body of evidence for people with multimorbidity as opposed to
108 people with a single condition. Additionally, the choice of adding weight loss as an outcome was
109 supported by the patient partner of MOBILIZE (the study within which the review was conducted)
110 with whom we discussed the systematic review and outcome measures included. We included
111 studies assessing at least one of the following outcomes:

112 Physical activity (objectively measured or self-reported) , change in body weight , physical function
113 (objectively measured or self-reported); health-related quality of life and depression symptoms.

114 Physical activity and weight loss were the pre-specified primary outcomes (24). These outcomes
115 were included to adhere to recommendations from a consensus paper on which outcomes to use in
116 intervention studies, including people with multimorbidity (26). The choice of these outcomes was
117 also supported by the patient partners of MOBILIZE who were invited to comment on the current
118 systematic review and the outcome measures included.

119 **Exclusion criteria**

120 We excluded interventions not targeting physical activity, those targeting health-care professionals
121 and those solely delivered via a digital solution (i.e., eHealth) to avoid repetition of an on-going
122 systematic review (<https://osf.io/5nwyr/>). RCTs published in languages other than English,
123 Scandinavian and Italian and RCTs including less than 100% of participants with at least two of the
124 chronic conditions of interest for this systematic review were also excluded.

125 **Literature search.** We searched for studies in the Cochrane Database of Systematic Reviews,
126 MEDLINE via PubMed, EMBASE via Ovid, CINAHL (including preCINAHL) via EBSCO, and the World
127 Health Organization International Clinical Trials Registry Platform (ICTRP). The search was performed
128 on June 19th, 2020 and was adapted from two reviews of the MOBILIZE project (27)
129 <https://osf.io/eszb7/> . (Additional file 1). The search was restricted to studies published after 2000

130 given that RCTs published before this date would likely not reflect the interventions, and behaviour
131 change techniques used, provided currently. Additionally, the reference lists of the included articles
132 and citation tracking were also performed using Web of Science. We also screened the latest
133 Cochrane systematic review reference lists, including people with multimorbidity (17). Furthermore,
134 we screened for completed trials in The World Health Organization's International Clinical Trials
135 Registry Platform (ICTRP) <http://apps.who.int/trialsearch/> comprising the 16 primary registries of
136 the WHO registry network and ClinicalTrials.gov. We additionally searched Web of Science for
137 studies citing the RCTs included in this systematic review (citations tracking).

138 **Search method and study selection.** The search strategy was developed for MEDLINE and was
139 customised for EMBASE, CINAHL and CENTRAL (Additional file Table 1). All terms were searched
140 both as keywords (Mesh) and as text words in title and abstract, when possible. We used the
141 Cochrane sensitive search strategy for identifying RCTs. We have not search for unpublished studies
142 due to the several issues related to identifying these studies ((28)). The literature search results were
143 uploaded to Covidence, and two reviewers (XX and XX) independently screened titles and abstracts.
144 All studies deemed eligible by at least one of the two reviewers were checked independently in full
145 text by the same two reviewers. Disagreements between the reviewers about the inclusion of
146 individual studies were discussed until consensus was reached. We recorded the reasons for
147 excluding full-text RCTs. To identify multiple reports from the same study, we checked whether
148 multiple reports from the same study were published by juxtaposing author names, treatment
149 comparisons, sample sizes and outcomes. If multiple reports of the same studies provide different
150 study characteristics such as the number of participants and presence of chronic conditions, we used
151 the primary publication.

152 **Data collection.** The following data were extracted from end-treatment follow-ups (immediately
153 after the intervention) and follow-ups as close to 12 months as possible.

- 154 • Study characteristics: location of the trial, number of patients allocated to the exercise and
155 comparator groups respectively, number of patients in the intention to treat (ITT) and per
156 protocol analysis, in the intervention and comparator groups respectively.
- 157 • Participant characteristics: Age, proportion of female, body mass index (BMI), baseline
158 severity and diagnosis of the conditions, and number, type and frequency of other
159 conditions ethnicity, and socioeconomic status (SES) (i.e., studies were labelled as ‘low SES’
160 when most of the participants were described as having low education levels, low income,
161 being unemployed, homeless, receiving government benefits, in prison, or sample was
162 labelled as ‘low SES’ in the included RCTs) (29).
- 163 • Intervention and comparator characteristics using the Template for Intervention Description
164 and Replication (TIDieR) checklist (30). This includes 12 items that are: brief name of the
165 intervention, why (rationale, theory, or goal of the elements essential to the intervention),
166 what (materials used in the interventions), what (procedure activities, and/or processes
167 used in the intervention), who provided the intervention (e.g., exercise physiologist), how
168 (modes of delivery), where (type(s) of location(s) where the intervention occurred), when
169 and how much (number of times the intervention was delivered), tailoring (If the
170 intervention was planned to be personalised, titrated or adapted, then describe what, why,
171 when, and how), modifications (if the intervention was modified during the study, describe
172 the changes (what, why, when, and how), how well (planned adherence and fidelity), how
173 well (actual adherence and fidelity).
- 174 • Outcome characteristics: time points assessed and the magnitude of objectively and
175 subjectively measured changes (e.g., change in physical activity). To avoid multiplicity, we
176 used a hierarchy of selection rules for the outcomes.

177 ***Outcome selection hierarchy.***

178 We prioritized extracting generic outcome measures, rather than disease-specific, that were widely
179 used across the conditions of interest. This method has been previously applied for people with

180 multimorbidity (15) and was guided by a scoping review mapping the behaviour change techniques
181 used in patient-centred interventions for people with multimorbidity (<https://osf.io/svt35/>).

- 182 • For objectively measured physical activity we prioritised: 1) accelerometer measures (e.g.,
183 daily time spent in moderate to vigorous physical activity); 2) pedometer (e.g., outcomes
184 such as step counts); 3) any other outcome measure related to objectively measured
185 physical activity.
- 186 • For subjectively measured physical activity we prioritised: 1) the Global Physical Activity
187 Questionnaire; 2) the Physical Activity Scale for the Elderly (PASE) Questionnaire; 3) the
188 International Physical Activity Questionnaires (IPAQ) long, short form and modified versions
189 (e.g., for the elderly); 4) any other outcome measure related to subjectively measured
190 physical activity.
- 191 • For weight loss outcome measures, we prioritised: 1) change in Body Mass Index; 2) change
192 in weight; 3) any other measure.
- 193 • For health-related quality of life we prioritised: 1) the EQ-5D questionnaire, 2) any other
194 general health-related quality of life questionnaires (e.g., the 36-item Short-Form Health
195 Survey physical component summary), 3) disease-specific health-related quality of life
196 questionnaires (e.g., The Minnesota living with heart failure questionnaire).
- 197 • For objectively measured physical function, we prioritised: 1) the 6-minute walking test, 2)
198 Incremental Shuttle Walking Test, 3) any other outcome measure related to daily function
199 (e.g., Chair stand test).
- 200 • For self-reported physical function, we prioritised: 1) the SF-36 Physical Function subscale, 2)
201 the SF-36 Role Function subscale, 3) any other self-reported measure of physical function.

202 For continuous outcomes we extracted the number of participants, mean and standard deviation,
203 standard error or 95% Confidence Interval, P value, or other methods recommended by the
204 Cochrane Collaboration (21). If the data could not be extracted from the published studies, we
205 emailed the corresponding author a checklist including the data we aimed to obtain. If the email we

206 sent bounced back, we contacted the second author and so forth. After three days, we sent a
207 reminder. After seven days of the first email, we re-sent the email to the corresponding and last
208 author. A second reminder followed ten days after the first email. We considered the data as missing
209 after not receiving any communication from the authors fifteen days after sending the first email.

210 **Risk of bias assessment and overall evaluation of the quality of the evidence**

211 The two reviewers (XX and XX) independently assessed the internal validity of all included studies
212 using the Cochrane 'Risk of Bias Tool' (version 2.0). This tool includes the following domains: (1) Bias
213 arising from the randomization process; (2) Bias due to deviations from the intended interventions;
214 (3) Bias due to missing outcome data; (4) Bias in measurement of the outcome; (5) Bias in selection
215 of the reported result. Within each domain, the two reviewers answered one or more signalling
216 questions (e.g., Was the allocation sequence random? Were participants aware of their assigned
217 intervention during the trial?) which led to judgments of "low risk of bias," "some concerns," or
218 "high risk of bias". The judgments within each domain lead to an overall risk-of-bias judgment for
219 the assessed outcome (21). Disagreements were resolved through discussion until consensus was
220 reached. The overall quality of evidence for the estimates were evaluated using the GRADE (Grading
221 of Recommendations Assessment, Development and Evaluation) approach (31). The GRADE is a
222 systematic approach to rate the quality of evidence across studies for specific outcomes. It is based
223 on five domains that involve the methodological flaws of the studies (i.e., risk of bias), the
224 heterogeneity of results across studies (i.e., inconsistency), the generalisability of the findings to the
225 target population (i.e., indirectness), the precision of the estimates and the risk of publication bias
226 (31).

