

1 **Chronic environmental perturbation influences microbial community assembly patterns**

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12 **Running title:** Environmental perturbation influences community assembly

13

14 **Synopsis:** Hydrocarbon perturbation chronicity affect microbial communities, with legacy
15 effects in historically contaminated sediments and varied responses in pristine environments.

16

17 **Abstract**

18 Acute environmental perturbations are reported to induce deterministic microbial community
19 assembly, while it is hypothesised that chronic perturbations promote development of
20 alternative stable states. Such acute or chronic perturbations strongly impact on the pre-
21 adaptation capacity to the perturbation. To determine the importance of the level of microbial
22 pre-adaptation and the community assembly processes following acute or chronic perturbations
23 in the context of hydrocarbon contamination, a model system of pristine and polluted
24 (hydrocarbon-contaminated) sediments were incubated in the absence or presence (discrete or
25 repeated) of hydrocarbon amendment. Community structure of the pristine sediments changed
26 significantly following acute perturbation, with selection of different phylotypes not initially
27 detectable. Conversely, historically polluted sediments maintained initial community structure
28 and the historical legacy effect of chronic pollution likely facilitated community stability. An
29 alternative stable state was also reached in the pristine sediments following chronic
30 perturbation, further demonstrating the existence of a legacy effect. Finally, ecosystem
31 functional resilience was demonstrated through occurrence of hydrocarbon degradation by
32 different communities in the tested sites, but the legacy effect of perturbation also strongly
33 influenced the biotic response. This study therefore demonstrates the importance of
34 perturbation chronicity on microbial community assembly processes and reveals ecosystem
35 functional resilience following environmental perturbation.

36 **Keywords**

37 deterministic community assembly; bacteria; hydrocarbon degradation; ecosystem functional
38 resilience; dispersion; diversity

39 **Introduction**

40 Microbial community structure is driven by many biological and environmental factors
41 and the underlying controlling mechanisms are referred to as community assembly processes.
42 Microbial community structure is relatively stable over time, and community assembly theory
43 defines two states. A deterministic state corresponds to a system situation fully determined by
44 predictable parameter values and the initial conditions. In contrast, a stochastic state refers to
45 a phase in which variables influencing the subsequent state of a system are determined by a
46 certain level of unpredictability or randomness. Microbial communities play important roles in
47 the biodegradation of environmental pollutants, including hydrocarbons in marine
48 environments, necessitating increased understanding of microbial community assembly
49 processes following environmental perturbations. In unperturbed, stable environments,
50 community assembly is believed to be governed by stochastic processes and, based on neutral
51 theory, is mediated by dispersal, drift and speciation ¹. In contrast, deterministic assembly is
52 driven by contemporary natural or anthropogenic environmental perturbation, which induces
53 selection of microbial traits, or exclusion of taxa, so that the community is better adapted to the
54 new conditions ^{2,3}. Deterministic selection is favoured by increased intensity of environmental
55 perturbation ^{4,5} but different responses have been reported. Different initial communities
56 subjected to the same perturbation may converge to communities with similar phylogenetic
57 composition ⁶ or may diverge ⁷⁻⁹. Acute (usually intense and short-term, e.g. hours/days)
58 pollution is therefore likely to transform communities through deterministic selection, while
59 chronic (ongoing, usually less intense than acute and long-term, e.g. weeks) pollution can lead
60 to a new stable state ¹⁰.

61 Microbial community assembly processes are contingent on the nature of the
62 perturbation and new environmental characteristics, but are also influenced by previous
63 community history ^{11,12} and previous environmental disturbances. For example, historic

64 chronic perturbation can have a prolonged impact on a community even after removal of the
65 perturbation, termed a legacy effect¹¹. This effect may determine the ability of the community
66 to adapt rapidly and track environmental change. Indeed, pre-conditioning of a community to
67 a perturbation facilitates adaptation of the microbial community, through “memory” of
68 historical perturbations^{6,13}. Changes in community structure will influence the nature and rates
69 of the microbial functions¹⁴⁻¹⁶, providing alternative and potentially beneficial functions, such
70 as biodegradation and remediation of a contaminated site¹⁷⁻²⁰, while maintaining ecosystem
71 functional resilience within the global community²¹ (with ecosystem functional resilience
72 referring to the ability of a community to continue to carry out a specific function due to the
73 existence of functional redundancy²²).

74 Despite the wealth of research on microbial community assembly processes (see²³ for a
75 review), several important questions remain: a) does chronic perturbation affect community
76 assembly processes? b) does pre-conditioning of a community buffer chronic perturbations? c)
77 following an initial acute perturbation, does a secondary, identical perturbation maintain the
78 newly adapted community structure or cause additional modifications? d) is ecosystem
79 functional resilience important following environmental perturbation? Answering these
80 questions will obviously depend on the nature, strength and repeatability of perturbations and
81 the history of the sites analysed. In this study, we investigated those questions by focusing on
82 hydrocarbon (HC) pollution in marine sediments. Perturbation was achieved by
83 supplementation of sediment with phenanthrene, a model 3-ring polycyclic aromatic HC persistently
84 detected in HC-perturbed environments and a potential carcinogen²⁴. HC pollution is indeed a
85 common and global environmental perturbation and there is considerable evidence of rapid
86 changes in microbial community structure following acute HC pollution²⁵⁻²⁹. HC degradation
87 is well documented³⁰ and is performed by phylogenetically and functionally diverse
88 microorganisms that can degrade identical HCs at different rates³¹⁻³³. HC degradation

89 therefore allows study of community assembly processes and ecosystem functional resilience
90 of natural communities in an important ecological and economic context.

91 The main research objective was, therefore, to understand the impact of both chronic and acute
92 perturbations on microbial community assembly processes in the context of hydrocarbon
93 contamination. Several sediments from both estuarine and marine environments were selected
94 to represent a gradient of HC pollution, from non-contaminated ('pristine', hereafter) to
95 chronically contaminated ('polluted', hereafter) sites. These sites were exposed to an acute
96 disturbance (HC addition) to test the following hypotheses as illustrated in a conceptual model
97 in Figure 1: 1) exposure of pristine sediments to HC will induce deterministic microbial
98 community assembly through strong selection of HC-degrading microorganisms, resulting in
99 community dispersion (i.e. increased variation of community composition); 2) addition of HC
100 to both polluted and HC-amended pristine sediments will sustain deterministic assembly
101 processes until an alternative stable state is reached, which is then primed to respond to HC
102 contamination; 3) Permanent disturbance results in a stochastic state through community
103 diversification, allowing communities to adapt to and function in the new environment. In
104 addition, it is proposed that ecosystem functional resilience for HC degradation is similar
105 across replicates within each site, regardless of community composition.

106 **Materials and methods**

107 *Site sampling and microcosm setup*

108 To test the effect of chronic environmental perturbation on microbial community assembly, we
109 used databases and literature searches to identify ten sites in the United Kingdom that are well-
110 known for their higher levels of pollution (Figure S1), providing a gradient of total petroleum
111 hydrocarbon (TPH) concentration (see Figure S2 and Table S1 for details)^{34,35}. For each site,
112 5 surficial sediments (0–2 cm) were sampled, combined, homogenised and stored at 5 ± 2 °C

113 for 8 days, which was similar to measure in-situ temperatures. The TPH concentrations in
114 sediments were analysed by QTSE Environmental Ltd, owned by DETS Ltd, using a GC-MS
115 method according to MERTS and UKAS standards. Other physico-chemical properties of the
116 sites, such as total organic carbon levels were not measured, and it is acknowledged that these
117 can influence the behaviour and biodegradation of hydrocarbons.

118 The 10 selected sites represent a gradient of HC pollution, from non-contaminated ('pristine',
119 hereafter) to chronically contaminated ('polluted', hereafter) sites (Figure S2). While
120 contamination at all sites was lower than that in reported heavily polluted sites, TPH levels
121 were grouped into three classes: below detection at four sites (Montrose, Cruden Bay, Ythan
122 and North Sea), intermediate at three sites (Clyde, Forth and Findhorn) and relatively high at
123 three sites (Tyne, Wear and Tyne). Despite such a tight gradient, the sites were sufficient to
124 test our predictions and we classified the 10 sites as polluted and pristine sites based on
125 measures of TPH concentration in sediments and on literature as defined in Figure 2 for all
126 statistical analyses.

