

1 **Title: Subsurface robotic exploration for geomorphology, astrobiology, and mining**  
2 **during MINAR6 campaign, Boulby Mine, UK: Part II (Results and Discussion)**  
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4

5 **Short Title: Subsurface robotic exploration during MINAR6 campaign**  
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41 **ABSTRACT**

42

43 Geomorphological studies of the hidden and protected subsurface environments are crucial to  
44 obtain a greater insight to the evolution of planetary landforms, hydrology, climate, geology,  
45 and mineralogy. From an astrobiological point of view subsurface environments are of interest  
46 for their potential habitability as they are local environments that are partially or fully shielded  
47 from the high levels of space and solar radiation. Furthermore, in the case of Mars, there is an  
48 increasing interest in searching for the presence of past or extant life in its subsurface. These  
49 applications make it mandatory to investigate equipment and instrumentation that allow for the  
50 study of subsurface geomorphology, as well as organic chemical biomarkers, such as  
51 biomolecules, carbon, nitrogen and sulphur isotopes, and other biologically significant minerals  
52 and gases. Mines on Earth can be used as analogues to investigate the geomorphology of  
53 Martian subsurface environments and perform astrobiology studies. With that goal, we have  
54 developed a low-cost, robust, remotely operable subsurface rover called KORE (KOMPact  
55 Rover for Exploration). This work illustrates the studies of a terrestrial analogue for the  
56 exploration of Mars using KORE during the Mine Analogue Research 6 (MINAR 6) campaign  
57 with the low-cost 3D mapping technology InXSpace 3D (In situ 3D mapping tool eXploration  
58 of space 3D). InXSpace 3D utilizes a RGB-D camera that captures depth information in  
59 addition to the RGB data of an image, operating based on the structured light principle capable  
60 of providing a depth information in mm scale resolution at sub 3m mapping range. InXSpace  
61 3D is used to capture point clouds of natural and artificial features, thereby obtaining  
62 information about geologically relevant structures and also to incorporate them in earth mining  
63 safety. We tested two of the dense Simultaneous Localization and Mapping (SLAM)  
64 algorithms: Kintinuous and Real-Time Appearance-Based Mapping (RTAB-Map) to check the  
65 performance of InXSpace 3D in a dark mine environment. Also, the air accumulation of

66 volatiles such as methane and formaldehyde due to thermogenic and mining process was  
67 measured with the environmental station payload on the rover platform, which caters to both  
68 astrobiological significance and mine safety. The main conclusions of this work are: (1) a  
69 comparison made between the RTAB-Map algorithm and Kintinuous algorithm showed the  
70 superiority of Kintinuous algorithm in providing better 3D reconstruction; although RTAB-  
71 Map algorithm captured more points than the Kintinuous algorithm in the dark mine  
72 environment; (2) a comparison of point cloud images captured with and without lighting  
73 conditions had negligible effect on the surface density of the point clouds; (3) close-range  
74 imaging of the polygonal features occurring on the halite walls using InXSpace 3D provided  
75 mm-scale resolution to enable further characterisation; (4) heuristic algorithms to quickly post-  
76 process the 3D point cloud data provided encouraging results for preliminary analyses; (5) we  
77 successfully demonstrated the application of KORE to mine safety; and (6) the multi-sensors  
78 platform on KORE successfully monitored the accumulated volatiles in the mine atmosphere  
79 during its operation. The findings obtained during this KORE campaign could be incorporated  
80 in designing and planning future subsurface rover explorations to potential planetary bodies  
81 such as Mars with synergistic applications to subsurface environments in mines on Earth.

82

83 **Keywords:** Rover development, 3d-mapping, geomorphology, astrobiology, mining, COTS.

84

## 85 **Introduction**

86

87 Subsurface environments of a planetary body have their own geomorphological and  
88 astrobiological significance as they are partially or fully shielded from the atmosphere and  
89 radiations (Dartnell et al., 2007). In particular, in the case of Mars, caves have been proposed  
90 as Special Regions which require planetary protection measurements because of their potential  
91 to sustain terrestrial life. They also are of interest as shelter for the future human exploration of

92 Mars (Rummel et al., 2014; Martín-Torres et al., 2020). Subsurface environments influence the  
93 surface environment directly and indirectly. For example, on Earth, subsurface ground aquifers  
94 contribute to the Earth's hydrology and the interaction with the surface is one of the main  
95 processes of water exchange in the planet. Subsurface aquifers have also been reported to  
96 support plate tectonics (Oliver, 1986, Bethke, 1990) on Earth. Moreover, there is a diverse  
97 microbial ecology in the extreme subsurface environments on Earth, highlighting the  
98 astrobiological importance of such studies (Wang, Xue and Ma, 2010).

99  
100 Until the commissioning of the Mars Advanced Radar for Subsurface and Ionosphere Sounding  
101 (MARSIS) instrument aboard the ESA Mars Express mission (Giovanni et al., 2005), there was  
102 no direct observation of the unexposed Martian crust except for the study of exposed features  
103 such as crater and valley walls through high resolution photography obtained through the Mars  
104 Orbiter Camera (Malin and Edgett, 2001) and the High Resolution Imaging Experiment  
105 (HiRISE) onboard the Mars Reconnaissance Orbiter (MRO) (Grant et al., 2008). Since the  
106 Mariner 9 mission to Mars, outflow channels have been detected in the Elysium region of Mars,  
107 indicating the presence of subsurface volatile reservoirs (Christiansen, 1985). The recent  
108 Martian breakthrough discoveries such as the detection of putative sub-glacial liquid water  
109 below the ice in the south pole layer deposits (Orosei et al., 2018), the detection of methane  
110 plumes within the Gale crater (Webster et al., 2014), exposed ice sheets in the mid-latitudes  
111 (Dundas et al., 2018) and seasonal variation of the atmospheric composition along Gale crater  
112 (Trainer et al., 2019) have further raised interest in exploring the subsurface of Mars and  
113 potentially habitable environments where there is an interaction with the surface environments.  
114 Geomorphological studies of subsurface environments are crucial to obtain a greater insight to  
115 the evolution of planetary landforms, hydrology, climate, geology, and mineralogy. The  
116 uniqueness of the Martian subsurface environments for geomorphological studies have been

117 elucidated by Dundas et al. (2018), where radar soundings indicate the presence of debris-  
118 covered glaciers (Holt et al., 2008, Plaut et al., 2009) as well as buried regional ice sheets. The  
119 volcanic, tectonic and hydrological history of the extraterrestrial bodies can also be obtained  
120 from the study of subsurface environments. Monitoring subglacial environments (e.g., Jacob et  
121 al., 2011) has shown evidence of climate change impacting the glacial systems. Also, the study  
122 of subsurface geology with its implication for the survival of biosignatures has been discussed  
123 by Dartnell et al. (2007). With the state of the art exploration focussed on the study of organic  
124 chemical biomarkers, carbon and sulphur isotopes, biologically significant minerals to search  
125 for the presence of past or extant life on Mars, the new approach to biosignature detection  
126 involves study of biogeomorphological characteristics (Gorbushina et al., 2004; Naylor, 2005;  
127 Cady and Noffke, 2009; Noffke et al., 2013; Westall et al., 2015). This approach to explore  
128 Martian astrobiology through geomorphological analysis of surface and subsurface features on  
129 earth analogues has been reported by Corenblit et al. (2019).

130

131 The understanding of the evolution of ancient climate and the development of life on Earth has  
132 been derived from the study of mineralogical, textural, and geochemical signatures preserved  
133 in the sedimentary rock record in stratigraphic sections (De Sanctis et al., 2017). Surface  
134 environments of Mars are rich in sedimentary outcrops that exhibit visible stratigraphic features  
135 at a range of spatial scales (e.g., Malin and Edgett, 2000, 2003; Grotzinger et al., 2006; Dromart  
136 et al., 2007; Lewis et al., 2008; Milliken et al., 2010), thus highlighting the importance of  
137 studying subsurface layering and compositions.

138

139 We have developed a subsurface operable rover called KORE (KOmpact Rover for  
140 Exploration) to facilitate the autonomous investigation of the geomorphology of subsurface  
141 environments and perform astrobiology studies in Martian analogues, with applications to

142 mining on earth. KORE has been designed making use of commercial off the shelf components  
143 (COTS). KORE is a large, semi-autonomous rover weighing 160 kg with L x W x H dimensions  
144 1.2 m x 0.8 m x 1 m and a payload carrying capacity of 100 kg using 800 W traction power that  
145 can power to a maximum speed of 8.4 km/hr. The design and development of the rover for  
146 analogue research in mines on Earth has been elucidated in Mathanlal et al. (2019). This  
147 manuscript is a continuation of that work where a nominal operation of the rover is  
148 demonstrated. It demonstrates the use the low-cost, robust, and remotely operable subsurface  
149 rover KORE to perform geomorphology, astrobiology and environmental characterization  
150 studies during its operation in the Mine Analog Research (MINAR6) campaign during  
151 September 2018 in the Boulby mine (UK).

152

### 153 **MINAR6 campaign**

154

155 MINAR is an advanced series of subsurface exploratory research to study the mine  
156 environments as a Mars analogue for geological, geomorphological, and astrobiological  
157 research, building on potential collaboration between planetary scientists within an active mine.  
158 The MINAR programmes enhance the testing and development of instruments and scientific  
159 studies related to the robotic and human exploration of the deep subsurface (Bowler 2013;  
160 Payler et al., 2016; Cockell et al., 2018). One of the main identified research gaps in this  
161 direction was demonstrating synergy between space technology and mining. Though space and  
162 mining represent two potentially separate facets of technology, there is a great scope for  
163 incorporating technology used in these fields in a synergistic manner. The comprehensive  
164 nature of the MINAR campaigns provide an ideal scenario to test new scientific instruments  
165 and technologies and also gather useful and reliable data for meeting the needs of research in  
166 deep subsurface environments. The MINAR6 campaign was a more concise version,

167 succeeding the MINAR5 campaign with a focus on subsurface robotic exploration. The two-  
168 week campaign was a hypothesis driven campaign, and in this work, we summarize the results  
169 related to some of these hypotheses. One of the hypotheses was that the autonomous monitoring  
170 of the distances and shapes of certain natural or artificial features could be performed using a  
171 low-cost, robust 3D mapping point cloud generation system that can be used to: 1) inform about  
172 geologically relevant structures; and 2) inform about safety of the mine. The second hypothesis  
173 is that in an enclosed subsurface environment, the air may be accumulating volatiles. Some of  
174 them are potentially hazardous to the miners and monitoring them remotely is necessitated. The  
175 second hypothesis though deviates from the domain of astrobiology, is a crucial synergistic  
176 application of an astrobiological exploration tool for human safety which not only caters to  
177 terrestrial mining but also to future human space exploration of extra-terrestrial subsurface or  
178 enclosed environments on the Moon or Mars. A corroboration between astrobiology  
179 exploration with human safety is elucidated in (Lim et al., 2019) where the design of NASA  
180 Biologic Analog Science Associated with Lava Terrains (BASALT) research program is  
181 discussed. Finally, autonomous robotic exploration is always the first step in space exploration  
182 and monitoring, in a cave environment, the accumulation and release of volatiles may also be  
183 important to understand other surficial processes like methane or oxygen release which have  
184 been observed robotically on the surface of Mars by the Curiosity rover (Webster et al., 2018,  
185 Trainer et al., 2019) and may have their origin in the subsurface. To validate these hypotheses,  
186 the KORE rover platform was updated with a set of low-cost dedicated instruments. The  
187 instruments aboard the rover provided useful and reliable data for meeting the research needs  
188 which are highlighted in this paper. Logistics issues and active mining work restricted the  
189 accessible region close to the Boulby Underground Laboratory. Though with the limited  
190 operating spatial area, most of the requirements to validate the hypothesis were justified.