227 **Synthesis of results**

228 We performed meta-analysis to assess the average effect of behavioural interventions on the
229 outcomes of interest using a random-effects model as heterogeneity was expected due to
230 differences in interventions, outcome measures etc. Statistical heterogeneity was examined as
231 between-study variance and calculated as the I-squared statistic measuring the proportion of

232 variation in the combined estimates due to between study variance. An I-squared value of 0%
233 indicates no statistical heterogeneity between the results of individual studies, and an I-squared value
234 of 100% indicates maximal statistical heterogeneity. Standardised mean differences (SMD) with 95%
235 CIs were calculated for outcome measures of continuous data but measured in different ways (e.g.,
236 all studies measured physical activity, but they use different objective tools) and adjusted to Hedges
237 g. On the other hand, for outcomes of continuous data measured in the same way (e.g., all studies
238 measured weight loss assessing the BMI) the mean differences (MD) with 95% CIs were calculated.
239 The magnitude of the effect size of the pooled SMD was interpreted as 0.2 representing a small
240 effect, 0.5 a moderate effect, and 0.8 a large effect (21). For outcome measures where a meta-
241 analysis was not possible, a narrative data synthesis of the results from individual studies was
242 performed in line with the guidance from the Cochrane handbook (21). When several intervention
243 groups were compared to one control group, the number of participants in the control group was
244 divided by the number of intervention groups, and each was analysed as a separate study
245 comparison (21). Meta-analyses were performed in STATA (V.17.0) using the 'meta' command.

246 **Meta-regression analyses and effectiveness ratio**

247 Pre-specified meta-regression analyses (24) were performed to explain heterogeneity by exploring
248 the association of different BCTs, participants, studies and intervention characteristics with effect
249 estimates. Given the explorative nature of such analyses, the most commonly reported (at least in
250 10 studies as per Cochrane handbook guidelines) patient, intervention and study characteristics
251 were chosen as moderators, but no a priori hypotheses were made on the possible associations.
252 However, since too few studies were included in the meta-analyses for physical activity and weight
253 loss we did not perform meta-regression analysis for these outcomes according to the Cochrane
254 Handbook (21). Instead, we investigated the association between BCTs and these outcomes
255 narratively, by calculating the effectiveness ratios (i.e., the ratio of the number of times each BCT
256 was used in an effective trial divided by the number of times the BCT was used in all trials). This was
257 not pre-specified. An effective trial was defined as a trial reporting a statistically significant between-

258 group difference ($P < 0.05$) or a SMD ± 0.2 (21) in favour of the intervention group. This method has
259 been used in published systematic reviews of similar topics (32-34), is deemed acceptable by the
260 Cochrane handbook (35) and was only used when at least three study comparisons were available to
261 avoid overinterpreting the results.

262 **Sensitivity and additional analyses not prespecified**

263 We performed two sensitivity analyses to explore the robustness of the findings. First, given that
264 physical activity and physical function are on the same continuum in the International Classification
265 of Functioning, Disability and Health contextualisation, they were pooled together in one meta-
266 analysis (36). Second, the meta-analysis on health-related quality of life was repeated, including the
267 mental component scores instead of the physical component scores of the SF-12 (37-40). This was
268 done due to the fact that both the physical and mental component score of the SF-12 can be used to
269 measure health-related quality of life. Furthermore, as the majority of the studies included patients
270 with depression and targeted depression symptoms in addition to lifestyle behaviours, we also
271 assessed the effect of behavioural intervention on depression symptoms.

272 **Patients' involvement**

273 The MOBILIZE project is committed to patient involvement and has so far included patients living
274 with multimorbidity in all aspects of the decision-making process in the project. Their experiences,
275 needs and preferences play an important role in developing a novel intervention (Collaborate level
276 on the IAP2 Spectrum of Public Participation). For this systematic review, two patient partners of the
277 MOBILIZE project were introduced to the review and provided feedback on what outcomes to
278 include, before starting the review.

279 **RESULTS**

280 **Study selection and characteristics**

281 The search identified a total of 1226 unique publications, of which 95 individual RCTs were identified
282 and full texts screened for potential eligibility. Ultimately, we included 14 studies (see Additional file
283 2 for an overview). The included studies were conducted in 7 countries: USA (37, 38, 40-45), Croatia

284 (46), Sweden (47), Iran (48), Turkey (49), Greece (50) and Taiwan (39) and were published from 2010
285 to 2019. The study authors of two studies (38, 50) were contacted for clarification on outcome data
286 and for requesting additional data., Both authors replied, clarified and provided the data
287 requested. The characteristics of the included studies are reported in Table 1.

288 ****INSERT TABLE 1 HERE****

289 **Participant characteristics**

290 The overall mean age of the participants (n= 1,378) included in the studies was 58.1 (SD ± 4.7),
291 50.9% were female and mean a BMI was 32.5 (SD ± 4.6). The most common combination of
292 conditions reported was type 2 diabetes and depression in 6 studies (37, 39, 40, 43, 45, 46),
293 depression and heart failure in 5 studies (38, 42, 48-50), type 2 diabetes and heart failure in 2
294 studies (41, 47) and hypertension and type 2 diabetes in one study (44).

295 **Intervention and comparator groups characteristics**

296 All the interventions targeted lifestyle behaviours, including physical activity and healthy diet. The
297 interventions were multifaceted and, in addition to usual care (e.g. counselling from their health
298 care provider), the most commonly used components were exercise therapy in 8 studies (37, 42, 45-
299 50), cognitive behavioural therapy (CBT) in 4 studies (37-39, 42), patient education in 3 studies (37,
300 42, 46), self-care in 2 studies (41, 43), and motivation enhancement therapy (39), pharmacology (43)
301 and behavioural activation (45) in one study. Exercise together with patient education and CBT or
302 behavioural activation, were used in 3 studies (37, 42, 45). The comparator groups included in meta-
303 analyses were usual care (Table 1). Therefore, when several intervention groups were included in an
304 RCT, the between-group difference was reported for all the interventions versus a comparator
305 group. For example, when a study had two intervention groups (e.g., Exercise and CBT) and one
306 comparator group (Usual care), we compared 'Exercise' versus 'Usual care' and 'CBT' versus 'Usual
307 care', and reported the results as two separate study comparisons. This procedure is in accordance
308 with the Cochrane handbook (21). The BCTs used in the included studies to target lifestyle
309 behaviours such as physical activity and weight loss are reported in Additional File 3. Overall, the

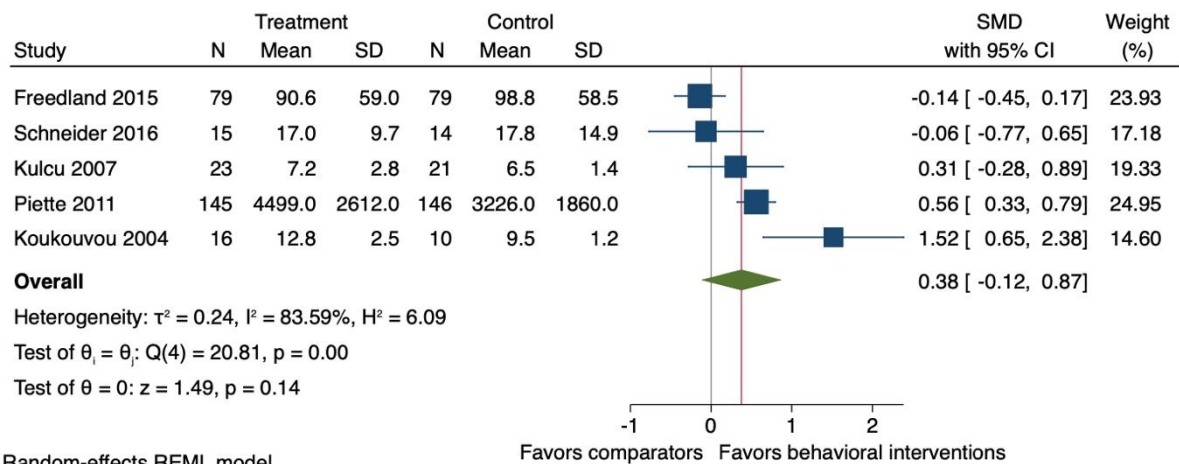
310 BCTs most commonly used were 'Instructions on how to perform the behaviour' (BCT 4.1) in all the
311 studies but one (43), 'Social support unspecified' (BCT 3.1) in 11 studies (37-39, 41-45, 48, 49) and
312 'action planning' (BCT 1.4) in 9 studies (37, 38, 40, 42, 45, 47-50). The clusters of BCTs most
313 commonly used were 'Goals and planning' and 'Feedback and monitoring' which were present 27
314 times in the 14 included studies.

315 **Outcomes characteristics**

316 Physical activity was reported in 8 studies (38, 40, 41, 43-45, 49, 50), of which 5 used an objective
317 assessment (e.g. accelerometer) (38, 40, 45, 49, 50) and 3 a self-reported tools (41, 43, 44). Weight
318 loss was reported in 6 studies (37-39, 44, 45, 50) of which 5 studies reported data about the BMI of
319 the participants and one as Kg (44). Physical function was reported in 7 studies (37, 38, 40-42, 47,
320 48) of which 5 studies used an objective assessment (i.e. the 6 minutes walking test) (37, 38, 41, 42,
321 47) and two used a self-reported tool (i.e. the SF-12) (40, 48). Health-related quality of life was
322 reported in 10 studies (37-43, 47, 49, 50). Characteristics of the outcome measures are reported in
323 Table 1.