127 Seven replicated microcosms were established containing untreated control (C) and
128 phenanthrene-treated (P) sediment from each site (see sample coding in Supplementary
129 Information 1 and experimental design in Figure 2). These 140 microcosms were incubated for
130 28 days and the 70 microcosms established from the pristine sites were supplemented with the
131 same amount of phenanthrene as initially and incubated for a further 28 days to stimulate
132 chronic perturbation.

133 Phenanthrene was added to microcosms as described previously³⁶. Briefly, phenanthrene was
134 weighed into autoclave-sterilised (121 °C at 100 MPa for 21 min) 60-ml vials to give a final
135 concentration of 0.1 % (w/w) within bulk sediment. Phenanthrene was dissolved by adding 2
136 ml of acetone (HPLC grade; Sigma-Aldrich, UK) to vials and mixed with 2 g of site-specific

137 sediment until homogeneous. The same procedure was adopted for control microcosms without
138 phenanthrene addition. Following evaporation of acetone for 24 hours, 18 g sediment was
139 added to each vial and vials were loosely screw-capped and incubated at 20 °C with agitation
140 at 75 rpm. The vials were opened every 3-4 days in a sterile environment to exchange airspace.
141 Sediment samples (~1 g) for molecular analysis (nucleic acid extraction and microbial
142 community analysis) were taken at the surface of the vials at days 0 and 28 for all samples and
143 day 56 for all pristine sites (both control and phenanthrene-treated) and stored at -80 °C until
144 further analysis. Microcosms were destructively sampled at the end of incubation for
145 phenanthrene analysis.

146 To ensure incubations still contained sufficient levels of phenanthrene to promote a microbial
147 response at the end of incubations and represent a perturbation over the course of the
148 incubation, an additional set of triplicate microcosms were established and destructively
149 sampled after 21 days. Moreover, a further separate set of triplicate microcosms were
150 established for abiotic degradation controls (such as pH, temperature or UV that can possibly
151 degrade phenanthrene) using Tyndallised sediment (autoclaved 3 times over 3 consecutive
152 days).

153 Microcosm sediment results are referred to as sites hereafter, with control and phenanthrene-
154 treated representing microcosms without or with phenanthrene supplementation, respectively.

155 *DNA extraction, sequencing and processing*

156 Total genomic DNA was extracted from 0.4 g sediment using the FastDNA™ SPIN Kit for
157 Soil and FastPrep®-24 instrument (both MP Biomedicals, Cambridge, UK), according to the
158 manufacturer's instructions. DNA extracts were quantified using a spectrophotometer
159 (NanoDrop ND-1000) and then stored at -80°C until further analysis.

160 The universal bacterial and archaeal V4 regions of the 16S rRNA gene were amplified with the
161 primer set 515F/806R³⁷ using the KAPA Hi-Fidelity enzyme (Roche Diagnostics, UK). Prior
162 to MiSeq Illumina sequencing, PCR-amplified sequences were cleaned using AMPure® XP
163 beads (Beckman Coulter) and PCR-indexing was performed using the Nextera XT Index Kit
164 according to the manufacturer's protocol. Following further cleaning, library quantification,
165 normalisation and pooling of samples were performed prior to paired-end MiSeq sequencing.
166 Two runs of amplicon sequencing were performed, using the V3 (2 x 300 bp) chemistry
167 (CGEBM, University of Aberdeen, Aberdeen) and the V2 (2 x 250 bp) chemistry (NCIMB
168 Ltd, Aberdeen) to accommodate all the samples. Forward and reverse reads were screened for
169 a phred quality score greater than 30 and minimum length of 200 bp using Trim Galore v 0.5
170³⁸. All sequences were truncated to 200 bp using vsearch v 2.8 to optimise sequencing assembly
171^{39,40}. Sequence processing and assembly was performed using Mothur software v 1.39.5⁴¹ on
172 the Maxwell high performance computing cluster (University of Aberdeen). Using default
173 parameters in Mothur, sequences were aligned against the SILVA reference database v132⁴²,
174 chimeras were detected and removed using vsearch and singletons were also removed. OTUs
175 were clustered at 97% similarity using the 'opti' method and taxonomy was assigned using the
176 SILVA reference database.

177 *Phenanthrene extraction and quantification*

178 Phenanthrene was extracted from microcosm sediment to determine the microbial degradation
179 potential. Prior to extraction, sediments were spiked with 100 µl of a surrogate standard
180 solution of pristane in dichloromethane (20 µl ml⁻¹ each) to assess extraction efficiency.
181 Anhydrous sodium sulphate (5g) was added to the samples to remove interstitial water.
182 Sediments were sequentially extracted thrice with 10 ml dichloromethane by ultra-sonication
183 for 10 minutes. Extracts were combined and centrifuged at 3,000 rpm for 10 min to remove
184 suspended materials. Dichloromethane/phenanthrene analyte was then transferred to PTFE-

185 capped gas chromatography vials for analysis by gas chromatography (Varian CP3800 with 30
186 m Zebron ZB-50 column) fitted with a flame ionizing detector (GC-FID). An internal standard
187 (20 $\mu\text{l ml}^{-1}$ pentadecane in dichloromethane) was spiked into extracts immediately prior
188 injection to account for injection error. Nitrogen was used as the carrier gas at a constant flow
189 rate of 0.84 mL min^{-1} . One μl of sample was injected with a split ratio of 10:1. The injector and
190 detector temperatures were 330 $^{\circ}\text{C}$; initial oven temperature was 50 $^{\circ}\text{C}$ with a 3-min hold and
191 then increased at 10 $^{\circ}\text{C min}^{-1}$ to 110 $^{\circ}\text{C}$, followed by an increase to 200 $^{\circ}\text{C}$ at 5 $^{\circ}\text{C min}^{-1}$ with
192 a 12-min hold. Temperature was increased finally to 300 $^{\circ}\text{C}$ at 20 $^{\circ}\text{C min}^{-1}$ and held for 6 min.
193 The extraction efficiency was $86.1 \pm 2.1\%$ based on surrogate standard data. A 6-point
194 calibration curve was generated for phenanthrene to determine gas chromatography linearity
195 and retention factor responses (see ⁴³ for more detail).

196 *Statistical analysis*

197 All analyses were performed in R v 4.0.3 ⁴⁴ and figures were produced using the *cowplot*
198 (<https://cran.r-project.org/web/packages/cowplot/index.html>), and *ggplot2* ⁴⁵ packages.

199 Standard measures of alpha diversity of 16S rRNA genes (Shannon and Pielou indexes) were
200 estimated using the *vegan* package ⁴⁶. Differences in alpha diversity between treatments were
201 examined by fitting linear mixed effects models (LMM) using the *nlme* package (v 3.1) ⁴⁷
202 where we included fixed effects of treatment, time and an indicator variable HC to denote
203 polluted and pristine sites (as defined in Figure 2). We included a three-way interaction
204 between these variables (and all associated two-way interactions) to determine whether alpha-
205 diversity changed over time, whether differences were dependent on treatment (control and
206 phenanthrene) and whether these differences were consistent between polluted and pristine
207 sites. We also included a random effect of site using a random effect structure that allowed for
208 sites to respond differently over time. The optimal random effect structure was determined

209 using likelihood ratio tests (LRT) comparing nested models fitted using restricted maximum
210 likelihood (REML). The fixed effects were tested using LRT comparing nested models fitted
211 using maximum likelihood (ML). The final models also included a variance covariate (using
212 the varIdent function) to estimate a separate variance for each time period and/or for each site.
213 All final models were refitted using REML and standard diagnostic plots of residuals were used
214 to assess modelling assumptions. Subsequent pairwise comparisons of alpha-diversity between
215 relevant treatment groups were performed using the emmeans package (v 1.6)⁴⁸ and p-values
216 adjusted to control for type I error rate using Tukey's method. Due to the unbalanced
217 experimental design, this approach was applied on all pristine and polluted sites over 28 days
218 (days 0 and 28) (see details in Supplementary statistics 1 and 3) and on the pristine sites only
219 over 56 days (days 0, 28 and 56) (see details in Supplementary statistics 2 and 4).

