

1 **Measurement of N<sub>2</sub>O emissions over the whole year is**  
2 **necessary for estimating reliable emission factors**

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22

## 23 **Abstract**

24 Nitrous oxide emission factors ( $\text{N}_2\text{O}$ -EF, percentage of  $\text{N}_2\text{O}$ -N emissions arising from applied  
25 fertilizer N) for cropland emission inventories can vary with agricultural management, soil  
26 properties and climate conditions. Establishing a regionally-specific EF usually requires the  
27 measurement of a whole year of  $\text{N}_2\text{O}$  emissions, whereas most studies measure  $\text{N}_2\text{O}$  emissions  
28 only during the crop growing season, neglecting emissions during non-growing periods.  
29 However, the difference in  $\text{N}_2\text{O}$ -EF ( $\Delta\text{EF}$ ) estimated using measurements over a whole year  
30 ( $\text{EF}_{\text{wy}}$ ) and those based on measurement only during the crop-growing season ( $\text{EF}_{\text{gs}}$ ) has  
31 received little attention. Here, we selected 21 studies including both the whole-year and  
32 growing-season  $\text{N}_2\text{O}$  emissions under control and fertilizer treatments, to obtain 123  $\Delta\text{EF}$ s from  
33 various agroecosystems globally. Using these data, we conducted a meta-analysis of the  $\Delta\text{EF}$ s  
34 by bootstrapping resampling to assess the magnitude of differences in response to  
35 management-related and environmental factors. The results revealed that, as expected, the  $\text{EF}_{\text{wy}}$   
36 was significantly greater than the  $\text{EF}_{\text{gs}}$  for most crop types. Vegetables showed the largest  $\Delta\text{EF}$   
37 (0.19%) among all crops (0.07%), followed by paddy rice (0.11%). A higher  $\Delta\text{EF}$  was also  
38 identified in areas with rainfall  $\geq 600 \text{ mm yr}^{-1}$ , soil with organic carbon  $\geq 1.3\%$  and acidic soils.  
39 Moreover, fertilizer type, residue management, irrigation regime and duration of the non-  
40 growing season were other crucial factors controlling the magnitude of the  $\Delta\text{EF}$ s. We also  
41 found that neglecting emissions from the non-growing season may underestimate the  $\text{N}_2\text{O}$ -EF  
42 by 30% for paddy fields, almost three times that for non-vegetable upland crops. This study  
43 highlights the importance of the inclusion of the non-growing season in the measurements of  
44  $\text{N}_2\text{O}$  fluxes, the compilation of national inventories and the design of mitigation strategies.

45

46 **Capsule**

47 Fallow-season N<sub>2</sub>O emissions must be included when calculating emission factors (EFs);  
48 neglecting them lowers the EFs by 30% for paddy rice and 10% for non-vegetable crops.

49

50 **Key words:** nitrous oxide, greenhouse gas, fallow, residual fertilizer N, nitrogen use efficiency

## 51 **1 Introduction**

52 Nitrous oxide (N<sub>2</sub>O) is a long-lived greenhouse gas (GHG) with a mean atmospheric lifetime  
53 of ~120 years and has a global warming potential (GWP) of ~300 times that of CO<sub>2</sub> over a 100-  
54 year period (Myhre et al., 2014). N<sub>2</sub>O is the most significant ozone-depleting substance and is  
55 predicted to remain the largest during the 21<sup>st</sup> century (Ravishankara et al., 2009). About 50%  
56 of global anthropogenic N<sub>2</sub>O emissions are from agricultural soils, and the percentage has been  
57 rising since the 1950s, due to the widespread application of synthetic nitrogen (N) fertilizers  
58 (Myhre et al., 2014; Shang et al., 2019). Microbial nitrification and denitrification in managed  
59 and natural soils contribute approximately 70% of global N<sub>2</sub>O emissions (Braker and Conrad,  
60 2011). Previous studies have shown that these two processes are regulated mainly by available  
61 N pools (synthetic or organic), soil characteristics and environmental conditions (e.g., soil  
62 temperature, water content, bulk density and pH) (Abdalla et al., 2009; Butterbach-Bahl et al.,  
63 2013; Zhou et al., 2015). An inventory framework was devised by the Intergovernmental Panel  
64 on Climate Change (IPCC) to quantify the impacts of N availability and other environmental  
65 factors on N<sub>2</sub>O emissions from agricultural soils using a N<sub>2</sub>O emission factor (N<sub>2</sub>O-EF)  
66 approach at regional scale (IPCC, 2000, 2006).