324 **Effect of behavioural interventions on physical activity**

325 Five studies were included in the meta-analysis on physical activity. At the end-of-treatment follow-
326 ups (mean 16 weeks (SD \pm 4)), on average behavioural interventions appeared to have little effect on
327 objectively measured physical activity (k=5; n= 548; SMD 0.38, 95% CI -0.12 to 0.87; $I^2 = 83.6\%$)
328 (Figure 1), however, the evidence is uncertain. Only one study (45) reported data on long-term
329 follow up (24 weeks post randomisation), showing no difference on objectively measured physical
330 activity between the intervention and comparator group (k= 1; n= 29; SMD 0.13, 95% CI -0.58 to
331 0.84).



332 Random-effects REML model

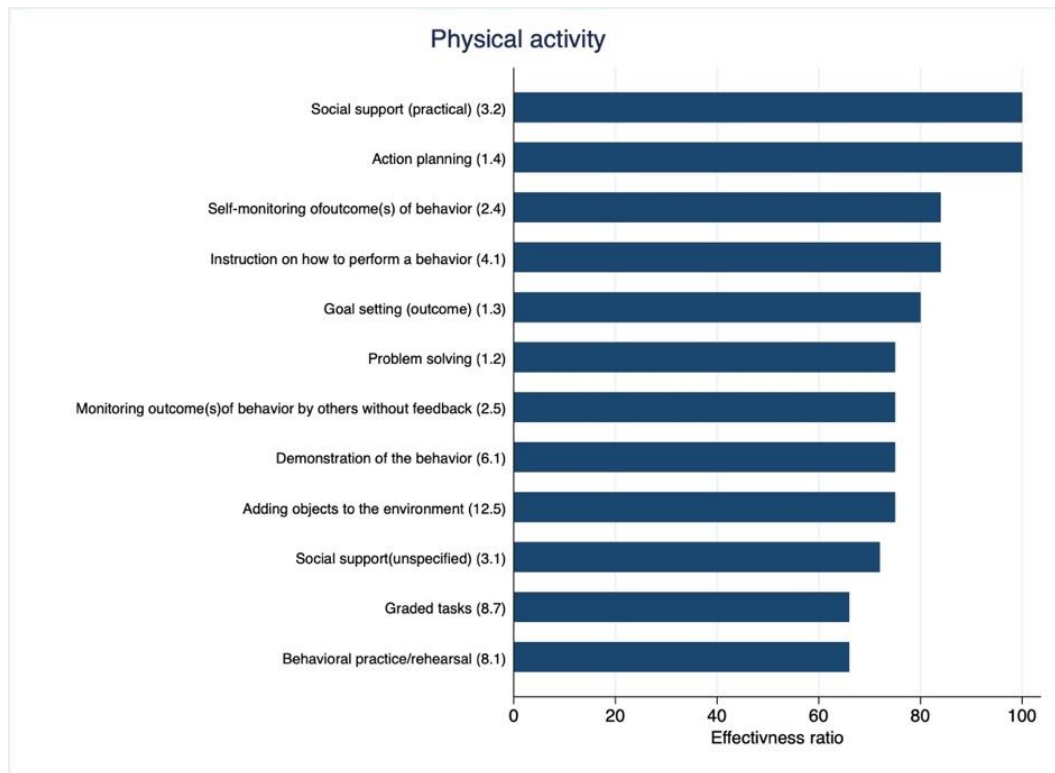
333 **Figure 1.** Forest plot for the effect of behavioural interventions compared to a usual care
 334 comparator group on objectively measured physical activity. SMD = Standardised Mean Difference;
 335 95 % CI = 95 % Confidence Interval.

336 Three studies assessed self-reported physical activity (41, 43, 44). The results of these three studies
 337 were summarised narratively as no meta-analysis was deemed eligible due to large differences in
 338 reporting of the self-reported physical activity outcome measures. Overall, these three studies
 339 reported that the participants in the intervention groups were more physically active than the
 340 participants in the control groups at the end-treatment follow-up (mean 33 weeks, SD ± 16). One
 341 study (41) reported that the percentage of participants physically active (i.e. having a Community
 342 Healthy Activities Model Program for Seniors (CHAMPS) questionnaire score >6) was 74.5% in the
 343 intervention group and 59.5% in the comparator group. Another study (43) reported that the
 344 percentage of participants physically active (two or more times per week) was 68.5% in the
 345 intervention group and 32.5% in the comparator group. While yet another study (44) reported that
 346 the participants in the intervention group improved their physical activity level (assessed with the
 347 CHAMPS questionnaires) more than the comparator group (P < 0.05).

348 **BCTs associated with physical activity (objectively measured and self-reported).**

349 Overall, 12 BCTs were reported in at least 3 study comparisons at the end-treatment follow-up, and
 350 effectiveness ratios were calculated. Ten of the 12 BCTs tested had an effectiveness ratio of more

351 than or equal to 75%, with the BCT 3.2 ‘social support (practical)’ and BCT 1.4 ‘action planning’
 352 having an effectiveness ratio of 100% (Figure 2). At the follow-up closest to 12 months, we were
 353 unable to calculate effectiveness ratios due to insufficient data. Additional file 4 reported the raw
 354 data for calculating the effectiveness ratios.



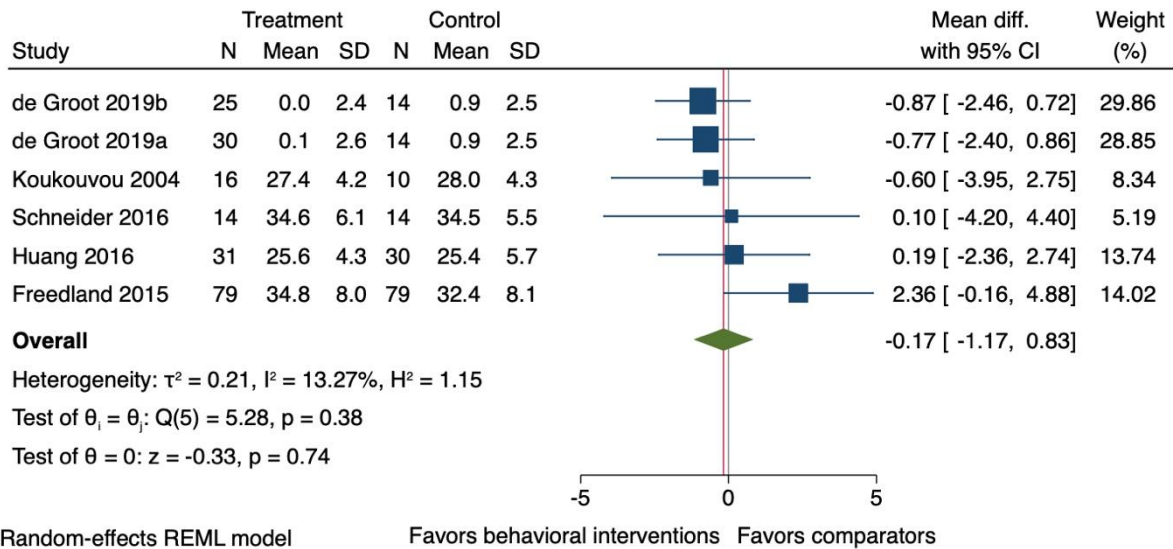
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356 **Figure 2.** Effectiveness ratio of BCTs in behavioural randomised controlled trials including people
 357 with multimorbidity. Effectiveness ratio (x-axis) = number of times each BCT (y-axis) was used in an
 358 effective trial divided by the number of times they were a component of all studies using the BCT;
 359 the higher the ratio, the more often the BCT was found effective out of the total number of studies
 360 included; x-axis= Effectiveness ratio, y-axis=BCTs.

361 **Effect of behavioural interventions on weight loss**

362 Five studies were included in the meta-analysis on weight loss (37-39, 45, 50) with end-of-treatment
 363 follow-ups (mean 18 weeks (SD ± 7). It is uncertain whether on average behavioural interventions
 364 **have had** an effect on weight loss (**k= 6; n= 356**; BMI mean difference -0.17, 95% CI -1.17 to 0.83:
 365 $I^2=13.3%$) (Figure 3). The study not included in a meta-analysis reported that the intervention group

