

# Vibration energy flow transmission in systems with Coulomb friction

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## Abstract

This study focusses on the vibration transmission and energy flow characteristics of low dimensional models of dynamical systems with Coulomb friction. The Karnopp friction model and smooth Coulomb friction models are employed to estimate the dry friction force. The steady-state responses of the system are determined by the harmonic balance (HB) approximations with numerical continuations and a time-marching method. The level of vibration transmission and energy dissipation within the system are assessed by the force transmissibility and power flow variables. For the single degree-of-freedom oscillator system, in the low- or high-frequency ranges away from the resonance, it is found that the dry frictional contact can suppress the vibration response and effectively dissipate vibrational energy. For the coupled oscillator, the existence of frictional contact at the interface can lead to a significant growth in the force transmissibility and energy transfer from the force-excited subsystem to the secondary system, especially at high excitation frequencies. The interfacial frictional contact can also result in a large amount of energy dissipation at the interface. The studies show that vibration transmission and energy dissipation in a dynamic system with contacting subsystems can be tailored by adjusting the properties of the frictional contact. Design strategies can be developed using frictional contacts for vibration suppression by minimizing vibration energy transmission or maximizing energy dissipation.

**Keywords:** vibration transmission; force transmissibility; energy dissipation; power flow analysis; Coulomb friction; nonlinear contact

## 1 Introduction

Engineering structures and systems often contain numerous parts or subsystems which are assembled in various ways. Friction can arise from the contacting surfaces of components subjected to relative motion, for example, in manipulator joints [1], drill-strings [2], disk brakes [3] and clutch structures [4]. Dry friction is usually undesirable due to the introduction of complexity associated with the nonlinear dynamics of the system. With the discontinuities introduced by frictions in the dynamic governing equations [5], engineering systems with friction can exhibit rich nonlinear phenomena [6, 7].

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34 In many applications, friction at a contact interface may cause unwanted effects to a system, such as  
35 frictional chatter [8], wear of components and noise due to the friction-related slipping [9]. On the other  
36 hand, in some applications, as in the case of the contact between the tyres of a vehicle and the road,  
37 friction can be necessary and useful. Given the energy dissipation effect, the friction within mechanical  
38 systems can be considered as a frictional damper, which consequently can be used to enhance the system  
39 performance for passive vibration control [10, 11].

40 To achieve an enhanced design of dynamical systems with contact interfaces, it is necessary to  
41 investigate the dynamics of systems with consideration of the influence of friction. For dynamic  
42 analysis of the engineering systems with dry friction nonlinearity, mass-spring models have been widely  
43 used in the past research. Some researchers investigated such systems under periodic loading. The  
44 classical work by Den Hartog [12] presented a piecewise analytical solution of the dynamic response  
45 for force-excited mass-spring systems based on the Coulomb damping model. Multiple-lockup  
46 characteristic of the mass for a certain time duration in each oscillation cycle was validated by  
47 experiment. Hundal [13] obtained the response of a single degree-of-freedom (DOF) Coulomb friction  
48 oscillator under base excitation. For the same base-excited mass-spring system, Marui and Kato [14]  
49 studied experimentally the stopping region of motion by placing a block mass excited by an eccentric  
50 cam and a ring spring on a flat-type guide with a rough surface. Marino and Cicirello [15] proposed an  
51 experimental framework for a single-DOF (SDOF) system under joined base-wall excitation and  
52 reported a design of a single-storey building set-up with a metal-to-metal contact. There were quite a  
53 few studies on friction-induced self-sustained vibration problems considering discrete SDOF or two-  
54 DOF (2DOF) coupled mass-spring systems placed on a continuously moving belt [16-18]. A pin-on-  
55 disc configuration was applied for experimental investigation of such systems [19]. Those mass-spring  
56 systems can exhibit stick-slip motion demonstrating strong influence of friction nonlinearity on the  
57 dynamic system responses.

58 A lot of previously reported work was focussed on the nonlinear dynamic analysis of forced  
59 systems with friction. Shaw [20] analysed a harmonically excited SDOF system with dry friction and  
60 determined the asymptotic stability. Aperiodic motions containing two distinct frequency components  
61 were found. Feeny [21] applied a qualitative technique to describe the dynamics of a forced multi-  
62 valued Coulomb friction oscillator and constructed the strange attractor for chaotic motion. Moreover,  
63 the geometric nature of the chaotic attractor was described using experimental Poincare maps by  
64 attaching a harmonically excited mass to the end of a cantilevered elastic beam [22]. Hong and Liu [23]  
65 investigated the dynamic motion of SDOF Coulomb friction oscillators subjected to harmonic loading  
66 and identified normal or abnormal zero-duration stop. Luo and Gegg [24] developed the force criteria  
67 for stick and non-stick motions in forced friction oscillators based on the local theory of non-smooth  
68 dynamical systems. Oancea and Laursen [25] explored experimentally the nature of transients entering  
69 and exiting stick phases in a forced mass-spring system with dry friction contact by using an elastic-  
70 mounted steel sphere sliding on a harmonically excited friction plate. Duan and Singh [26] studied a

71 2DOF torsional system with friction and found that the system can lose stability near the super-harmonic  
72 peak frequencies. Papangelo and Ciavarella [27] performed quasi-static analysis for a forced SDOF  
73 Coulomb frictional oscillator. Pascal [28] examined a linearly coupled 2DOF oscillator with one of the  
74 masses sliding on a rough surface and the friction force is characterized by Coulomb friction law.  
75 Several kinds of periodic orbits including one or more stops per cycles were observed. Sun *et al.* [29]  
76 established an extended energy balance method to predict the resonances for a forced SDOF system  
77 with friction contact from its nonlinear modes. Wiercigroch *et al.* [30] developed an experimental  
78 frictional oscillator consisting of a block mass moving along the guiding posts and a second mass with  
79 a vertical plate providing dry friction. With the use of such experimental rig, Wojewoda *et al.* [31]  
80 validated various qualitative nonlinear responses of a SDOF frictional oscillator. A novel experiment  
81 rig was further developed [32] to study the friction-related stick-slip nonlinear dynamic phenomena in  
82 the drill-bit rock interactions.

83 There has been a growing interest in the investigation of nonlinear friction damping for vibration  
84 attenuation purpose. Friction damping can be added as a connecting element in the adjacent engineering  
85 structures for vibration suppression purpose [33]. It also exists in the form of bolted joints [34]. The  
86 damping effect of friction has been considered for passive vibration control of turbine blades [35],  
87 machinery foundations and vehicles [36] and high-speed rotors [37]. The nonlinear dynamic behaviour  
88 of such systems and vibration suppression performance of the embedded friction damping have been  
89 studied [38]. Krack *et al.* [34] examined the nonlinear modal interactions in a jointed system induced  
90 by friction damping. It showed the possibility of taking advantage of friction damping to tune the system  
91 to obtain a minimum response level. The nonlinear vibration characteristics of bladed disks coupled by  
92 joints were analysed and it was shown that the friction damping within the joints may assist the vibration  
93 mitigation and improve the system integrity [39]. Claeys *et al.* [40] employed harmonic balance (HB)  
94 method to obtain the nonlinear vibration responses of a metallic assembly with friction damping under  
95 constant force input and conducted local analysis on the stick-slip behaviour in friction zone  
96 experimentally. Donmez *et al.* [41] revealed that the addition of friction damping to a quasi-zero stiffness  
97 (QZS) isolator can improve the isolation performance.

98 To achieve high performance vibration suppression of a built-up structure, it is important to  
99 establish comprehensive understanding on the mechanisms of vibration transmission through the  
100 nonlinear contacting interface and the energy dissipation at the interface of subsystems. In particular,  
101 the effects of the nonlinear friction contact on the vibration transmission and dissipation need to be  
102 investigated. Some researchers considered force transmissibility [42] and displacement transmissibility  
103 [33, 43] of SDOF mass-spring systems with friction damping. Ciğeroğlu and Özgüven [44] examined  
104 pseudo-receptance for the bladed disks with dry friction represented by lumped parameter model.  
105 Regarding the dissipative effect of friction, the friction damping at the connecting joint can largely  
106 affect the energy transfer and dissipation characteristics in the dynamical systems. However, much less  
107 work has been carried out on the vibration transmission of such systems from vibration energy flow

108 perspective. Lopez *et al.* [45] estimated the energy dissipation in a SDOF system with friction damper  
109 based on the classical Coulomb friction law and the theoretical results were validated by experiment  
110 [46]. Nicolas [47] numerically assessed the energy transfer via a friction-elastic-viscous link between a  
111 mass and a base with harmonic motion.

112 There is a limited number of investigations on energy transmission and dissipation in the coupled  
113 systems considering friction at the connecting interface [48]. The vibration power flow analysis (PFA)  
114 approach has been widely adopted as a tool for investigating the dynamic behaviour of coupled  
115 structures and complex systems. The power flow indices consider the combined effects of force and  
116 velocity amplitudes as well as their relative phase angle in a single concept, and thus can provide a  
117 qualitative measure of vibration energy transmission between subsystems and energy dissipation within  
118 an integrated dynamic system [49]. This approach has been used for the evaluation of vibration  
119 transmission in linear systems [50, 51]. In recent years, the PFA approach has been applied for  
120 investigating the dynamic behaviour of nonlinear systems [52-57] including non-smooth systems, such  
121 as bilinear systems and impact oscillators [58-60], from the energy flow viewpoint.

122 In this paper, the vibration energy flow transmission and dissipation characteristics of nonlinear  
123 non-smooth systems with frictional contact are investigated. The Coulomb frictional contact modelled  
124 by various approaches is considered to exist in a SDOF system and at the interface of coupled systems.  
125 The harmonic balance method with alternate-frequency-time (AFT) technique and numerical  
126 continuations are employed to investigate the vibration force transmission and power flow behaviour  
127 of systems with dry friction nonlinearity. Direct numerical integration is also conducted for comparison  
128 and validation of the analytical results. The effects of the nonlinear frictional contact on the dynamic  
129 responses, vibration transmission and energy dissipation characteristics are studied. The remaining  
130 content of this paper is organised as follows. Different Coulomb friction models and a general energy  
131 flow analysis framework for systems with friction are presented in Section 2. The dynamics and  
132 vibration transmission behaviour of the SDOF oscillator with dry friction is studied in Section 3. The  
133 influence of the frictional contact on the vibration energy transmission and dissipation at the interface  
134 of a coupled system is studied in Section 4. Conclusions are drawn at the end of the paper.

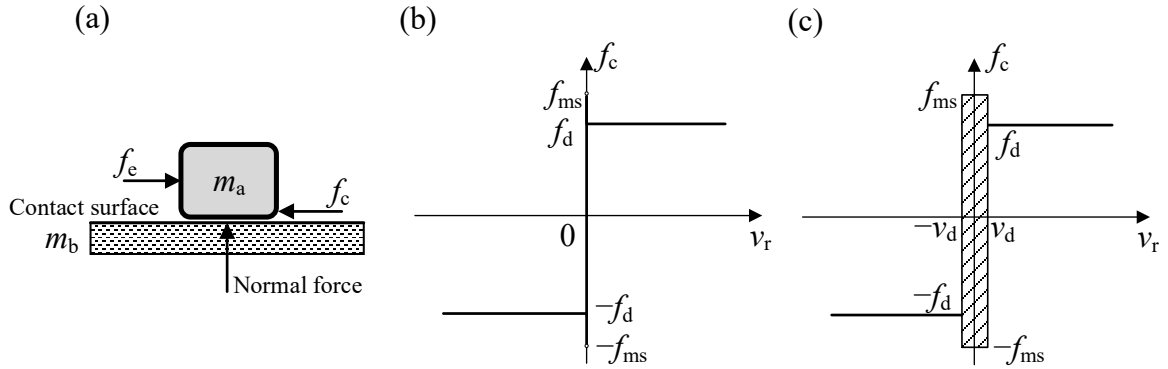
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## 136 2 Energy flow analysis of systems with frictional contact

137 In this section, different models for the modelling of dry friction contact in dynamic systems are  
138 firstly introduced. These include the classical Coulomb friction model, the Karnopp model and the  
139 smooth approximation models using tanh-regularization approach or signum function approach. Using  
140 dry friction contact models, a general vibration energy flow analysis framework for the non-smooth  
141 systems with Coulomb friction is presented.

## 142 2.1 Dry friction contact models

143 Figure 1(a) shows a schematic of two contacting objects with masses  $m_a$  and  $m_b$ . Mass  $m_a$  is  
 144 subjected to a constant force  $f_e$  in the horizontal direction. Normal and dry friction forces exist at the  
 145 contact interface. Fig. 1(b) shows the classical Coulomb friction model, which is widely used to describe  
 146 the relationship between the friction force  $f_c$  and the relative velocity  $v_r$  of the two objects. It shows  
 147 that when there is relative motion between contact surfaces (i.e., the slip state), there exists dynamic  
 148 friction force, with its direction opposite to that of the relative motion. When there is no relative motion  
 149 between the contacting surfaces (i.e. the stick state), there may be static friction force counteracting the  
 150 external force  $f_e$ .



151 Figure 1. Schematic representation of (a) dry friction between two contacting solid bodies of  $m_a$  and  $m_b$  under  
 152 resultant external force  $f_e$  in tangential direction; the relationship between friction force  $f_c$  and relative velocity  
 153  $v_r$  in (b) the classical Coulomb model and (c) the Karnopp model with magnitude of the dynamic friction force  
 154  $f_d$  and maximum static friction force  $f_{ms}$ . In (c),  $v_d$  is the boundary velocity of the dead zone for Karnopp model.  
 155

156 Mathematically, the classical Coulomb friction force can be expressed as

$$157 f_c = \begin{cases} f_d \operatorname{sgn}(v_r), & \text{if } v_r \neq 0, \\ f_{ms} \operatorname{sgn}(f_e), & \text{if } v_r = 0 \text{ and } |f_e| \geq f_{ms}, \\ f_e, & \text{if } v_r = 0 \text{ and } |f_e| < f_{ms}, \end{cases} \quad (1)$$

158 where  $f_d$  is the magnitude of the dynamic friction force, being the product of the coefficient of dynamic  
 159 friction and the normal force,  $f_{ms}$  is the maximum static friction force,  $v_r$  is the relative velocity of the  
 160 contacting objects,  $f_e$  is the resultant external force acting on a reference body in the tangential direction  
 161 and  $\operatorname{sgn}(v_r)$  is the signum function expressed by

$$162 \operatorname{sgn}(v_r) = \begin{cases} -1, & v_r < 0, \\ 0, & v_r = 0, \\ 1, & v_r > 0. \end{cases} \quad (2)$$

163 The classical Coulomb friction model introduces strong discontinuities at  $v_r = 0$ , which can create  
 164 computational challenges for dynamic analysis. For instance, when applying a time-marching method  
 165 to solve dynamic governing equations, the detection of  $v_r = 0$  for switching friction state can yield  
 166 inaccuracies due to the use of discrete and variable time steps. In this paper, a modified Coulomb friction  
 167 model, the Karnopp model [61], is used in the numerical integration for determining the response of the  
 168 systems with dry friction. As shown in Fig.1(c), compared to the classical Coulomb model, the Karnopp  
 169 model assumes a small region of velocity dead zone  $[-v_d, v_d]$ , where the contact interface is considered

170 to be stuck and the relative velocity  $v_r$  is regarded null. In this way, some of the numerical issues  
 171 encountered when using the classical Coulomb model can be avoided while the main characteristics of  
 172 the friction model can still be captured. The Karnopp friction model is expressed as

$$173 \quad f_c = \begin{cases} f_d \operatorname{sgn}(v_r), & \text{if } |v_r| > v_d, \\ f_{ms} \operatorname{sgn}(f_e), & \text{if } |v_r| \leq v_d \text{ and } |f_e| \geq f_{ms}, \\ f_e, & \text{if } |v_r| \leq v_d \text{ and } |f_e| < f_{ms}. \end{cases} \quad (3)$$

174 As in many applications  $f_d \approx f_{ms}$ , it is assumed that  $f_d = f_{ms}$  throughout the paper.

175 The classical Coulomb friction force model contains discontinuities at  $v_r = 0$ . It can be  
 176 approximated by using smooth functions to facilitate dynamic analysis of systems with friction  
 177 employing analytical or semi-analytical approaches based on the HB method [62]. One way is to use a  
 178 smooth regularized hyperbolic tangent curve to approximate the friction force:

$$179 \quad f_c = f_d \tanh(v_r/\varepsilon) = f_d \frac{\exp(v_r/\varepsilon) - \exp(-v_r/\varepsilon)}{\exp(v_r/\varepsilon) + \exp(-v_r/\varepsilon)}, \quad (4)$$

180 where  $\varepsilon$  is the tolerance parameter of the tanh-regularization. This tanh-regularization approach can  
 181 eliminate the need for detection of stick-slip state transitions [63] and therefore the computational cost  
 182 associated with dynamic analysis can be reduced.