220 Beta diversity was estimated using the vegdist function⁴⁹ with default parameters used in
221 conjunction with the Bray-Curtis distance metric and ordination was plotted by performing
222 nonmetric multi-dimensional scaling using the function metaMDS⁵⁰. Ellipses (95%
223 confidence) highlighting clustering of site-specific communities were drawn using the function
224 ordiellipse. Differences in the Bray-Curtis distance metrics over time, between site category
225 (polluted or pristine) and treatments were analysed with PERMANOVA using the vegan
226 function adonis⁴⁹. Permutations were constrained by site (see details in Supplementary statistic
227 3). Community dispersion was estimated with the function betadisper, which plots the data
228 coordinates within a principal coordinates analysis (PCoA) space and determines the centroid
229 of a defined set of samples (with the replicates being grouped by site category, treatment and
230 time combination). Euclidean distance is then measured from each group to the centroid,
231 providing a measure of multivariate dispersion between replicates. A linear mixed effects
232 modelling approach similar to the alpha-diversity analysis was then used to identify differences
233 between treatment, site category and time. Models were fitted on all pristine and polluted sites

234 over 28 days (see details in Supplementary statistic 6) and on the pristine sites only over 56
235 days (see details in Supplementary statistic 7).

236 Finally, a phylogenetic clustering model (Beta Nearest Taxon Index: β NTI) was applied to this
237 dataset to quantify potential deterministic processes. This model assumes the presence of a
238 phylogenetic signal in the dataset. Each sample was rarefied to 500 reads and the 1,000 most
239 abundant OTUs were selected. The resulting sequences were aligned using MAFFT v 7.453⁵¹
240 and a phylogenetic tree of the resulting OTUs was constructed using IQ-TREE v 1.6.12⁵². The
241 phylogenetic signal was then tested using the phylogenetic mantel correlogram provided by the
242 function *phylosignal* from the package *picante*⁵³ (see details in Supplementary statistic 8).

243 Phenanthrene degradation over time was estimated for polluted sites at day 28 and for pristine
244 sites at day 56 (due to the requirement of destructive sampling for phenanthrene quantification).
245 To account for the difference in time period, the initial phenanthrene concentration was
246 supplemented twice in the pristine sites compared to the polluted sites. Therefore, we calculated
247 the percentage degradation $((\text{start concentration} - \text{end concentration}) / \text{start concentration})$
248 instead of using the final concentration. Similar to the alpha-diversity and dispersion analysis
249 we used a linear mixed effects model to analyse phenanthrene degradation and included
250 treatment, time and site category (HC) as fixed effects, a three-way interaction between these
251 variables (and all associated two-way interactions) and a site random effect to account for
252 between site variability (see details in Supplementary statistic 9).

253 **Results**

254 *Microbial diversity and community structure*

255 The 16S rRNA MiSeq sequencing approach yielded an average of 48,663 reads per sample (\pm
256 1,143 standard deviation (SD)). Five samples (out of 350) were omitted due to low read depth

257 (TS_C_1_3, YT_C_1_3, WE_P_0_4, CL_P_0_1, FH_C_1_2). Samples were then rarefied to
258 9,000 reads (the lowest read depth in all samples) before further analysis.

259 Shannon diversity (H') estimates (Figure 3) differed between treatments (control or
260 phenanthrene) and this difference was different over time (over the 28 days period) and whether
261 the samples came from a polluted or pristine site (Supp Statistic 1: LMM; three-way interaction
262 between treatment, time and site category; F -value = 5.8033 and P -value = 0.0167). These
263 Shannon estimates were initially similar between all control sites (mean 6.25 ± 0.52 SD) (LMM
264 contrast pristine-polluted, P -value = 0.9970) and remained constant during incubation over 28
265 days for the polluted sites (Supp Statistic 1: LMM contrast day 0-day 28, P -value = 0.2906)
266 and over 56 days for the pristine sites (Supp Statistic 2: LMM contrast day 0-day 56, P -value =
267 0.8303). In phenanthrene-treated communities, diversity significantly decreased over time in
268 pristine sites (Supp Statistic 2: LMM contrast day 0-day 56, difference = -1.2508, P -value
269 <0.0001) but not in the polluted sites (LMM contrast day 0-day 28, P -value = 0.0871).
270 Evenness (estimated by Pielou's J index) followed a similar pattern (Figure S3; Supp Statistics
271 3 and 4).

272 Microbial community composition was significantly different between control and
273 phenanthrene treated samples and these differences were dependent on time and whether
274 samples were from pristine and polluted sites (Figures 4, S4, S5; Supp Statistic 5: adonis, P -
275 value <0.0001). Variation in microbial community structure was also analysed via an index of
276 microbial community dispersion between replicates, with replicates being grouped by site
277 category (pristine or polluted), treatment and time combination. Microbial dispersion differed
278 between treatments (control or phenanthrene) and this difference differed over time (over the
279 28 days period) and depended on the sample origin (whether the samples came from a polluted
280 or pristine site) (Figure 5; Supp Statistic 6: LMM; significant three-way interaction between
281 treatment, time and site category; F -value = 10.9251 and P -value = 0.001). In the absence of

282 phenanthrene, the mean dispersion remained constant over 56 days for the pristine sites (Supp
283 Statistic 7: LMM contrast day 0-day 56, P -value = 0.3899) but increased over the 28 days for
284 the polluted sites (Supp Statistic 6: LMM contrast day 0-day 28, P -value = 0.0456). In the
285 presence of phenanthrene, the mean dispersion remained constant over 28 days for both the
286 pristine and polluted sites (Supp Statistic 6: P -value = 0.1087 and 0.1396, respectively), but
287 the mean dispersion increased in the second incubation period (between days 28 and 56) for
288 the pristine sites (Supp Statistic 7: LMM contrast day 28-day 56, P -value = 0.0494) resulting
289 in a continuous community dispersion for those sites over the whole incubation (Supp Statistic
290 7: LMM contrast day 0-day 56, P -value = 0.0006).

291 To quantify deterministic processes involved in the diversity differences, we aimed to apply a
292 phylogenetic clustering model (Beta Nearest Taxon Index: β NTI) to this dataset. This approach
293 has been previously applied to different datasets following identification of a phylogenetic
294 signal, which is the statistical tendency of related phylotypes to share more trait values than
295 random phylotypes from the same tree, due to their phylogenetic relationship^{10,54}. However,
296 analysis of the phylogenetic mantel correlogram in this dataset indicated an absence of a
297 significant phylogenetic signal (Figure S6), which prevented application of this approach.

298 *Community composition*

299 The heatmap representing the relative abundance of the 20 most abundant families of the total
300 community (based on the 16S rRNA gene) indicates that communities were not frequently
301 strongly dominated by a single family (Table 1). Bacteria dominated phenanthrene-treated
302 sediments at day 0 in all sites except the North Sea, which contained 24% of archaea of the
303 family *Nitrosopumilaceae* (Table 1). However, it is recognised that there are known biases with
304 the universal primer pair used here, including underestimation of SAR11 and
305 Thaumarchaeota/Crenarchaeota⁵⁵. The most common bacterial phyla in control sediments

306 were Actinobacteria, Bacteroidetes, Chloroflexi, Planctomycetes and Proteobacteria (mainly
307 α , β and γ).

308 Among major community changes observed over time, the relative abundance of a diverse
309 range of 10 families changed by >10% over time in at least one site (Table 1). Several bacterial
310 families, e.g. *Burkholderiaceae*, *Rhodobacteraceae* and *Piscirickettsiaceae*, were selected in
311 several sites. In contrast, the relative abundance of several families (e.g., *Flavobacteriaceae*,
312 *Pirellulaceae* and *Nitrosopumilaceae*), decreased during incubation with phenanthrene, these
313 changes being more prominent in pristine sites (Table 1).

314 *Phenanthrene biodegradation*

315 In order to estimate as accurately as possible the level of phenanthrene degradation, we ensured
316 that phenanthrene was present in microcosms throughout the incubation period and estimated
317 that $9 \pm 3\%$ and $28 \pm 6\%$ of the total added HC remained at day 21 within polluted and pristine
318 sediments, respectively. In addition, most phenanthrene degradation was biotic, as <5%
319 degradation occurred in the sterilised control microcosms ($n=30$) over the entire incubation
320 period. After incubation, phenanthrene degradation was greater in polluted than pristine
321 sediments (95 vs 78%) (Figure 6; Supp Statistic 9: LMM; $p<0.001$), suggesting that pre-
322 exposure facilitates degradation ability following contaminant exposure. Low degradation
323 variability between replicates (Figure 6) contrasted with the high community dispersion
324 (Figure 5) and high variability of dominant taxa (Tables 1 and S2).