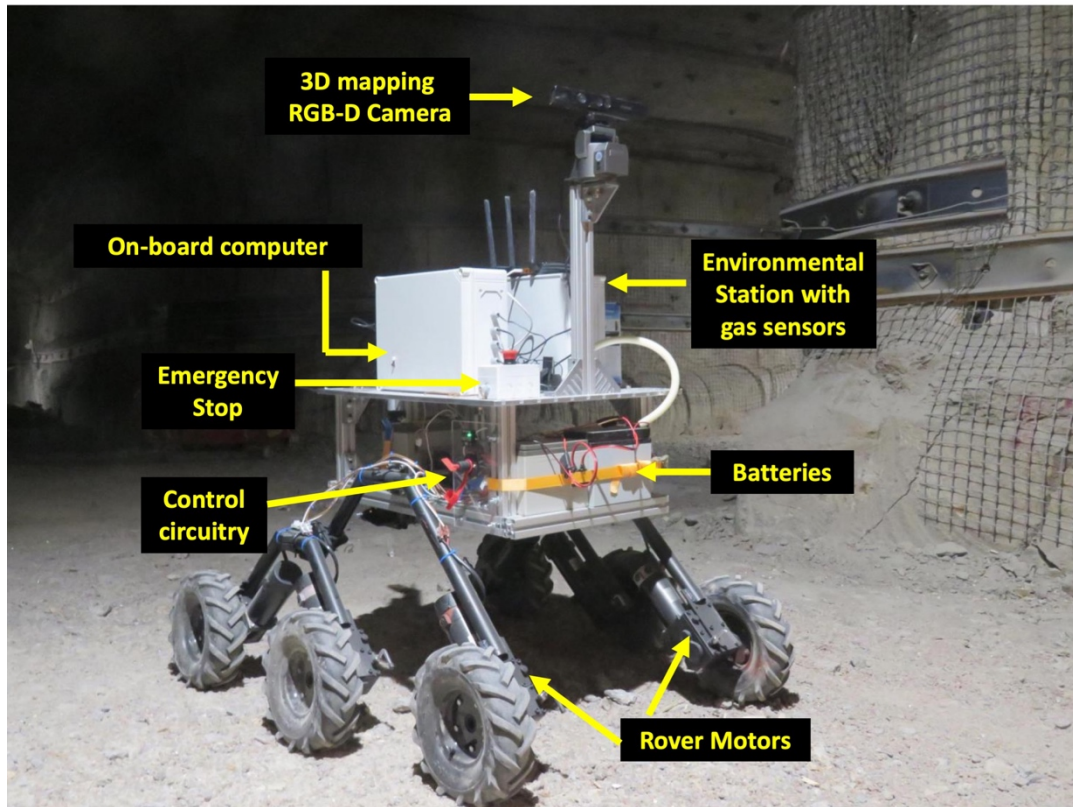
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192 **Materials and Methods**

193

194 KORE is a low cost, robust rover platform designed to carry a wide suite of instruments for  
195 subsurface exploration in extraterrestrial analogue environments and key terrestrial  
196 environments such as mines. KORE is built with a robust design maximizing the use of COTS  
197 components and minimum custom-made parts to facilitate intelligibility to the research  
198 community to build such robotic platforms with a conservative budget without compromising  
199 the quality. The design ensures quick construction of the rover and improves portability of the  
200 rover to different field sites. The components used in the development of KORE are easily  
201 accessible and improve the serviceability of the rover in case of any failure. Moreover, the rover  
202 has the provision for easy and instant integration of more sensors as per the user requirements  
203 (Mathanlal et al., 2019). The In-situ 3D mapping tool eXploration of space 3D (InXSpace 3D)  
204 system, LASER-based methane detector and environmental sensors are the most critical  
205 instruments aboard the KORE rover. Figure 1 shows KORE during operation in the MINAR 6  
206 campaign with the major components marked in the image.





207

208 **Fig. 1.** KORE rover operating in the Boulby mine during MINAR 6 campaign. The  
 209 equipment is marked.

210

211 ***InXSpace 3D system***

212

213 The InXSpace 3D system is a real time 3D mapping system designed using a Microsoft  
 214 Kinect™ first generation camera that works based on Structured Light technology where the  
 215 camera projects an active pattern and obtains the depth information by analysing the  
 216 deformation of the pattern. The Microsoft Kinect™ camera also has a depth error in the order  
 217  $\leq 1$  mm to 75 mm depending upon the measurement distance from the sensor. Below 3 m the  
 218 error rate is less than 1 mm, which justifies its application to short range mapping. The total  
 219 mapping range of the Kinect camera is 0.8 m to 4 m.

220

221 ***LASER-based methane detector***

222

223 The KORE rover utilizes a portable drone-mounted remote laser methane detection system  
224 from Hesai photonics. The methane detector works based on absorption spectroscopy and is  
225 pre-calibrated in the factory and is operable in the field out of the box. However, the methane  
226 detector was tested, and the readings were validated, in laboratory conditions before mounting  
227 on the KORE rover. The detector provides a methane concentration output which is the  
228 integrated concentration of methane along the path of the laser beam. The specifications of the  
229 methane detector can be found in Table 5 of the first paper (Mathanlal et al., 2019).

230

### 231 *Environmental Station*

232

233

234 The Environmental Station on the KORE Rover has a multitude of sensors measuring common  
235 air pollutants, particulate matter and environmental parameters such as temperature, pressure  
236 and relative humidity. The gases measured are NO<sub>2</sub>, SO<sub>2</sub>, H<sub>2</sub>S, formaldehyde, CO, CO<sub>2</sub>, O<sub>3</sub>,  
237 O<sub>2</sub>, and volatile organic compounds (VOC). Ultra-Low Power Analog Gas Sensor Modules  
238 (ULPSM) from Spec Sensors are used to measure the gases NO<sub>2</sub>, SO<sub>2</sub>, H<sub>2</sub>S, CO, O<sub>3</sub> and VOC.  
239 Formaldehyde measurements are obtained from DFRobot Air Quality Monitor module. O<sub>2</sub>  
240 measurement is obtained using digital UV Flux 25% Oxygen Sensor from CO2meter and CO<sub>2</sub>  
241 measurement is obtained from The CoZIR®-A 2,000 ppm CO<sub>2</sub> Sensor from CO2meter. These  
242 sensors are pre-calibrated in the factory and have been particularly chosen for its pre-calibration  
243 which dissuades the need to perform a calibration procedure before deployment, saving cost  
244 and time. An Arduino microcontroller is employed in the retrieval of these gases and the data  
245 is stored onboard the environmental station computer. The data can be accessed through the  
246 rover computer or also directly from the environmental station itself. The environmental station  
247 provides a vivid characteristic of the environment around the rover.

248

249 During the MINAR6 campaign, a number of experiments were performed with these  
250 instruments onboard with a 6-day experimentation window with a total experimentation time  
251 of ~22 hours. MATLAB and Cloud Compare software were extensively used during the  
252 analysis of the experiments.

253

## 254 **Results and Discussion**

255

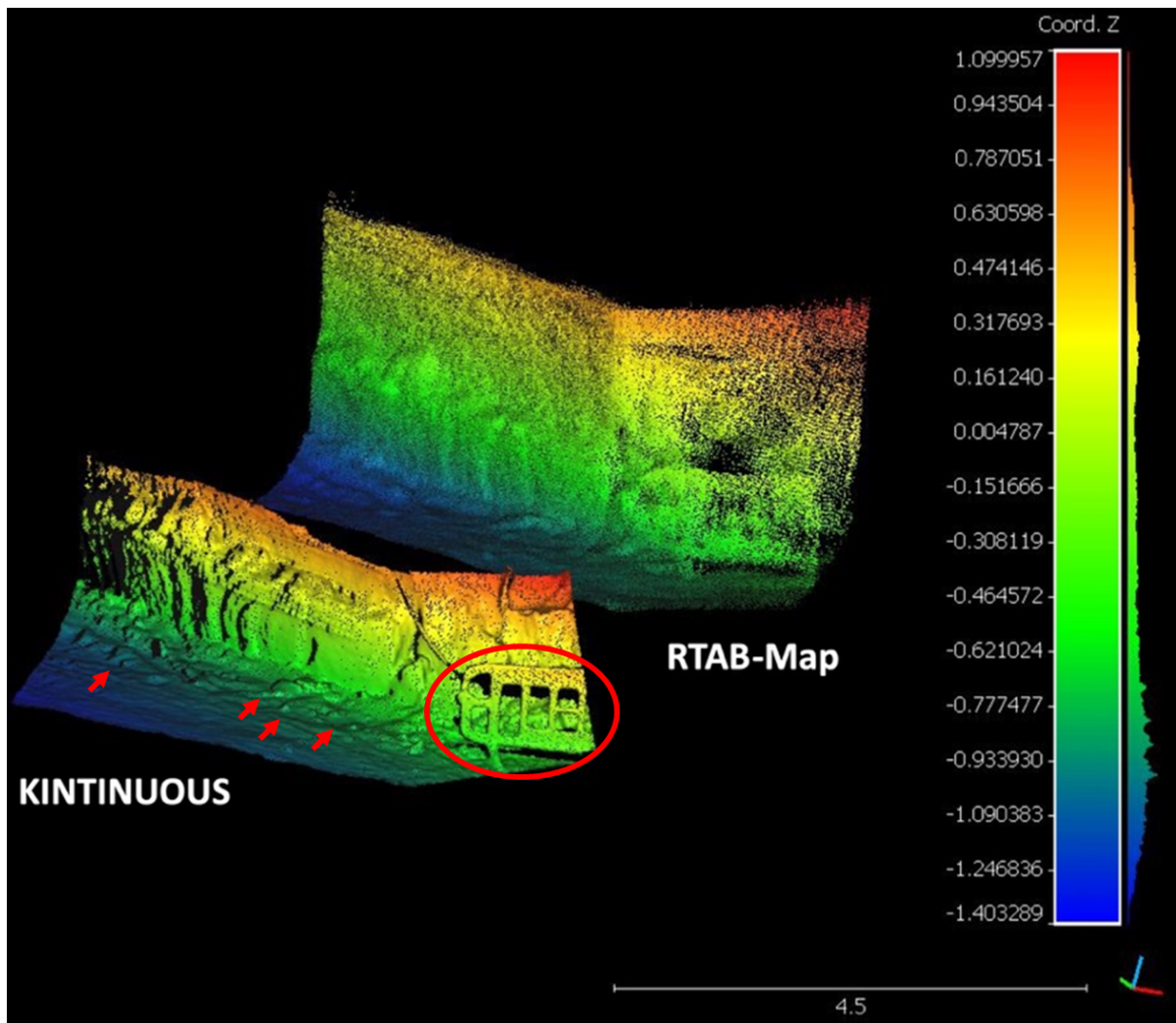
### 256 *Algorithm testing with InXSpace 3D*