183 Note that for dry friction modelling following the macro-slip approach, the Coulomb friction force  
 184 is approximated by  $f_c \approx f_d \operatorname{sgn}(v_r)$  and this can be named as the signum function approach [64]. For  
 185 periodic responses with the relative velocity  $v_r \approx \sum_{k=1}^{N_H} (\hat{v}_s \sin(k\omega t) + \hat{v}_c \cos(k\omega t))$ , the friction force  
 186 will be a periodic square wave and can be approximated using a smooth function based on Fourier series:

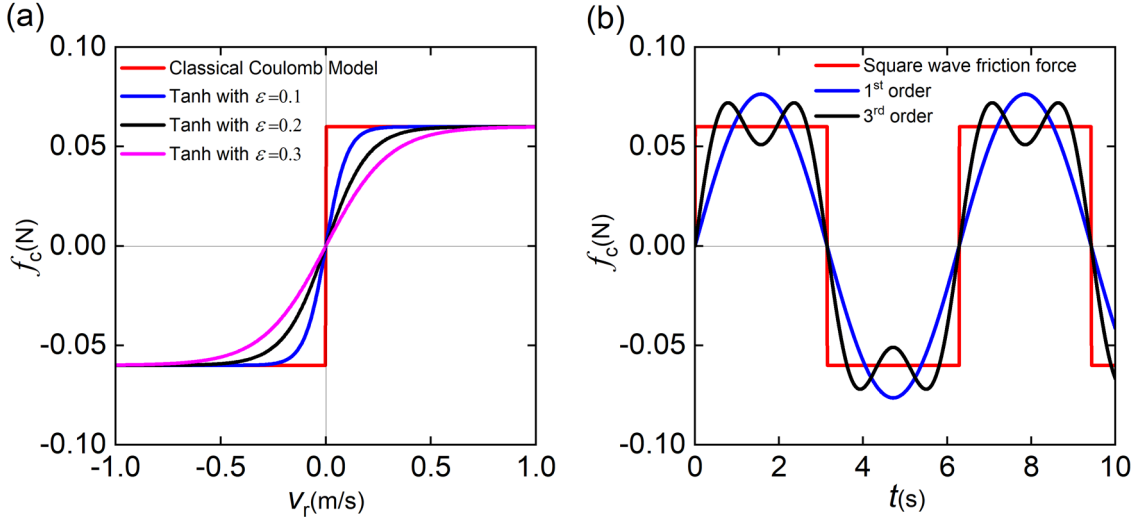
$$187 \quad f_c \approx \sum_{k=1}^{\infty} a_k \cos(k\omega t) + \sum_{k=1}^{\infty} b_k \sin(k\omega t). \quad (5)$$

188 where  $a_k = \frac{\omega}{\pi} \int_0^{2\pi} f_d \operatorname{sgn}(v_r) \cos(k\omega t) dt$  and  $b_k = \frac{\omega}{\pi} \int_0^{2\pi} f_d \operatorname{sgn}(v_r) \sin(k\omega t) dt$ . As the signum  
 189 function is an odd function,  $a_k$  should all be zero. For instance, when  $v_r \approx \hat{v}_s \sin(\omega t)$ , the friction force  
 190 can be approximated by using a fifth order Fourier expansion as

$$191 \quad f_c \approx \frac{4f_d}{\pi} \left( \sin(\omega t) + \frac{1}{3} \sin(3\omega t) + \frac{1}{5} \sin(5\omega t) \right). \quad (6)$$

192 Figures 2(a) and (b) show two ways of smoothing and approximating the Coulomb friction force,  
 193 which are named as the tanh-regularization approach and the Fourier series expansion of the signum  
 194 function approach, respectively. In Fig. 2(a), the magnitudes of the dynamic and static friction forces  
 195 for those four cases are set as  $f_d = f_{ms} = 0.06$  and the tolerance parameter  $\varepsilon$  changes from 0.1 to 0.2  
 196 and to 0.3. It is shown that a smaller value of  $\varepsilon$  can provide a better approximation of the friction force  
 197 based on the classical Coulomb model. Fig. 2(b) presents the time histories of the Coulomb friction  
 198 force represented by using the Fourier series approximations of the signum function. **It shows that the**  
 199 **first-order Fourier expansion can provide a rough approximation to the Coulomb friction force. As the**  
 200 **number of the Fourier terms increases to 3, the wave form of the Coulomb friction force can be better**  
 201 **captured and approximated. By further increasing the Fourier terms, the square wave force can be**  
 202 **almost completely represented.** In this paper, the Fourier series expansion of the signum function

203 approach is used in the analytical derivation of the frequency-response relationship to gain physical  
 204 insights of the system dynamics. The tanh-regularization approach is used in the semi-analytical HB  
 205 approximations of the steady-state responses. To ensure the accuracy of the truncation when using the  
 206 Fourier expansion, the original time domain expression of the friction force is also used for the  
 207 determination of the dynamic response using the time marching method.



209 Figure 2. Smoothing the Coulomb friction force  $f_c$  by (a) the tanh-regularization approach and (b) the Fourier  
 210 series expansion of the signum function approach. In (a), the red line is for the classical Coulomb friction model,  
 211 the blue, black and pink lines are for regularized hyperbolic tangent curves with  $\varepsilon = 0.1, 0.2$  and  $0.3$ , respectively.  
 212 In (b), the red colour marks the square wave Coulomb friction force. The blue and black curves are for its 1<sup>st</sup> order  
 213 and 3<sup>rd</sup> order Fourier series representations.

## 214 2.2 Energy flow formulations

215 For a general  $Q$ -DOF chain oscillator system with friction at coupling interfaces, the dimensionless  
 216 equation of motion and the energy flow balance equation can be expressed in a matrix form as

$$217 \quad \mathbf{M}\mathbf{X}'' + \mathbf{C}\mathbf{X}' + \mathbf{K}\mathbf{X} + \mathbf{F}_c(\Delta(\mathbf{X}')) = \mathbf{F}_{ef}(\tau), \quad (7a)$$

$$218 \quad \mathbf{X}'^T \mathbf{M}\mathbf{X}'' + \mathbf{X}'^T \mathbf{C}\mathbf{X}' + \mathbf{X}'^T \mathbf{K}\mathbf{X} + \mathbf{X}'^T \mathbf{F}_c(\Delta(\mathbf{X}')) = \mathbf{X}'^T \mathbf{F}_{ef}(\tau), \quad (7b)$$

219 respectively, where  $\mathbf{X}$ ,  $\mathbf{X}'$  and  $\mathbf{X}''$  denote the displacement, velocity and acceleration vectors,  
 220 respectively,  $\mathbf{M}$ ,  $\mathbf{C}$  and  $\mathbf{K}$  are the mass, damping and stiffness matrices, respectively,  $\mathbf{F}_c(\Delta(\mathbf{X}'))$  is the  
 221 dimensionless nonlinear friction force induced by frictional contact and  $\Delta(\mathbf{X}')$  is the relative velocity  
 222 between the two contacting masses,  $\mathbf{F}_{ef}(\tau)$  represents harmonic force excitation applied to the  $q$ -th DOF  
 223 ( $1 \leq q \leq Q$ ) of the system with  $\mathbf{F}_{ef}(\tau) = \{\dots, F_0 e^{i\Omega\tau}, \dots\}^T$ , of which  $F_0$  and  $\Omega$  are the non-  
 224 dimensional force amplitude and excitation frequency, respectively, and  $\tau$  is the dimensionless time.

225 For the numerical determination of the steady-state response of the system, the nonlinear friction  
 226 force  $\mathbf{F}_c(\Delta(\mathbf{X}'))$  in Eq. (7) can be firstly modelled by the Karnopp model. Eq. (7a) can then be solved  
 227 by the adaptive Runge-Kutta (RK) Dormand-Prince method with variable step size. The energy  
 228 dissipation and the force transmission between sub-systems can be determined subsequently. For the  
 229 implementation of the Runge-Kutta method, a fourth-order and a fifth-order approximations from one  
 230 point to the next point are calculated and compared. An optimum step size is then obtained to reduce

231 the total computing time while the estimated error in each step is ensured to be smaller than the tolerance.  
 232 In the treatment of the non-smooth friction force term in the governing equation, conditional execution  
 233 statements are made in the numerical algorithm to capture the existence of discontinuities in the friction  
 234 force based on the Karnopp model. It should be mentioned that the Karnopp model may be more  
 235 efficient and accurate than the classical Coulomb model from numerical aspects. However, the resultant  
 236 external force applied to the contact interface, which is needed in the Karnopp model, may be difficult  
 237 to define in the multi-DOF system (i.e.  $Q > 2$ ) [62].

238 As for comparisons, the semi-analytical harmonic balance approximation method is also used for  
 239 obtaining the steady-state periodic response of the system with dry friction. Here the dry friction force  
 240  $\mathbf{F}_c(\Delta(\mathbf{X}'))$  in Eq. (7) can be modelled by the tanh-regularization approach considering the friction force  
 241 as a continuous and differentiable function of the relative velocity. The steady-state displacement  
 242 response  $\mathbf{X}$  and the nonlinear friction force  $\mathbf{F}_c(\Delta(\mathbf{X}'))$  can be approximated by a truncated  $N$ -order  
 243 Fourier series with a fundamental frequency of  $\Omega$ :

$$244 \quad \mathbf{X} = \left\{ \sum_{n=0}^N \tilde{R}_{(1,n)} e^{in\Omega\tau}, \quad \dots \quad \sum_{n=0}^N \tilde{R}_{(q,n)} e^{in\Omega\tau}, \quad \dots \quad \sum_{n=0}^N \tilde{R}_{(Q,n)} e^{in\Omega\tau} \right\}^T, \quad (8a)$$

$$245 \quad \mathbf{F}_c(\Delta(\mathbf{X}')) = \left\{ \sum_{n=0}^N \tilde{H}_{(1,n)} e^{in\Omega\tau}, \quad \dots \quad \sum_{n=0}^N \tilde{H}_{(q,n)} e^{in\Omega\tau}, \quad \dots \quad \sum_{n=0}^N \tilde{H}_{(Q,n)} e^{in\Omega\tau} \right\}^T, \quad (8b)$$

246 respectively, where  $\tilde{R}_{(q,n)}$  and  $\tilde{H}_{(q,n)}$  are the complex Fourier coefficients of the  $n$ -th order Fourier  
 247 approximation corresponding to the  $q$ -th DOF,  $\mathbf{X}'$  and  $\mathbf{X}''$  can then be obtained by differentiating the  
 248 displacement  $\mathbf{X}$  with respect to time  $\tau$ . To determine the Fourier coefficients  $\tilde{H}$  of the nonlinear friction  
 249 force  $\mathbf{F}_c(\Delta(\mathbf{X}'))$  in Eq. (8b), the AFT technique can be used, which has been widely applied for the  
 250 treatment of nonlinear forces in the analysis of nonlinear dynamical systems [65]. The basic idea of the  
 251 AFT scheme is to substitute the expressions of the approximated harmonic responses of  $\mathbf{X}$ ,  $\mathbf{X}'$  and  $\mathbf{X}''$   
 252 into the nonlinear force expression of  $\mathbf{F}_c(\Delta(\mathbf{X}'))$ . The time histories of nonlinear friction force can be  
 253 obtained and can then be Fourier transformed to find the Fourier coefficients  $\tilde{H}$ .

254 After the determination of the Fourier coefficients  $\tilde{H}$ , Eq. (8) can be substituted into the governing  
 255 equation of Eq. (7a) and by balancing the corresponding coefficients of the  $n$ -th ( $0 \leq n \leq N$ ) order  
 256 harmonic terms of the resultant equation, we have

$$257 \quad (-(n\Omega)^2 \mathbf{M} + i(n\Omega) \mathbf{C} + \mathbf{K}) \tilde{\mathbf{R}}_n = \tilde{\mathbf{S}}_n - \tilde{\mathbf{H}}_n, \quad (9)$$

258 where  $\tilde{\mathbf{R}}_n = \{\tilde{R}_{(1,n)}, \dots, \tilde{R}_{(q,n)}, \dots, \tilde{R}_{(Q,n)}\}^T$ ,  $\tilde{\mathbf{H}}_n = \{\tilde{H}_{(1,n)}, \dots, \tilde{H}_{(q,n)}, \dots, \tilde{H}_{(Q,n)}\}^T$  and  
 259  $\tilde{\mathbf{S}}_n = \{0, \dots, F_0, \dots, 0\}^T$ . By sorting  $N$  harmonic equations of Eq. (9), a set of real nonlinear  
 260 algebraic equations with the total number of  $Q(2N + 1)$  can be obtained. The Newton-Raphson  
 261 iterative method can be employed to solve those equations and an arc-length continuation method is  
 262 also used to trace the solution path in the frequency domain [66]. Subsequently, the steady-state  
 263 response of the system can be obtained. The level of force transmission and power flow behaviour  
 264 within the oscillator system can then be evaluated.



265 Note that the value of  $N$  for the truncation of the Fourier expansion of the friction force should be  
 266 carefully selected. A larger  $N$  used in high-order HB can yield a better representation of the nonlinear  
 267 friction force, hence providing more accurate predictions of the steady-state responses. However, the  
 268 computational cost increases quickly with  $N$ . Therefore, a sufficiently large value of  $N$  is used after  
 269 validating the corresponding HB results using the numerical integration results to ensure the accuracy  
 270 of truncation.

271 For the power flow analysis of the oscillator system with dry friction, the total instantaneous input  
 272 vibration power into the system is the product of the harmonic excitation force  $F_0 e^{i\Omega\tau}$  and the  
 273 corresponding velocity  $X'_q$  of the  $q$ -th DOF. Note that the velocity  $X'_q$  can be obtained by taking  
 274 differentiation of Eq. (8a) as  $X'_q = \sum_{n=0}^N in\Omega\tilde{R}_{(q,n)} e^{in\Omega\tau}$ , hence we have

$$275 \quad P_{\text{in}} = \Re\{X'_q\}\Re\{F_0 e^{i\Omega\tau}\} = \Re\{\sum_{n=0}^N in\Omega\tilde{R}_{(q,n)} e^{in\Omega\tau}\}\Re\{F_0 e^{i\Omega\tau}\}, \quad (10)$$

276 where the symbol  $\Re$  represents the operation of taking the real part of a complex number. The steady-  
 277 state time-averaged input vibration power into the system is

$$278 \quad \bar{P}_{\text{in}} = \frac{1}{\tau_p} \int_{\tau_0}^{\tau_0 + \tau_p} P_{\text{in}} d\tau = \frac{1}{2} F_0 \Re\{(i\Omega\tilde{R}_{(q,1)})^*\}, \quad (11)$$

279 where  $\tau_0$  and  $\tau_p$  are the starting time for averaging and the averaging time span, respectively, and in  
 280 the current study,  $\tau_p$  is set as one period of excitation with  $\tau_p = 2\pi/\Omega$ , the symbol  $*$  denotes the  
 281 mathematical operation of taking the complex conjugate of a complex number.

282 The maximum kinetic energy has been widely used as one of the performance indicators of  
 283 vibration suppression systems [50]. For the current system, the non-dimensional maximum kinetic  
 284 energy  $K_q$  for the  $q$ -th DOF is defined as

$$285 \quad K_q = \frac{1}{2} (|X'_q|_{\text{max}})^2, \quad (12)$$

286 where  $|X'_q|_{\text{max}}$  is the maximum magnitude of velocity for the  $q$ -th DOF in the steady-state response.

### 287 3 Single-DOF systems with dry friction contacts

288 In this section, the vibration energy transmission and dissipation characteristics of SDOF systems  
 289 with dry friction are studied. Two SDOF systems comprising a vertical wall separated or jointed with  
 290 the ground are firstly presented. Then the dynamic responses of the systems are obtained by the  
 291 analytical, semi-analytical or direct numerical integration approaches based on different friction models.  
 292 The force transmissibility and vibration energy dissipation within the system are formulated. Parametric  
 293 studies of the influence of friction on the force transmission and vibration energy flow behaviour of the  
 294 system are carried out.