67

68 Emission factors (EFs) are a pragmatic approach, widely used for the compilation of national  
69 GHG inventories. The N<sub>2</sub>O-EF is the percentage of N<sub>2</sub>O-N emissions arising from applied N  
70 from synthetic and organic fertilizers. The IPCC Tier 1 approach uses a global default EFs, but  
71 at Tier 2 these default values can be replaced by country-specific EFs, based on local  
72 measurements covering an entire year, including growing and non-growing (fallow) seasons,  
73 reflecting the varying impacts of environment and management over time (IPCC, 2000, 2006;  
74 Wang et al., 2019). The non-growing period, or fallow season, is a period of the year when no  
75 crop is growing in arable lands and occurs between crop harvest and the sowing or transplanting

76 of the following crop. Since there can be multiple cropping seasons within a year, e.g., rice-  
77 wheat rotation during a year in the Chinese Taihu Lake region (Zhao et al., 2012), the non-  
78 growing season is the sum of the multiple fallow periods for each crop of the rotation. However,  
79 emission measurements from most field studies, from which the EFs are derived, often only  
80 cover a crop growing season ( $EF_{gs}$ ), and only a few studies have noted differences ( $\Delta EF$ )  
81 between  $EF_{gs}$  and those based on emissions measured over a whole year ( $EF_{wy}$ ): these cases  
82 being, e.g. vegetable fields in Spain (Sanchez-Martin et al., 2010) and paddy rice in China (Liu  
83 et al., 2016). Although the  $\Delta EF$  should not be neglected, many current regional  $N_2O$  inventories  
84 (Bouwman et al., 2002b; Cayuela et al., 2017; Stehfest and Bouwman, 2006) are based on the  
85  $EF_{gs}$  rather than the  $EF_{wy}$ . None of these studies provide an assessment of whether the  
86 difference,  $\Delta EF$ , exists ubiquitously in global agroecosystems.

87

88 The difference between  $EF_{gs}$  and  $EF_{wy}$  depends on residual fertilizer N, and other management  
89 and environmental factors affecting nitrification and denitrification processes during fallow  
90 periods. Vegetables generally have lower nitrogen use efficiency (NUE, yield N/fertilizer N)  
91 compared to other crops (e.g., maize, wheat and paddy rice) (Garnett et al., 2013), which may  
92 contribute to high residual fertilizer-induced  $N_2O$  emissions during the fallow periods,  
93 accounting for 15%-50% of the annual total (Pfab et al., 2011; Sanchez-Martin et al., 2010).  
94 Field drainage after rice harvest may change soil conditions from anaerobic to aerobic (Hou et  
95 al., 2012; Peng et al., 2011; Zou et al., 2005), stimulating  $N_2O$  emissions during fallow periods  
96 through the inhibition of  $N_2O$  reduction in denitrification (Butterbach-Bahl et al., 2013). Other  
97 management and environmental factors, such as fertilizer type, rainfall, temperature, soil  
98 organic carbon content, total N content and texture, can also affect nitrification and  
99 denitrification processes (Bouwman et al., 2002a; Butterbach-Bahl et al., 2013). However, it is

100 not yet known whether these factors play crucial roles in any observed differences between  
101  $EF_{gs}$  and  $EF_{wy}$ .