257

258 During the MINAR6 campaign, experiments were made with two dense Simultaneous  
259 Localization and Mapping (SLAM) algorithms, Kintinuous (Whelan et al., 2012) and Real-  
260 Time Appearance-Based Mapping (RTAB-Map) (Labbe and Michaud, 2018), to choose the  
261 best algorithm for InXSpace 3D system. These two dense SLAM algorithms were chosen for  
262 study as they were compatible with the OpenNI driver through which the Microsoft Kinect first  
263 generation camera was interfaced to the onboard Nvidia JETSON computer. The region along  
264 the mine shaft walls were chosen to test the resolution of the system with its capability to  
265 generate a point cloud with very minute details. The features along the mine shaft walls with  
266 the ground provided an ideal scenario to validate the 3D reconstruction capabilities of the  
267 algorithms used. KORE was made to perform two traverses with each algorithm loaded on a  
268 traverse. The traverses were made with identical velocity to ensure that both the algorithms had  
269 the similar time for scanning. The results from the two algorithms are shown in Figure 2. It  
270 could be determined from the reconstructed Digital Terrain Model (DTM), that the Kintinuous  
271 algorithm produced a more pronounced point cloud compared to the RTAB-Map. The RTAB-  
272 Map captured more points than the Kintinuous algorithm but suffers from poorer reconstruction  
273 of 3D map from the points. Figure 3 shows the histogram plot that indicates the number of

274 points captured by each of the algorithms against the co-ordinate distance along z-axis. The  
275 spectral color coding in the histogram marks the depth information of the points along the z-  
276 axis, with blue indicating points close to the ground and red in the farthest vertical height from  
277 the ground, as seen in the Figure 2. As clear from Figure 3, RTAB-Map captured a larger portion  
278 of the wall in the same lighting conditions from the fixed point of observation, resulting in a  
279 greater number of points (Figure 3). However, the point density of Kintinuous (7637 points/m<sup>2</sup>)  
280 was better and that resulted in an extremely well-constructed DTM where even cm-scale  
281 pebbles on the surface or minor grooves in the mine wall were clearly discernible. Thus, the  
282 use of either of these algorithms can be attributed to the user need for either higher resolution  
283 DTM or covering larger area in lesser time at coarser resolutions.

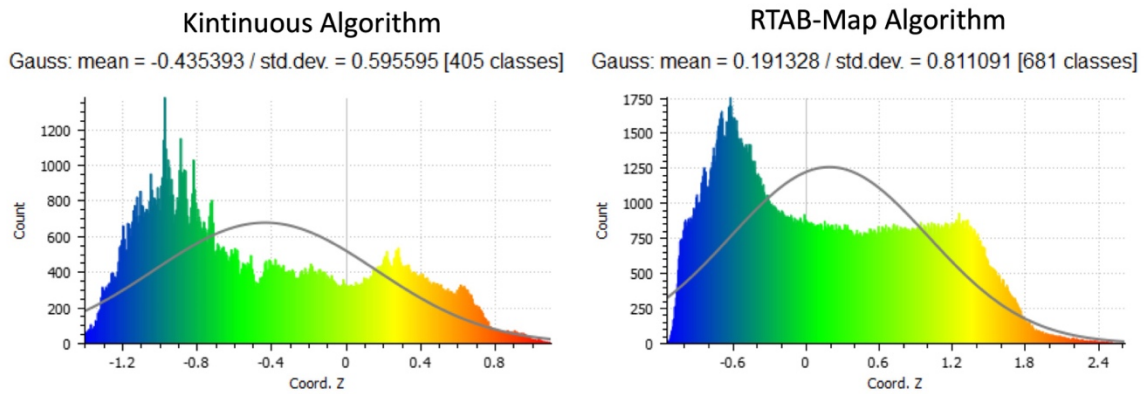
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285

286 **Fig. 2.** Digital Terrain Model (DTM) of mine shaft walls generated from point cloud obtained  
 287 through two different dense SLAM algorithms – Kintinuous and RTAB-Map. The scale bar is  
 288 in m. The red ellipse marks the barricade that was not resolved by RTAB-Map and the red  
 289 arrows mark the pebbles and rocks on the surface.

290



291

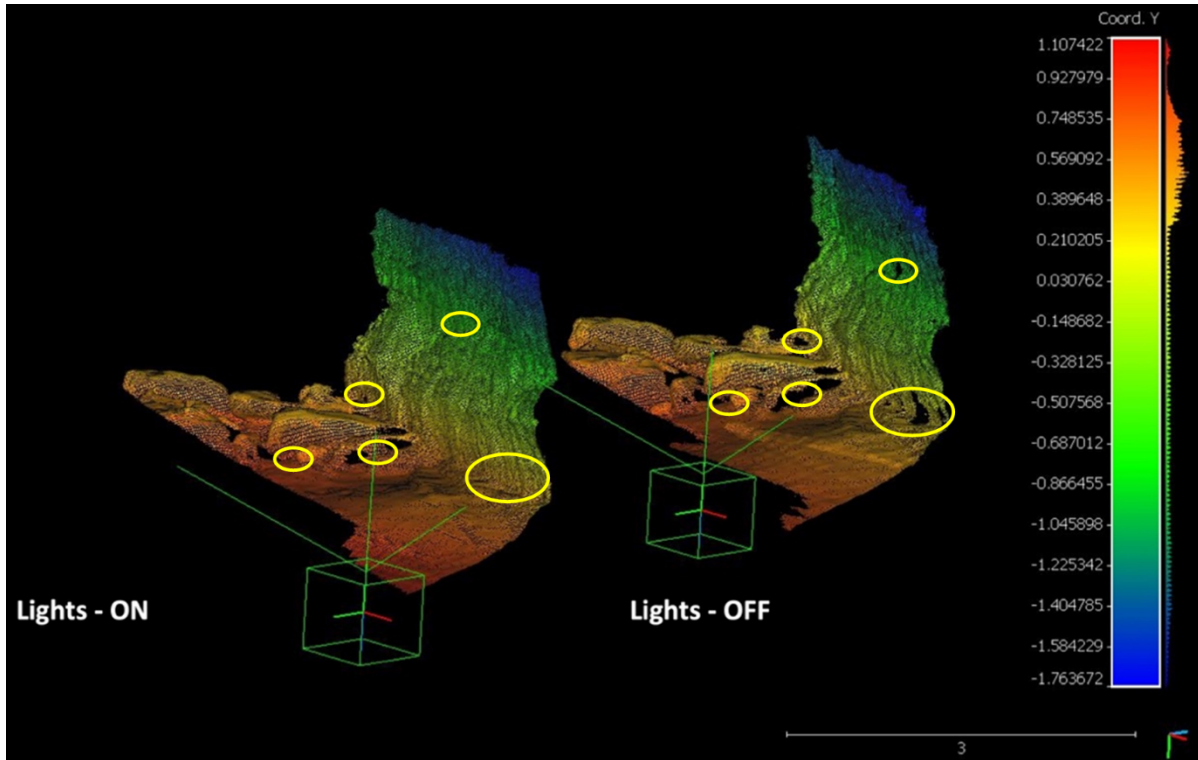
292 **Fig. 3.** Histogram of the points in the point cloud image along the z-direction for both the  
 293 algorithms – Kintinuous (left) and RTAB-Map (right). The scaling in both the algorithms is  
 294 different accounting for the observed differences in z-coordinates.

295

296 A detailed comparison between Kintinuous and RTAB-Map algorithm is elucidated by  
 297 (Altuntas et al., 2017). Both the algorithms are visual SLAM systems but however their  
 298 approach to map features result in different performance rates. Kintinuous is more dependent  
 299 on depth data, while RTAB-Map effectively uses RGB data. Since, lighting conditions in  
 300 subsurface environments are limited, the RTAB-Map suffers poor reconstruction of point cloud  
 301 giving Kintinuous an upper hand in 3D reconstruction of the point clouds. Thus, the further  
 302 analyses of InXSpace 3D performance in this paper has been evaluated using Kintinuous  
 303 algorithm as we were more interested in observing the clarity of reconstructed 3D terrain.  
 304 InXSpace 3D can operate in pitch black dark scenarios owing to the presence of the infrared  
 305 projector and scanner. The Microsoft Kinect camera projects a structured infrared light against  
 306 the target to determine the depth information, which is then combined with the RGB-data. The  
 307 Kintinuous algorithm uses these two data sets to obtain the 3D point cloud, enabling the  
 308 capability to operate under varied lighting conditions. However, the influence of lighting on  
 309 the point cloud generation with the Kintinuous algorithm was tested to determine if there was  
 310 any significant drop in efficiency with zero lighting conditions. KORE was made to perform  
 311 two traverses at identical velocities with onboard lights switched on and switched off. The

312 DTMs obtained from the point clouds generated by Kintinuous algorithm during the two  
313 traverses are shown in Figure 4.