325 **Discussion**

326 Determining the impact of environmental perturbation on microbial community assembly
327 provides insight into community resistance, resilience, ecosystem functional resilience and
328 ecosystem processes^{22,56-58}. In this study, we demonstrated that acute environmental change
329 influenced microbial community structure and ecosystem function differently, depending on

330 the frequency of perturbation and level of historical legacy. Microbial communities from
331 chronically perturbed sediments were more resistant to acute environmental change, whereas
332 selection of specific microbes in non-perturbed sediments caused significant changes in
333 community structure. The underlying community assembly processes in both scenarios relate
334 to the conceptual model (Figure 1), which proposes that a shift from stochastic to deterministic
335 state corresponds to a decrease in diversity and increase in community dispersion. This model
336 does not consider the ecosystem function of the microbial communities, as functional
337 redundancy will be highly dependent on community composition.

338 *Effect of disturbance on microbial diversity, community structure and community assembly*
339 *processes*

340 Initial microbial community diversity was similar across locations between pristine and
341 polluted sites, regardless of perturbation history (Figure 3; Supplementary statistic 1). This was
342 surprising, as several studies report reduced biodiversity in sediments subjected to
343 environmental perturbations⁵⁹⁻⁶¹, but this could be explained by the relatively low level of
344 contemporary contamination in the selected contaminated sites of our study. It is assumed that
345 sediments used in this study which were subject to historic perturbation of 10-100s of years led
346 to a stochastic state through events such as adaptive evolution through horizontal gene transfer
347⁶², which is well documented in HC-degrading organisms (see⁶³ for a review). Long-term
348 environmental pressure is also known to promote community diversification of well-adapted
349 phylotypes⁶⁴. The occurrence of these phylotypes in the different sites allows their putative
350 classification as specialists and generalists based on their classical ecological definitions⁶⁵,
351 with generalists being more geographically widespread than specialists but performing fewer
352 ecosystem functions. While our dataset does not allow clear distinction between specialists and
353 generalists (in particular due to the relatively limited number of sites), several phylotypes
354 affiliated to families known to degrade HCs were detected in chronically contaminated

355 sediments, such as *Burkholderiaceae*, *Rhodobacteraceae* and *Piscirickettsiaceae* (Table 1).
356 This suggests the selection of habitat specialists under such conditions (see “Selection of
357 hydrocarbon-degrading communities and ecosystem functional resilience” section for more
358 details). In addition, a more holistic characterisation of specialists and generalists would require
359 determination of the physiological traits of putative specialists.

360 Phenanthrene addition significantly decreased alpha diversity of microbial communities in
361 pristine sites during the incubation period (Figure 3). Addition of HCs has frequently been
362 reported to decrease total bacterial diversity^{61,66}, while the impacts of oil addition on archaeal
363 communities are contradictory, with a decrease and increase in archaeal diversity observed in
364 beach sand microcosms⁶⁷ and water column samples⁶⁸, respectively. These changes are
365 probably due to selection and growth of microbial communities capable of oil degradation,
366 although this is based on relative abundance data, not quantitative abundance of each taxon. In
367 addition, perturbation of pristine sediments in the present study led to microbial community
368 dispersion related to broader phylogenetic content (Figure 5), that supports community
369 restructuring and potential deterministic selection of different habitat specialists. Incubation of
370 polluted sites constrained microbial community dispersion (Figure 5), suggesting maintenance
371 of a stable community mediated by stochastic processes with continued selection of habitat
372 specialists. While such approach could not be applied in our study, quantification of the
373 proportion of deterministic and stochastic processes in microbial systems using null models
374 and associated indices such as the β -nearest taxon index (β NNTI)⁵⁴, previously revealed that
375 deterministic assembly was associated with environmental changes in non-perturbed
376 environments³.

377 Inclusion of a relatively large number of replicates for each site and multiple sites enabled
378 assessment of dispersion of community composition following disturbance. This approach
379 provided evidence for the hypothesis that pristine sediment communities diverge from their

380 initial composition following phenanthrene amendment due to heterogeneous deterministic
381 selection. Such deterministic selection has also been reported in sediment-water communities
382 ⁶⁹, with several potential selection mechanisms, both following an oil perturbation in marine
383 sediments or perturbations in soil (*e.g.* drought, fertiliser amendment, ploughing). Firstly,
384 interspecies interactions result in variable responses due to complex dynamics between
385 microbial communities and their specific environments ⁷⁰. Secondly, niche differentiation and
386 specialisation can result in co-occurrence of phylogenetically different but functionally
387 redundant taxa ⁷¹. Thirdly, competition for resources may result in non-specific selection of
388 taxa if microorganisms have similar resource affinities and growth rates ⁷².

389 *The influence of perturbation chronicity on microbial community assembly*

390 All sites in this study had relatively low levels of contamination compared to previous
391 literature, of which 3 sites presented higher levels; the distinction between high and moderate
392 contamination is relatively arbitrary due to the skewed gradient of contamination towards lower
393 concentrations (Figure S2). As expected, initial community structure was not fully controlled
394 by hydrocarbon contamination, with some polluted or pristine sites presenting similar
395 composition (*e.g.* Clyde and Cruden Bay, Figure 4), probably due to the influence of other
396 biotic and abiotic factors. Visual analysis of temporal changes in community composition
397 (Figure 4) provided evidence for the hypothesis that communities pre-adapted to a specific
398 perturbation were primed and became resistant to that environmental disturbance. In addition,
399 in the polluted sites, community composition was maintained throughout additional
400 perturbation (Figure 4, Table 1) and both community diversity and dispersion remained
401 unchanged following perturbation (Figures 3 and 5). Such maintenance of community
402 composition, despite environmental disturbance, can be explained by community history,
403 which is often a better predictor of community assembly than contemporary environmental
404 conditions ¹². Pre-conditioning a community to a new habitat results in predictable and

405 reproducible community assembly⁶. In particular, pre-exposure of microbial communities to
406 HCs is known to prime the microbial response^{13,73}. Microbial communities within the Gulf of
407 Mexico were believed to be pre-conditioned to HC exposure from natural crude oil seeps,
408 which was postulated as a major factor for the rapid response of water column microbial
409 communities to HC influx following the *Deepwater Horizon* oil spill²⁷.

410 The responses of phenanthrene-treated polluted and both sets of phenanthrene-treated pristine
411 sites can theoretically be fitted to a recently described species sorting model¹¹, which
412 determines the impact of legacy effects on the community response to environmental
413 perturbation. This model considers four different scenarios: (1) no legacy effect, (2) transient
414 legacy effect, (3) persistent legacy effect and (4) mixed scenario¹¹. In this study, polluted sites
415 were subjected to a long-lasting legacy of exposure to HCs and other pollutants, resulting in
416 limited community composition shifts following perturbation (scenario 3). Conversely, the
417 pristine sites displayed a gradual community shift following perturbation over the two periods
418 of incubation with evidence of community shifts via species sorting, representing a transient
419 legacy effect and maintenance of an alternative state (scenario 2).

420 *Selection of hydrocarbon-degrading communities and ecosystem functional resilience*

421 Pristine communities perturbed with phenanthrene promoted preferential selection of families
422 with known HC-degrading members across different geographical sites (e.g.
423 *Burkholderiaceae*, *Rhodobacteraceae* and *Piscirickettsiaceae*), despite differences in initial
424 community composition (Table 1)⁷⁴⁻⁷⁶. Selection of these families induced significant
425 community changes, which are frequently observed in HC contamination studies⁷⁴⁻⁷⁶, as
426 contemporary environmental heterogeneity selects for niche-specific organisms. Selection of
427 multiple microbial families upon addition of a single HC source is common^{72,77-79}, as distinct
428 bacterial families are able to coexist. For example, strong selection of *Burkholderiaceae* at
429 several sites suggests their prominent role in phenanthrene degradation as previously

430 demonstrated by stable isotope probing⁸⁰. Similarly, members of the *Rhodobacteraceae* family
431 were also retrieved in several phenanthrene-treated communities, probably due to their high
432 polycyclic aromatic hydrocarbon degradation potential⁸¹. Finally, *Piscirickettsiaceae* (specifically
433 the genus *Cycloclasticus*) relative abundance increased following phenanthrene addition (from
434 <0.1% initially to 6–12%), which reflects its capacity to respond rapidly to polycyclic aromatic
435 hydrocarbon addition^{82–84}. While no absolute abundance was estimated in the present study,
436 one may expect selective growth of these taxa rather than death of the other taxa, and specific
437 selection of functionally relevant taxa from the rare biosphere has been discussed previously
438 ^{85–87}.