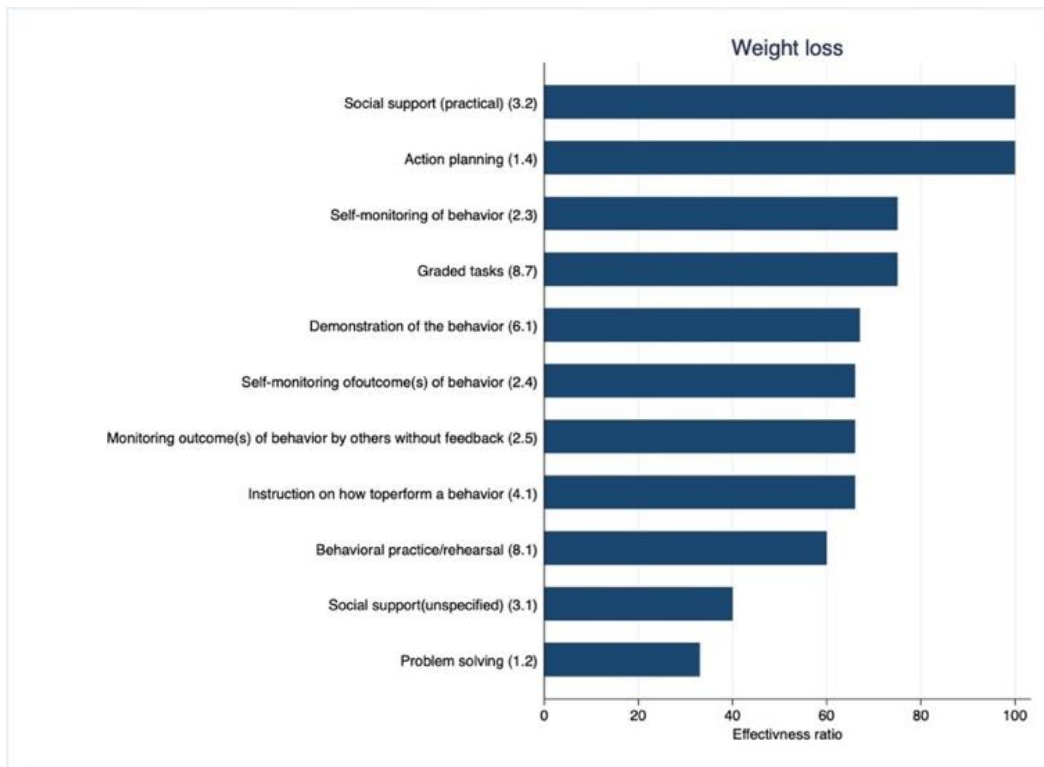
366 lost 1.8 kg (95% CI -4.3 to 0.8) more than the comparator group (44). Two studies were included in
 367 the meta-analysis with long term follow-ups (24 months post randomisation) (39, 45) showing
 368 uncertainty for the effect of behavioural interventions on weight loss (k= 2; n= 86; BMI mean
 369 difference -0.54, 95% CI -2.70 to 1.62; I²=0.0%) (Additional file 4).



370
 371 **Figure 3.** Forest plot for the effect of behavioural interventions compared to a usual care
 372 comparator group on weight loss (Body Mass Index). 95 % CI = 95 % Confidence Interval. ^{a,b}=two
 373 separate study comparisons from the same study.

374 **BCTs associated with weight loss**

375 Overall, 11 BCTs were reported in at least 3 study comparisons, and effectiveness ratios were
 376 calculated. Five of the 11 BCT tested had an effectiveness ratio of more than or equal to 75%, with
 377 the BCT 3.2 ‘social support (practical) and BCT 1.4 ‘action planning’ having an effectiveness ratio of
 378 100% (Figure 4). At the follow-up closest to 12 months, we were unable to calculate effectiveness
 379 ratios due to insufficient data. Additional file 4 reports the raw data for calculating the effectiveness
 380 ratios.



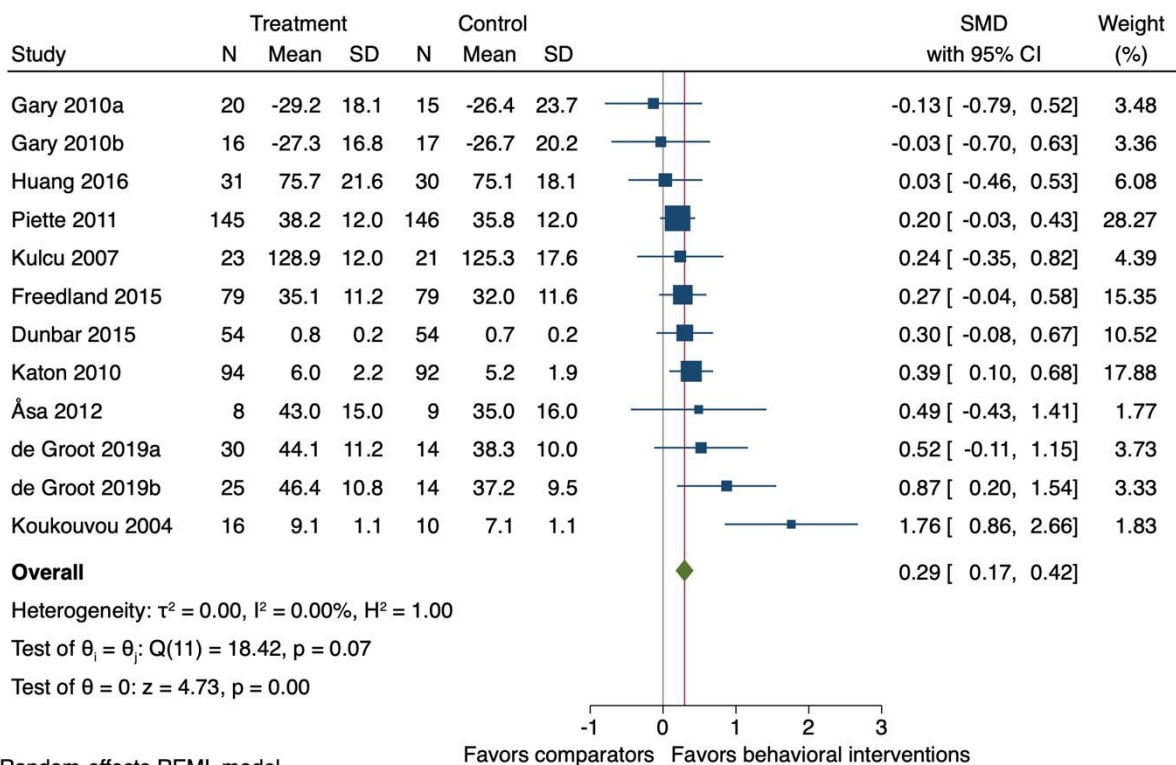
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382 **Figure 4.** Effectiveness Effectiveness ratio of BCTs in behavioural randomised controlled trials
 383 including people with multimorbidity. Effectiveness ratio (x-axis) = number of times each BCT (y-axis)
 384 was used in an effective trial divided by the number of times they were a component of all studies
 385 using the BCT; the higher the ratio, the more often the BCT was found effective out of the total
 386 number of studies included; x-axis= Effectiveness ratio, y-axis=BCTs.

387 **Effect of behavioural interventions on health-related quality of life**

388 Ten studies were included in meta-analysis on health related-quality of life at the end-treatment
 389 follow-up (mean 17 weeks (SD ± 13)). On average, behavioural interventions improved health-
 390 related quality of life (k= 10; n= 1,042; SMD 0.29, 95% CI 0.17 to 0.42; I²=0.0%) (Figure 5). Three
 391 studies were included in the meta-analysis with long term follow-ups (24 months post
 392 randomisation) (38, 39, 42) and one study was included in the narrative synthesis. Meta-analysis
 393 showed that behavioural interventions may improve health-related quality of life (k= 3; n= 233; SMD
 394 0.20, 95% CI -0.05 to 0.46; I²=0.0%). However, the evidence was uncertain (Additional File 5), and the
 395 study included in the narrative synthesis showed no difference between the intervention and

396 comparator group (46). We did not conduct meta-regression analyses or effectiveness ratio for
 397 health-related quality of life due to the absence of statistical heterogeneity in the meta-analysis.



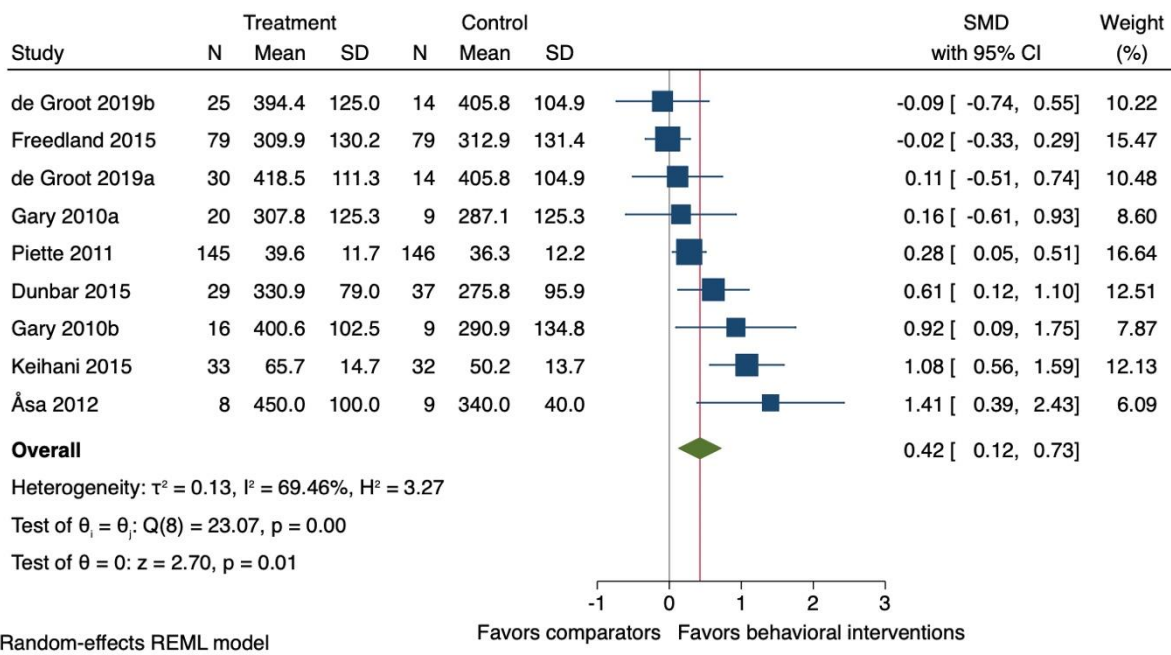
398 Random-effects REML model

399 **Figure 5.** Forest plot for the effect of behavioural interventions compared to a usual care
 400 comparator group on health-related quality of life. SMD = Standardised Mean Difference; 95 % CI =
 401 95 % Confidence Interval. ^{a,b}=two separate study comparisons from the same study.