#### 295 3.1 Dynamic response analysis

296 Figures 3(a) and (b) show two SDOF systems comprising a mass  $m_1$  connected to the ground via  
 297 a viscous damper with damping coefficient  $c_1$  and a linear spring with stiffness coefficient  $k_1$ . The mass

298 is also sliding on a vertical rough wall and the dry friction force  $f_c$  exists in the contact with the  
 299 magnitude of  $f_d$ . The vertical wall is separated from the base ground in Fig. 3(a) while jointed with the  
 300 base ground in Fig. 3(b). The mass is subjected to a harmonic force excitation with the forcing amplitude  
 301  $f_0$  and frequency  $\omega$ . The equilibrium position of the mass is set as the reference with  $x_1 = 0$ . The  
 302 governing equation of the system is

$$303 \quad m_1 \ddot{x}_1 + c_1 \dot{x}_1 + k_1 x_1 + f_c = f_0 \cos \omega t, \quad (13)$$

304 where  $f_c$  is the nonlinear dry friction force. When the Karnopp model is used, we have  $v_r = \dot{x}_1$  and  
 305  $f_e = f_0 \cos \omega t - k_1 x_1$  in Eq. (3). The following non-dimensional parameters and variables are  
 306 introduced for our parametric studies:

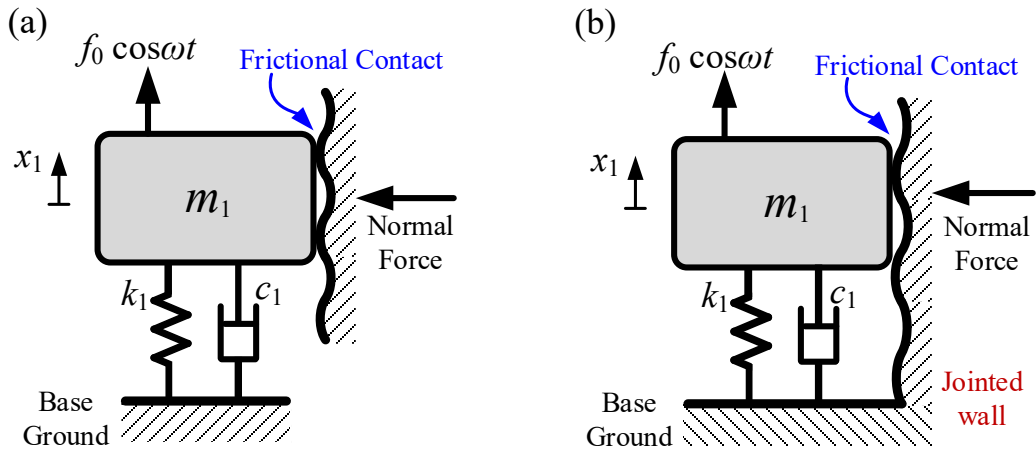
$$307 \quad \omega_1 = \sqrt{\frac{k_1}{m_1}}, \quad \zeta_1 = \frac{c_1}{2m_1\omega_1}, \quad X_1 = \frac{x_1}{l_0}, \quad F_d = \frac{f_d}{k_1 l_0}, \quad \eta = \frac{\varepsilon}{\omega_1 l_0}, \quad (14a-14e)$$

$$308 \quad V_d = \frac{v_d}{\omega_1 l_0}, \quad F_0 = \frac{f_0}{k_1 l_0}, \quad \Omega = \frac{\omega}{\omega_1}, \quad \tau = \omega_1 t, \quad (14f-14i)$$

309 where  $\omega_1$  and  $\zeta_1$  are the undamped natural frequency and the damping ratio of the system without  
 310 considering the friction, respectively,  $l_0$  is the undeformed length of the linear spring at the bottom,  $X_1$   
 311 is the dimensionless displacement of the mass,  $F_d$  is the non-dimensional magnitude of the dynamic dry  
 312 friction force (which is named magnitude of friction hereafter),  $\eta$  is the ratio of tolerance parameter in  
 313 the tanh-regularization approach and  $V_d$  is the dimensionless boundary velocity of the dead zone in the  
 314 Karnopp model,  $F_0$ ,  $\Omega$  and  $\tau$  are the non-dimensional excitation amplitude, excitation frequency and the  
 315 dimensionless time, respectively. By using those defined parameters in Eq. (14), Eq. (13) can be  
 316 transformed into its non-dimensional form described as

$$317 \quad X_1'' + 2\zeta_1 X_1' + X_1 + F_c = F_0 \cos \Omega \tau, \quad (15)$$

318 where the prime denotes differentiation with respect to  $\tau$ , and  $F_c$  is the non-dimensional friction force.



319  
 320 Figure 3. A generic harmonic excited SDOF mass-spring-damper system with (a) a vertical wall separated from  
 321 the horizontal base and (b) a vertical wall jointed with the horizontal base. Coulomb frictional contact exists  
 322 between the vertical wall and the vibrating mass.

323 To obtain analytical approximations of the frequency-response solution of Eq. (15), the steady-  
 324 state periodic dimensionless response of the system is assumed to be

325  $X_1 = R_0 + R_1 \cos(\Omega\tau + \phi) = R_0 + R_1 \cos \theta = R_1(\cos \theta - \cos \theta_0),$  (16a)

326  $X'_1 = -\Omega R_1 \sin(\Omega\tau + \phi) = -\Omega R_1 \sin \theta, X''_1 = -\Omega^2 R_1 \cos(\Omega\tau + \phi) = -\Omega^2 R_1 \cos \theta,$  (16b, 16c)

327 where  $\theta = \Omega\tau + \phi$ ,  $\theta_0 = \cos^{-1}(-R_0/R_1)$ ,  $R_0$  and  $R_1$  are the dimensionless displacement amplitudes  
 328 for the static and fundamental frequency components, respectively. By using the signum function  
 329 approach, the third order Fourier series expansion on the non-dimensional dry friction force  $F_c$  based  
 330 on Eq. (6) is expressed as:

331  $F_c \approx F_d \text{sgn}(X'_1) \approx -\frac{4}{\pi} \left( \sin \theta + \frac{1}{3} \sin 3\theta \right) F_d.$  (17)

332 By inserting Eqs (16) and (17) into Eq. (15) and balancing the static term and the coefficients of  
 333 the  $\cos \theta$  and  $\sin \theta$  terms, we obtain

334  $R_0 = 0, \quad (1 - \Omega^2)R_1 = F_0 \cos \phi, \quad -2\Omega\zeta_1 R_1 - \frac{4}{\pi} F_d = F_0 \sin \phi.$  (18a, b, c)

335 By a manipulation of Eqs (18b) and (18c) in order to cancel out the trigonometric terms of  $\phi$ , we obtain

336  $(1 - \Omega^2)^2 R_1^2 + (2\Omega\zeta_1 R_1 + \frac{4}{\pi} F_d)^2 = F_0^2,$  (19)

337 where  $R_1$  is then obtained by solving the quadratic equation. Then the steady-state dimensionless  
 338 response amplitude  $X_{1\_amp}$  of the mass can be determined as

339  $X_{1\_amp} = |R_1| = \frac{-8F_d + \sqrt{64F_d^2 - \{[16F_d^2 - \pi^2 F_0^2][(1 - \Omega^2)^2 / (\Omega\zeta_1)^2 + 4]\}}}{\pi[(1 - \Omega^2)^2 / (\Omega\zeta_1) + 4\Omega\zeta_1]}.$  (20)

340 The HB-AFT scheme illustrated in Subsection 2.2 can also be used as a semi-analytical  
 341 approximation method to obtain the steady-state response of the system governed by Eq. (15). For the  
 342 implementation, the non-dimensional friction force is approximated by

343  $F_c = F_d \frac{\exp(X'_1/\eta) - \exp(-X'_1/\eta)}{\exp(X'_1/\eta) + \exp(-X'_1/\eta)},$  (21)

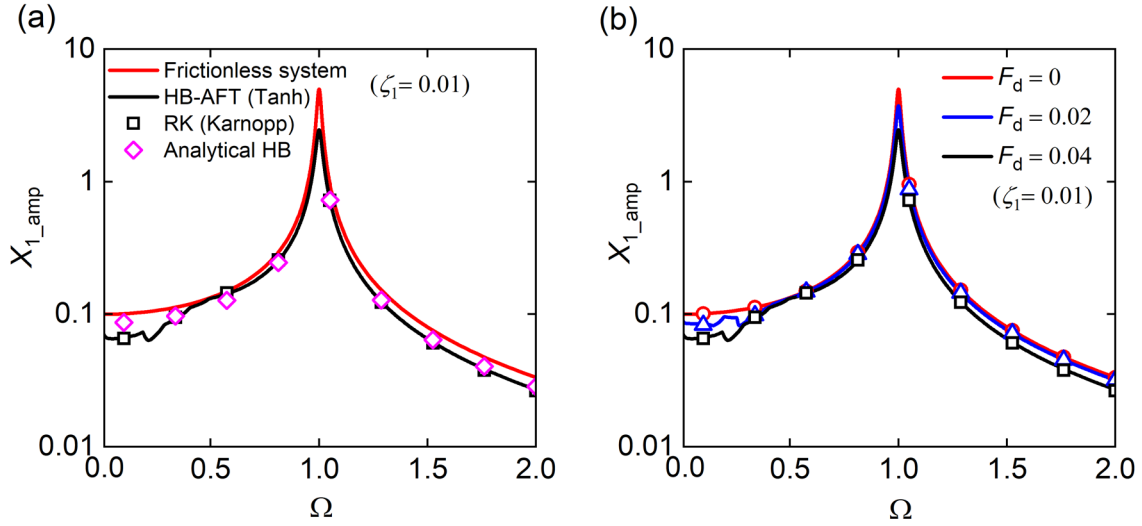
344 based on the tanh-regularization representation from Eq. (4). For dynamic analysis using direct  
 345 numerical integration based on the Runge-Kutta Dormand-Prince method. A variable step size is  
 346 adopted while ensuring the estimated error is below a pre-defined value. The friction force  $F_c$  is  
 347 expressed by

348  $F_c = \begin{cases} F_d \text{sgn}(X'_1), & \text{if } |X'_1| > V_d, \\ F_d \text{sgn}(F_e), & \text{if } |X'_1| \leq V_d \text{ and } |F_e| \geq F_d, \\ F_e, & \text{if } |X'_1| \leq V_d \text{ and } |F_e| < F_d, \end{cases}$  (22)

349 based on the Karnopp model from Eq. (3), where  $F_e = F_0 e^{i\Omega\tau} - X_1$  is the non-dimensional resultant  
 350 force applied to the contacting interface in the tangential direction.

351 In Fig. 4, the effects of dry friction on the steady-state response amplitude  $X_{1\_amp}$  of the mass  
 352 shown in Fig. 3 are investigated. Fig. 4(a) presents a comparison of  $X_{1\_amp}$  results obtained by different  
 353 approaches. The red and black lines represent the results obtained by the semi-analytical HB-AFT  
 354 method for the linear frictionless system ( $F_d = 0$ ) and the nonlinear system with frictional contact ( $F_d =$   
 355  $0.04$ ), respectively. **With a trade off between the accuracy and computational time, the order  $N$  used in**  
 356 **HB-AFT approximations is set as 7 throughout the paper.** The squares and rhombus denote the response

357 amplitude for the same nonlinear frictional system ( $F_d = 0.04$ ) computed by the Runge-Kutta method  
 358 with adaptive-step-size and the analytical HB method, respectively. When employing the Runge-Kutta  
 359 method, the non-smoothness of the friction force is reflected by the conditional statement in the  
 360 algorithm and the relative error tolerance is set as  $10^{-6}$  throughout this paper. The other system  
 361 parameters are fixed as  $\zeta_1 = 0.01$ ,  $V_d = 1 \times 10^{-4}$ ,  $\eta = 1 \times 10^{-4}$  and  $F_0 = 0.1$ .

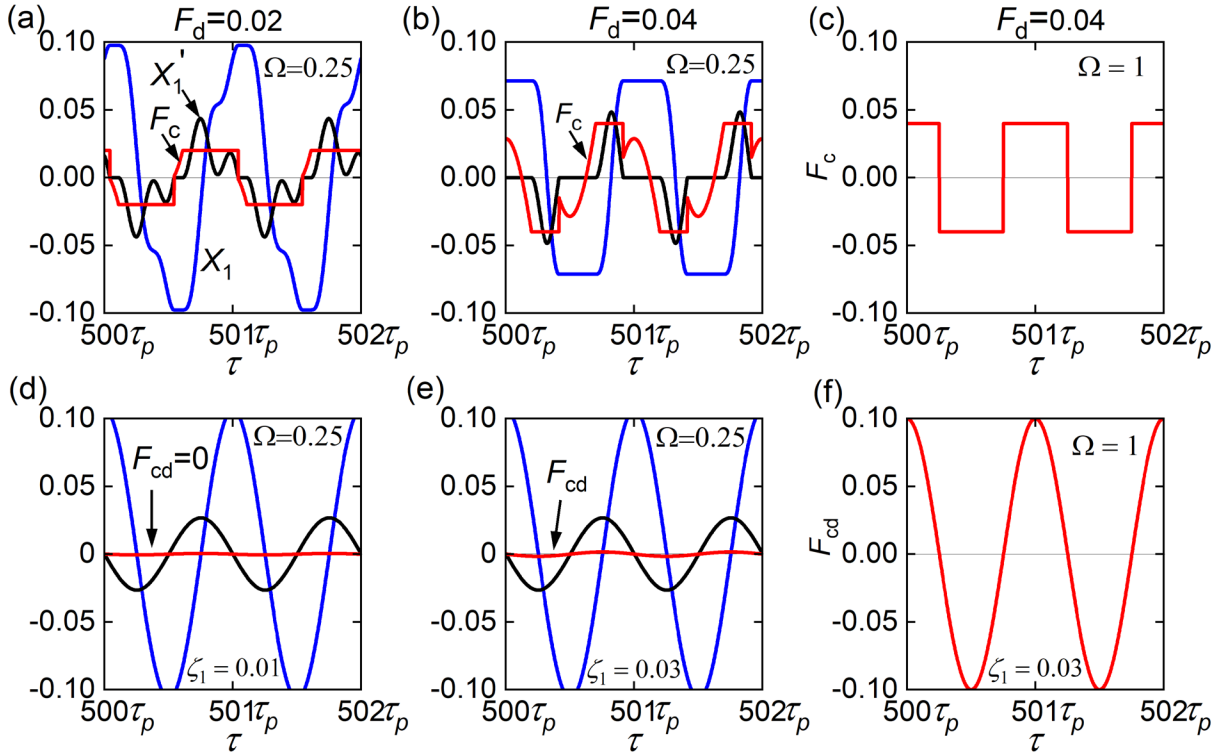


363 Figure 4. (a) Comparison of steady-state response solutions from HB-AFT, analytical HB and RK approaches,  
 364 and (b) the effects of the magnitude of friction  $F_d$  on the steady-state response amplitude  $X_{1\_amp}$ . In (a), the red  
 365 and black lines are for the results obtained by the HB-AFT method with  $F_d = 0$  and 0.04 respectively. The squares  
 366 and rhombuses are for the results obtained by the RK method and analytical-HB method, respectively, with  $F_d =$   
 367 0.04; In (b), the red, blue and black lines are for HB-AFT results with  $F_d = 0, 0.02$  and 0.04, respectively. Circular,  
 368 triangular and square symbols denote RK results.

369 Figure 4(a) shows that the analytical HB yields relatively accurate approximations of the responses  
 370 when the excitation frequency is in the vicinity of the resonance. However, due to the relatively low  
 371 number of Fourier terms used in the analytical HB, at low or high frequencies differences appear  
 372 between the analytical and the numerical integration results. In comparison, the HB-AFT results agree  
 373 well with the numerical results over the whole frequency range, demonstrating the accuracy of the  
 374 truncation in Fourier expansion approximations. Therefore, for a better demonstration of the effects of  
 375 the strong friction nonlinearity on the vibration transmission and energy dissipation, only the 7<sup>th</sup> order  
 376 HB-AFT results and RK results are presented in the following content. Fig. 4(b) shows the effects of  
 377 the presence of the dry friction on the system response, with the magnitude of friction  $F_d$  being 0, 0.02  
 378 and 0.04, respectively. The other parameters are set the same as those used in Fig. 4(a). The results  
 379 obtained by the HB-AFT approximations are represented by different colours of lines, while those  
 380 obtained by using the RK method are denoted by symbols. Fig. 4(b) shows that by comparing to the  
 381 linear system with  $F_d = \rho = 0$ , the involvement of the dry friction contact between the mass and wall  
 382 can suppress the vibration of the mass in a broad frequency band, demonstrated by reductions in steady-  
 383 state response amplitude  $X_{1\_amp}$ . It shows that as the magnitude of friction  $F_d$  increases from 0.02 to  
 384 0.04, there is a stronger suppression effect on the  $X_{1\_amp}$  in a wide frequency range. Of contrast to the  
 385 effects of dry friction on the response amplitude, it is well-documented that for a SDOF mass-spring-

386 damper linear system, an increase in the viscous damping ratio  $\zeta_1$  can lead to a substantial reduction in  
 387 the peak response amplitude, but small changes in the response amplitude when away from the resonant  
 388 frequency region. Fig. 4(b) indicates that a major difference in the effects of dry friction and viscous  
 389 damping on the dynamic response and vibration suppression is the frequency range being affected.