102

103 The objectives of this study are to evaluate the differences between  $EF_{gs}$  and  $EF_{wy}$ , and to  
104 identify the key factors responsible for any differences at global scale. Here we conducted a  
105 global meta-analysis of 123  $\Delta EF$ s from 21 studies where each experiment contained both  $EF_{gs}$   
106 and  $EF_{wy}$ . The overall mean  $\Delta EF$  and mean  $\Delta EF$ s for subgroups, with respect to management  
107 and environmental factors, were statistically compared to zero to detect differences between  
108  $EF_{gs}$  and  $EF_{wy}$ . Key factors influencing  $\Delta EF$ s were identified by identifying significant  
109 differences of  $\Delta EF$ s within the subgroup. Finally, the implications of  $\Delta EF$ s on the estimation  
110 of annual  $N_2O$  emissions were quantified with respect to  $EF_{gs}$  ( $\Delta EF/EF_{gs}$ ) for upland crops and  
111 paddy rice.

112

## 113 **2 Materials and methods**

### 114 **2.1 Data selection and collection**

115 To locate all relevant papers that have reported measurements of  $N_2O$  for crop growing seasons  
116 and whole years, we performed a comprehensive search on Web of Science, Google Scholar  
117 and the China National Knowledge Infrastructure database using the keywords: nitrous oxide  
118 or  $N_2O$ , non-growing or fallow, upland crops or paddy rice, and soil or fertilizer. To ensure  
119 comprehensive coverage, we also checked all references cited in the papers found.

120

121 We selected studies from 21 peer-reviewed papers and dissertations where  $N_2O$  emissions with  
122 at least two different N application rates, including a zero control, were measured both for  
123 whole year, and for the growing season and fallow period. Studies with the following  
124 measurements were excluded: (i) measurements made in laboratories or greenhouses, (ii)

125 measurements conducted in organic (peaty) soils where N<sub>2</sub>O-EFs are much higher than those  
126 in mineral soils (IPCC, 2006), and (iii) measurements with the use of controlled-release  
127 fertilizers, or nitrification or urease inhibitors, which may reduce N<sub>2</sub>O emission rates. The final  
128 dataset contains 123 paired EF<sub>gs</sub> and EF<sub>wy</sub> at 20 sites globally (Fig. 1 and Table S1).

129

130 For each site, the N<sub>2</sub>O emissions and related variables were sorted into four categories: (i) N<sub>2</sub>O  
131 emissions, (ii) climatic factors, (iii) soil properties, and (iv) management parameters. The N<sub>2</sub>O  
132 emissions for the whole year and growing season were obtained from the studies identified.  
133 The averages of these emissions by replicated measurements were then used to calculate N<sub>2</sub>O  
134 EF<sub>gs</sub> and EF<sub>wy</sub>. For the climatic factors, climatic zones based on thermal and moisture regimes  
135 (cool, warm, dry and moist zones) were identified according to the locations of sites to  
136 represent the variations of soil water content and temperature, following the method in Smith  
137 et al. (2008) and Albanito et al. (2016). Mean annual air temperature (MAT) and mean annual  
138 precipitation (MAP) for field sites were obtained from the original papers. Mean annual  
139 evapotranspiration (MAET) values for 1980-2010 were extracted from the Climatic Research  
140 Unit (CRU) TS v. 3.23 database (<https://crudata.uea.ac.uk/cru/data/hrg/>). Presence of freeze-  
141 thaw cycles was characterized by the minimum soil temperature during fallow periods. When  
142 the minimum soil temperature was less than zero, we assumed that the soil water was subject  
143 to freeze-thaw in winter during the fallow periods. Soil organic carbon content (SOC), pH, total  
144 N content, bulk density (BD), and clay content were used to account for substrate availability  
145 and soil aeration conditions, which together with climate conditions, determine rates of  
146 nitrification and denitrification (Bouwman et al., 2013; Butterbach-Bahl et al., 2013). For the  
147 management parameters, crop type, fertilizer type, N fertilizer application rate, residue return,  
148 irrigation, and fallow duration were selected, because of their known impacts on soil C and N  
149 cycling and transport in the root zone. Multiple cropping seasons of different upland cereals