402 **Effect of behavioural interventions on physical function**

403 Eight studies were included in meta-analysis for physical function at the end-of-treatment follow-up
 404 (mean 12 weeks (SD ± 5)). On average, behavioural interventions improved physical function (k=8 ;
 405 n=734; SMD 0.42, 95% CI -0.12 to 0.73: $I^2=69.5\%$) (Figure 6). Meta-regression analysis showed that
 406 increasing age was associated with higher effect sizes (slope 0.07, 95% CI 0.02 to 0.13) explaining
 407 65% (Adjusted R^2) of the inconsistency of the findings. A higher proportion of female participants in
 408 the studies was associated with lower effect sizes (slope -0.02, 95% CI -0.04 to -0.01) explaining 36%
 409 (Adjusted R^2) of the inconsistency of the findings. Meta-regression analysis also showed that studies

410 using the BCT 2.1 ‘Monitoring of outcome of behaviour by others without feedback’ were associated
 411 with a lower improvement in physical function than studies not using this BCT. Additionally, meta-
 412 regression analysis showed that studies using a higher number of BCTs for ‘goal setting and planning’
 413 were associated with lower effect sizes (slope -0.45, 95% CI -0.72 to -0.18) and this explained 87% of
 414 the variations in the results of the meta-analysis (Additional File 6). Finally, a sub-group analysis
 415 showed that behavioural interventions including structured exercise sessions reported a moderate
 416 improvement (k=6 ; n=219 ; SMD 0.56, 95% CI 0.08 to 1.04) compared to interventions without a
 417 structured exercise session (k=3 ; n=515 ; SMD 0.25, 95% CI -0.06 to 0.56), however, there was no
 418 statistically significant difference between the two subgroups (Additional File 7).



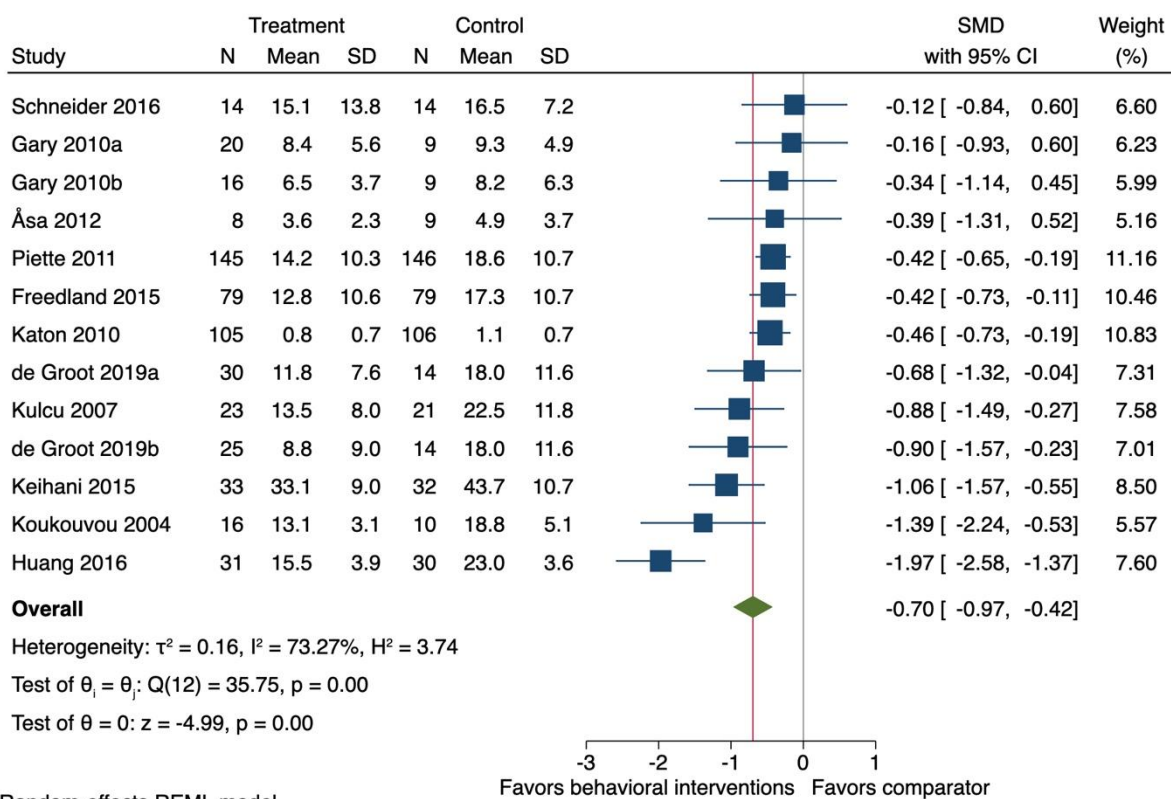
420 **Figure 6.** Forest plot for the effect of behavioural interventions compared to a usual care
 421 comparator group on physical function. SMD = Standardised Mean Difference; 95 %
 422 Confidence Interval. ^{a,b}=two separate study comparisons from the same study.

423 One study, including two study comparisons, was included in the meta-analysis with long-term
 424 follow-up (24 weeks post randomisation). The study assessed physical function with the 6 minutes

425 walking test and showed that behavioural interventions improved physical function (mean
 426 difference in meters walked in 6 minutes: 74.9, 95% CI 0.01 to 149.9; $I^2=0.0\%$).

427 **Additional analyses not prespecified**

428 Eleven studies were included in the additional analysis investigating the effect of behavioural
 429 interventions on depression symptoms. At the end-of-treatment follow-ups (mean 14 weeks (SD \pm
 430 6)) on average, behavioural interventions reduced depression symptoms ($k=11$; $n= 1,038$; SMD -
 431 0.70, 95% CI -0.97 to -0.42; $I^2 = 73.3\%$) (Figure 7). At the long-term follow-up assessment there was
 432 no effect of behavioural interventions on depression symptoms (SMD -0.38, 95% CI -1.02 to 0.26; $I^2 =$
 433 89.9%). Meta-regression analysis showed that studies including people with a higher BMI (slope 0.9,
 434 95% CI 0.04 to 0.15), studies using a higher number of BCTs for 'goal setting and planning' (slope
 435 0.31, 95% CI 0.04 to 0.58) and 'Feedback and monitoring' (slope 0.25, 95% CI 0.02 to 0.48) were
 436 associated with a lower reduction of depression symptoms. Depression severity at baseline was not
 437 associated with depression symptoms reduction (slope 0.01, 95% CI -0.02 to 0.03).

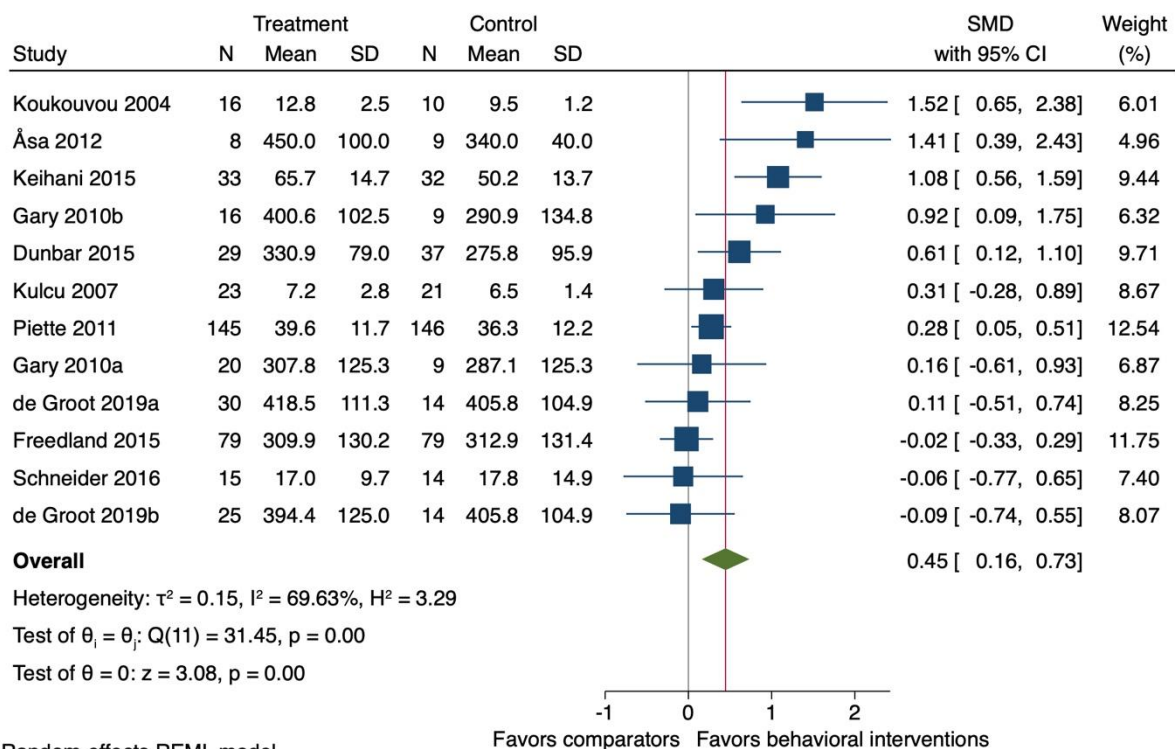


438 Random-effects REML model

439 **Figure 7.** Forest plot for the effect of behavioural interventions compared to a usual care
 440 comparator group on depression symptoms. SMD = Standardised Mean Difference; 95 %
 441 Confidence Interval. ^{a,b}=two separate study comparisons from the same study.