314



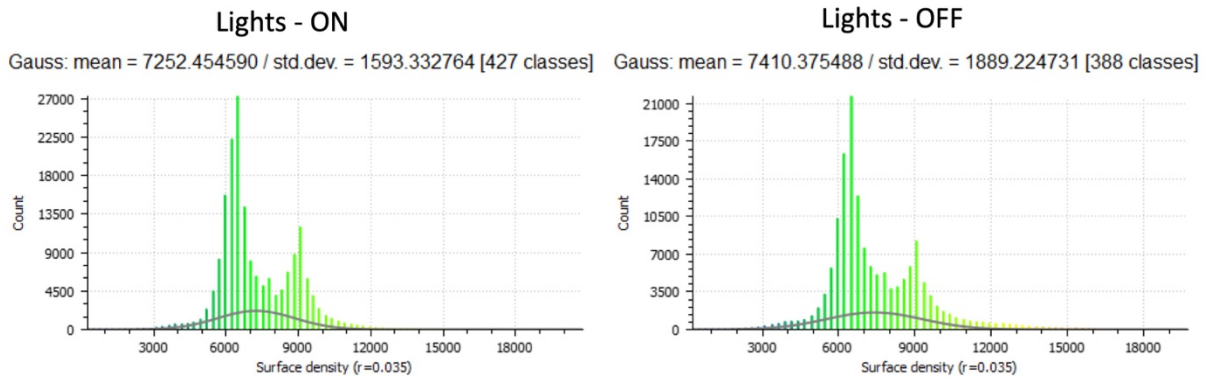
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316 **Fig. 4.** DTM obtained from the point cloud captured using Kintinuous algorithm in two  
317 conditions - Lights ON and Lights OFF. The green box denotes the camera position and  
318 orientation at the beginning of the scan. The scale bar is in m. The yellow ellipses highlight  
319 the blank regions in Lights-OFF conditions.

320

321 It could be seen from both the DTMs of the mine shaft walls that the point clouds are remarkably  
322 similar except for a few blanks (yellow ellipses in Figure 4) in the lights-off condition. The  
323 reason for the loss of points in the lights-off condition is attributed to the operation of the  
324 Kintinuous algorithm. The Kintinuous algorithm uses two methods to estimate the pose, with  
325 geometric estimation being the first method utilized. This method matches the infrared depth  
326 data with the closest point in the scanned 3D volume. The second method utilizes the  
327 photometric estimation by comparing RGB data from two consecutive frames. The Kintinuous  
328 algorithm then estimates the final pose by taking a weighted sum of the geometric and  
329 photometric estimation. As elucidated in (Altuntas et al., 2017), Kintinuous primarily uses

330 depth data than RGB-data for the 3D reconstruction of point clouds. With the presence of lights  
331 in the later scenario, the availability of more RGB data points provides a slightly better pose  
332 estimation of the point clouds. A statistical evaluation of the surface density of the point clouds  
333 under both lights-on and lights-off condition is shown in the Figure 5.



334

335 **Fig. 5.** Surface density of the point clouds under lights on condition (left) vs the surface  
336 density of the point clouds under lights off condition (right). The Kernel size of the points in  
337 both cases is 0.035.

338

339

340 The graph on the left shows denser point cloud counts observed in the lights-on condition,  
341 whereas, the graph on the right shows a rarer point cloud counts in the lights-off condition. This  
342 explains the few blank spots observed in the DTM image of the mine shaft walls. However it  
343 could be determined that the surface density profile of the point clouds were very similar. This  
344 shows that the resolution obtained in both the cases is indistinguishable. Thus it is validated  
345 that the InXSpace 3D system has a very good capability of 3D reconstruction in pitch black  
346 conditions.

347

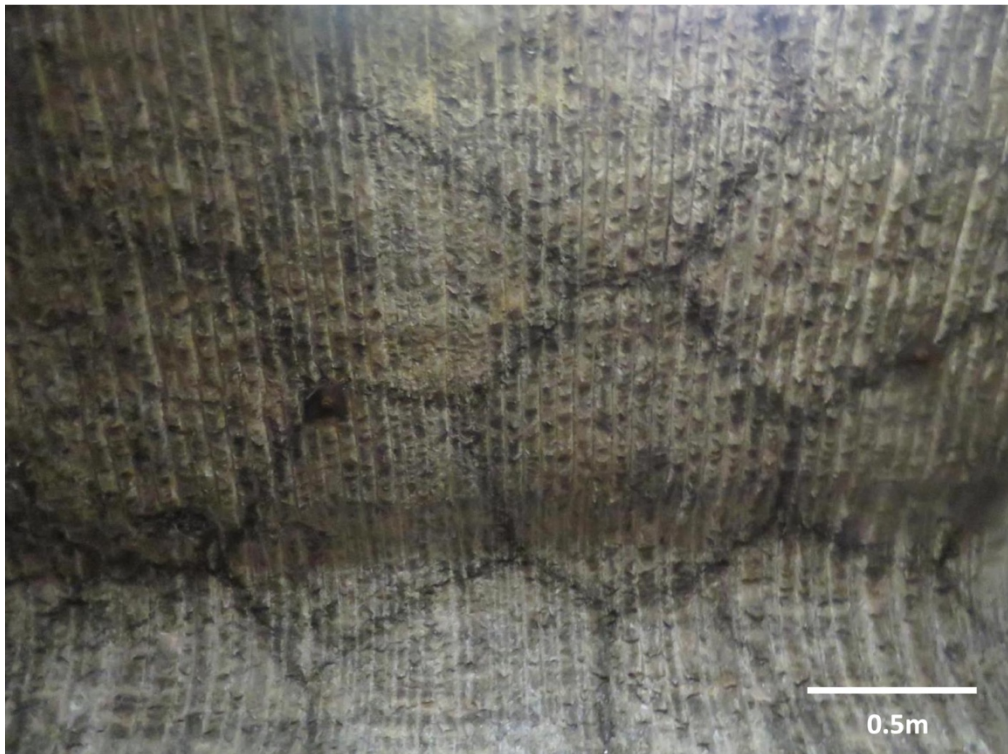
### 348 *Mapping of polygonal features with InXSpace 3D*

349

350 One of the most interesting geomorphological features found in the Boulby mine are the dark  
351 lined polygon features observable in the ceiling of the mine shafts which represents a 250



352 million-year-old Permian evaporitic deposit. Figure 6 shows the RGB image of the polygon  
353 feature observed in the Boulby mine.



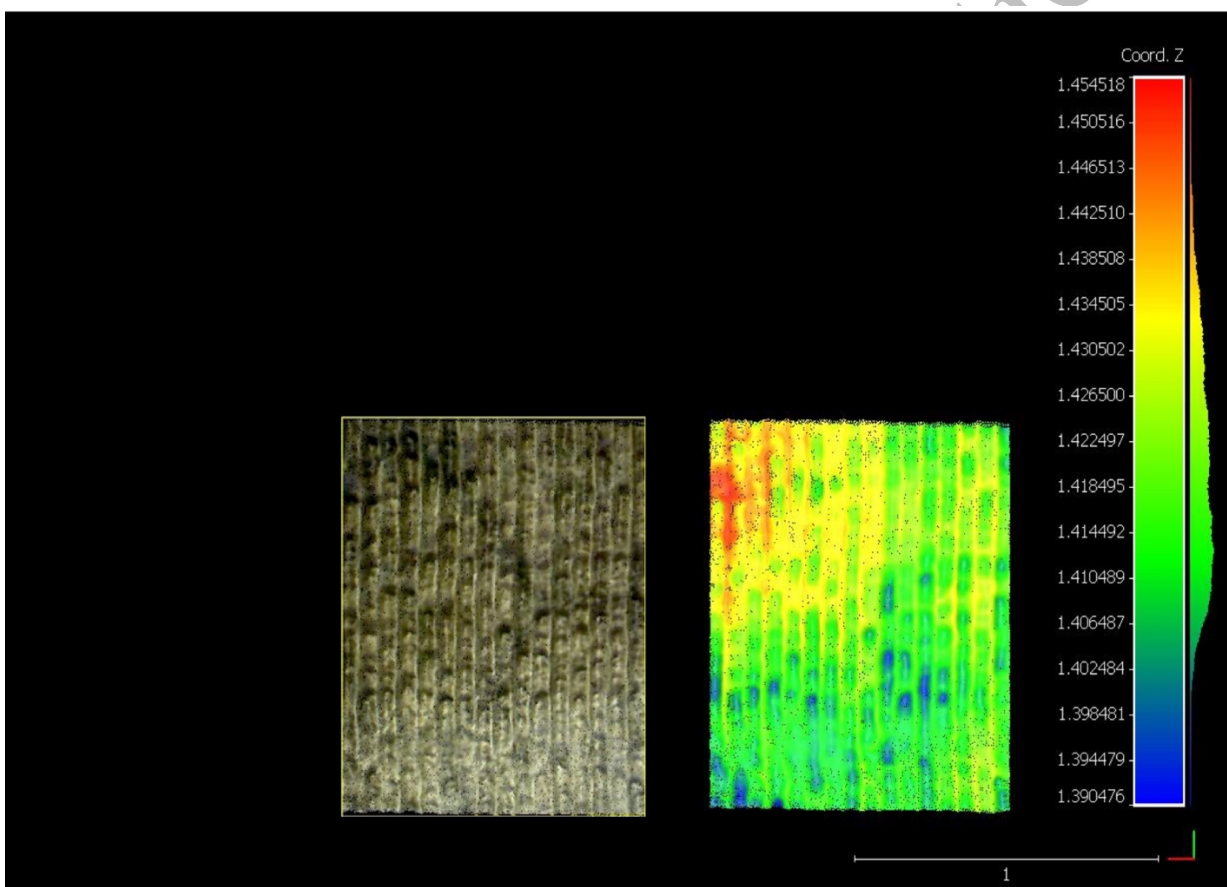
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355 **Fig. 6.** RGB-image of the polygons observed in the mine shaft of the Boulby mine.

356

357 These polygons with black rims and white salty matrix in the middle are hypothesized to be  
358 remnant minerals from the Zechstein that generated these evaporitic deposits 250 million years  
359 ago. During the MINAR 5 campaign (Cockell et al., 2018), samples were taken by sterile  
360 drilling of cores and the samples were analysed for the presence of lipid biomarkers. The recent  
361 analysis of the lipid biomarker has revealed the presence of very low abundance of biomass  
362 dominated by primarily alkanes and low levels of hopanes and steranes which are proposed to  
363 have been uniformly distributed along the interior of the polygons quite rapidly before the  
364 crystallization of the halite (Wilhelm et al., 2019). The study also concludes that lipid  
365 biomarkers should have been from a terrestrial source and they have not undergone a significant  
366 thermal maturation since their deposition. The formation mechanisms of the polygons are not  
367 precisely known. They could be desiccation cracks, cracks caused by thermal contraction or

368 they could be formed by other mechanisms (e.g. Warren, 1999; Abbott, 2016). In the case of  
369 desiccation, tepee-like structures are often formed (Warren, 1999). The black rimmed polygons  
370 which were studied for lipid biomarkers were scanned with InXSpace 3D system to look for  
371 any observable tepee projections along the black rim of the polygons. Figure 7, shows the 3D  
372 point cloud image of the polygon feature (left) and the DTM of the corresponding 3D point  
373 cloud image. The InXSpace 3D was positioned such that the Kinect camera was pointing  
374 straight up to the polygons (Z-axis) on the ceiling, parallel to the polygon plane.