150 (e.g., maize-wheat rotation) in a full year were included in the category “other crops”. Single  
151 or double cropping seasons of paddy rice were both categorized as paddy rice, and the category  
152 “vegetables” contained multiple vegetable cultivations. Information on fertilization methods  
153 (e.g. broadcast, injection, or deep placement) and tillage practices were not available in the  
154 original papers, so these factors were not considered for further analysis.

155

156 Missing values of MAT and MAP (32% and 19% of total 123  $\Delta$ EFs, respectively) for 1980-  
157 2010 were extracted from the CRU climate database; BD, clay content, SOC and pH (58%,  
158 36%, 7% and 0% of the total  $\Delta$ EFs, respectively) were supplemented from the 1-km  
159 Harmonized World Soil Database (HWSD v1.2) (<http://www.iiasa.ac.at/>) using site latitudes  
160 and longitudes. Data from CRU and HWSD were validated through observations from  
161 literature at known latitudes and longitudes (Fig. S1 and S2). Although total N could not be  
162 added from external datasets, we found that missing data (7% of observations) did not impact  
163 our results greatly. Details of these variables can be found in Table S2.

164

## 165 **2.2 $\Delta$ EF**

166 The  $\Delta$ EF (%) was calculated as the difference in N<sub>2</sub>O-EFs between the whole year and growing  
167 season for a non-zero N application level under the same environmental and management  
168 conditions. We did not average the  $\Delta$ EF for a specific site if management practices, such as  
169 crops, fertilizer types, and tillage, or other critical factors were different. The N<sub>2</sub>O  $\Delta$ EF for each  
170 pair of whole year and growing season was evaluated using the following equations:

$$171 \quad \Delta EF = EF_{wy} - EF_{gs} \quad (1a)$$

172 where

173  $EF_{wy} = (E_{wy} - E_{0wy}) / N$  (1b)

174  $EF_{gs} = (E_{gs} - E_{0gs}) / N$  (1c)

175 and the indices *wy* and *gs* represent whole year and growing season; *E* is the N<sub>2</sub>O emission  
176 from the fertilized treatment (kg N ha<sup>-1</sup>); *E*<sub>0</sub> is the N<sub>2</sub>O emission under zero-N control (without  
177 N application, kg N ha<sup>-1</sup>); *EF* is emission factor, %; *N* is fertilizer N application rate (kg N  
178 ha<sup>-1</sup>).

179

### 180 **2.3 Statistical analyses**

181 Analyses of N<sub>2</sub>O ΔEF were conducted using statistics based on resampling. We used a  
182 Kolmogorov-Smirnov test to determine if the distribution of the dataset differed from normality,  
183 and given that it was not normally distributed (p<0.001), we applied the bootstrapping  
184 resampling method to estimate the means of the ΔEFs. Bootstrapping resampling (i.e., random  
185 sampling with replacement of the equal size of the initial dataset repeated n=100,000 times)  
186 was performed using the MATLAB bootstrapping function to generate the normal distributions  
187 of the means of ΔEFs, and then to compare 95% confidence intervals (CIs) of the means with  
188 zero to identify the difference in EFs observed during whole year and growing season.  
189 Differences between subgroups of potential factors were then tested using bootstrap confidence  
190 intervals and analysis of variance (ANOVA) on bootstrapped values for two and multiple  
191 subgroups, respectively.