439 Generic microbial functions such as respiration and biomass production are believed to be more
440 redundant than specialised functions such as HC-degradation⁸⁸, given the specificity of the
441 genes and enzymes required for metabolism of specific HC structures (see [37] for examples).
442 Following perturbation, phylogenetic diversity of HC-degrading organisms is known to
443 increase, leading to a higher HC-degrading capability^{89,90}. Perturbation of sediment
444 communities in this study resulted in varying levels of biotic phenanthrene degradation
445 between polluted and pristine sites (Figure 6). For the communities who have reached a stable
446 state following perturbation (e.g. the polluted sites), phenanthrene degradation was high and
447 consistent across all sites despite different community structures (Figure 6, Table 1). This
448 ecosystem functional resilience between replicated disturbed communities suggests functional
449 similarity as previously suggested²² and therefore supports previous evidence for functional
450 redundancy within HC-degrading systems^{91–94} and novel evidence of functional similarity in
451 such systems. While the taxonomic level responsible for this ecosystem functional resilience
452 should be further examined, the importance of other drivers than community composition such
453 as abundance and activity of competent contaminant degraders or environmental conditions in
454 the sediment would also require further investigation as both can influence rates of

455 phenanthrene degradation. For example, higher residual levels of contaminant could remain in
456 organic rich sediments due to sorption and reduced bioavailability, even in the presence of
457 organisms with similar metabolic capabilities. To summarise, this study reinforced theories of
458 community history legacy effects on microbial community assembly in the context of
459 phenanthrene degradation. Furthermore, it demonstrated that community assembly processes
460 and resulting ecosystem functions at these sites depended on the chronicity of phenanthrene
461 environmental perturbations. Indeed, only high levels of phenanthrene perturbation allowed
462 pre-adaptation of communities to acute perturbation and short timescales following
463 perturbation may be insufficient to achieve community stability. This information significantly
464 advances our understanding of the microbial communities responsible for degradation of
465 pollutants and is therefore important for both informed responses to remediation following oil
466 spills and assessment of environmental impacts.

467 **Author Contributions**

468 LDP, JIP and CGR conceived the study; LDP and LJP collected samples; JAA, UW, JIP and
469 CGR contributed reagents; LDP conducted experiments and AD and LDP performed all
470 analyses; LJP assisted with HC extractions; LDP and CGR wrote the manuscript with input
471 from JIP and AD and all authors accepted the final version of the manuscript.

472 **Supporting Information**

473 Additional experimental details and results, including statistical analyses. This information is
474 available free of charge via the Internet at <http://pubs.acs.org>.

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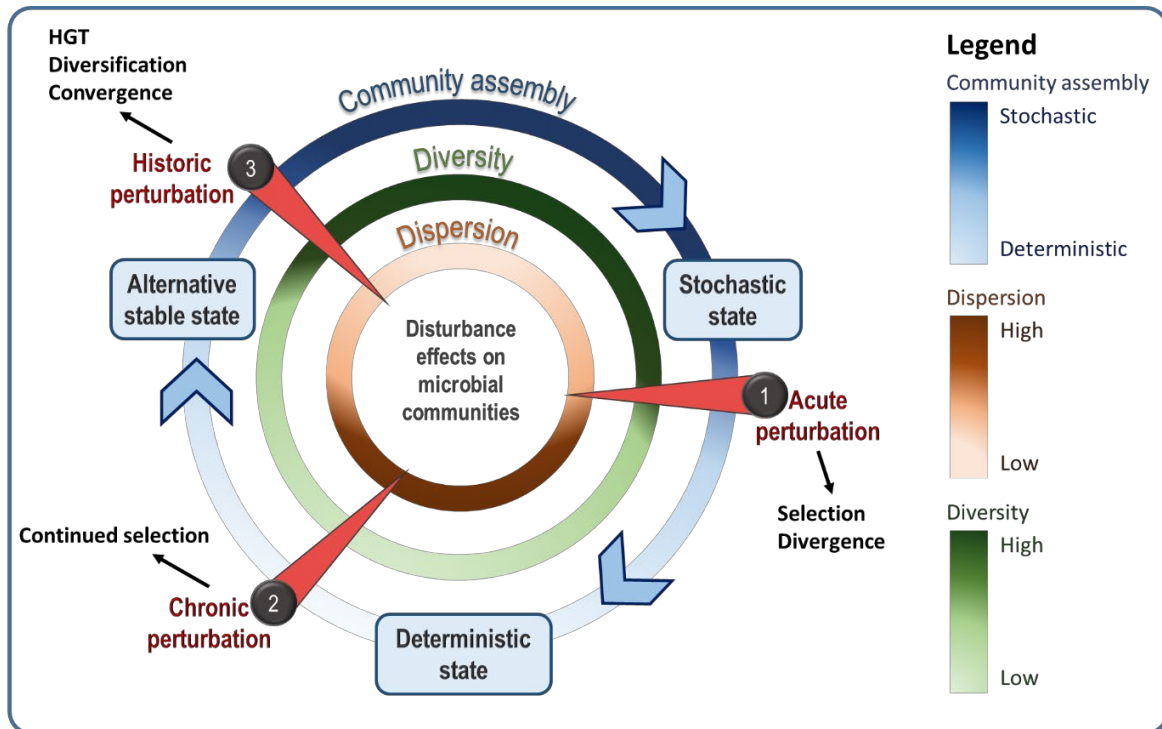
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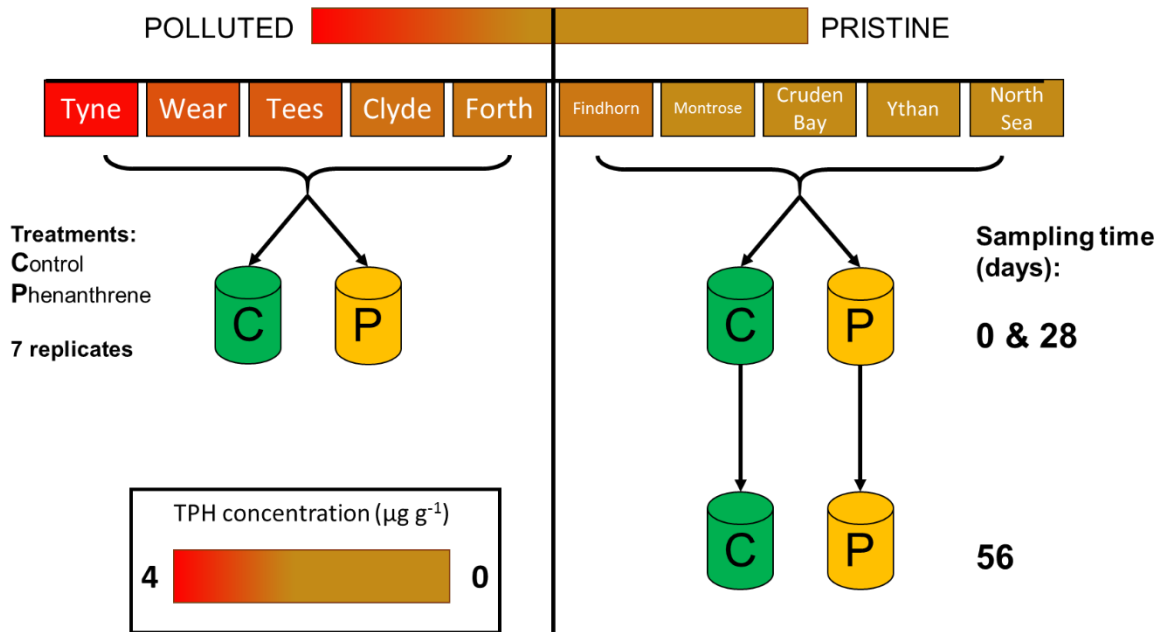
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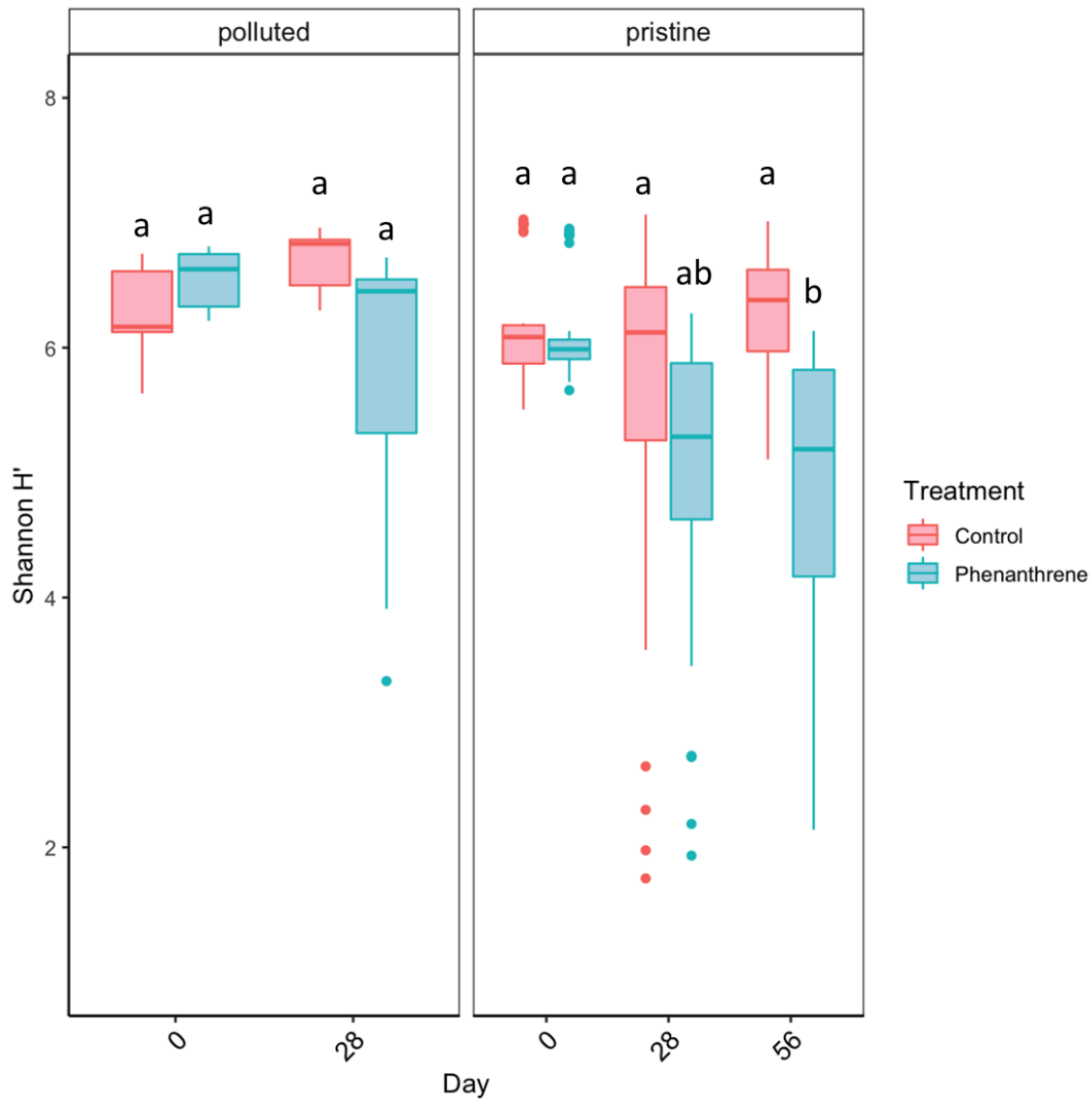
840 **Figure 1:** Conceptual model illustrating the effects of acute and chronic environmental
 841 disturbance on microbial community assembly processes. 1) Acute perturbations induce
 842 deterministic assembly where niche-specific specialists are selected resulting in decreased
 843 community diversity. Due to interspecies interactions such as competition, cooperation and
 844 succession, distinct communities under the same perturbation will diverge phylogenetically
 845 resulting in increased community dispersion. 2) Continued (chronic) perturbation will maintain
 846 this deterministic state with continued selection of specialists until an alternative stable state is
 847 reached. 3) Perturbation on a decadal, or longer, scale will cause deterministic processes to be
 848 overruled by random stochastic processes such as dispersal. A permanent change in
 849 environment may promote community diversification and a cumulative increase in horizontal
 850 gene transfer (HGT) events allowing the community to adapt evolutionarily and thrive. This
 851 results in restoration of higher microbial diversity and a reduction in community dispersion.