390 Figure 5 presents the steady-state time histories of the responses, specifically the friction force  $F_c$   
 391 and the viscous damping force  $F_{cd}$  ( $F_{cd} = 2\zeta_1 X_1'$ ) at particular frequencies in Fig. 4. The system with  
 392 dry frictional contact is investigated by setting the magnitude of friction  $F_d = 0.02$  in Fig. 5(a) and  
 393  $F_d=0.04$  in Figs 5(b) and (c) while setting viscous damping ratio  $\zeta_1$  of the system to be 0.01. In Figs  
 394 5(d), (e) and (f), the linear system without dry friction ( $F_d = 0$ ) is examined with  $\zeta_1 = 0.01$  in Fig. 5(d)  
 395 and  $\zeta_1 = 0.03$  in Figs 5(e) and (f). Parameters are set as  $V_d = 1 \times 10^{-4}$ ,  $\eta = 1 \times 10^{-4}$  and  $F_0 = 0.1$ ,  
 396 the same as those used in Fig. 4. The results are obtained by using the RK method and the Karnopp  
 397 model.



399 Figure 5. Time histories of the forces and the responses in the steady-state for the system considering dry friction  
 400 with  $F_d = 0.02$  in (a) and  $F_d = 0.04$  in (b) and (c), respectively; For the linear system with  $\zeta_1 = 0.01$  in (d) and  
 401  $\zeta_1 = 0.03$  in (e) and (f), respectively. The excitation frequency  $\Omega$  is  $\Omega = 0.25$  in (a), (b), (d) and (e), and  $\Omega = 1$   
 402 in (c) and (f). The red line is the dry friction force  $F_c$  from the frictional contact in (a), (b) and (c), while in (d), (e)  
 403 and (f) is the damping force  $F_{cd}$  from the viscous damper. The blue and black lines are the response displacement  
 404 and velocity of the mass, respectively.

405 Figures 5(a) and (b) show the time histories of the displacement responses and the friction force at  
 406 a low excitation frequency of  $\Omega = 0.25$ . It shows that the system with dry friction can exhibit stick-slip  
 407 behaviour in steady-state motion. When increasing the magnitude of friction  $F_d$  from 0.02 to 0.04, the  
 408 displacement response amplitude of the mass is suppressed. In contrast, for the linear system without  
 409 dry friction in Figs 5(d) and (e), it is found that as the damping ratio  $\zeta_1$  of the system damping  $c_1$

410 increases from 0.01 to 0.03, the viscous damping force is still small and steady-state responses of the  
411 mass at the excitation frequency change a little. This behaviour arises from the fact that the viscous  
412 damping force  $F_{cd}$  is proportional to the velocity. Since the velocity is small at low frequencies, a  
413 relatively small damping force is induced by the viscous damper. Figs 5(c) and (f) present the time  
414 histories of the friction force and the damping force when the system is excited at  $\Omega = 1$  near resonance,  
415 respectively. Fig. 5(c) shows that the magnitude of the friction force  $F_c$  remains as  $|F_c| = |F_d| = 0.04$   
416 independent of the excitation frequency. In comparison, Fig. 5(f) shows that there is a significant  
417 increase in the amplitude of damping force  $F_{cd}$  from the viscous damper at  $\Omega = 1$  compared to its  
418 amplitude at  $\Omega = 0.25$  as shown in Fig. 5(e). This is because that the velocity amplitude near the  
419 resonant frequency is relatively large, leading to a larger amplitude of the damping force. Figs 4 and 5  
420 demonstrate that the response amplitude of the mass is sensitive to the changes in the magnitude of  
421 friction over a broad frequency range. This is of direct contrast to the influence of viscous damping  
422 ratio, which mainly leads to reductions of the peak response.

### 423 3.2 Force transmissibility and vibration-energy flow

424 To evaluate the level of vibration transmission between subsystems of an integrated linear or  
425 nonlinear structure, the force transmissibility and time-averaged vibration power flow variables are  
426 widely employed as indicators [50]. For the current SDOF system with friction, the force  
427 transmissibility  $TR_B$  can be defined as the ratio between the maximum magnitude of the transmitted  
428 force to the base and the amplitude of the excitation force:

$$429 \quad TR_B = \frac{\max(|\Re\{F_{tB}\}|)}{F_0}, \quad (23)$$

430 where  $F_{tB}$  represents the non-dimensional transmitted force from mass  $m_1$  to the base.  $F_{tB} = X_1 +$   
431  $2\zeta_1 X_1'$  is for the system with separated wall and base shown in Fig. 3(a) while  $F_{tB} = F_c + X_1 + 2\zeta_1 X_1'$   
432 is for the system with jointed wall and base shown in Fig. 3(b). For enhanced suppression of vibration  
433 transmission, a low value of force transmissibility is desirable.

434 The instantaneous input power  $P_{in}$  and time-averaged input power  $\bar{P}_{in}$  as well as the maximum  
435 kinetic energy  $K_1$  of the mass  $m_1$  can be obtained by setting  $q = 1$  using Eqs (10), (11) and (12),  
436 respectively. The instantaneous dissipated power  $P_{d1}$  by the viscous damper  $c_1$  and the instantaneous  
437 dissipated power  $P_{df}$  by the dry friction contact are expressed as

$$438 \quad P_{d1} = 2\zeta_1(\Re\{X_1'\})^2, \quad P_{df} = \Re\{X_1'\}\Re\{F_c\}, \quad (24a, 24b)$$

439 respectively, and the velocity  $X_1'$  is obtained by differentiating Eq. (8a) to have  $X_1' =$   
440  $\sum_{n=0}^N in\Omega\tilde{R}_{(1,n)}e^{in\Omega\tau}$ . The corresponding time-averaged dissipated power are

$$441 \quad \bar{P}_{d1} = \frac{1}{\tau_p} \int_{\tau_0}^{\tau_0+\tau_p} P_{d1} d\tau, \quad \bar{P}_{df} = \frac{1}{\tau_p} \int_{\tau_0}^{\tau_0+\tau_p} P_{df} d\tau, \quad (25a, 25b)$$

442 respectively. The power dissipation ratio provides the proportion of the vibration energy dissipated  
443 within the total input energy into the system. The corresponding power dissipation ratios are

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$$R_{d1} = \frac{\bar{P}_{d1}}{\bar{P}_{in}}, \quad R_{df} = \frac{\bar{P}_{df}}{\bar{P}_{in}}, \quad (26a, 26b)$$

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for the system viscous damper  $c_1$  and the dry frictional contact, respectively. It is noted that according to the principle of conservation of energy, over a cycle of periodic response, we have  $R_{d1} + R_{df} = 1$  as all energy input should have been dissipated to have zero net change in the mechanical energy.

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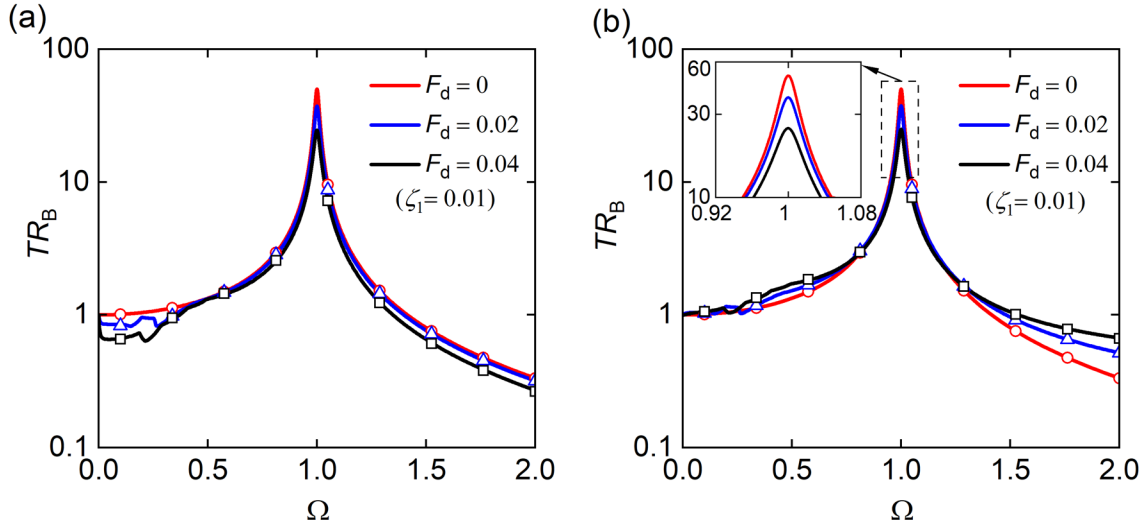
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In Figs 6, 7 and 8, the influence of frictional contact on the force transmissibility, power flow behaviour and the maximum kinetic energy of the system is presented. The HB-AFT results are represented by different colours of lines while the RK results are denoted by different kinds of symbols. In Fig. 6, the effects of the dry friction on the force transmissibility  $TR_B$  are illustrated. Fig. 6(a) presents the  $TR_B$  results for the system with the vertical wall separated from the base, as shown in Fig. 3(a) while Fig. 6(b) shows the  $TR_B$  results corresponding to the system having a jointed vertical wall and base, as shown in Fig. 3(b). The magnitude of the dry friction  $F_d$  changes from 0 to 0.02 and to 0.04 in Fig. 6(a) or (b) while the damping ratio  $\zeta_1$  of the viscous damper is fixed as  $\zeta_1 = 0.01$ . The other parameters are set as  $V_d = 1 \times 10^{-4}$ ,  $\eta = 1 \times 10^{-4}$  and  $F_0 = 0.1$ .



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Figures 6(a) and (b) show that variations in the level of dry friction have a relatively large effect on the force transmissibility  $TR_B$  over a wide frequency band. Fig. 6(a) shows that when the vertical frictional contact wall is separated from the base, as the magnitude of dry friction  $F_d$  increases from 0 to 0.04, the force transmission to the base is reduced in a broad frequency range due to the frictional resistance. Fig. 6(b) suggests that when the vertical wall is jointed with the base, compared with the reference case for the system without friction (i.e.,  $F_d = 0$ ), the increase in the value of  $F_d$  from 0 to 0.04 leads to reductions in the force transmissibility  $TR_B$  near the peak frequency  $\Omega = 1$ . However, at a prescribed frequency in the high-frequency range away from the peak, the force transmissibility increases with  $F_d$ . This is due to the nonlinearity of the discontinuous friction force introduced into the

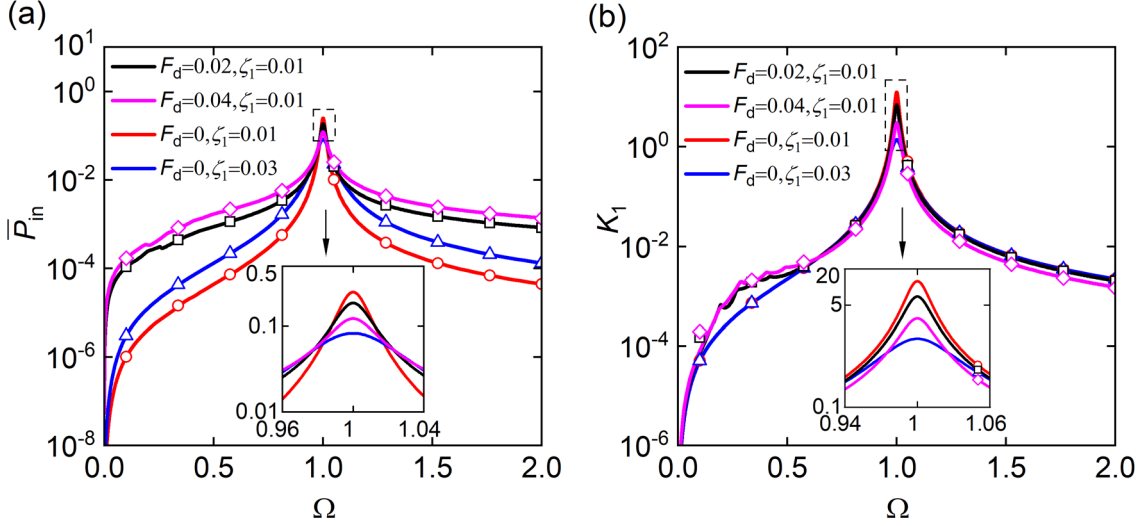
471 system. At the peak frequency, the amplitudes of the displacement and velocity responses are  
 472 suppressed due to the friction force, resulting in a smaller transmitted force  $F_{tB}$  to the base and a smaller  
 473 value of  $TR_B$ . At high/low frequencies, the response amplitude is smaller while the amplitude of the  
 474 friction force is not changed as shown in Fig. 5(b). Therefore, there can be a larger amplitude of  $F_{tB}$   
 475 and hence a larger value of  $TR_B$  compared to that of the corresponding linear system ( $F_d = 0$ ).

476 In Fig. 7, the effects of the dry friction on the time-averaged input power  $\bar{P}_{in}$  into the system and  
 477 the maximum kinetic energy  $K_1$  of the mass are studied, respectively. Cases 1 and 2 examine the system  
 478 responses considering the dry friction force  $F_d$  set as 0.02 and 0.04, respectively, while keeping the  
 479 damping ratio  $\zeta_1$  of the viscous damper constant at 0.01. Cases 3 and 4 are the corresponding linear  
 480 frictionless systems (i.e.,  $F_d = 0$ ) with the damping ratio  $\zeta_1$  set as 0.01 and 0.03, respectively. The other  
 481 system parameters are set as  $V_d = 1 \times 10^{-4}$ ,  $\eta = 1 \times 10^{-4}$  and  $F_0 = 0.1$ . Figure 7(a) shows that the  
 482 presence of friction for the system in Case 1 results in a relatively lower peak of  $\bar{P}_{in}$ , compared with the  
 483 corresponding system with viscous damping only, i.e., Case 3 with  $F_d = 0$ . However, at the low or high  
 484 excitation frequencies, the dry frictional contact leads to a substantial increase in the amount of input  
 485 power into the oscillating system. The reason for this phenomenon will be further explored in the later  
 486 content. By a comparison of Cases 1 and 2 with the magnitude of friction  $F_d$  increasing from 0.02 to  
 487 0.04, it is found that the peak value of  $\bar{P}_{in}$  reduces, which is caused by a lower amplitude of resonant  
 488 velocity due to the stronger frictional resistance. In contrast, when  $\Omega$  is away from the peak, the increase  
 489 of  $F_d$  yields a larger amount of input power into the system. Fig. 7(b) indicates that the presence of the  
 490 dry friction in Case 1 can lead to a smaller peak value of the maximum kinetic energy  $K_1$ , compared to  
 491 that of frictionless system in Case 3. In the frequency range with the excitation frequency  $\Omega$  between  
 492 approximately 0.15 and 0.5, the values of  $K_1$  for Cases 1 and 2 with dry friction become larger than the  
 493 corresponding values for Cases 3 and 4 without friction. By a comparison of the time histories of the  
 494 velocity responses of the systems excited at  $\Omega = 0.25$ , as shown in Figs 5(a) and (d), it is found that the  
 495 dry friction nonlinearity can yield a larger maximum velocity of the mass, leading to a larger value of  
 496 kinetic energy. Fig. 7(b) also indicates that in the high-frequency range, the maximum kinetic energy  
 497  $K_1$  of the system with dry friction can be smaller than that of the system without friction. Moreover, an  
 498 increase of the magnitude of friction  $F_d$  from 0.02 to 0.04 can slightly lower the peak height of  $K_1$  and  
 499 also reduce the values of  $K_1$  at high excitation frequencies. However, the maximum kinetic energy  
 500 becomes slightly larger with the increasing  $F_d$  when  $\Omega$  locates approximately between 0.25 and 0.5.