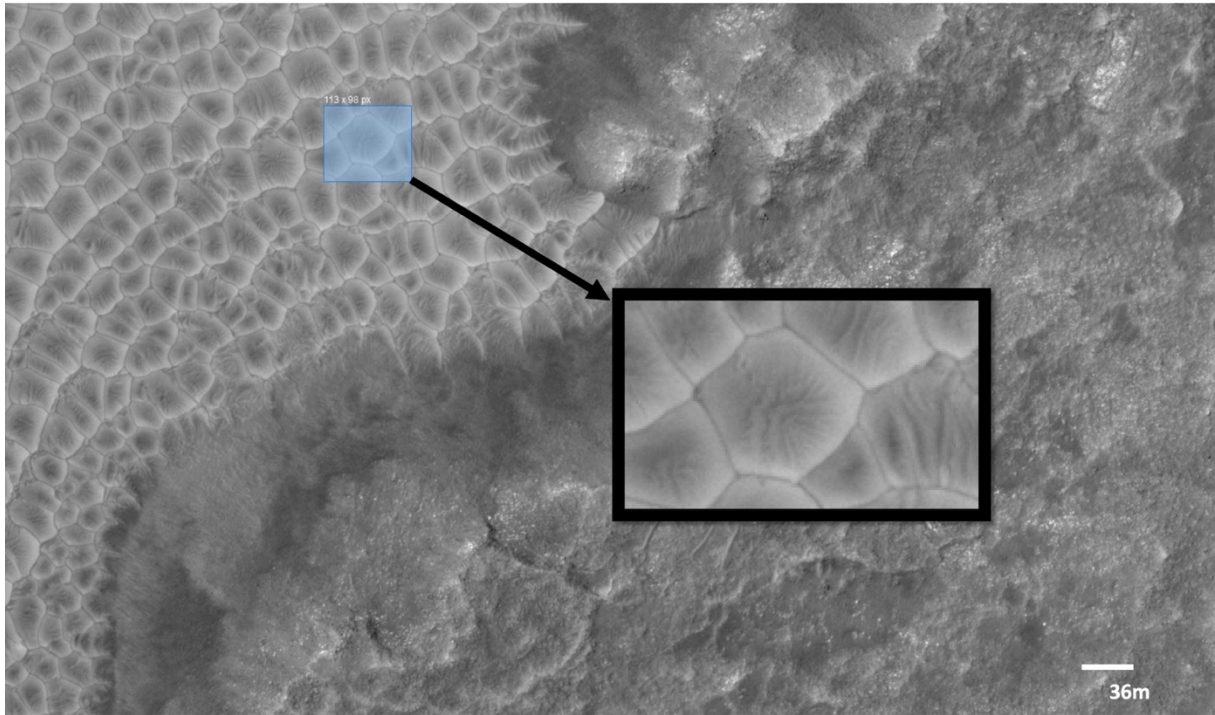


375  
376 **Fig. 7.** (left) RGB point cloud of the polygon; (right) DTM analysis of the polygon feature. The  
377 camera was positioned along the z-axis parallel to the polygon plane. The scale bar is denoted  
378 in m.

379  
380 From the DTM it could be found that there is no tepee pattern observable corresponding to the  
381 black rims of the polygon. This case shows however the ability to observe 3D features with a  
382 very high resolution of 4 mm, which in this case are artificial and have been produced by the

383 tools that were used to scrape this wall and expose the polygons. This was a case-specific  
384 scenario where the topographic changes were not present in the underlying polygon and only  
385 colour differences were marking the polygon boundaries. Thus, it does not mean that the system  
386 is unable to detect the topographic changes if they are actually present in another scenario or  
387 the algorithm is unable to make use of such detected topographical information in mapping. In  
388 spite of the absence of 3D features, the observable colour variations could indicate the presence  
389 of a different product, accumulated through a contraction-expansion process and/or a water  
390 cycle process. This boundary may concentrate different minerals, and create a different  
391 environment where biomarkers may have been preserved. These data show the potential for the  
392 use of this type of imaging for geomorphological analysis on Earth and in the subsurface of  
393 extraterrestrial bodies. Geomorphological mapping system cannot be considered as an absolute  
394 tool to study biomarkers but it is of course an accessory, as demonstrated by InXSpace 3D, that  
395 may be used for sample selection. The evolution of life on Earth has led to the formation of  
396 several biogeomorphological changes in the landforms and surface processes (Viles, 1988;  
397 Butler, 1995). Extending the capability of the InXSpace 3D apart from RGB imaging and depth  
398 map generation, an image processing framework has been developed in MATLAB to  
399 characterize the corner points in the polygon features. The framework was originally built to  
400 analyse polygons on Mars through the HiRISE images obtained from the Mars Reconnaissance  
401 Orbiter (MRO). Figure 8, shows the HiRISE image of the Martian polygon dunes, captured by  
402 the MRO on 18<sup>th</sup> March 2013.

403

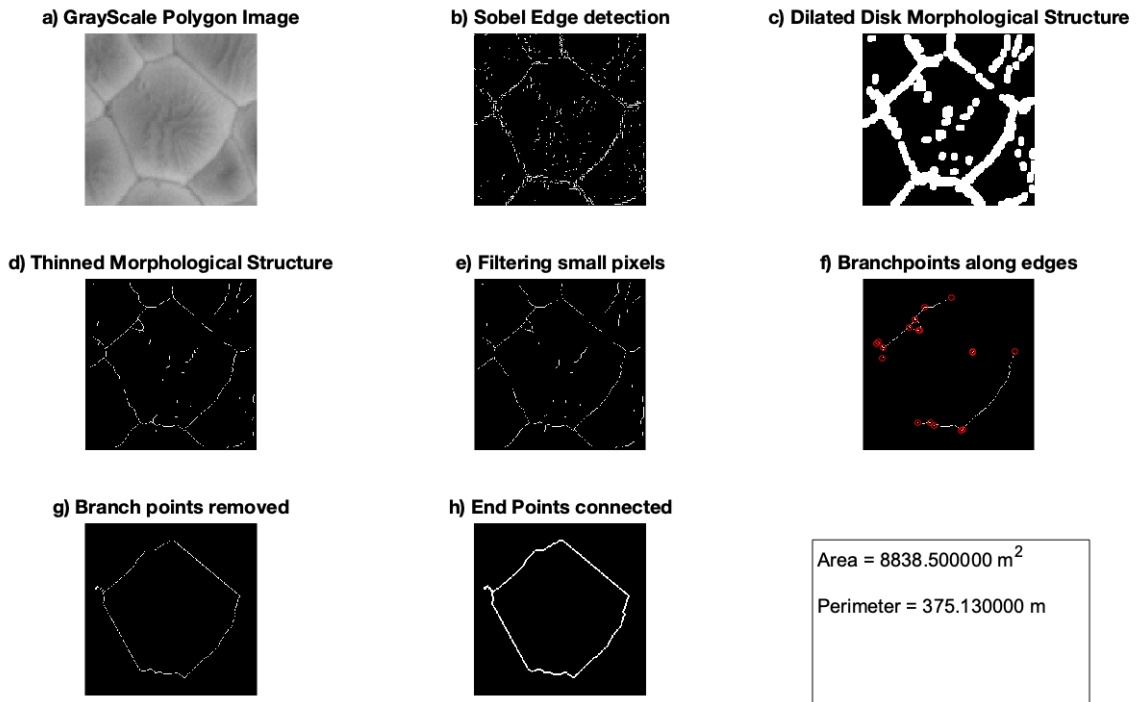


404

405 **Fig. 8.** HiRISE image of polygonal dunes of Mars (ESP\_031138\_1380) in original size (scale  
406 factor 1) with the inset image showing a zoomed-in polygonal dune (scale factor 10).

407

408 The reason for the formation of such polygonal geomorphological shapes on Mars often vary  
409 with many mechanisms put forth such as aeolian processes, thermal contraction, desiccation,  
410 volcanic, and tectonic processes (Mutch et al., 1976, 1977; Lucchita, 1983; Pechmann, 1980;  
411 Seibert and Kargel, 2001; Mangold, 2005). Figure 9, shows the sequence of operations  
412 performed by the image processing framework to obtain the information regarding the  
413 dimensions of a scanned polygonal dune.

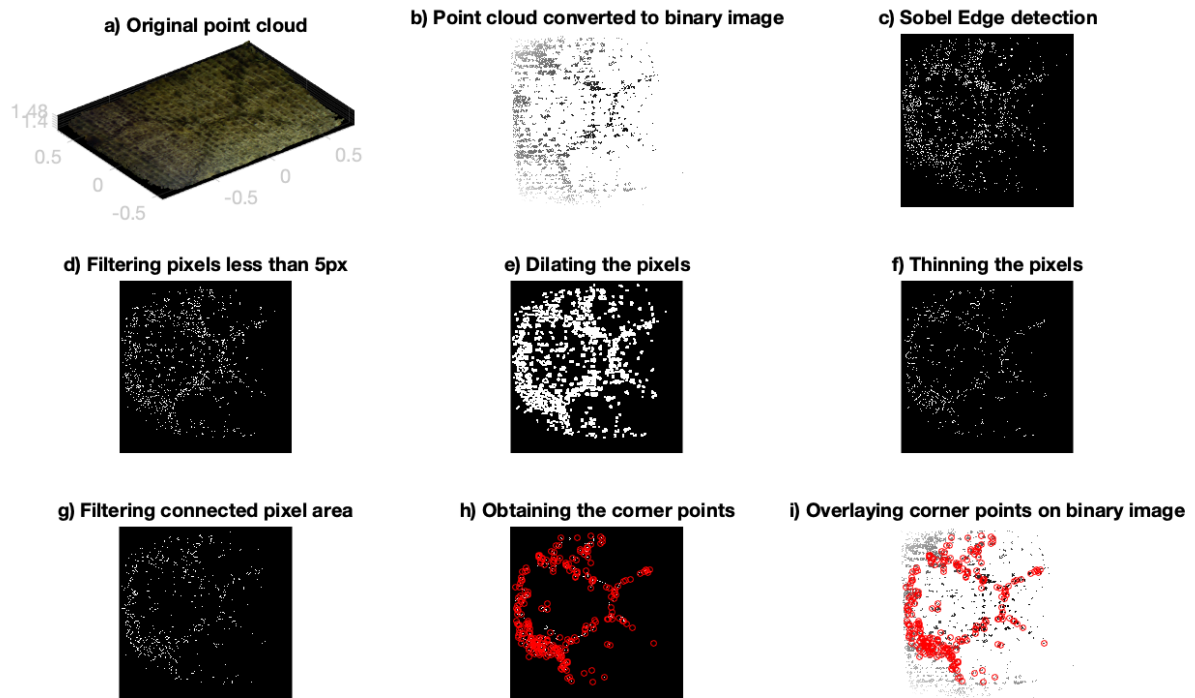


414

415 **Fig. 9.** a) Scale factor 10 zoomed-in HiRISE image of a Martian polygonal dune; b) Sobel edge  
 416 detection algorithm applied to binary image to determine edges; c) Dilated disk along the edge  
 417 morphological structure; d) Thinning the morphological structure; e) Filtering disconnected  
 418 isolated pixels (heuristics); f) Branch points determined; g) Branch points removed; and h) End  
 419 points connected to trace polygon. Perimeter and area of polygon measured are shown in the  
 420 bottom-right box.