442 **Sensitivity analyses**

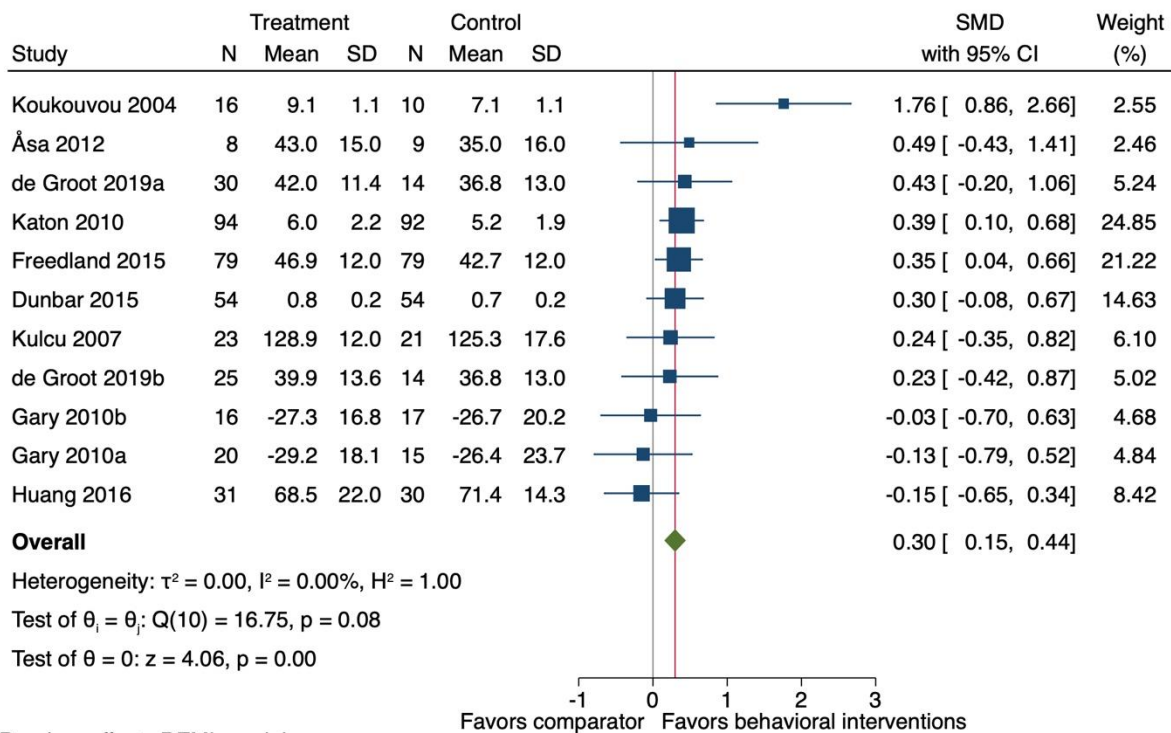
443 In the sensitivity analyses analysing physical activity and physical function together, 10 studies (12
 444 comparisons) were included. At the end-treatment follow-ups (mean 14 weeks (SD ± 6)) behavioural
 445 interventions on average, improved physical activity and physical function when combined (k= 12;
 446 n=849; SMD 0.45, 95% CI 0.16 to 0.73: I² = 69.6%) (Figure 8).



448 **Figure 8.** Forest plot for the effect of behavioural interventions compared to a usual care
 449 comparator group on physical activity and physical function. SMD = Standardised Mean Difference;
 450 95 % CI = 95 % Confidence Interval. ^{a,b}=two separate study comparisons from the same study.

451 Ten studies (11 comparisons) were included in the sensitivity for health-related quality of life (i.e.,
 452 including the mental component scale data instead of the physical component score data for the

453 studies using the SF-12). At the end-of-treatment follow-up, (mean 17 weeks (SD ± 13)) on average,
 454 behavioural interventions improved health-related quality of life (k=11; n= 754; SMD 0.30, 95% CI
 455 0.15 to 0.44: I²=0.0%) (Figure 9). These results are similar to the primary analysis results (Figure 6).



456 Random-effects REML model

457 **Figure 9.** Forest plot for the effect of behavioural interventions compared to a usual care
 458 comparator group on health-related quality of life. SMD = Standardised Mean Difference; 95 % CI =
 459 95 % Confidence Interval. ^{a,b}=two separate study comparisons from the same study.

460 **Risk of bias and overall quality of the evidence**

461 The majority of the RCTs applied a proper randomisation process and reported and assessed the
 462 outcomes of interest correctly. Due to the nature of behavioural interventions, blinding of
 463 participants is challenging as patients receiving the intervention are also the outcome assessors of
 464 the patient-reported outcomes (Additional file 8). The overall quality of the evidence assessed using
 465 GRADE, including reasons for downgrading the quality of the evidence, is summarised in Table 2.
 466 Additionally, some of the included studies were possibly underpowered to detect a between-group

467 difference due to their nature (i.e., pilot studies). However, there was no clear sign of publication
468 bias from the visual inspection of the funnel plots suggesting no sign of small study bias (Additional
469 file 9).

470 ****INSERT TABLE 2 HERE****

471 **DISCUSSION**

472 This systematic review included 14 papers from 7 countries and a total of 1,378 people with
473 multimorbidity. On average, behavioural interventions targeting lifestyle behaviours may improve
474 health-related quality of life and physical function, reduce depression symptoms, and may have little
475 to no effect on physical activity (although the 95% CI includes both important benefit and important
476 harm), and weight loss in people with multimorbidity. However, the benefits diminish over time
477 after the interventions ended, as shown by the long-term assessment meta-analyses.

478 **Overall results in context**

479 The small improvements for physical activity and weight loss observed are comparable to the short-
480 and long-term improvements seen in behavioural interventions including people with single chronic
481 diseases such as osteoarthritis (51), diabetes (52), heart disease (53), depression (54) and chronic
482 obstructive pulmonary disease (55). A possible explanation for these findings is the lack of
483 adherence to the intervention after the studies end. However, greater short-term effects on physical
484 activity and weight loss may be achieved by using the BCT ‘action planning’ and the BCT ‘social
485 support (practical)’, which may potentially have an impact on long term benefits as well (56).

486 Nevertheless, the few studies included and the nature of the exploratory analysis prevented us from
487 upgrading the confidence we have in these results. The benefits of behavioural interventions on
488 physical and psychosocial outcomes observed in this systematic review are greater than the findings
489 from a previous systematic review focusing on behavioural interventions in multimorbidity in
490 general (17). The focus on specific combinations of conditions, in our systematic review, may
491 partially explain the differences in results between the two systematic reviews. However, direct

492 comparisons of these findings should be interpreted with caution due to the different populations of
493 the two systematic reviews.

494 Studies using exercise therapy as part of the behavioural interventions appeared to promote
495 clinically relevant improvements in physical function. This is in line with another systematic review
496 focusing on exercise therapy in people with multimorbidity (15), which found a clinically relevant
497 improvement in physical function following exercise therapy. Furthermore, studies including a
498 higher proportion of males or older people and studies focusing on one BCT for 'goals and planning'
499 relative to studies focusing on two or three BCTs for 'goals and planning', reported lower
500 improvements in physical function. Similarly, using a higher number of BCTs for 'goals and planning'
501 and 'feedback and monitoring' may reduce the effect of behavioural interventions on depression
502 symptoms. This may be partially explained by the fact that focusing on many goals and being
503 monitored in many (multiple/various) aspects may be too burdensome for some patients. This is in
504 line with the results of a systematic review investigating the association between BCTs and
505 adherence to exercise in patients with persistent musculoskeletal pain, which is an issue that is also
506 common in people with multimorbidity (57). Finally, a higher reduction of depression symptoms
507 was seen in people with lower BMI. However, since very few studies were included this limits our
508 confidence in these results.