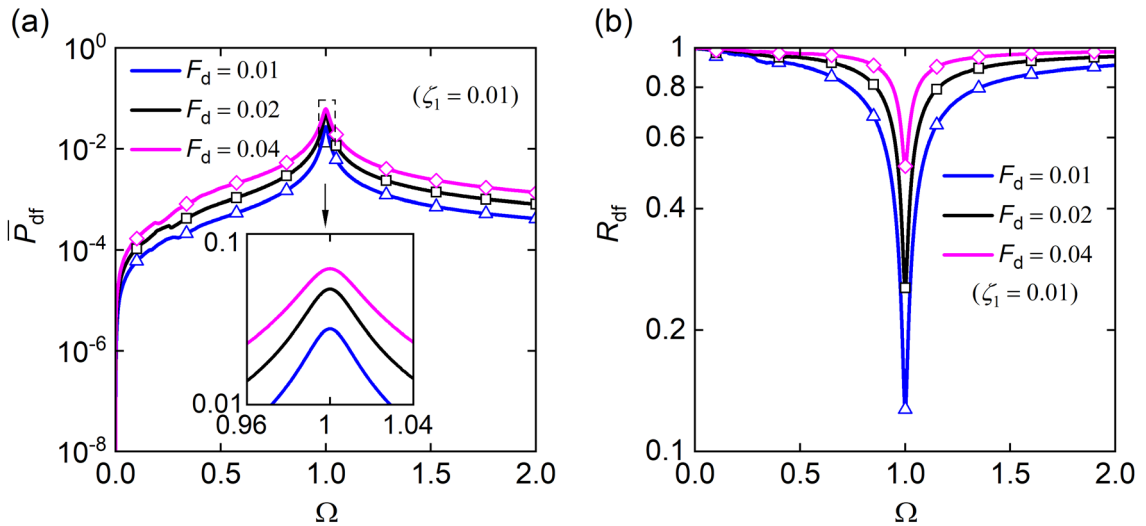
501 In Figs 8(a) and (b), the influence of the magnitude of dry friction on its time-averaged dissipated  
 502 power  $\bar{P}_{df}$  and the corresponding power dissipation ratio  $R_{df}$  is investigated. Three cases are considered  
 503 by changing the value of  $F_d$  from 0.01 to 0.02 and to 0.04. The other parameters are set as  $\zeta_1 = 0.01$ ,  
 504  $V_d = 1 \times 10^{-4}$ ,  $\eta = 1 \times 10^{-4}$  and  $F_0 = 0.1$ . Figure 8(a) shows that as the magnitude of friction  $F_d$   
 505 increases from 0.01 to 0.04, there is more power dissipation by the dry friction contact in a wide  
 506 frequency band. Fig. 8(b) shows that there is a minimum value of the power dissipation ratio  $R_{df}$



507 associated with dry friction at the resonant frequency of  $\Omega = 1$ . As the excitation frequency increases  
 508 from 0 to 2, the value of  $R_{df}$  firstly decreases from approximately 1 to a local minimum, and then  
 509 increases to the value close to 1. It suggests that the frictional contact is effective for energy dissipation  
 510 when the system is not in resonance. By increasing the magnitude of friction from 0.01 to 0.04, the  
 511 value of the local minimum point of  $R_{df}$  increases substantially. In comparison, when the excitation  
 512 frequency is away from  $\Omega = 1$ , the changes in  $F_d$  lead to much smaller variations in  $R_{df}$ .



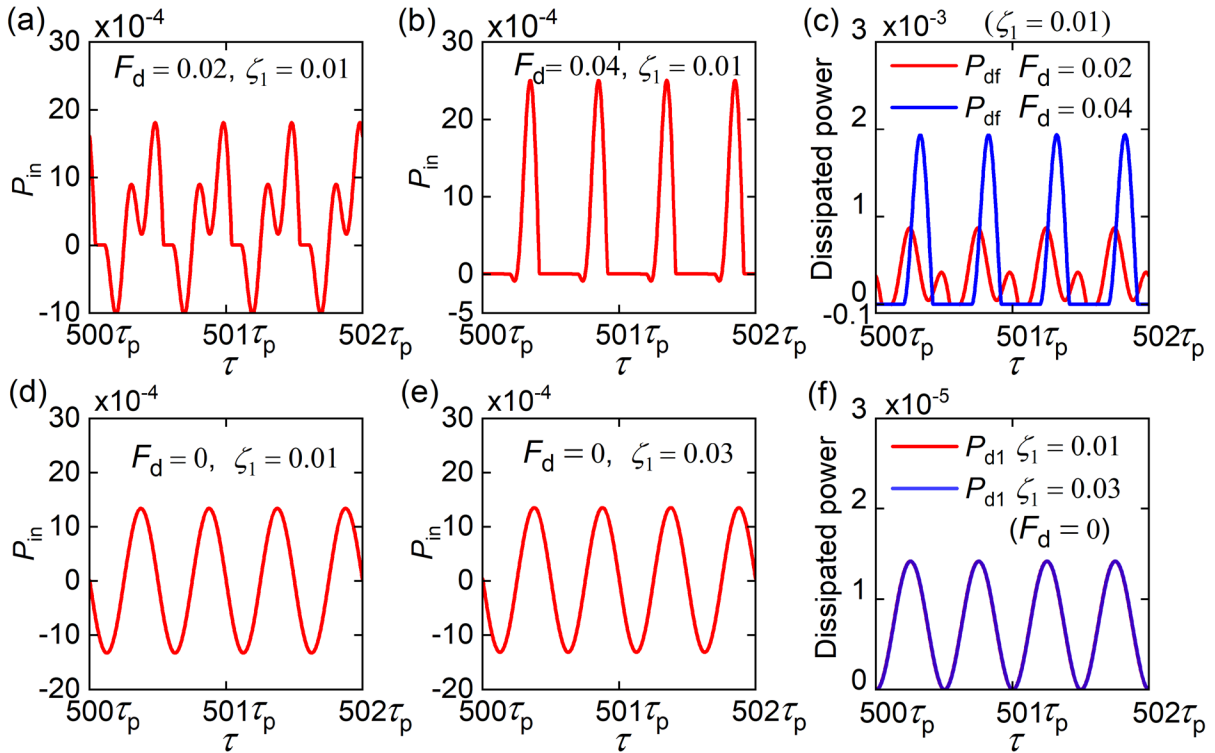
514 Figure 7. Effects of the magnitude of friction  $F_d$  on (a) the time-averaged input power  $\bar{P}_{in}$  into the system and (b)  
 515 the maximum kinetic energy  $K_1$  of the mass. The black and pink lines are for the nonlinear system having dry  
 516 friction with  $F_d = 0.02$  and  $0.04$ , respectively. The red and blue lines are for the linear system with  $\zeta_1 = 0.01$   
 517 and  $0.03$ , respectively. Square, diamond, circular and triangular symbols denote RK results.



519 Figure 8. Effects of the magnitude of friction  $F_d$  on (a) the time-averaged dissipated power by the dry friction  
 520 contact  $\bar{P}_{df}$  and (b) the corresponding power dissipation ratio  $R_{df}$ . The system parameters are fixed as  $\zeta_1 = 0.01$ ,  
 521  $V_d = 1 \times 10^{-4}$ ,  $\eta = 1 \times 10^{-4}$  and  $F_0 = 0.1$ . The blue, black and pink lines are for the nonlinear system having  
 522 dry friction with  $F_d = 0.01$ ,  $0.02$  and  $0.04$ , respectively. Triangular, square and diamond symbols denote RK  
 523 results.

524 In Fig. 9, the mechanisms for the friction influence on  $\bar{P}_{in}$  and  $\bar{P}_{df}$  at low frequencies shown in  
 525 Figs 7(a) and 8(a), are further explored. Figs 9(a) and (b) present the time histories of the steady-state  
 526 instantaneous input power  $P_{in}$  into the system with the magnitude of friction  $F_d = 0.02$  and  $0.04$ ,

527 respectively, while setting damping ratio  $\zeta_1 = 0.01$ . For comparison, Figs 9(d) and (e) present the  $P_{in}$   
528 for the corresponding linear frictionless systems with the damping ratio  $\zeta_1$  being 0.01 and 0.03,  
529 respectively. The excitation frequency is prescribed to be  $\Omega = 0.25$  and the other system parameters  
530 are set the same as those used in Figs 6, 7 and 8. Figs 9(a) and (b) show the stick-slip characteristic at  
531 low frequencies for the system with dry friction, making the positive part of the instantaneous input  
532 power to be significantly larger than the negative part. When increasing the magnitude of friction  $F_d$   
533 from 0.02 to 0.04, the negative part of  $P_{in}$  is further reduced while the positive part is increased, leading  
534 to a much larger amount of input energy into the system over one cycle of periodic response. Compared  
535 to the  $P_{in}$  in the linear frictionless systems shown in Figs 9(d) and (e), it is found that the increase of  
536 damping ratio  $\zeta_1$  from 0.01 to 0.03 has a smaller effect on the  $P_{in}$  at this frequency. Fig. 9(c) depicts  
537 the time histories of the instantaneous dissipated power  $P_{df}$  by the friction at  $\Omega = 0.25$  with  $F_d = 0.02$   
538 or  $F_d = 0.04$ . It shows that in the low-frequency range, the increase in the magnitude of friction can  
539 lead to a much larger amount of power dissipation by the frictional contact. In comparison, Fig. 9(f)  
540 presents the time histories of the instantaneous dissipated power  $P_{d1}$  by the viscous damper  $c_1$  of the  
541 corresponding linear system at the same frequency of  $\Omega = 0.25$ . It shows that the increase of the  
542 damping ratio  $\zeta_1$  from 0.01 to 0.03 has little influence on the power dissipation  $P_{d1}$  when the excitation  
543 frequency is low. From Figs 7, 8 and 9, it can be deduced that comparing to viscous damping, the dry  
544 friction nonlinearity can strongly affect the energy flow characteristics of the system when the excitation  
545 frequency is away from the resonance.



547 Figure 9. Time histories of instantaneous power flow in the steady state at  $\Omega = 0.25$  for the system with dry  
548 friction in (a), (b) and (c), and for the frictionless linear system in (d), (e) and (f). In (a), (b), (d) and (e): the  
549 instantaneous input power  $P_{in}$ ; in (c): the instantaneous power dissipation  $P_{df}$  by the dry friction contact, and the  
550 red and blue lines are for the frictional system with  $F_d = 0.02$  and  $0.04$ , respectively; in (f): the instantaneous

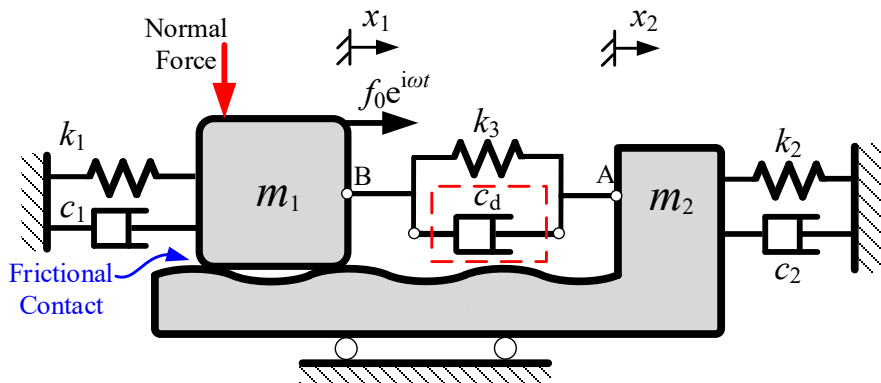
551 power dissipation  $P_{d1}$  by the system viscous damper, and the red and blue lines are for the frictionless system  
 552 with  $\zeta_1 = 0.01$  and  $0.03$ , respectively.

## 553 4 Energy flow of 2DOF system coupled with frictional contact

554 In this section, the vibration transmission and energy dissipation characteristics of a coupled  
 555 system with dry friction at the interface are investigated. The mathematical model of the 2DOF system  
 556 with interfacial friction is firstly introduced. Then, the approaches for determining the dynamic response  
 557 of the system by the HB-AFT or numerical integration methods are discussed. The force transmissibility  
 558 and vibration energy flow for the coupled system are subsequently formulated. Finally, the dynamics  
 559 and vibration energy flow results of the coupled system are presented. In particular, the effects of the  
 560 interfacial friction on the vibration transmission and energy dissipation within the system are examined.

### 561 4.1 Mathematical modelling

562 Figure 10(a) shows two SDOF systems, each representing a dominant mode of a substructure,  
 563 connected via a spring of stiffness coefficient  $k_3$ . The SDOF primary system comprises the primary  
 564 mass  $m_1$  subjected to a harmonic force excitation with amplitude of  $f_0$  and frequency of  $\omega$ , a linear  
 565 spring with a stiffness coefficient  $k_1$  and a viscous damper with damping  $c_1$ . The SDOF secondary  
 566 system has the secondary mass  $m_2$ , a viscous damper of damping  $c_2$ , and a linear spring with stiffness  
 567  $k_2$ . The primary mass is sliding on the rough surface of the secondary mass, where the interactive dry  
 568 friction force  $f_c$  is generated between two masses with the magnitude of friction  $f_d$ . The secondary  
 569 system is placed horizontally on the smooth surface. The static equilibrium positions of the two masses,  
 570 where  $x_1 = x_2 = 0$  and the springs  $k_1, k_2, k_3$  are undeformed, are set as the reference. To highlight the  
 571 influence of dry friction on the energy flow and vibration transmission, the frictional contact can be  
 572 replaced by a viscous damper at the interface with damping coefficient  $c_d$  for comparison.



573 Figure 10. A 2DOF system with SDOF sub-systems coupled by a linear spring  $k_3$  and the nonlinear dry frictional  
 574 contact or a viscous damper  $c_d$  at the interface. The primary SDOF system with mass of  $m_1$  is excited by a  
 575 harmonic force  $f_0 e^{i\omega t}$  and the secondary SDOF system with mass of  $m_2$  is placed on a horizontal smooth surface.  
 576

577 The equations of motion of the system can be written in a matrix form as

$$578 \begin{bmatrix} m_1 & 0 \\ 0 & m_2 \end{bmatrix} \begin{Bmatrix} \ddot{x}_1 \\ \ddot{x}_2 \end{Bmatrix} + \begin{bmatrix} c_1 & 0 \\ 0 & c_2 \end{bmatrix} \begin{Bmatrix} \dot{x}_1 \\ \dot{x}_2 \end{Bmatrix} + \begin{bmatrix} k_1 + k_3 & -k_3 \\ -k_3 & k_2 + k_3 \end{bmatrix} \begin{Bmatrix} x_1 \\ x_2 \end{Bmatrix} + \begin{Bmatrix} f_c \\ -f_c \end{Bmatrix} = \begin{Bmatrix} f_0 e^{i\omega t} \\ 0 \end{Bmatrix}, \quad (27)$$

579 where  $f_c$  represents the dry friction force at the interface being a function of the relative velocity  $v_r =$   
580  $\dot{x}_1 - \dot{x}_2$ . The friction can be determined by using the Karnopp model and the tanh-regularization  
581 approach expressed in Eqs (3) and (4), respectively. When the Karnopp model, as shown in Eq. (3), is  
582 used, considering the stick state with no relative motion between the masses, i.e.,  $\dot{x}_1 = \dot{x}_2$  and  $\ddot{x}_1 = \ddot{x}_2$ .  
583 The resultant external force  $f_e$  applied to the coupling interface in the tangential direction is balanced  
584 by the friction force  $f_c$ . From Eq. (27), we have

$$585 \quad f_e = f_c = k_3(x_2 - x_1) + \frac{1}{m_1 + m_2} [m_1 k_2 x_2 + (m_1 c_2 - m_2 c_1) \dot{x}_1 + m_2 f_0 e^{i\omega t} - m_2 k_1 x_1]. \quad (28)$$

586 Here the new parameters are defined as

$$587 \quad \omega_2 = \sqrt{\frac{k_2}{m_2}}, \quad \zeta_2 = \frac{c_2}{2m_2\omega_2}, \quad X_2 = \frac{x_2}{l_0}, \quad \gamma = \frac{\omega_2}{\omega_1}, \quad \rho = \frac{c_d}{c_1}, \quad \mu = \frac{m_2}{m_1}, \quad \kappa = \frac{k_3}{k_1}, \quad (29a-29g)$$

588 where  $\omega_2$  and  $\zeta_2$  are the undamped natural frequency and the damping ratio for the secondary system  
589 without friction, respectively,  $X_2$  denotes the non-dimensional displacement of the secondary mass,  $\gamma$   
590 is the ratio between the undamped natural frequency of the primary system and that of the secondary  
591 system,  $\rho$  represents the damping level of the interfacial viscous damper which is used to replace the  
592 dry friction contact for comparison purpose,  $\mu$  is the mass ratio and  $\kappa$  is the stiffness ratio for the linear  
593 spring at the interface. By using them and previously defined parameters in Eq. (14), Eq. (27) can be  
594 transformed into a dimensionless form as

$$595 \quad \begin{bmatrix} 1 & 0 \\ 0 & \mu \end{bmatrix} \begin{bmatrix} X_1'' \\ X_2'' \end{bmatrix} + \begin{bmatrix} 2\zeta_1 & 0 \\ 0 & 2\mu\zeta_2\gamma \end{bmatrix} \begin{bmatrix} X_1' \\ X_2' \end{bmatrix} + \begin{bmatrix} 1 + \kappa & -\kappa \\ -\kappa & \mu\gamma^2 + \kappa \end{bmatrix} \begin{bmatrix} X_1 \\ X_2 \end{bmatrix} + \begin{Bmatrix} F_c \\ -F_c \end{Bmatrix} = \begin{Bmatrix} F_0 e^{i\Omega\tau} \\ 0 \end{Bmatrix}, \quad (30)$$

596 where  $F_c$  is the non-dimensional dry friction force which can be expressed by replacing  $X_1'$  with  $(X_1' -$   
597  $X_2')$  in Eq. (21) and Eq. (22) for the use of the tanh-regularization approach and the Karnopp model,  
598 respectively. In Eq. (22) when using the Karnopp model,  $F_e$  is the dimensionless resultant external force  
599 applied to the coupling interface in the tangential direction from Eq. (28):

$$600 \quad F_e = \frac{f_e}{k_1 l_0} = \kappa(X_2 - X_1) + \frac{\mu}{1 + \mu} [\gamma^2 X_2 + 2(\zeta_2\gamma - \zeta_1)X_1' + F_0 e^{i\Omega\tau} - X_1]. \quad (31)$$

601 For the corresponding case of using an interfacial viscous damper to replace the dry friction contact,  
602 the friction force term  $F_c$  in Eq. (30) is replaced with the damping force term  $F_{cd} = 2\zeta_1\rho(X_1' - X_2')$  by  
603 the interfacial viscous damper.