421

422 The image processing framework developed to characterize the branch points in the polygons  
 423 of Mars, can equivalently be applied to the analysis of polygons on the Boulby mine walls.  
 424 Repeated observations over a wider temporal resolution of these polygons would provide us an  
 425 insight to the evolution of these features. Figure 10 shows the sequence of operations performed  
 426 by the image processing framework on a point cloud of the polygon.



427

428 **Fig. 10.** Image processing framework to obtain the corner points in the polygon point cloud. a)   
 429 the original point cloud image in RGB; b) filtered point cloud converted to binary image with   
 430 only RGB pixels greater than the mean of entire point cloud RGB; c) Sobel edge detection   
 431 algorithm used to capture edges; d) filtering isolated pixels (heuristics); e) dilating the filtered   
 432 pixels; f) thinning the dilated pixels; g) filtering the connected pixels in binary image; h)   
 433 obtaining the corner points in the polygon indicated in red; and i) Overlaying the corner points   
 434 over the binary image of the polygons.

435

436 The current framework utilises heuristics to determine the disconnected pixels which limits the   
 437 framework to polygons with well-defined sharp boundaries. This limitation can be overcome   
 438 by utilizing Convolutional Neural Network (CNN). CNN's high dimensionality offers the   
 439 prospect for merging the CNN algorithm with 3D mapping algorithm such as Kintuous to   
 440 permit the inclusion and extraction of spectral data from the depth point cloud. Deep learning   
 441 techniques and CNN can be exploited to obtain a detailed topographical study of such features   
 442 over a wider spatial and temporal resolution. A CNN based approach using HiRISE images to   
 443 study geological landforms of Mars, primarily volcanic rootless cones and transverse aeolian   
 444 ridges has been discussed by (Palafox et al., 2017). Similarly, a rapid machine learning based   
 445 approach to extract and measure ice wedge polygons from high resolution DTM generated by

446 airborne lidar survey using CNN has been put forth by (Abolt et al., 2019). The application of  
447 deep learning CNN for edge detection can provide a powerful tool for surface and subsurface  
448 geomorphological exploration of planetary bodies with stereoscopic depth cameras. Polygonal  
449 features found on Mars underlain by ice heightens interest in life-detection as their close  
450 looking terrestrial ice wedge polygons found in the arctic have been found to contain a higher  
451 amount of culturable respiring microorganisms compared to other ice-bearing habitats  
452 (Wilhelm et al., 2012). This is of great interest from an astrobiology perspective as these water-  
453 bearing habitats could have harboured extant life on Mars.

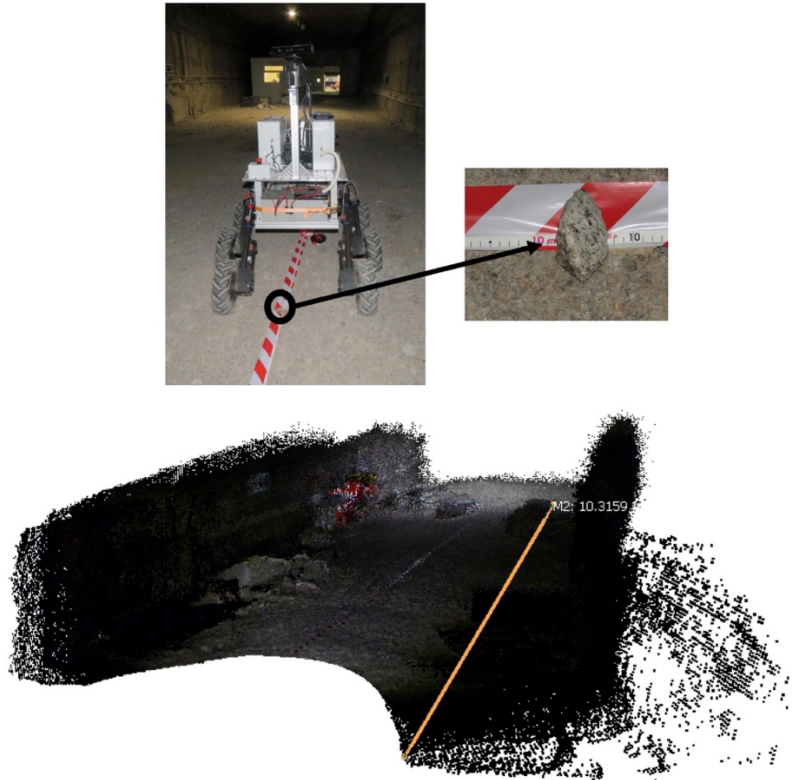
454

### 455 ***Long Range Mapping and Localization with InXSpace 3D***

456

457 A long range 3D mapping of the mine shaft was also performed to test the consistency of the  
458 InXSpace 3D system over longer distances. The RTAB-Map algorithm was used in this test  
459 rather than the Kintinuous algorithm owing to the poor volumetric estimation as the camera is  
460 subjected to rotational estimation error and tangential drifting of camera pose. This limitation  
461 of Kintinuous algorithm restricts its application to mapping along a single plane. However, a  
462 novel algorithm based on Iterative Closest Point (ICP) scheme has been proposed by (Ren et  
463 al., 2019) which can account for the tangential drifting and erroneous rotational estimations due  
464 to input device errors and these can be incorporated into the existing Kintinuous algorithm.  
465 Figure 11 shows the 3D point cloud image of the mine shaft made by KORE as it traversed a  
466 length of 10m with the path marked with tapes and the end point marked with a stone. While  
467 the striped tape line would obviously not exist on a planetary context, other naturally formed  
468 features (like ground cracks or limiting regions with contrasting albedo) may be followed  
469 similarly and autonomously.

470



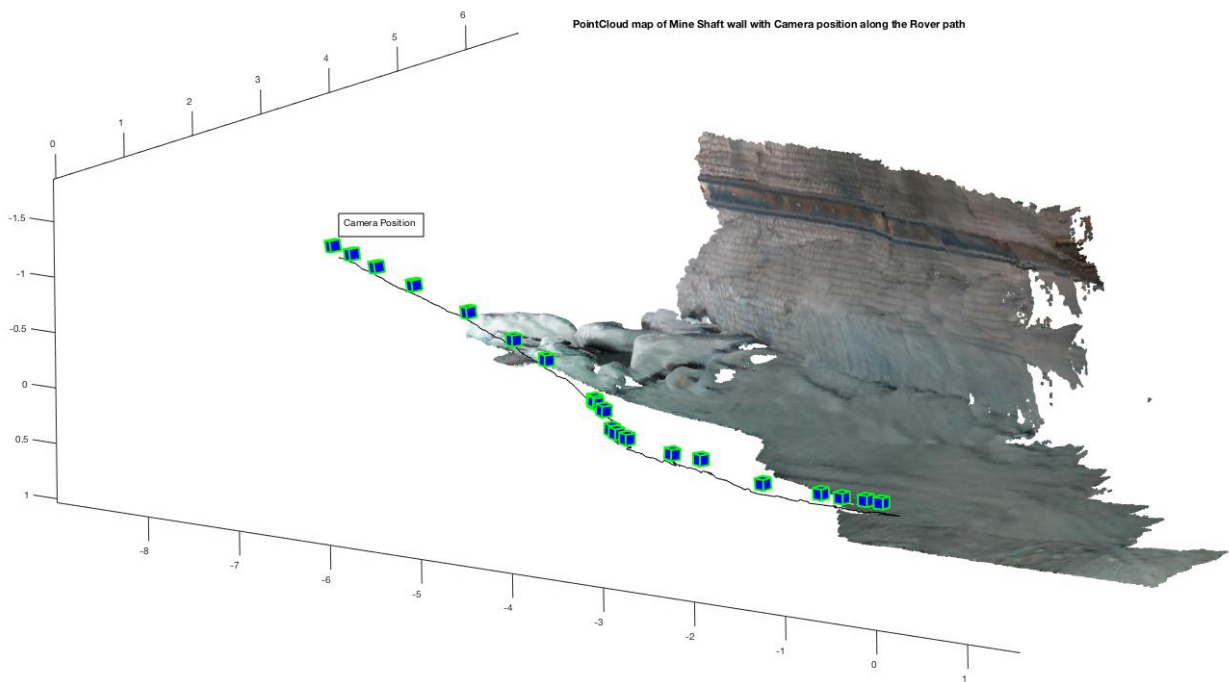
471

472 **Fig. 11.** The image on the top shows KORE following a marked traversal path of 10 m. The  
 473 image below shows the long-range mapping point cloud of the mine shaft generated by using  
 474 the RTAB-Map algorithm. The absence of points in a semi-circle pattern in the lower part of  
 475 the point cloud indicate the shadow zone of KORE.

476 From the first point on the point cloud to the point representing the stone, the distance could be  
 477 observed to be approximately 10 m. This test shows that the InXSpace 3D can be used as a  
 478 viable system to generate local maps of subsurface environments which is a pre-requisite for  
 479 autonomous navigation in remote environments. The 6 Degree of Freedom (DOF) camera  
 480 odometry estimation along with the novel GPU-based implementation of an existing dense  
 481 RGB-D visual odometry algorithm and an advanced fused real-time surface coloring of the  
 482 Kintinuous algorithm for spatially extended KinectFusion enables a robust tracking along with  
 483 RGB-D mapping (Whelan et al., 2012). Localization is beneficial for Rover navigation  
 484 especially in places like subsurface environments where there is an absence of Global  
 485 Positioning System (GPS) signal reception. The use of depth camera for localization have been  
 486 elucidated by (Li and Li, 2014 and Biswas and Veloso, 2012). The localization data from the



487 pose graph estimates of the InXSpace 3D system can be exploited to create autonomous  
488 navigation paths for future exploration rovers after a primary rover such as KORE has scanned  
489 the subsurface environment and created a 3D point cloud map. Figure 12 shows the traversal  
490 path of KORE from the localization data obtained from the pose graph of the Kinect camera.