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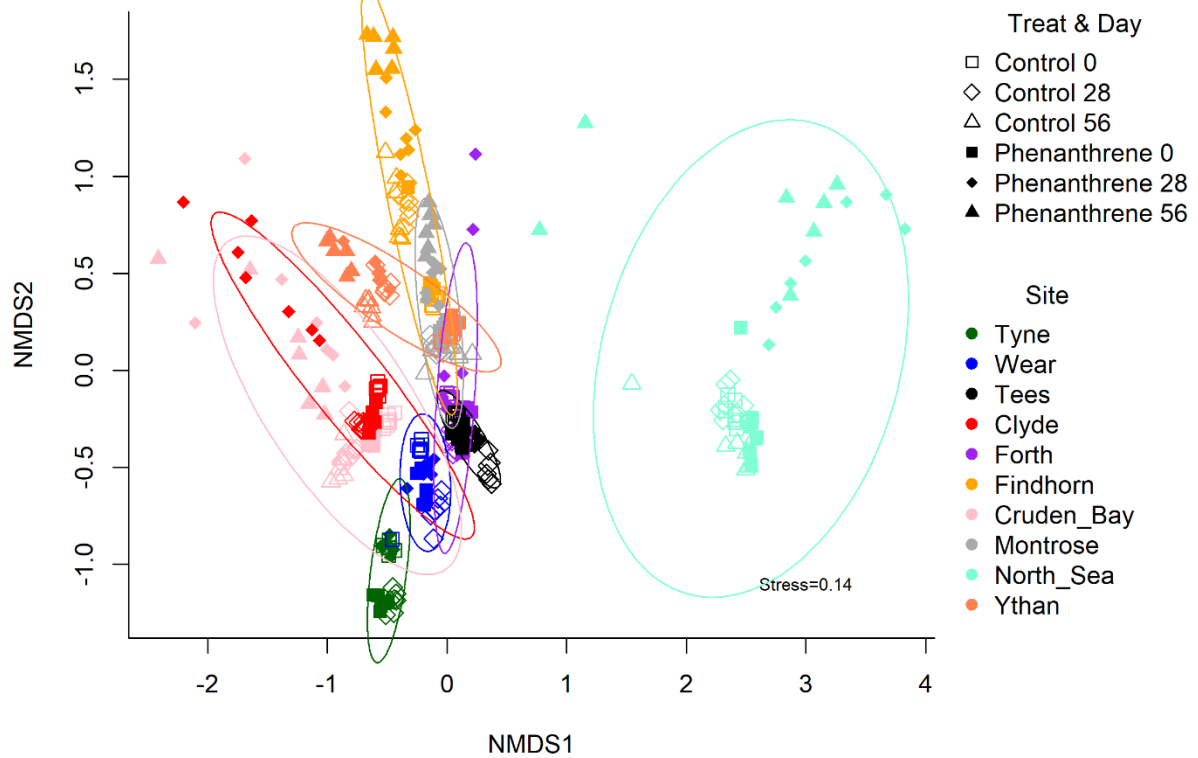


854 **Figure 2.** Schematic of the experimental design. Five polluted sites (Tyne, Wear, Tees, Clyde
 855 and Forth) and five pristine sites (Findhorn, Montrose, Cruden Bay, Ythan and North Sea) were
 856 sampled based on pollution history using literature and database resources, and their
 857 classification as ‘polluted’ and ‘pristine’ was based on measured total petroleum hydrocarbon
 858 (TPH) concentration. TPH concentration within each site prior to incubation is shown as a
 859 colour gradient from highest (red) to lowest (light brown). Each site was treated with
 860 phenanthrene (P; yellow) or left untreated as a control (C; green), with 7 replicates for each
 861 treatment. Pristine sites were also amended with additional phenanthrene on day 28 to simulate
 862 a chronic perturbation.