604 To obtain the steady-state response of the coupled system, Eq. (30) can be solved using a combined  
605 use of the HB-AFT method and numerical continuations illustrated in Subsection 2.2, where the tanh-  
606 regularization approach is used for the approximation of the friction force. The results are compared  
607 with the Runge-Kutta method with the friction force determined by the Karnopp model.

## 608 4.2 Force transmissibility and vibration-energy flow

609 The influence of the interfacial frictional contact on the vibration transmission between the two  
610 subsystems and the vibration energy dissipation at the interface is of interest. The force transmissibility  
611 from the primary mass  $m_1$  to the secondary mass  $m_2$  is expressed by

612 
$$TR_S = \frac{\max(\Re\{|F_{ts}|\})}{F_0}, \quad (32)$$

613 where  $F_{ts} = \kappa(X_1 - X_2) + F_c$  is the dimensionless transmitted force to mass  $m_2$  for the systems with  
 614 dry friction. It is noted that when viscous damping, instead of dry friction, exists at the interface, the  
 615 transmitted force is replaced with  $F_{ts} = \kappa(X_1 - X_2) + F_{cd}$ .

616 For the current system in the steady-state motion, the non-dimensional time-averaged input power  
 617  $\bar{P}_{in}$  over one cycle of periodic response is obtained from Eq. (11) by setting  $q = 1$ . The time-averaged  
 618 dissipated power  $\bar{P}_{d1}$  by the viscous damper  $c_1$  is still defined by Eq. 25(a). Over one cycle of periodic  
 619 motion, the time-averaged transmitted power to the secondary system is entirely dissipated by the  
 620 damper  $c_2$ . Therefore, the time-averaged transmitted power  $P_{ts}$  to the secondary system and the time-  
 621 averaged dissipated power  $\bar{P}_{di}$  by the interfacial dissipative element in the form of a friction contact or  
 622 a viscous damper, are expressed as

623 
$$\bar{P}_{ts} = \frac{1}{\tau_p} \int_{\tau_0}^{\tau_0 + \tau_p} P_{ts} d\tau, \quad \bar{P}_{di} = \frac{1}{\tau_p} \int_{\tau_0}^{\tau_0 + \tau_p} P_{di} d\tau, \quad (33a, 33b)$$

624 respectively. The corresponding instantaneous transmitted power  $P_{ts}$  and the instantaneous dissipated  
 625 power  $P_{di}$  are

626 
$$P_{ts} = 2\mu\zeta_2\gamma(\Re\{X'_2\})^2, \quad (34a)$$

627 
$$P_{di} = \begin{cases} \Re\{X'_1 - X'_2\}\Re\{F_c\}, & \text{for the dry frictional contact,} \\ 2\zeta_1\rho(\Re\{X'_1 - X'_2\})^2, & \text{for the interfacial viscous damper,} \end{cases} \quad (34b)$$

628 respectively.  $X'_1$  and  $X'_2$  are obtained by taking the differentiation of  $X_1$  and  $X_2$  as  $X'_1 =$   
 629  $\sum_{n=0}^N in\Omega\tilde{R}_{(1,n)}e^{in\Omega\tau}$  and  $X'_2 = \sum_{n=0}^N in\Omega\tilde{R}_{(2,n)}e^{in\Omega\tau}$ , respectively. The power dissipation ratio  $R_{d1}$  by  
 630 the damper  $c_1$  is still obtained from Eq. (26a). The power dissipation ratio  $R_{di}$  at the interface and the  
 631 power transmission ratio  $R_{ts}$  are defined as

632 
$$R_{di} = \frac{\bar{P}_{di}}{\bar{P}_{in}}, \quad R_{ts} = \frac{\bar{P}_{ts}}{\bar{P}_{in}}, \quad (35a, 35b)$$

633 respectively. It is noted that in accordance with the principle of conservation of energy, over a period  
 634 of cyclic response, we have  $R_{di} + R_{ts} + R_{d1} = 1$ .

### 635 4.3 Dynamics and vibration-energy flow results

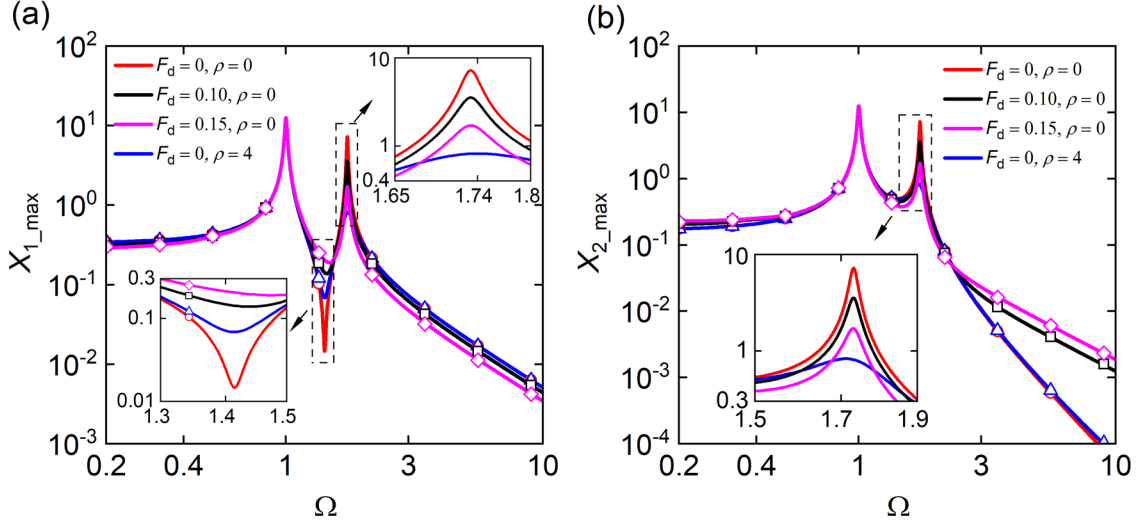
636 Here case studies are performed to investigate the influence of friction at the coupling interface on  
 637 the vibration transmission through the interface and dissipation in the coupled system. Both HB  
 638 approximations and numerical integration results are obtained and presented. Case 1 corresponds to the  
 639 linear system with only a spring at the interface ( $F_d = \rho = 0$ ). Case 2 and 3 consider the presence of  
 640 dry friction at the interface with different magnitude of dry friction. The damping ratio in these two  
 641 cases is fixed as  $\rho = 0$ . To highlight the influence of dry friction on vibration transmission and energy  
 642 flow, Case 4 presents the frictionless system having an interfacial viscous damper with the damping  
 643 ratio  $\rho = 4$ . For all four cases, the system parameters are set as  $\zeta_1 = \zeta_2 = 0.01, \gamma = 1, \mu = 1, \kappa =$   
 644  $1, V_d = 1 \times 10^{-4}, \eta = 1 \times 10^{-4}$  and  $F_0 = 0.5$ . Different colours of lines represent the results of the

645 HB-AFT approximation based on the tanh-regularization approach. Different types of symbols are used  
646 to denote the results by using the Runge-Kutta method based on the Karnopp friction model.

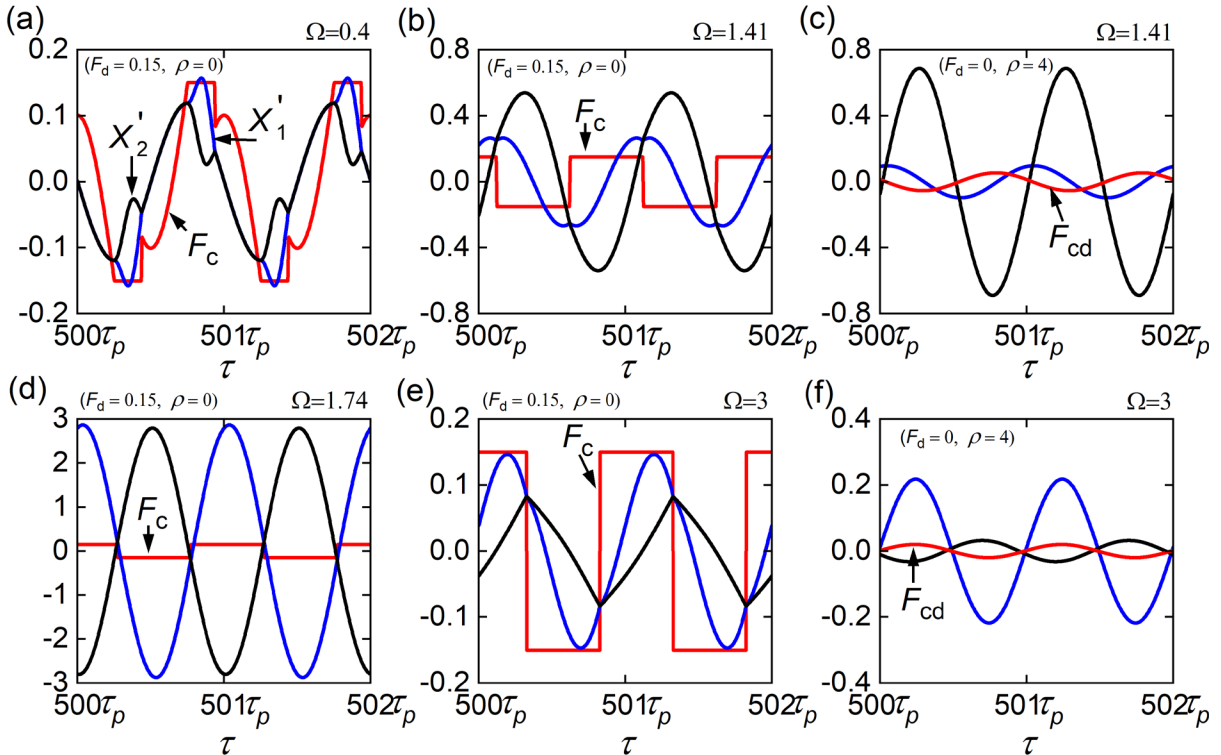
647 In Figs 11(a) and (b), the effects of the interfacial friction on the steady-state maximum  
648 displacement responses  $X_{1\_max}$  and  $X_{2\_max}$  of the primary and the secondary masses are presented. Two  
649 peaks are observed in each curve of  $X_{1\_max}$  and  $X_{2\_max}$ . An anti-peak is found in each curve of  $X_{1\_max}$   
650 shown in Fig. 11(a). By conducting modal analysis on the corresponding linear system without friction  
651 ( $F_d = \rho = 0$ ), it is found that the first peak is corresponding to the in-phase mode while the second  
652 corresponds to the out-of-phase mode. Fig. 11(a) shows that compared to the linear system in Case 1  
653 with only a spring at the interface (i.e.,  $F_d = \rho = 0$ ), the interfacial friction with  $F_d = 0.10$  or  $0.15$  in  
654 Cases 2 or 3 leads to minor changes in the first peak of  $X_{1\_max}$  near  $\Omega = 1$  but a substantial reduction  
655 in its second peak value. This is because at the first peak frequency, two masses have in-phase motion  
656 and the relative velocity between the two masses is small, therefore, the dry frictional contact has a  
657 small effect on the motion of two masses. However, at the second peak frequency near  $\Omega = 1.74$   
658 corresponding to the out-of-phase mode, the masses are moving in opposite directions such that the  
659 friction acts as a resistance force for the motion of both masses. As a result, the displacement responses  
660 of two masses are suppressed by the friction force. Fig. 11(a) also shows that compared with Case 4  
661 considering an interfacial viscous damper with  $\rho = 4$ , the interfacial friction in Cases 2 and 3 results in  
662 smaller values of  $X_{1\_max}$  at low or high excitation frequencies, but larger values of  $X_{1\_max}$  when  $\Omega$  is  
663 near the second peak or the anti-peak. Moreover, as the magnitude of friction  $F_d$  increases from  $0.10$  to  
664  $0.15$ , there is an increase in the anti-peak value of  $X_{1\_max}$  but further reductions in the second peak  
665 value as well as the values in the low- or high-frequency ranges. Fig. 11(b) shows that compared to  
666 Case 1 with  $F_d = \rho = 0$ , the existence of the interfacial friction can substantially reduce the second  
667 peak value of  $X_{2\_max}$  but its first peak value is less affected. This is again due to the differences in the  
668 dominant modes. At a prescribed excitation frequency  $\Omega$  away from the peaks, the interfacial viscous  
669 damping with  $\rho = 4$  considered in Case 4 yields similar values of  $X_{2\_max}$  compared to that in the  
670 reference Case 1. In contrast, the interfacial friction in Cases 2 and 3 leads to a significant increase in  
671 the values of  $X_{2\_max}$  compared with Case 1, particularly at high frequencies. With the increase of  $\Omega$ , the  
672 differences in the values of  $X_{2\_max}$  between the viscous damper case (i.e., Case 4) and frictional contact  
673 cases (i.e., Cases 2 and 3) become larger. Fig. 11(b) also shows that when  $F_d$  changes from  $0.10$  to  $0.15$ ,  
674 the height of the second peak of  $X_{2\_max}$  is further reduced due to a larger interfacial friction force  
675 resisting the vibration. However, the values of  $X_{2\_max}$  increase at low or high frequencies. This  
676 behaviour is further explored in Fig. 12.

677 Figures 12(a), (b), (d) and (e) present the steady-state time histories of the friction force and the  
678 velocities of the system with interfacial dry friction excited at  $\Omega = 0.4$ ,  $\Omega = 1.41$ ,  $\Omega = 1.74$  and  $\Omega =$   
679  $3$ , respectively. The magnitude of friction is set as  $F_d = 0.15$  while  $\rho = 0$ . For comparison, Figs 12(c)  
680 and (f) show the time histories of the velocity responses and the interfacial viscous damping force of

681 the frictionless system with  $\rho = 4$  and  $F_d = 0$  excited at  $\Omega = 1.41$  and  $\Omega = 3$ , respectively. The other  
 682 parameters are the same as those used in Fig. 11. The red lines in Figs 12(a), (b), (d) and (e) represent  
 683 the interfacial friction force  $F_c$ , while those in Figs 12(c) and (f) denote the interfacial damping force  
 684  $F_{cd}$ . The blue and black lines correspond to the velocities  $X'_1$  and  $X'_2$  of two masses, respectively.



686 Figure 11. Effects of the magnitude of friction  $F_d$  on the steady-state maximum response displacement (a)  $X_{1,max}$   
 687 for the primary mass and (b)  $X_{2,max}$  for the secondary mass, respectively. The red and blue lines are for the linear  
 688 system with  $\rho = 0$  and  $4$ , respectively. The black and pink lines are for the nonlinear system having dry friction  
 689 at the interface with  $F_d = 0.10$  and  $0.15$ , respectively. Symbols: RK results.