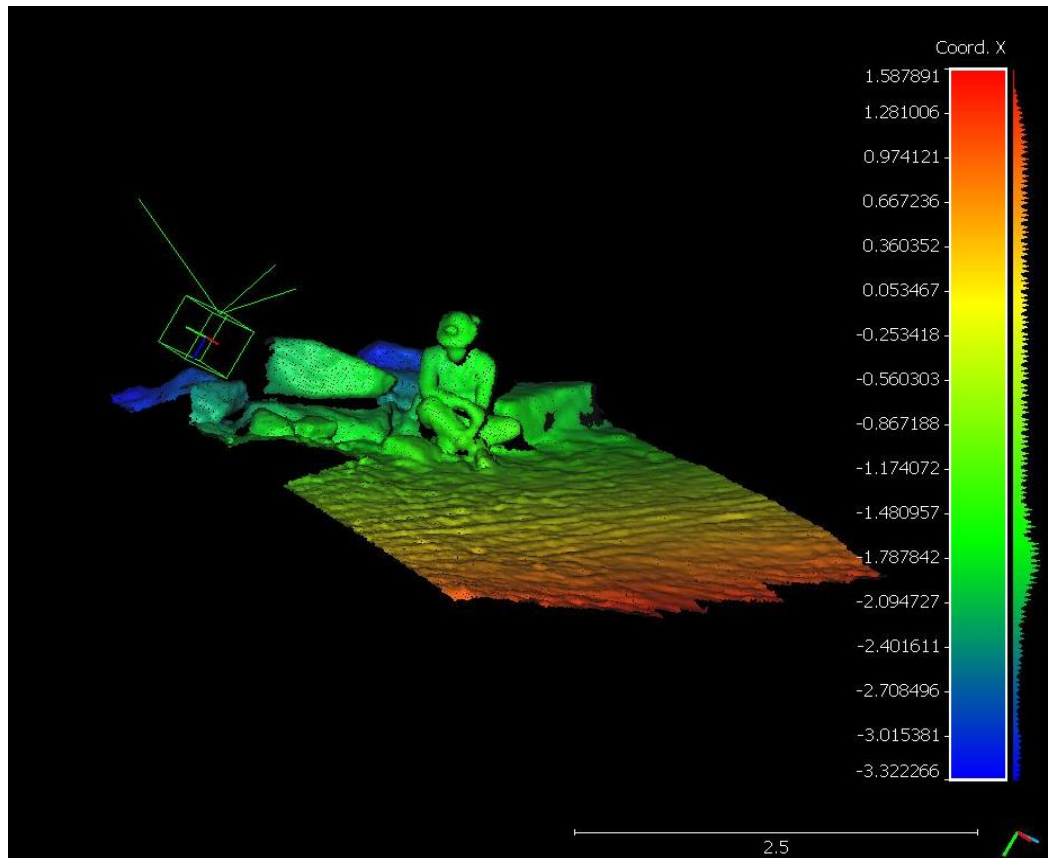


491  
492 **Fig. 12.** Rover path with the camera position obtained from the pose graph data obtained  
493 through the Kintinuous algorithm during mapping of the mine shaft walls.

494  
495  
496 ***Mine Safety with InXSpace 3D***

497  
498 Along with the mapping capability of the InXSpace 3D system for geomorphological studies,  
499 the system was tested for its applicability to mine safety. The synergy between mining and  
500 analogue studies for planetary exploration is one of the fundamental drivers for the MINAR  
501 campaigns (Payler et al., 2016) which emphasizes technology transfer between both fields. The  
502 InXSpace 3D on KORE was utilized in demonstrating mine safety by mapping a miner in  
503 complete pitch-black darkness. This test showed that InXSpace 3D could be a viable tool for

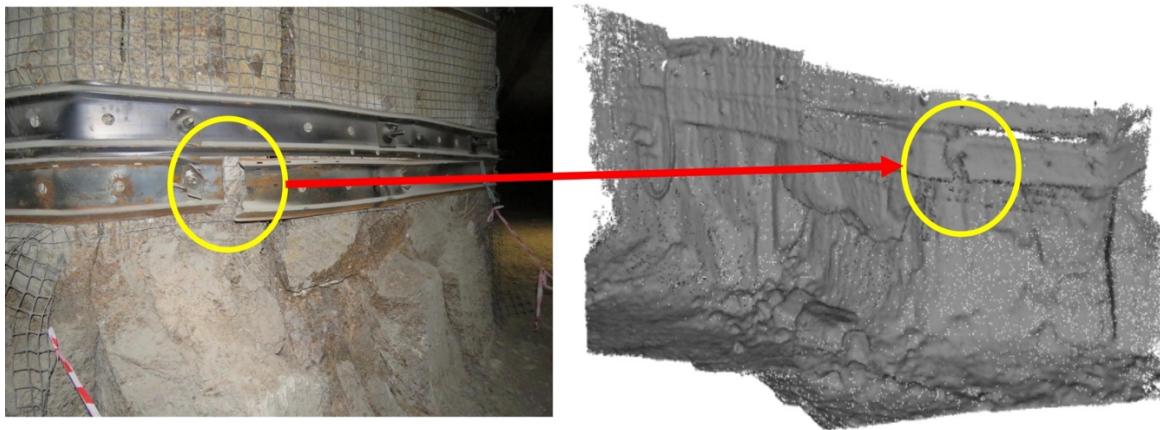
504 identifying miners who are trapped in the mine due to mine shaft wall collapse or other  
505 hazardous scenarios. By close range mapping, the facial features from the 3D point cloud could  
506 be obtained to account for the trapped person. Figure 13 shows the 3D point cloud image of a  
507 miner sitting on a rock down in the mine shaft.  
508



509  
510 **Fig. 13.** 3D reconstruction of a miner sitting on a rock in the Boulby mine with the green box  
511 indicating the position of the camera as the KORE rover approached him. The scale bar is in  
512 m.

513  
514 Moreover, the InXSpace 3D system can be used as an inspection tool to analyse safety features  
515 in the mine shaft. Figure 14 indicates a snap in the metal frame against the mine wall that  
516 occurred due to compressive forces acting along the mine shaft walls. The advantage of having  
517 a 3D point cloud of the failure part in close range mapping rather than a 2D image is that the  
518 point cloud analysis allows a precise monitoring of the dimensions of failures in such hazardous  
519 regions to ensure that these regions do not grow over a period of time. Also, from the point

520 cloud image, we can observe that the bolts that hold the metal frames to the mine shaft walls  
521 are clearly visible, which can allow a remote monitoring of these critical components. Manual  
522 inspection of these critical elements using traditional visual methods is time-consuming,  
523 laborious and prone to human factor errors. Robotic exploration is a viable option that reduces  
524 inspection time and can account for reduced errors. Image processing with RGB image data  
525 sets using deep learning have been discussed by Huang, Li and Zhang (2018). However,  
526 utilizing 3D point cloud data for such inspection supersedes the use of 2D images (Koch and  
527 Brilakis, 2011a). The 3D point cloud data generated from the RGB-D camera using the SLAM  
528 algorithms can also be exploited for such automatic detection of missing bolts or anomalies by  
529 incorporating neural networks as proposed in detecting the polygonal geomorphological  
530 features. The synergistic application is not only limited to terrestrial mining but could also be  
531 used a crucial safety assessment tool to analyse unknown subsurface extra-terrestrial  
532 environments especially during human space exploration.



533

534 **Fig. 14.** (left) RGB image of the metal frame failure detected and (right) shows the 3D point  
535 cloud of the failure. Also, the bolts along the metal frame are visible in the point cloud data.

536

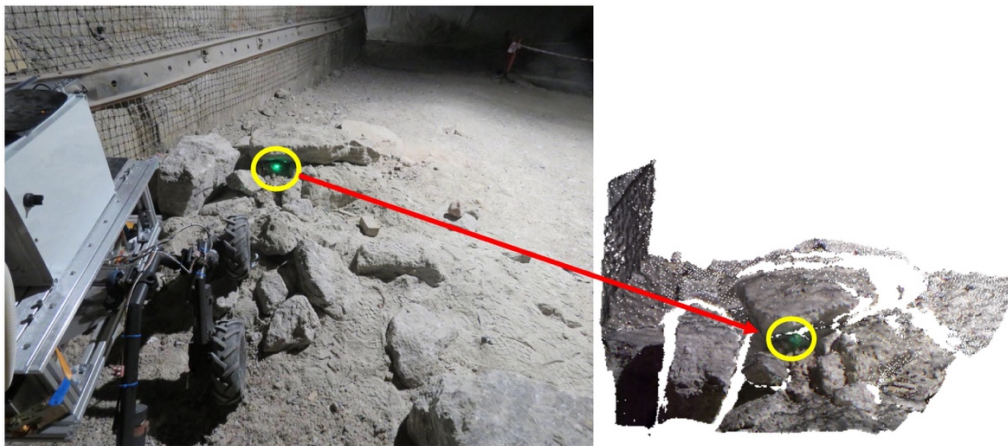
537

538 *Astrobiology experiments*

539

540 Subsurface environments span the entire solar system with every planetary body being unique.  
541 Subsurface oceans have been found to exist on the moons of Jupiter such as Ganymede,  
542 Callisto, Europa and Saturnian moons such as Enceladus and Titan, and there are other bodies  
543 in the solar system where possible subsurface ocean could exist. The presence of these  
544 subsurface oceans further raises the question of life in them. Apart from the presence of  
545 subsurface oceans on the icy moons, subsurface environments of rocky planet such as Mars are  
546 proposed to have had the right conditions to host life (Tarnas et al., 2018). The intense UV  
547 radiation bombarding the planet, low pressures close to the triple point of water, oxidizing  
548 perchlorate rich soil and cold freezing temperatures provide an inhospitable scenario for life to  
549 exist on the surface of Mars. Unlike the surface of Mars, the subsurface of Mars is expected to  
550 have a higher pressure (Schuerger et al., 2013), shielding from intense UV irradiation and  
551 galactic cosmic rays, a stable temperature (Fisk and Giovannoni, 1999), and potentially the  
552 presence of subglacial liquid water (Orosei et al., 2018) which provides an ideal scenario to  
553 host life. A study made by Michalski et al. (2017), suggested that life could exist in the  
554 subsurface of Mars up to 8 km. A detailed study on the subsurface habitability of Mars was  
555 done by Cockell (2014). Methane detected on Mars, raises question of whether it could be from  
556 biotic or abiotic sources. One of the hypotheses is that methane could be produced by the  
557 methanogens in the subsurface of Mars. During the MINAR 5 campaign, methane analysis was  
558 performed at 13 locations in the mine tunnel shafts and it was found that the methane  
559 concentrations at few places reached above 100 ppm (Cockell et al., 2018). The presence of  
560 these methane concentrations may represent the partial trapping of upwelling thermogenic  
561 methane found concentrated within certain mineral layers like potash. However, in a study by  
562 Fernández-Remolar et al. (2008) of underground habitats in the Rio Tinto Basin, Spain,  
563 methane could be detected between 13 to 34 ppm in one of the boreholes dug, with an inverse  
564 correlation with hydrogen signifying the presence of methanogenesis. During the MINAR6

565 campaign, KORE was fitted with a laser absorption spectroscopy-based methane detector on a  
566 linear actuator which could detect methane plumes from about a distance of up-to 50 m. The  
567 setup could be controlled remotely to scan an area of interest using the rover locomotion and  
568 linear actuator-based platform. A technological demonstration of the setup was performed by  
569 simulating a methane plume from under a rock rubble as shown in the Figure 15 by releasing  
570 methane from an aluminium coated Tedlar bag. Issues with logistics prevented rover access to  
571 the sites explored in MINAR5 campaign with methane produced by thermogenic activity.