509 It is unclear why interventions targeting lifestyle behaviours, including physical activity and weight
510 loss, improve physical and psychosocial outcomes (e.g., HRQoL, depression symptoms) but not
511 necessarily behavioural outcomes. In this systematic review, two studies did not report an
512 improvement in physical activity (38, 45). A possible explanation may be that either light intensity
513 activities or sedentary time were not captured as they reported only the time spent performing
514 moderate to vigorous activity (45). By contrast, increasing physical activity, although being a
515 targeted behaviour of the intervention, was not the primary goal of the study (38). Physical activity
516 may improve in people with multimorbidity when the intervention explicitly focuses on improving it
517 (58). Additionally, another possible explanation is that patients may have improved their HRQoL or

518 depression symptoms not necessarily by being more physically active or by losing weight but by
519 adhering to one or more of the other targeted behaviours of the intervention such as quitting
520 smoking, medication adherence, and/or engaging with others. Finally, in dealing with multiple
521 morbidities, patients' mental representations of their health is more complex. As proposed by the
522 Common-Sense Model of Self-Regulation (59) which is a theoretical model that explicates the
523 processes by which individuals respond to and manage a health threat. The model proposes that
524 individuals navigate affective responses by formulating perceptions of the threat and potential
525 treatment actions, creating action plans for addressing the threat, and integrating continuous
526 feedback on action plan efficacy and threat-progression. People with multimorbidity likely to deal
527 with both the health threat that their conditions present, but also how the threat makes them feel.
528 Our results suggest that more emphasis is put on the latter to improve psychosocial outcomes,
529 including depression symptoms, rather than directing attention to only reducing the threat by
530 engaging in more physical activity.

531 **Research implications**

532 Behaviour change has been suggested to be contingent on both the capability, willingness, and
533 readiness of the individual (60) and interventions that factor in all these, and recognize the equal
534 status of intra-psychic and external factors in controlling behaviour may be more
535 successful/effective. Therefore, when developing future interventions, a (socio)ecological theoretical
536 approach that take this complexity into account by acknowledging an interplay between factors at
537 the intrapersonal, interpersonal, organizational, community, and public policy levels) should be
538 applied (60). Particularly, we suggest that future studies using behavioural interventions to improve
539 physical activity should test the BCTs and clusters of BCTs that appear to be associated with greater
540 improvements and focus on people with combinations of conditions linked by common risk factors
541 and pathogenesis. Additionally, since the short-term benefits diminish over time, possibly due to
542 lack of adherence to the interventions once the trial has ended. We suggest that future studies to
543 focus on strategies that may help patients adhere to the effective interventions, as well as the

544 investigation of interactions among BCTs, even after the intervention is finished
545 (terminated/completed/discontinued). Similarly, attention should be paid to the mode of delivery of
546 the intervention, which seems to play an important role in behavioural interventions(61-63).
547 Furthermore, the content of the interventions received by the comparator groups was often not
548 reported in sufficient details. This is unfortunately common (64), and we suggest that authors of
549 future studies follow, for example, the template for intervention description and replication (TIDieR)
550 for the comparator groups (30). Also, we suggest that future studies also measure changes of light
551 intensity physical activity as well as sedentary time, in line with the 2020 WHO guidelines for
552 physical activity (65) and include follow-up assessment close to 12 months and beyond to assess the
553 effect of behavioural intervention over time. Yet, people with multimorbidity experience more
554 health issues than people with single chronic diseases, this includes physical, psychosocial, and
555 cognitive problems (66). This should be considered when planning new interventions and involving
556 patients in the design of trials may help to improve feasibility and acceptability of the interventions.

557 **Clinical implications**

558 To improve physical activity in people with multimorbidity, health-care professionals should consider
559 encouraging, educating and planning together with the patients on what physical activity to do,
560 when and how (BCT 'action planning'). Further, health-care professionals should advise or provide
561 them with practical social support (BCT 'social support (practical) e.g. provide a membership to a
562 fitness centre and support by a qualified professional trained to deliver exercise therapy such as a
563 physiotherapist or exercise physiologist). This may also help to achieve weight loss. To achieve
564 greater improvements on physical function, we suggest focussing on one of the BCTs for 'goals and
565 planning' rather than two or three. Also, it is advisable to avoid observing or recording outcomes of
566 behaviour (e.g., physical activity) without providing feedback which appears to be associated with
567 lower improvements in physical function. Similarly, using a higher number of BCTs for 'goals and
568 planning' and 'feedback and monitoring' may reduce the effect of behavioural interventions on
569 depression symptoms. Finally, particular attention should be paid to people with higher BMI, as they

570 seem to be the sub-group of people with multimorbidity who benefit the least from reducing
571 depression symptoms from behavioural interventions (67).

572 **Strengths and limitations**

573 The strengths of this systematic review are that we followed the Cochrane handbook
574 recommendations for performing it and the PRISMA guidelines for reporting it, contacted authors of
575 the included studies to retrieve additional data about their studies, pre-specified the main analyses,
576 and followed a structured procedure to code BCTs. There are also limitations. Firstly, the scarcity of
577 studies matching our inclusion criteria is reflected in the inconsistency of the estimates of the meta-
578 analyses and gave us low power for conducting the meta-regression analyses for physical activity
579 and weight loss. However, we provided a narrative synthesis to investigate the associations between
580 BCTs and these outcomes, thereby providing the readers with useful data applicable in clinical
581 practice and research (32, 33, 68). Secondly, among the studies reporting socioeconomic status and
582 ethnicity included people of white ethnicity, with a high socio-economic status and with depression
583 and heart failure, and very few studies with other common combination of conditions, limiting the
584 generalizability of the findings to the entire multimorbid population (69, 70). Finally, we potentially
585 missed some of the BCTs used in the comparator groups who received usual care due to poor
586 reporting of comparator interventions and due to not including digital health interventions, which
587 however, is the focus of our current ongoing work (71).

588 **CONCLUSIONS**

589 Behavioural intervention targeting lifestyle behaviours appear to have, on average, little or no effect
590 on physical activity and weight loss in people with multimorbidity. By contrast, they improve health-
591 related quality of life and physical function and reduce depression symptoms. Greater
592 improvements in physical activity and weight loss are associated with using of the BCTs 'action
593 planning' and 'social support (practical)'. However, these benefits diminished after the interventions
594 terminated, highlighting the importance of further studies investigating strategies to maintain
595 behaviour change and long-term effects.

596

597 **Ethical approval: “This article does not contain any studies with human participants performed by**
598 **any of the authors”.**

599 **Informed consent: “For this type of study formal consent is not required.”**

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TABLE 1. Study, participant, intervention and outcome characteristics of the included studies.

Author, year and study acronym	Country, study design and setting	Condition type, prevalence	Condition diagnosis and severity at baseline	Age (mean), gender and BMI (mean)	Intervention characteristics	Duration (minutes), frequency, length and adherence ((number of intervention sessions attended/number of total sessions available)*100) to the behavioural intervention	Outcomes and (outcome measure)
Koukouvou et al. 2004	Greece, 2-arm RCT, Outpatient fitness centres	D (100%) HF (100%) H (12%)	D (BDI=18, mild to moderate) HF (NYHA Class II to III) H (SBP \geq 140 DBP \geq 90)	52 years 0% female BMI 28	Exercise therapy	60 min, 4 times per week for 26 weeks at a moderate intensity. Adherence 78%.	Weight (BMI)* HRQoL (QLI) Depression (BDI)
Kulcu et al. 2007	Turkey, 2-arm RCT, Cardiopulmonary rehabilitation clinic	D (100%) HF (100%)	D (BDI=19, moderate to severe) HF (NYHA Class II to III)	59 years 27% female	Exercise therapy	60 min, 3 times per week for 8 weeks at a moderate intensity. Adherence NR.	HRQoL (HQOL) Depression (BDI)
Katon et al. 2010	USA, 2-arm RCT, primary care clinics	D (100%) T2DM (100%) Coronary heart disease (27%)	D (PHQ-9=14, moderate) T2DM (Glycated hemoglobin= \geq 8) Coronary heart disease (MI, IHD Angina Pectoris)	57 years 52% female BMI 37	Self-care + pharmacotherapy	Clinic visits every 2 to 3 weeks, for 52 weeks. Adherence NR	PA (Adherence to exercise plan \geq 2 days per week) Depression (SCL-20) HRQoL (QoL 10 scale)
Gary et al. 2010	USA, 4-arm RCT, Home-based	D (100%) HF (100%) H (88%) T2DM (29%)	D (BDI-II=20, moderate) HF (NYHA Class II to III) H (SBP \geq 140 DBP \geq 90) T2DM (NA)	66 years 57% female	1) Exercise therapy 2) CBT and exercise therapy 3) CBT	45 min, 3 times per week for 12 weeks at a moderate intensity. Adherence 82%.	HRQoL (MLHFQ) PF (6MWT) Depression (HADS-D)