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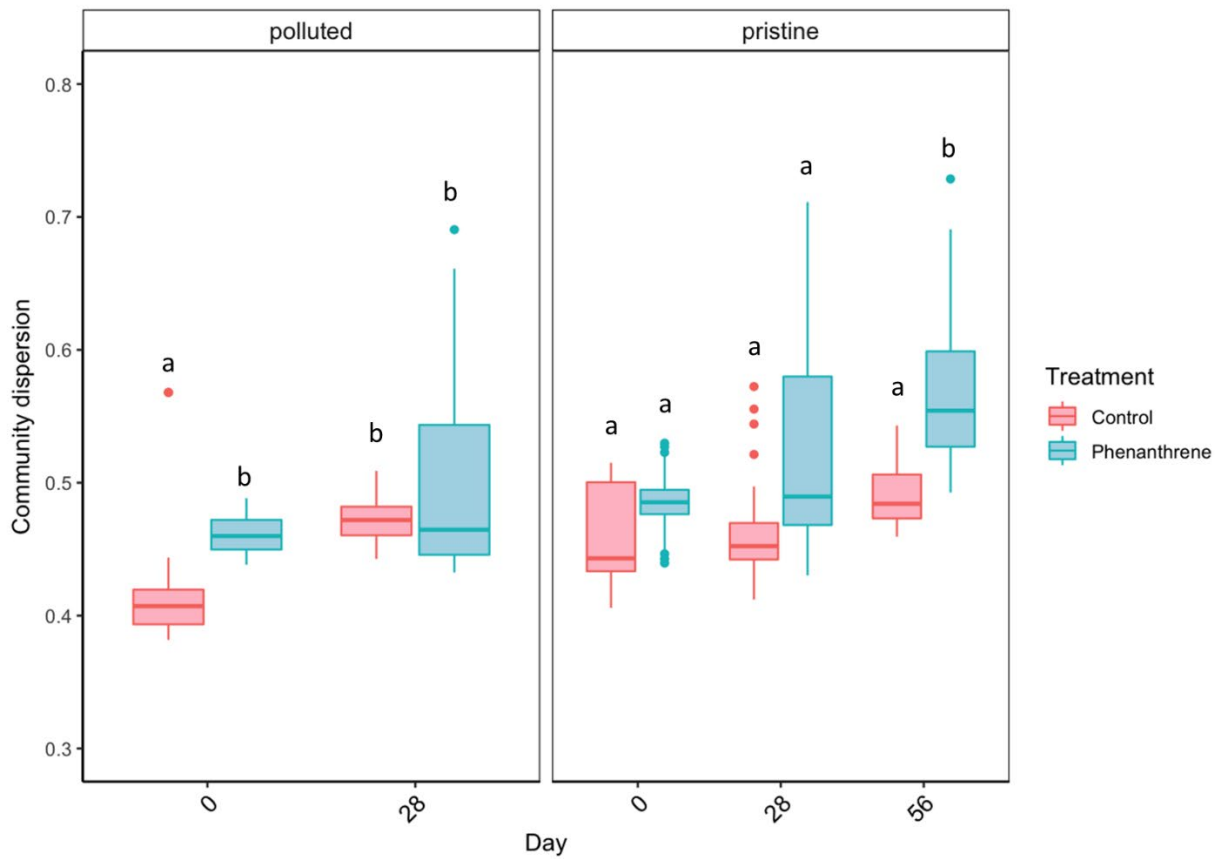


865 **Figure 3:** Estimated alpha diversity (Shannon index) across all the pristine and polluted sites
 866 in control and phenanthrene-treated communities over time; only the pristine communities
 867 were incubated for 56 days. Letters indicate significant differences and are based on statistical
 868 analyses performed over 28 days for the polluted sites (see Statistic 1) and over 56 days for the
 869 pristine sites (see Statistic 3).

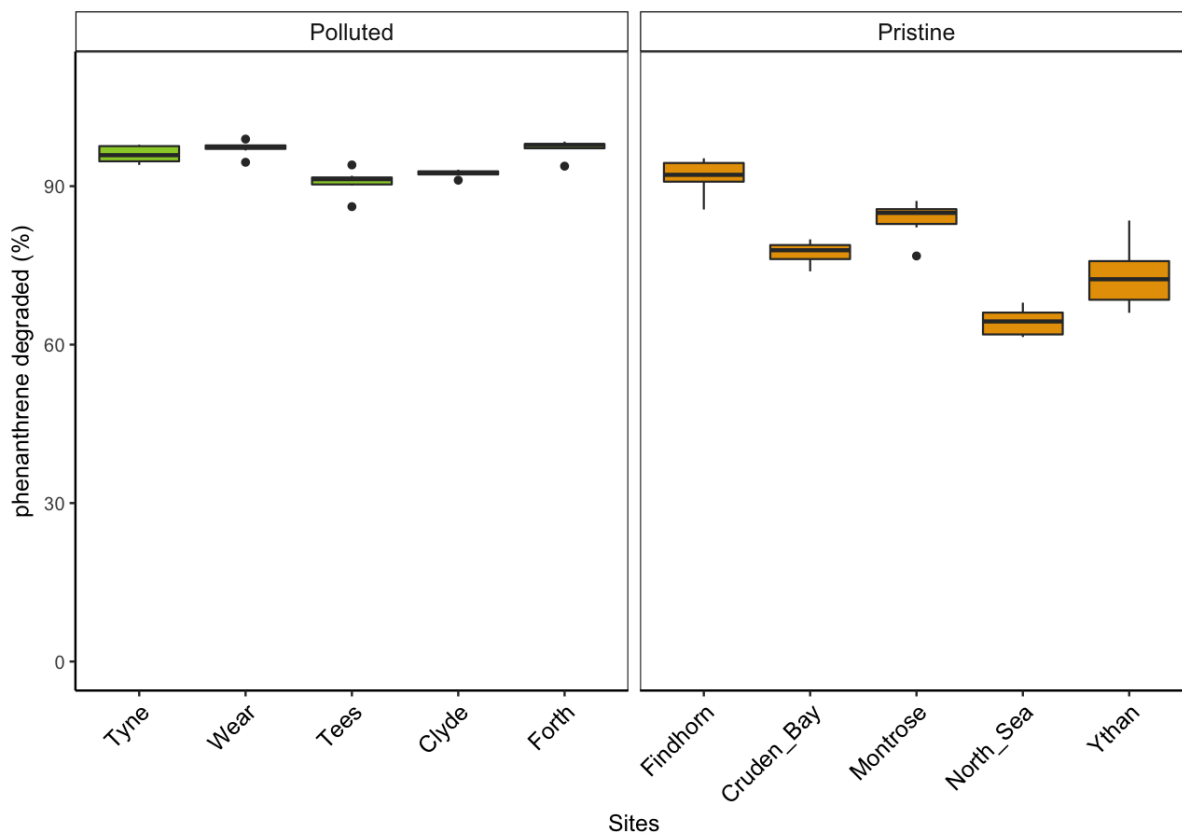


871 **Figure 4:** Ordination (non-metric multi-dimensional scaling; nMDS) of all sites, treatments
 872 and time points based on the dissimilarity of community composition between sites over time.
 873 Ellipses indicate grouping of microbial communities per site (encompassing all treatments and
 874 time) at the 95% confidence interval. The order of the sites in the legend correspond to their
 875 initial level of contamination (from highest to lowest) as presented in figure 2.

876



878 **Figure 5:** Estimated degree of community dispersion within the pristine and polluted sites in
 879 control and phenanthrene-treated communities over time; only the pristine communities were
 880 incubated for 56 days. This index is calculated as the Euclidean distance in principal coordinate
 881 space between each sample replicate and its respective group centroid. Letters indicate
 882 significant differences and are based on statistical analyses performed over 28 days for the
 883 polluted sites (see Statistic 6) and over 56 days for the pristine sites (see Statistic 7).



885

886 **Figure 6:** Biotic degradation of phenanthrene after incubation for 28 days in the polluted sites,
 887 and 56 days in the pristine sites, which accounts for additional phenanthrene addition.
 888 Degradation was calculated based on the remaining proportion of the supplemented
 889 phenanthrene after incubation.

890

891 **Tables**

892 **Table 1:** Heatmap representing the relative abundances (as a percentage of the whole
893 community) of the 20 most abundant taxa (across all sites) at phylum and family levels in
894 phenanthrene-treated communities. Relative abundances were estimated based on 16S rRNA
895 gene sequences in 7 replicates per sites (except for Wear day 0 and Clyde day 0, which were
896 based on 6 replicates). The colour range (red to green) represents percentage abundance (low
897 to high, respectively) . Taxa that were initially abundant at <0.1% and increased to >10% are
898 highlighted in green and taxa that were initially >10% and decreased over time are highlighted
899 in red. Standard deviations are presented in Table S2.