192

193 We removed 1 outlier ΔEF with the largest value (1.03%) from further analysis due to its undue  
194 influence on the means of subgroups, since it was nearly 7 times larger than the standard  
195 deviation of the dataset. The remaining 122 ΔEFs were then categorized into 16 groups: crop

196 type, climatic zone, MAT, freeze-thaw cycles, MAP, potential net water input (MAP–MAET),  
197 SOC, pH, total N content, BD, clay content, fertilizer type, N application rate, residue return,  
198 irrigation, and fallow duration. For the groups with continuous variables (e.g. total N content  
199 and SOC),  $\Delta$ EFs were split into two subgroups based on the responses of  $\Delta$ EFs to the factors.

200

201 We used a contingency table to show the interrelation between different environmental factors  
202 to avoid assigning the same influence to two or more factors. For each pair of soil properties  
203 or climatic factors, we calculated the phi coefficient ( $\phi$ ), the degree of association between two  
204 variables of two categories. In the contingency table,  $\phi = \pm \sqrt{\chi^2/N}$ , where  $\chi^2$  is from Pearson's  
205  $\chi^2$  test, and the total number of observations, N, was given.

206

#### 207 **2.4 Impact of $\Delta$ EF on $N_2O$ -EF**

208 The percentage of  $\Delta$ EF of the mean  $EF_{gs}$  was used to indicate the relative impact of  $\Delta$ EF on  
209  $EF_{gs}$  for  $N_2O$  emission inventories. We estimated mean  $\Delta$ EFs and their 95% CIs by the  
210 bootstrapping resampling method for paddy rice and non-vegetable upland crops. The mean  
211  $EF_{gs}$  was then calculated based on the data collected in this study. Moreover, we used the  
212 proportional upper and lower boundaries of the 95% CI to estimate the uncertainty of the mean  
213  $EF_{gs}$ . To compare  $EF_{gs}$  with other studies,  $N_2O$  datasets from Cayuela et al. (2017) and  
214 Akiyama et al. (2005) were also collected.

215

## 216 **3 Results**

217 An analysis of all data together showed significantly greater EF values for a whole year than  
218 for a growing season.  $\Delta$ EFs were positive in 86% of the data and negative in only 14% (17  
219 cases) under certain circumstances (Text S1). Based on the bootstrap resampling, both the mean  
220 (0.08) and median (0.03) of the overall  $\Delta$ EFs were significantly positive ( $p < 0.001$ ), with 95%  
221 confidence intervals of 0.06-0.11 and 0.02-0.05, respectively. Removing 1 outlier from the  
222 dataset decreased the mean from 0.081 to 0.073 but had no significant effect on the median  
223 value (Fig. 2).

224

### 225 **3.1 Impact of crop type on $\Delta$ EF**

226 Three main cereal crops (paddy rice,  $n=35$ ; maize,  $n=23$ , and wheat,  $n=33$ ) dominated other  
227 crops (vegetables,  $n=10$ ; legume,  $n=4$ , and other upland crops, e.g. barley, maize and wheat  
228 rotations,  $n=21$ ) on the perspective of  $\Delta$ EF data availability. Except for legume crops, for which  
229 the data were insufficient, all crops showed significant positive  $\Delta$ EF values ( $p < 0.01$ ). However,  
230 differences among crop types showed that mean  $\Delta$ EF for paddy rice was significantly larger  
231 ( $p < 0.05$ ) than that for all upland crops, except for vegetables (Fig. 2). The mean  $\Delta$ EFs for paddy  
232 rice (0.11) and vegetables (0.19) were about 2 to 7 times those for maize (0.03), wheat (0.07)  
233 and other upland crops (0.04).