691 Figure 12. Time histories of the forces and the velocity responses in steady-state for the frictional contact case  
 692 with  $F_d = 0.15$ ,  $\rho = 0$  at (a)  $\Omega = 0.4$ , (b)  $\Omega = 1.41$ , (d)  $\Omega = 1.74$  and (e)  $\Omega = 3$ , respectively; For the viscous  
 693 damper case with  $F_d = 0$ ,  $\rho = 4$  at (c)  $\Omega = 1.41$  and (f)  $\Omega = 3$ , respectively. The red line is the dry friction force  
 694  $F_c$  by the interfacial friction contact in (a), (b), (d) and (e), while in (c) and (f) is the damping force  $F_{cd}$  by the  
 695 interfacial viscous damper. The blue and black lines are the response velocity of the primary and the secondary  
 696 masses, respectively.

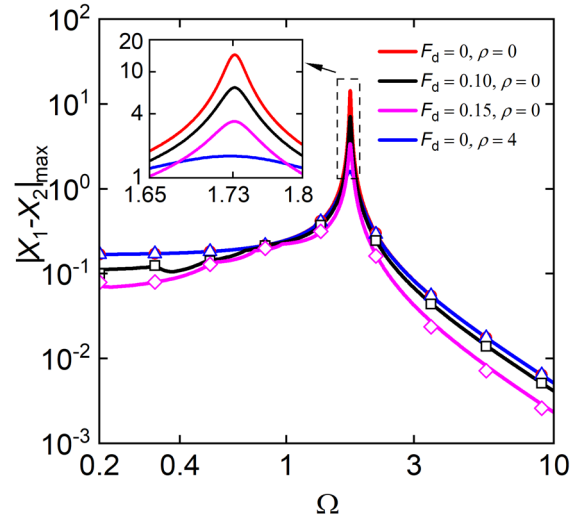
697 Figure 12(a) shows that at a low excitation frequency of  $\Omega = 0.4$ , over a portion of an excitation  
698 cycle, the two masses are in the stick state and moving at the same velocity, i.e.,  $X'_1 = X'_2$ . By examining  
699 the time history of the friction force  $F_c$  in Fig. 12(a), it is known that the magnitude of the resultant  
700 external force  $F_e$  has not reached the maximum static friction force, i.e., the magnitude of friction  $F_d$ .  
701 However, when the absolute value of  $X'_1$  becomes larger than a certain value, the stick state at the  
702 interface is switched into the slip state because  $F_e$  becomes larger than  $F_d$ . In the slip region, the value  
703 of the friction force remains as a constant, i.e.,  $F_c = F_d$ . As  $|X'_1| > |X'_2|$  in the slip state, the friction  
704 force acts in the same direction as the moving direction of mass  $m_2$  but opposite to the motion of mass  
705  $m_1$ . Consequently, the interfacial friction can lead to a larger maximum displacement of the secondary  
706 mass but a smaller maximum displacement of the primary mass at low excitation frequencies, as shown  
707 in Fig. 11. Fig. 12(b) demonstrates that for the system with dry friction excited near the frequency of  
708 the anti-peak in the frequency response shown in Fig. 11(a), there exists a phase difference of  
709 approximately  $\pi/2$  between the periodic velocity responses of two masses.

710 By comparing to the velocity responses in the corresponding system with interfacial viscous  
711 damping at the same excitation frequency shown in Fig. 12(c), it is found that the dry friction at the  
712 coupling interface can lead to a much higher amplitude of  $X'_1$  but a lower amplitude of  $X'_2$ . Fig. 12(d)  
713 shows that when the excitation frequency  $\Omega$  is  $\Omega = 1.74$  at the second peak in the frequency response  
714 curves shown in Fig. 11, two masses are moving in opposite directions and the motions are hence  
715 suppressed by the frictional resistance force at the interface. By comparing the time histories of the  
716 velocities with interfacial dry friction or viscous damping at high frequencies away from peaks, e.g.,  
717  $\Omega = 3$ , as shown by Figs 12(e) and (f), it is found that the amplitude of the friction force by the frictional  
718 contact can be much larger than that of the damping force by the interfacial viscous damper at this  
719 frequency. The frictional contact case has a smaller amplitude of the response velocity  $X'_1$  but a larger  
720 amplitude of  $X'_2$ .

721 In Fig. 13, the influence of the magnitude of the interfacial friction on the steady-state maximum  
722 relative displacement  $|X_1 - X_2|_{\max}$  between two masses is studied. Only one peak can be found in each  
723 curve of  $|X_1 - X_2|_{\max}$  at the out-of-phase mode of the corresponding linear system. It shows that  
724 compared to Case 1 with  $F_d = \rho = 0$ , the presence of the dry friction at the interface in Cases 2 and 3  
725 (with  $F_d = 0.10$  and  $0.15$ , respectively) can reduce the values of  $|X_1 - X_2|_{\max}$  in a wide frequency  
726 band. The reason is that the dry friction force at the interface always resists motions of the two masses  
727 when moving in opposite directions. As a result, the existence of the frictional contact at the interface  
728 can suppress the relative motion of the coupled masses in a broad frequency range. Fig. 13 also shows  
729 that compared to Case 4 having an interfacial viscous damper with  $\rho = 4$ , Cases 2 and 3 with dry  
730 friction at the interface have a higher peak of  $|X_1 - X_2|_{\max}$  but much lower values of  $|X_1 - X_2|_{\max}$  at  
731 low or high frequencies. At a prescribed excitation frequency, as the magnitude of friction  $F_d$  increases  
732 from  $0.10$  to  $0.15$ , there is a further reduction in the value of  $|X_1 - X_2|_{\max}$  due to a stronger frictional



733 resistance force. It can be summarized that the interfacial friction can effectively attenuate the amplitude  
 734 of the relative motion between the coupled masses when  $\Omega$  locates in the low- or high-frequency ranges.

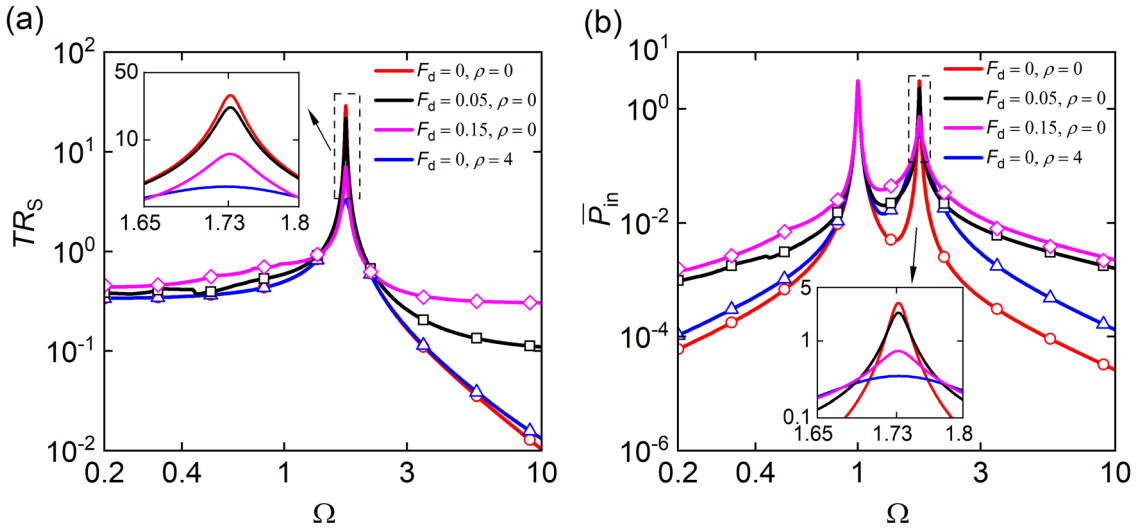


736 Figure 13. Effects of the magnitude of friction  $F_d$  on the steady-state maximum relative displacement  
 737  $|X_1 - X_2|_{\max}$  between two masses. The red and blue lines are for the linear system with  $\rho = 0$  and 4, respectively.  
 738 The black and pink lines are for the nonlinear system having dry friction at the interface with  $F_d = 0.10$  and 0.15,  
 739 respectively. Symbols: RK results.

740 In Figs 14(a) and (b), the effects of the interfacial friction on the force transmissibility  $TR_S$  to the  
 741 secondary mass and the time-averaged input power  $\bar{P}_{in}$  into the system are investigated, respectively.  
 742 Only one peak is observed in each curve of  $TR_S$  in Fig. 14(a), which is corresponding to the out-of-  
 743 phase mode of the linear system with  $F_d = \rho = 0$ . In Fig. 14(b), two peaks can be found in each curve  
 744 of  $\bar{P}_{in}$ . Fig. 14(a) shows that compared to the reference linear system considered in Case 1 with  $F_d =$   
 745  $\rho = 0$ , the presence of the interfacial friction in Cases 2 and 3 (with  $F_d = 0.05$  and 0.15, respectively)  
 746 can lead to a slight reduction in the peak value of  $TR_S$  but significantly larger values of  $TR_S$  when the  
 747 excitation frequency is away from the peak. The reason is that at the peak frequency ( $\Omega \approx 1.74$ ), the  
 748 masses are moving in the opposite directions, the friction force acting at the coupling interface restrains  
 749 the relative motion of two masses. Therefore, a smaller spring force at the interface is obtained due to  
 750 the smaller relative displacement between masses, resulting in a smaller total transmitted force and a  
 751 lower peak of  $TR_S$ .

752 At low or high excitation frequencies, the relative displacement between the masses is small,  
 753 leading to a relatively small spring force at the coupling interface. Based on the Karnopp friction model  
 754 expressed in Eq. (22), the amplitude of friction force is only depending on the magnitude relationship  
 755 between the resultant external forces applied at the interface,  $F_e$  and  $F_d$ . In the low- or high-frequency  
 756 ranges, the amplitude of friction force is a constant as the same to the magnitude of friction  $F_d$ .  
 757 Therefore, the existence of the interfacial dry friction can increase the maximum total transmitted force  
 758 to the mass  $m_2$  via the interface in those frequency ranges and results in larger force transmissibility  
 759  $TR_S$ . Moreover, Fig. 14(a) shows that as the excitation frequency further increases, the value of  $TR_S$  in  
 760 Cases 2 and 3 with dry friction may become close to a constant value. This is because with the increasing

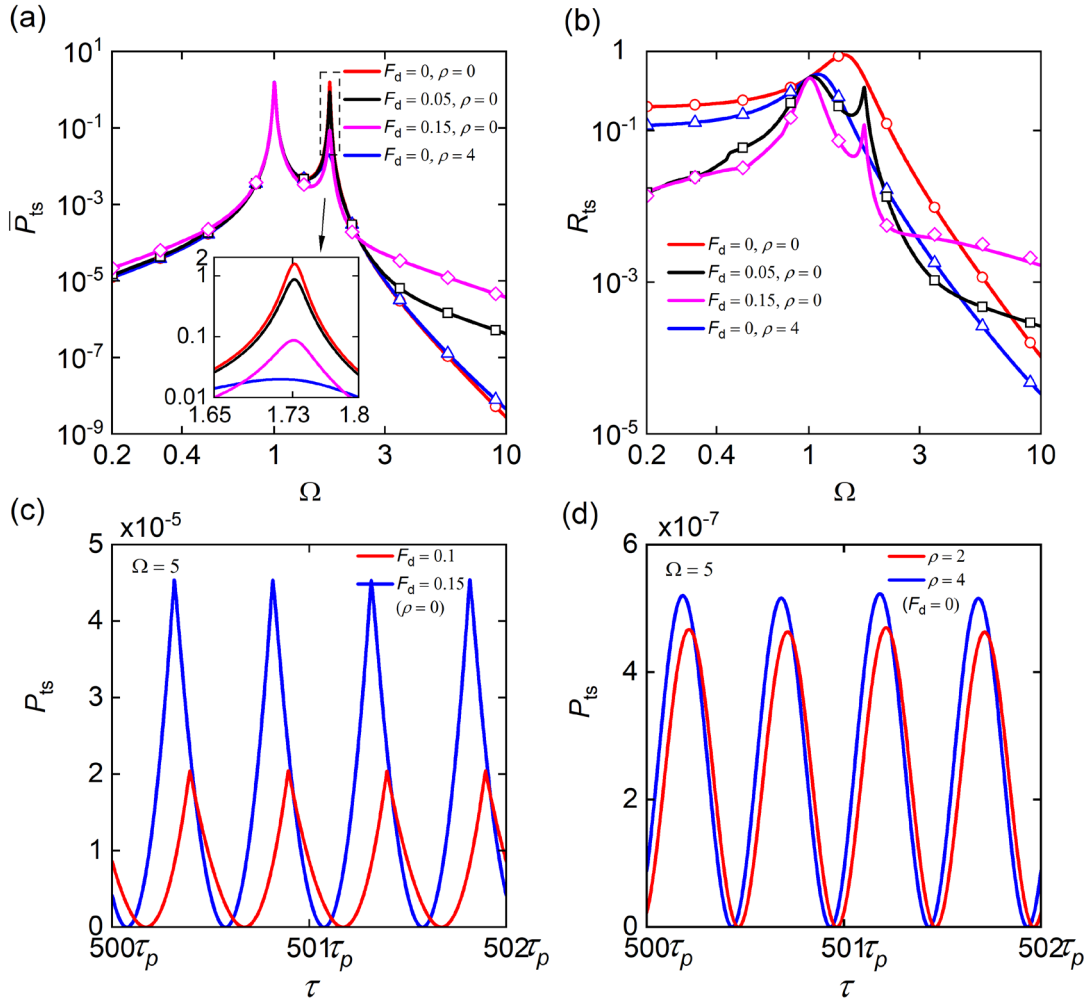
761 frequency, the force generated by the interfacial spring becomes smaller and the value of the maximum  
762 magnitude of the transmitted force in Eq. (32) becomes approximately equal to the constant value of  
763 the magnitude of friction  $F_d$ . Fig. 14(a) also shows that an increase of  $F_d$  from 0.05 to 0.15 can further  
764 reduce the peak value of  $TR_S$  but increase the values of  $TR_S$  when the excitation frequency  $\Omega$  is away  
765 from the resonance. Fig. 14(b) shows that the presence of dry friction has negligible effect on the first  
766 peak of time-averaged input power at  $\Omega = 1$  but can suppress the second peak of  $\bar{P}_{in}$  near  $\Omega = 1.74$ .  
767 The figure also shows that compared with Case 1, the existence of interfacial friction in Cases 2 or 3 or  
768 viscous damping in Case 4 can increase the amount of input power into the system in the low- or high-  
769 frequency ranges. However, the cases with interfacial friction can have much larger values of  $\bar{P}_{in}$  when  
770  $\Omega$  is away from the peak frequencies. When increasing the magnitude of friction from 0.05 to 0.15, the  
771 second peak value of  $\bar{P}_{in}$  is further reduced but the value of  $\bar{P}_{in}$  increases at a prescribed value of  $\Omega$  away  
772 from the peaks.



774 Figure 14. Effects of the magnitude of friction  $F_d$  on (a) the force transmissibility to the secondary system  $TR_S$   
775 and (b) the time-averaged input power  $\bar{P}_{in}$  into the system, respectively. The red and blue lines are for the linear  
776 system with  $\rho = 0$  and 4, respectively. The black and pink lines are for the nonlinear system having dry friction  
777 at the interface with  $F_d = 0.05$  and 0.15, respectively. Circular, square, diamond and triangular symbols denote  
778 RK results.

779 In Figs 15(a) and (b), the influence of the interfacial friction on the time-averaged transmitted  
780 power  $\bar{P}_{ts}$  to the secondary system and the power transmission ratio  $R_{ts}$  is examined, respectively. In  
781 Fig. 15(a), two peaks can be found in each curve of  $\bar{P}_{ts}$ , of contrast to the force transmissibility  $TR_S$   
782 curve in Fig. 14(a), where only one peak is observed in each curve. This phenomenon indicates that  
783 attention would be placed on the proper use of performance indices for the evaluation of the vibration  
784 transmission level. Figure 15(a) shows that compared with the reference linear system in Case 1 with  
785  $F_d = \rho = 0$ , it is found that the interfacial friction with  $F_d = 0.05$  or 0.15 in Cases 2 or 3 can reduce  
786 the amount of power transmitted to the secondary system near the second peak frequency at  $\Omega \approx 1.74$ .  
787 When comparing the power transmission between Case 4 considering the interfacial viscous damping

788 with  $\rho = 4$  and that of the dry friction cases, the presence of dry friction can significantly increase the  
 789 amount of power transmission to the secondary system, especially in the high-frequency range.