572

573 **Fig. 15.** (left) KORE pointing the laser methane detector at a simulated methane plume created  
574 with a methane packet placed under the rock rubble; (right) 3D point cloud of the rock rubble  
575 with the green point indicating the laser impingement point.

576

577

578 The use of such remote methane monitoring instrument with a long detection range of 50m to  
579 detect methane satisfies the application to preliminary astrobiology studies and mine safety.

580 The laser-based methane detector is limited in sensitivity to 5ppm and cannot determine the C

581 and H stable isotopes which are of interest to study the source of the methane. The laser-based

582 methane detector can be substituted with a more sophisticated hyperspectral imager.

583 Hyperspectral imagers are a great tool to remotely monitor the hydrocarbon emissions along

584 with gases such as carbon monoxide, carbon dioxide, nitrogen dioxide and hydrogen sulphide.

585 These gases are of biological significance and scanning potential habitats such as polygonal

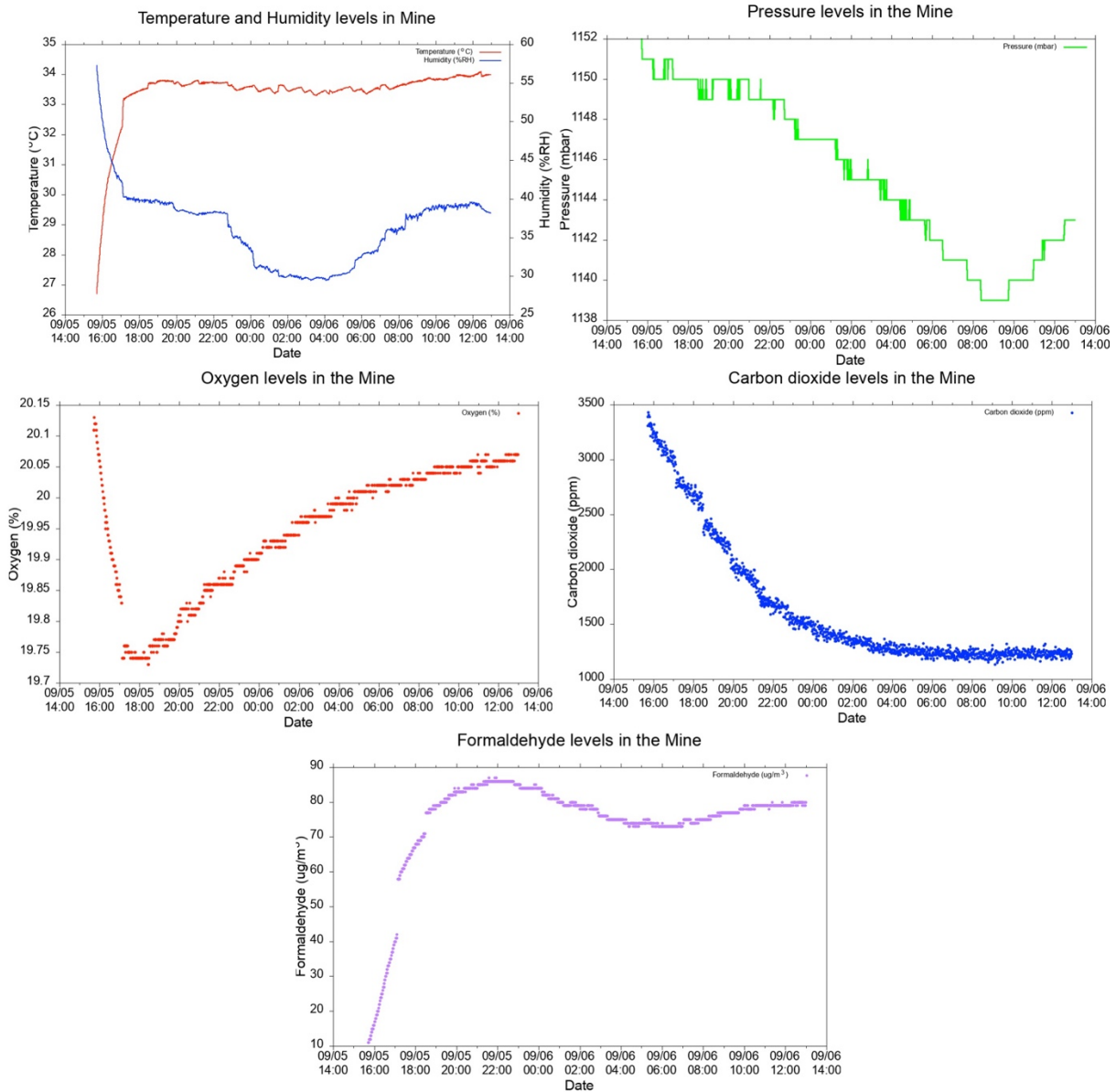
586 structures can provide an insight to the biosignature detection in such closed environments. The  
587 use of such remote monitoring instruments provide a very flexible platform to study locations  
588 which are quite inaccessible. The remote gas detection techniques on rovers enhances mine  
589 safety operations, as these detectors can be used to scan a potential hazardous location from a  
590 safe distance rather than a close encounter.

591

### 592 *Environmental sensors*

593

594 KORE is fitted with an environmental station that measures atmospheric parameters such as  
595 temperature, humidity, pressure along with various gases such NO<sub>2</sub>, SO<sub>2</sub>, H<sub>2</sub>S, CO, CO<sub>2</sub>, O<sub>3</sub>,  
596 O<sub>2</sub>, formaldehyde, volatile organic compounds and particulates. The environmental station was  
597 operated for a quite a short period of time (22 hrs) due to operational limitations, especially  
598 with the limited battery capacity and hence some of the electrochemical sensors such as the  
599 ones measuring CO, O<sub>3</sub>, SO<sub>2</sub>, NO<sub>2</sub>, H<sub>2</sub>S and volatile organic compounds failed to stabilize  
600 within this interval of time. The data obtained from the temperature, humidity, pressure, CO<sub>2</sub>,  
601 O<sub>2</sub> and formaldehyde sensors are shown in the following plot, Figure 16. The first two hours of  
602 data can be neglected to account for the time required for the sensors to stabilize.



603

604 **Fig. 16.** Plots obtained from the data of the Environmental sensors aboard KORE rover  
 605 operating over a span of 22 hours. The initial 2 hours are neglected to account for the sensor  
 606 warm up time.

607

608 The mean carbon dioxide levels in the mine are above 1000ppm which is expected owing to  
 609 the presence of an indoor environment down in the mine shafts. The most interesting  
 610 observation from the gas measurements was the levels of formaldehyde present in the mine.  
 611 During the 22 hrs of operation of the environmental station, we could observe an average  
 612 formaldehyde concentration of 78.5  $\mu\text{g}/\text{m}^3$  which is well within the safe exposure levels as with  
 613 concentration of above 100  $\mu\text{g}/\text{m}^3$ , formaldehyde has been associated with irritation to the eyes,

614 skin, nose, and throat, however there is a substantial variation in individual responses (WHO,  
615 2000). Hence monitoring the formaldehyde levels is very important in enclosed environments  
616 such as mines where the dilution of these gases with the ambient air is restricted and is very  
617 crucial for the occupational health of the miners. Formaldehyde has also a strong implication  
618 in extra-terrestrial environments as a prebiotic chemical (Cleaves II, 2008, Kitadai and  
619 Maruyama, 2018) and hence monitoring this or other potentially relevant prebiotic or life-  
620 related volatiles is very useful for astrobiology (Blair, Magnani, Brand and Wouterloot, 2008).

621

## 622 **Conclusion**

623

624 The KORE rover has been built as a technological demonstration for analogue exploration of  
625 subsurface environments. For this purpose, it has been completely designed using Commercial  
626 Off the Shelf (COTS) components and thus none of the components used in the rover are still  
627 flight qualified. Technical demonstrators allow to test and show the scientific application of a  
628 payload while estimating the power consumption, volume, weight etc. needs and finding the  
629 most important functional requirements that limit or allow operability. These low  
630 Technological Readiness Level (TRL) prototypes are the first step required to build space  
631 exploration spacecrafts and/or payloads. During the MINAR6 campaign, a number of  
632 observations were implemented using KORE as an autonomous laboratory rover with  
633 instrumentation that is used to validate certain hypothesis. The rover campaign lasted 6 days  
634 with a total experimentation time of ~22 hours. As a hypothesis driven campaign, the MINAR  
635 6 served as an ideal scenario to validate the hypothesis of using a low-cost subsurface rover  
636 platform with low-cost mapping technologies to capture point clouds of both man-made  
637 artificial features and naturally occurring polygons on the polyhalite roofs of the mine. The  
638 methodology incorporated in the mapping with InXSpace 3D system coupled with machine



639 learning and CNN can be exploited for future surface and subsurface robotic explorations to  
640 obtain a more vivid study of the geomorphological features.

641 The 3D point cloud data generated using the Kintinuous algorithm can also be utilized to  
642 perform inspection of critical elements such as bolts in the mine shafts and with deep learning  
643 incorporated, their inspection capability can be further enhanced. The localization information  
644 obtained from the mapping algorithms can be used to create a local map of the environment,  
645 which would provide an automated navigation capability to the rover. This would help the rover  
646 traverse a relatively plain terrain without encountering large boulders and debris. The study of  
647 the volatile accumulation in the mine has also been validated from an astrobiological point of  
648 view, using a methane detection payload and environmental station to measure formaldehyde  
649 concentrations, which is crucial to the occupational health of the miners. This study  
650 demonstrates that a low-cost, robust remotely operable subsurface platform can be used for  
651 geomorphology, astrobiology and mining study and it has been successfully validated by  
652 utilizing KORE.

653

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655

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