Piette et al. 2011	USA, 2-arm RCT, telephone based + home based	D (100%) T2DM (100%)	D (BDI=26, moderate to severe) T2DM (Hba1c (%) = 7.6%)	56 years 51% female 38 BMI	CBT + walking program	12 weekly sessions followed by nine monthly booster sessions in 52 weeks. Adherence CBT 64%.	PA (Step counts) HRQoL (SF-12 pcs) PF (SF-12 PF) Depression (BDI)
Åsa et al. 2012	Sweden, 2-arm RCT, Outpatient Centre-based	HF (100%), T2DM (100%)	HF (NYHA II-III) T2DM (Hba1c (%) = 7.4)	61 years 20% female BMI 29	Exercise therapy	45 min, 3 times a week for 8 weeks at a low to moderate intensity. Adherence 92%	HRQoL (MLHFQ) PF (6MWT)
Lynch et al. 2014	USA, 2-arm RCT, Community-based	H (100%) T2DM (100%)	H (medication usage) T2DM (medication usage)	54 years 67% female 36 BMI	Self-management	120 min, 18 sessions in 26 weeks + weekly telephone calls. Adherence NR	Self-reported physical activity (CHAMP) Weight loss (Kg)
Dunbar et al. 2015	USA, 2-arm RCT, home-based and clinic based.	HF (100%) T2DM (100%)	HF (NYHA II-IV) T2DM (Hba1c (%) = 8)	57 years, 34% female, BMI 37	Integrated Self-Care Intervention + Usual care	One individualised counselling session with family members + one home visit by the research nurse + four telephone call + one visit clinic. Duration 17 weeks. Adherence NR	PA (CHAMP)
Keihani et al 2015	Iran, 2-arm RCT, institute of cardiovascular rehabilitation in Isfahan	D (100%) HF (100%)	D (BDI = 43, severe) HF (ejection fraction equal to or less than 35%)	61 years 40% female BMI 29	Exercise therapy	60 min, 3 times per week for 8 weeks at a moderate intensity. Adherence NR	PF (SF-36 PF) Depression (BDI-D)
Freedland et al 2015	USA, 2-arm RCT, academic centre	D (100%) HF (100%) H (72%) T2DM (38%) COPD (18%)	D (BDI-II = 30, severe) HF (NYHA Class I to III)	56 years, 46% female, 36 BMI	CBT + usual care	60 min, once per week for 26 weeks and 4 telephone calls from week 26 to 52.	PA (Actigraphy 7-d average activity) PF (6MWT) Depression BDI-II)

							Weight loss (BMI)*
Pibernik-Okanović et al. 2015	Croatia, 3-arm RCT, Tertiary diabetes clinic	D (100%) T2DM (100%)	D (CES-D = 30, severe) T2DM (Hba1c (%) = 7.3)	66 years 54% female BMI 30	1) Exercise therapy 2) Psychoeducation	75 min, for once a week for 6 weeks. Adherence NR.	HRQoL (SF-12) Depression (CES-D)
Huang et al. 2016	Taiwan, 2-arm RCT, clinic	D (100%) T2DM (100%)	D (CES-D ≥ 16, moderate) T2DM (Hba1c (%) = 7.7)	54 years, 52% female, BMI 26	CBT + motivational enhancement therapy + usual care	80 min, once a week for 12 weeks (4 weeks of motivational enhancement therapy and 8 CBT sessions),	Weight loss (BMI) HRQoL (SF-12 pcs) Depression (CES-D)
Schneider et al. 2016	USA, 2-arm RCT, University of Massachusetts Medical School's	D (100%) T2DM (100%)	D (BDI-II = 20, moderate) T2DM (Hba1c (%) = 7.9)	53 years 100% female BMI 31	Exercise therapy	90min, 2 times per week for 12 weeks at a moderate intensity. Adherence 51%	Depression symptoms (BDI-II)
de Groot et al. 2019 (ACTIVE II)	USA, 2-arm RCT, Community fitness centers	D (100%), T2DM (100%)	D (BDI-II = 25, moderate) T2DM (Hba1c (%) ≥ 7%)	56 years 77% female	1) Exercise therapy: 2) Exercise therapy and CBT 3) CBT	50min (10min warm up and 10min cool down) 2 times per week for 12 weeks at a moderate intensity	Depression (BDI-II) HRQoL (SF-12 pcs) PF (6MWT)

BDI=Beck depression inventory, BDI-II= Beck depression inventory II, BMI=Body mass index, CES-D= Center for Epidemiologic Studies Depression Scale, COPD=chronic obstructive pulmonary disease, D=Depression, EuroQol-VAS= EQ quality of life visual analogue scale, GDS=Geriatric depression scale, H=Hypertension, HF=heart failure, HADS-D=Hospital and anxiety depression scale for depression(D), HbA1c= Haemoglobin A1c, HQOL= Hacettepe Quality of Life Questionnaire, HRQoL=health related quality of life, MLHFQ=Minnesota Living with Heart Failure Questionnaire, PF=physical function, 6MWT=six-minute walking test, RCT=randomised controlled trial, PA=Physical activity, PHQ-9=Patient Health Questionnaire-9, QLI= Quality of Life Index, SCL-20=

Symptom Checklist–20, SF-12= 12-Item Short Form Health Survey, SF-36= 36-Item Long Form Health Survey, T2DM=type 2 diabetes mellitus. *=data retrieved upon request from the authors of the study.

Table 2. Summary of findings table

Outcomes	Risk with Behavioural intervention	No of participants (studies)	Certainty of the evidence (GRADE)	Comments
Physical activity assessed with: Objectively measured follow up: mean 16 weeks	SMD 0.38 SD higher (0.12 lower to 0.87 higher)	548 (5 RCTs)	⊕○○○ VERY LOW a,b,c	Behavioural intervention may increase/have little to no effect on physical activity, at the end of the interventions, but the evidence is very uncertain. Greater short-term effects are associated with the use of the BCT 1.4 ‘action planning’ and the BCT 3.2 ‘social support (practical)’. The evidence is very uncertain for long term effectiveness (k=1).
Physical activity assessed with: Self-reported follow up: range 24 weeks to 52 weeks	not pooled	344 (3 RCTs)	⊕○○○ VERY LOW b,d	The evidence is very uncertain about the effect of behavioural intervention on physical activity. The three studies included reported that the participants in the intervention groups were more physically active than the participants in the comparator groups at the end-treatment follow-up (mean 33 weeks, SD ± 16). Greater short-term effects with the use of the BCT 1.4 ‘action planning’ and the BCT 3.2 ‘social support (practical)’. No assessments were made at long-term follow-ups.
Weight loss follow up: mean 18 weeks	MD 0.17 SD lower (1.17 lower to 0.83 higher)	356 (5 RCTs)	⊕○○○ VERY LOW a,b,c	The evidence is very uncertain about the effect of behavioural intervention on weight loss. One study not included in meta-analysis (due to the heterogenous weight loss outcome measurement) reported that the intervention group lost 1.8 kg (95% CI -4.3 to 0.8) more than the comparator group. Greater short-term effects are associated with the use of BCT 1.4 ‘action planning’ and the BCT 3.2 ‘social support (practical)’. The evidence is very uncertain also at long-term follow-ups (k=2).

Outcomes	Risk with Behavioural intervention	No of participants (studies)	Certainty of the evidence (GRADE)	Comments
Health-related quality of life follow up: mean 17 weeks	SMD 0.29 SD higher (0.17 higher to 0.42 higher)	1052 (10 RCTs)	⊕⊕⊕○ MODERATE b	Behavioural intervention likely increases the health-related quality of life slightly. At long term follow-ups, the effect seems to diminish slightly (k=2), but the evidence is uncertain.
Physical function follow up: mean 12 weeks	SMD 0.42 SD higher (0.12 higher to 0.73 higher)	1042 (10 RCTs)	⊕⊕○○ LOW ^{a,b}	Behavioural intervention likely increases physical function slightly. Increasing age, a higher proportion of male participants, and interventions using structured exercise sessions reported higher effect sizes at the end-treatment follow-ups. Interventions, including structured exercise sessions, reported a moderate and possibly clinically relevant improvement compared to interventions without structured exercise sessions. Using the BCT 'Monitoring of outcome of behaviour without feedback' and a higher number of BCT used for "Goals Settings and Planning" was associated with lower effect sizes at the end-treatment follow-ups. At long-term follow-ups (k=1) the effects seemed sustained.
Depression symptoms follow up: mean 14 weeks	SMD 0.7 SD lower (0.97 lower to 0.42 lower)	1038 (11 RCTs)	⊕⊕⊕○ MODERATE a	Behavioural intervention likely reduces depression symptoms. Studies including people with a higher BMI, using a higher number of BCTs for 'goal setting and planning' and using the BCT 'feedback and monitoring without feedback' were associated with a lower reduction of depression symptoms. Depression severity was not associated with effect sizes. At the long-term follow-ups the effect of behavioral intervention diminished.

***The risk in the intervention group** (and its 95% confidence interval) is based on the assumed risk in the comparison group and the **relative effect** of the intervention (and its 95% CI).

CI: Confidence interval; **SMD:** Standardised mean difference; **MD:** Mean difference

Outcomes	Risk with Behavioural intervention	No of participants (studies)	Certainty of the evidence (GRADE)	Comments
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GRADE Working Group grades of evidence

High certainty: We are very confident that the true effect lies close to that of the estimate of the effect

Moderate certainty: We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different

Low certainty: Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect

Very low certainty: We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

Explanations

- a. Quality of evidence downgraded of one level for inconsistency of the estimates
- b. Quality of evidence downgraded of one level for indirectness of the population
- c. Quality of evidence downgraded of one level for imprecision of the estimates
- d. Quality of the evidence downgraded of one level for inconsistency of the outcome measurements