900

| Phlum | Family | Time (days) | Polluted | | | | | | | | | | Pristine | | | | | | | | | | | | | | |
|--------------------|-------------------------------|-------------|----------|------|------|------|------|------|-------|------|-------|------|----------|------|------|------------|------|------|----------|------|------|-----------|------|------|-------|------|------|
| | | | Tyne | | Wear | | Tees | | Clyde | | Forth | | Findhorn | | | Cruden Bay | | | Montrose | | | North Sea | | | Ythan | | |
| | | | 0 | 28 | 0 | 28 | 0 | 28 | 0 | 28 | 0 | 28 | 0 | 28 | 56 | 0 | 28 | 56 | 0 | 28 | 56 | 0 | 28 | 56 | 0 | 28 | 56 |
| Thaumarchaeota | <i>Nitrosopumilaceae</i> | | 0.0 | 0.0 | 0.1 | 0.2 | 1.1 | 2.5 | 0.4 | 0.1 | 0.1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.4 | 0.1 | 0.2 | 0.0 | 0.0 | 0.0 | 24.2 | 5.2 | 6.1 | 0.0 | 0.0 | 0.0 |
| Actinobacteria | <i>Mycobacteriaceae</i> | | 0.2 | 0.2 | 0.3 | 0.2 | 0.1 | 0.1 | 0.8 | 0.2 | 0.1 | 0.1 | 0.0 | 7.5 | 20.9 | 0.3 | 0.1 | 0.6 | 0.0 | 0.2 | 2.5 | 0.0 | 0.0 | 0.0 | 0.0 | 0.1 | 0.2 |
| Actinobacteria | <i>Micrococcaceae</i> | | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.0 | 0.2 | 18.3 | 0.0 | 2.6 | 0.0 | 0.0 | 0.0 | 0.5 | 12.2 | 4.8 | 0.0 | 0.1 | 0.7 | 0.0 | 0.0 | 0.0 | 0.1 | 0.1 | 0.0 |
| Bacteroidetes | <i>Flavobacteriaceae</i> | | 6.0 | 6.6 | 7.7 | 6.3 | 6.2 | 4.0 | 6.9 | 3.2 | 11.6 | 8.7 | 12.0 | 9.8 | 6.7 | 8.4 | 3.2 | 1.8 | 17.8 | 6.5 | 5.0 | 0.9 | 1.9 | 2.6 | 13.0 | 7.7 | 4.5 |
| Planctomycetes | <i>Pirellulaceae</i> | | 1.2 | 2.0 | 2.9 | 3.1 | 5.1 | 5.8 | 5.0 | 1.2 | 3.5 | 2.4 | 9.7 | 2.9 | 3.2 | 5.4 | 1.7 | 2.9 | 7.7 | 4.1 | 4.1 | 6.7 | 0.9 | 1.3 | 6.4 | 5.4 | 4.7 |
| Proteobacteria | <i>Rhodobacteraceae</i> | | 1.0 | 1.2 | 2.8 | 3.1 | 3.6 | 2.9 | 3.0 | 6.4 | 3.2 | 10.2 | 3.5 | 4.2 | 1.6 | 3.5 | 4.2 | 1.7 | 5.3 | 2.4 | 3.2 | 0.2 | 12.9 | 7.5 | 7.0 | 4.1 | 1.8 |
| Proteobacteria | <i>Sphingomonadaceae</i> | | 0.2 | 0.6 | 0.5 | 0.6 | 0.4 | 0.5 | 0.8 | 0.3 | 0.4 | 1.2 | 0.1 | 2.9 | 4.2 | 0.8 | 1.3 | 3.7 | 0.2 | 3.5 | 8.1 | 0.0 | 14.1 | 11.2 | 0.3 | 0.6 | 2.4 |
| Proteobacteria | <i>Desulfobulbaceae</i> | | 7.3 | 7.2 | 6.0 | 4.8 | 3.0 | 2.2 | 1.7 | 0.2 | 4.7 | 2.3 | 3.9 | 1.5 | 0.5 | 1.4 | 0.3 | 0.4 | 4.1 | 1.8 | 1.2 | 0.0 | 0.0 | 0.1 | 7.1 | 1.5 | 0.9 |
| Proteobacteria | <i>Alteromonadaceae</i> | | 0.0 | 0.0 | 0.0 | 0.6 | 0.0 | 0.0 | 0.0 | 0.0 | 0.1 | 1.6 | 0.0 | 1.6 | 0.4 | 0.0 | 0.0 | 0.0 | 0.0 | 0.3 | 0.1 | 0.0 | 16.2 | 21.3 | 0.0 | 0.0 | 0.0 |
| Proteobacteria | <i>Pseudoalteromonadaceae</i> | | 0.0 | 0.1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 6.7 | 5.7 | 2.3 | 0.0 | 0.0 | 0.0 |
| Proteobacteria | <i>Burkholderiaceae</i> | | 2.0 | 2.0 | 0.9 | 0.5 | 0.4 | 0.2 | 2.6 | 15.5 | 0.6 | 0.4 | 0.1 | 2.6 | 6.4 | 1.2 | 13.9 | 17.3 | 0.1 | 2.4 | 7.6 | 0.1 | 0.0 | 0.8 | 0.8 | 8.9 | 14.0 |
| Proteobacteria | <i>Haliaceae</i> | | 1.2 | 0.8 | 3.2 | 1.5 | 2.5 | 1.9 | 4.2 | 0.4 | 2.8 | 1.0 | 2.4 | 0.6 | 0.2 | 2.5 | 0.6 | 0.6 | 3.4 | 2.2 | 1.3 | 0.4 | 0.2 | 0.2 | 4.8 | 0.8 | 0.6 |
| Proteobacteria | <i>Porticoccaceae</i> | | 0.0 | 0.9 | 0.0 | 1.6 | 0.7 | 0.7 | 0.0 | 0.0 | 0.1 | 4.1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.2 | 0.1 | 0.0 | 0.1 | 0.1 | 0.0 | 6.7 | 5.7 | 0.0 | 0.0 | 0.0 |
| Proteobacteria | <i>Gammaproteobacteria</i> | | 4.0 | 4.2 | 3.7 | 3.2 | 5.3 | 5.3 | 3.4 | 0.3 | 7.8 | 4.8 | 3.1 | 2.6 | 1.1 | 2.5 | 0.9 | 0.9 | 4.8 | 3.3 | 2.9 | 4.1 | 1.1 | 0.7 | 4.2 | 0.9 | 1.0 |
| Proteobacteria | <i>Piscirickettsiaceae</i> | | 0.1 | 1.9 | 0.0 | 9.0 | 0.0 | 9.1 | 0.0 | 0.0 | 0.0 | 6.6 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 4.7 | 7.6 | 0.0 | 10.4 | 11.9 | 0.0 | 0.0 | 0.0 |
| Proteobacteria | <i>Moraxellaceae</i> | | 0.1 | 0.0 | 0.1 | 0.0 | 0.0 | 0.0 | 1.8 | 5.7 | 0.0 | 0.0 | 0.2 | 0.0 | 0.0 | 1.1 | 3.1 | 0.6 | 3.6 | 0.0 | 0.0 | 0.1 | 0.1 | 0.0 | 2.6 | 2.6 | 0.1 |
| Proteobacteria | <i>Pseudomonadaceae</i> | | 0.0 | 0.0 | 0.1 | 0.4 | 0.0 | 0.0 | 0.5 | 4.7 | 0.0 | 0.7 | 0.0 | 1.7 | 1.0 | 0.2 | 3.6 | 1.8 | 0.0 | 0.3 | 0.1 | 0.0 | 0.0 | 0.1 | 0.9 | 2.1 | 0.9 |
| Epsilonbacteraeota | <i>Arcobacteraceae</i> | | 0.1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.1 | 0.2 | 4.9 | 4.0 | 0.0 | 0.0 | 0.0 | 0.0 | 4.5 | 0.4 | 0.0 | 0.0 | 0.0 | 0.2 | 0.1 | 0.1 |
| Epsilonbacteraeota | <i>Thiovulaceae</i> | | 3.4 | 1.4 | 2.3 | 1.2 | 0.1 | 0.1 | 0.0 | 0.0 | 0.1 | 0.5 | 0.2 | 1.6 | 5.1 | 0.0 | 0.0 | 0.0 | 0.0 | 1.3 | 0.3 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| Chloroflexi | <i>Anaerolineaceae</i> | | 5.7 | 5.6 | 2.9 | 3.3 | 2.3 | 2.4 | 1.4 | 0.7 | 1.5 | 1.4 | 1.7 | 0.4 | 0.2 | 1.1 | 0.5 | 0.7 | 1.1 | 0.8 | 0.6 | 1.1 | 0.2 | 0.2 | 0.9 | 0.6 | 1.0 |
| | Sum % across top 20 OTUs | | 32.6 | 34.8 | 33.7 | 39.6 | 31.0 | 37.8 | 32.8 | 57.2 | 36.7 | 48.8 | 37.1 | 44.9 | 55.7 | 29.4 | 46.0 | 38.0 | 48.3 | 38.6 | 45.7 | 44.6 | 75.8 | 72.1 | 48.1 | 35.5 | 32.3 |