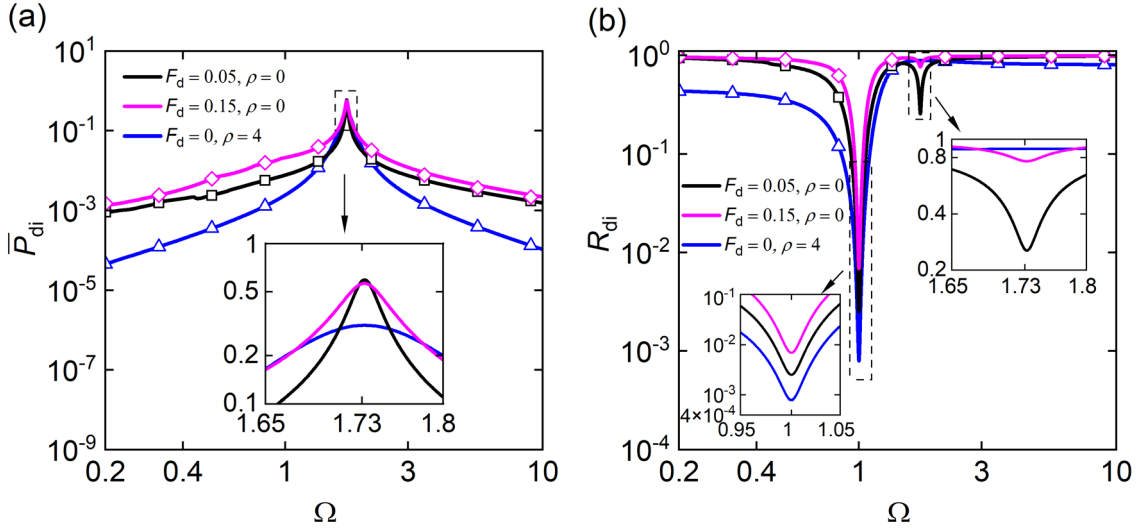


791 Figure 15. Effects of the interfacial friction on (a) the time-averaged transmitted power  $\bar{P}_{ts}$ , (b) the power  
 792 transmission ratio  $R_{ts}$ , and on time histories of the instantaneous transmitted power  $P_{ts}$  at the excitation frequency  
 793 of  $\Omega = 5$  for (c) the frictional contact cases and for (d) the viscous damper cases, respectively. In (a) and (b), the  
 794 red and blue lines are for the linear system with  $\rho = 0$  and 4, respectively. The black and pink lines are for friction  
 795 cases with  $F_d = 0.05$  and 0.15, respectively. In (c), the red and blue lines are for friction cases with  $F_d = 0.1$  and  
 796 0.15, respectively. In (d), the red and blue lines are for the linear system with  $\rho = 2$  and 4, respectively. Circular,  
 797 square, diamond and triangular symbols denote RK results.

798 With the increasing excitation frequency, the differences in the values of  $\bar{P}_{ts}$  between the interfacial  
 799 viscous damper case (i.e., Case 4) and the dry friction cases are enlarged, as shown in Fig. 15(a). The  
 800 reason is that at high frequencies, compared to the interfacial viscous damper, the dry friction at the  
 801 coupling interface can result in a larger transmitted force to the secondary system and also a larger  
 802 amount of input power into the integrated system, as depicted in Figs 14(a) and (b). Therefore, there is  
 803 an increasing amount of input power that is transmitted to the secondary system. Fig. 15(a) also shows  
 804 that an increase in the magnitude of friction  $F_d$  from 0.05 to 0.15 can further reduce the height of the  
 805 second peak of  $\bar{P}_{ts}$  but increase the values of  $\bar{P}_{ts}$  in the low- or high-frequency ranges. Moreover, it is  
 806 noted that the interfacial friction has little influence on the first peak of  $\bar{P}_{ts}$  when  $\Omega$  is near the frequency  
 807 of the in-phase mode of the corresponding linear system. #

808 To further explore the differences in the amount of the power transmission between dry friction  
809 cases and the viscous damper case in the high-frequency range, Fig. 15(c) presents the time histories of  
810 the instantaneous transmitted power  $P_{ts}$  for the dry friction cases with  $F_d = 0.1$  and  $0.15$  while Fig. 15(d)  
811 shows time histories of  $P_{ts}$  for the viscous damper cases with  $\rho = 2$  and  $4$ . The system is excited at  $\Omega =$   
812  $5$ . It shows that for the dry friction cases, there is much more power transmitted to the secondary system  
813 than that of the viscous damper case. A larger magnitude of friction can lead to a significantly higher  
814 amplitude of  $P_{ts}$ , as shown in Fig. 15(c). In comparison, with the increase of damping ratio  $\rho$ , only a  
815 slight increase of the amplitude of  $P_{ts}$  can be observed. Fig. 15(b) shows that for the systems in Cases  
816 2 or 3 with interfacial friction, two peaks exist in each curve of the power transmission ratio  $R_{ts}$ . In  
817 comparison, only one peak can be found in Case 1 for the reference linear system or Case 4 for the  
818 system with interfacial viscous damper. By comparing to the reference Case 1 with  $F_d = \rho = 0$ , the  
819 interfacial dry friction in Cases 2 or 3 can lead to a much smaller portion of input power being  
820 transmitted to the secondary system in the range of approximately  $\Omega < 5$ . At high frequencies with  $\Omega >$   
821  $6$ , the system with interfacial viscous damper in Case 4 has a smaller value of power transmission ratio  
822  $R_{ts}$  than that of Case 1. In contrast, the interfacial dry friction cases have a larger value of  $R_{ts}$  compared  
823 to that of Case 1. When the magnitude of friction  $F_d$  increases from  $0.05$  to  $0.15$ , the power transmission  
824 ratio increases when the excitation frequency  $\Omega$  is high but reduced when  $\Omega$  is near the second peak  
825 frequency or locates in the low-frequency range with  $\Omega$  being smaller than  $1$ .

826 In Figs 16(a) and (b), the effects of the interfacial friction on the time-averaged dissipated power  
827  $\bar{P}_{di}$  at the interface and the corresponding power dissipation ratio  $R_{di}$  are studied. Fig. 16(a) shows that  
828 when the excitation frequency  $\Omega$  is near the out-of-phase mode ( $\Omega \approx 1.74$ ), there are relatively small  
829 difference in the values of power dissipation  $\bar{P}_{di}$  between systems with interfacial dry friction and the  
830 system with interfacial viscous damper. However, at low or high frequencies with  $\Omega$  away from the  
831 peak frequency, there can be a much larger amount of power dissipation by the interfacial friction than  
832 that by the interfacial viscous damping. As the magnitude of friction  $F_d$  increases from  $0.05$  to  $0.15$ ,  
833 there is a slight reduction in the peak value of power dissipation  $\bar{P}_{di}$  but a significant increase in its  
834 values when the excitation frequency is away from the peak. Fig. 16(b) shows that for the system with  
835 interfacial viscous damper with  $\rho = 4$ , there is a local minimum point near the in-phase mode of the  
836 corresponding linear system in the curve of the power transmission ratio  $R_{di}$ . For the considered systems  
837 with interfacial dry friction, there is an extra local minimum point of  $R_{di}$  appearing near the out-of-  
838 phase mode of the linear system. In the low- or high-frequency ranges, the values of  $R_{di}$  for interfacial  
839 friction cases are close to  $1$ , indicating that a large portion of the input power is dissipated at the interface.  
840 Fig. 16(b) also shows that an increase in the magnitude of friction  $F_d$  from  $0.05$  to  $0.15$  can increase the  
841 values of  $R_{di}$  near the frequencies of two local minimum points. By combining the curves of power  
842 transmission ratio  $R_{ts}$  shown in Fig. 15(b), it can be summarized that the interfacial frictional contact  
843 can significantly alter the energy distribution within the coupled system.

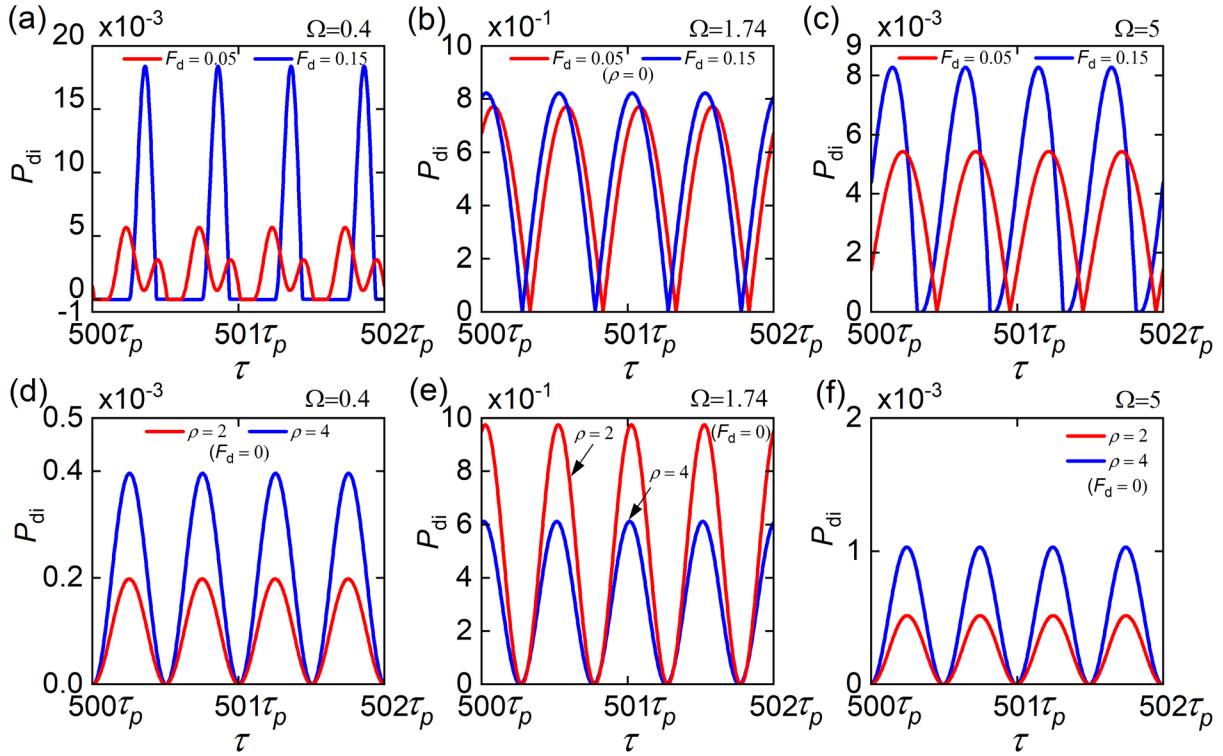


845 Figure 16. Effects of the magnitude of friction  $F_d$  on (a) the time-averaged dissipated power  $\bar{P}_{di}$  at the interface  
846 and (b) the power dissipation ratio  $R_{dj}$ , respectively. The blue line is for the frictionless linear system with  $\rho = 4$ .  
847 The black and pink lines are for the nonlinear system having dry friction at the interface with  $F_d = 0.05$  and  $0.15$ ,  
848 respectively. Square, diamond and triangular symbols denote RK results.

849 In Fig. 17, the influence of the interfacial dry friction on the power dissipation is further  
850 investigated by examining the steady-state instantaneous power dissipation  $P_{di}$  at different excitation  
851 frequencies. Figs 17(a), (b) and (c) present the time histories of  $P_{di}$  considering interfacial friction with  
852  $F_d = 0.05$  or  $0.15$  ( $\rho = 0$ ) while Figs 17(d), (e) and (f) show the corresponding results associated with  
853 the interfacial viscous damper case with  $\rho = 2$  or  $4$  ( $F_d = 0$ ) for comparison. The excitation frequency  
854 is set as  $0.4$  in Figs 17(a) and (c),  $1.74$  in Figs 17(b) and (d), and  $5$  in Figs 17(c) and (f). The other  
855 parameters are set the same as those used in Fig. 16. Fig. 17(a) shows that at  $\Omega = 0.4$  in the low-  
856 frequency range, over a portion of an excitation cycle, there is no power dissipation by the dry frictional  
857 contact. This is because that the masses are in the stick state due to the non-smooth friction nonlinearity.  
858 As suggested by Eq. (34b), the dry friction contact cannot dissipate power in the stick state without  
859 relative motion between the masses. By comparing to the viscous damper case excited at the same  
860 frequency as shown in Fig. 17(d), it is found that the interfacial friction can lead to a much higher  
861 amplitude of  $P_{di}$  and hence a larger time-averaged power dissipation  $\bar{P}_{di}$  at the interface.

862 In Figs 17(b) and (e), the systems are excited at  $\Omega = 1.74$  near the peak frequency of  $\bar{P}_{di}$  associated  
863 with the out-of-phase mode. A comparison between the subfigures shows that the interfacial friction  
864 and viscous damping can result in similar amount of power dissipation. The increase of magnitude of  
865 friction  $F_d$  can lead to a slight increase in the amplitude of power dissipation  $P_{di}$  at the interface, as  
866 shown in Fig. 17(b). In contrast, an increase of viscous damping coefficient can reduce the amplitude  
867 of  $P_{di}$ , as shown in Fig. 17(e). It is due to the smaller relative motion between two masses when there  
868 is stronger interfacial viscous damping. Figs 17(c) and (f) present the results associated with the systems  
869 excited at  $\Omega = 5$  with interfacial friction or viscous damping, respectively. It shows that there is more  
870 energy dissipation by the dry friction compared to that by the interfacial viscous damper. Fig. 17

871 demonstrates that interfacial friction can effectively dissipate vibration energy when the system is  
 872 excited at low or high frequencies.



874 Figure 17. Instantaneous dissipated power  $P_{di}$  for the frictional contact cases in (a), (b) and (c). (d), (e) and (f) are  
 875 for the viscous damper cases. The systems are excited at  $\Omega = 0.4$  in (a) and (c), at  $\Omega = 1.74$  in (b) and (e), and at  
 876  $\Omega = 5$  in (c) and (f). In (a), (b) and (c), the red and blue lines are for frictional cases with  $F_d = 0.05$  and  $0.15$ ,  
 877 respectively. In (d), (e) and (f), the red and blue lines are for viscous damper cases with  $\rho = 2$  and  $4$ , respectively.

## 878 5 Conclusions

879 This study is focussed on the vibration transmission and energy dissipation in systems with  
 880 Coulomb frictional contact. A general energy flow analysis framework for systems with friction has  
 881 been presented and was applied to study a forced SDOF system and a coupled system with interfacial  
 882 frictional contact. Both the Karnopp discontinuous model and smooth Coulomb friction models were  
 883 used in the HB approximations and direct numerical integration. The effects of having frictional contact  
 884 on the vibration transmission and energy dissipation within the SDOF and 2DOF systems were  
 885 evaluated quantitatively by the force transmissibility and time-averaged power flow variables.

886 For the SDOF system with frictional contact, it was shown that the friction can effectively suppress  
 887 the dynamic response when the excitation frequency locates in the low- or high-frequency ranges away  
 888 from the resonance. It can remarkably increase the force transmission and the time-averaged input  
 889 power into the system in these frequency ranges. The existence of the frictional contact in the system  
 890 can reduce the maximum kinetic energy at high frequencies but can increase its value in the low-  
 891 frequency range. Moreover, the change of the magnitude of dynamic dry friction force can significantly  
 892 affect the energy flow characteristics at frequencies away from the resonance.

893 For the coupled system with dry friction at the contacting interface, it was shown that the interfacial  
894 dry friction can suppress the motion of the system excited at the out-of-phase mode but can considerably  
895 increase the response amplitude of the secondary mass at high frequencies. In the high-frequency range,  
896 the presence of the frictional contact can lead to larger force transmissibility to the secondary system,  
897 and a larger amount of the time-averaged transmitted power from the force-excited system, through the  
898 interface to the secondary system. Moreover, a higher level of friction can lead to more substantial  
899 increases in the energy dissipation at the interface in the low or high-frequency ranges, compared to the  
900 influence of increasing interfacial viscous damping. The energy distribution within the coupled system  
901 can be tuned by the interfacial frictional contact. The study demonstrated that frictional contact within  
902 dynamical systems can be properly designed to achieve desirable vibration energy flow transmission  
903 and dissipation behaviour for better dynamic performance.

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