234

### 235 **3.2 Impact of climate on $\Delta$ EF**

236 The  $\Delta$ EFs for the moist climatic zones (warm-moist and cool-moist) were significantly  
237 ( $p < 0.001$ ) positive (Fig. 3a). The cool-moist zone had the highest mean  $\Delta$ EF (0.10), followed  
238 by warm-moist (0.08) and warm-dry (0.01). Significant ( $p < 0.05$ ) differences were also found

239 between moist (cool-moist and warm-moist) and dry (warm-dry) zones. The averaged  $\Delta EF$  for  
240 moist regions was 0.09, ~6 times more than that of the dry region. The subgroup for water  
241 condition also had significantly ( $p < 0.001$ ) positive  $\Delta EF$  values. The  $\Delta EF$  was much larger  
242 when the MAP reached 600 mm per year, however the effect of the difference between  
243 precipitation and evapotranspiration (MAP-MAET) was not significant. Higher ( $\geq 15^\circ C$ ) or  
244 lower ( $< 15^\circ C$ ) MAT, and the occurrence of freeze-thaw cycles during the fallow period,  
245 showed no significant impacts on the magnitude of the  $\Delta EF$  values ( $p < 0.001$ ).

246

### 247 **3.3 Impact of soil properties on $\Delta EF$**

248 Among soil conditions,  $\Delta EF$ s were all significantly higher ( $p < 0.001$ ) than zero in relation to  
249 SOC, pH, total N content, BD and clay content. The  $\Delta EF$ s were also higher where SOC  
250 contents were relatively high (SOC  $\geq 1.3\%$ ), and where soil was acidic (pH  $< 7$ ; Fig. 3b). The  
251 total N content, BD, and clay content had no significant impact on the  $\Delta EF$  at the 95%  
252 confidence level.

253

### 254 **3.4 Impact of management on $\Delta EF$**

255 All N fertilizer types (Urea (U), Organic (O), mixture of synthetic and organic fertilizers (OS)  
256 and other synthetic fertilizers (other SNs)) and application rates had significantly positive  
257 impacts on  $\Delta EF$ s ( $p < 0.05$ , Fig. 3c). The mean  $\Delta EF$ s for U (0.08) and other SNs (0.10) were ~3  
258 times more than that for O (0.03) and OS (0.04), showing significant ( $p < 0.05$ ) differences.  
259 Although the mean  $\Delta EF$  increased with increasing N fertilizer application rate, the differences  
260 between them were not significant ( $p > 0.05$ ).

261

262 For other agricultural management, i.e., residue management, irrigation and length of fallow  
263 period, the mean  $\Delta EF$ s for all subsets were significantly positive ( $p < 0.05$ ) (Fig. 3d). The mean  
264  $\Delta EF$  was higher ( $p < 0.05$ ) for residue return (0.12) after harvest than that for residue removal  
265 (0.03). Irrigated cropland had a higher mean  $\Delta EF$  (0.09,  $n=75$ ) compared with the mean of all  
266 data, whereas rain-fed cropland ( $n=7$ ) had substantially smaller mean  $\Delta EF$  (0.01). Among three  
267 categories of fallow duration, the mean  $\Delta EF$  grew significantly ( $p < 0.05$ ) with increasing length  
268 of fallow periods and significantly ( $p < 0.05$ ) positive correlation was found between the  $\Delta EF$   
269 and the duration of fallow period. The mean  $\Delta EF$  for fallow periods of  $\geq 200$  days (0.11) was  
270  $\sim 2$  and  $\sim 6$  times higher than the mean  $\Delta EF$  for fallow periods of 100-200 days and  $< 100$  days.

271

272 Relatively greater factor associations ( $\phi > 0.4$  or  $< -0.4$ ) were primarily found between climatic  
273 zone and other environmental factors in a contingency table (Table S3). Other large  
274 associations were between total N and SOC (0.64), between pH and MAT ( $-0.65$ ), pH and  
275 MAP ( $-0.44$ ), and between total N and freeze-thaw cycles (0.58). High levels of relatedness  
276 were also found between other climatic factors, e.g., MAT and MAP (0.48), MAT and freeze-  
277 thaw cycles ( $-0.57$ ), and MAP and MAP-MAET (0.86).

278

### 279 **3.5 Impact of $\Delta EF$ on $N_2O$ -EF**

280 Paddy rice and non-vegetable upland crops both had positive ( $p < 0.05$ ) mean proportional  $\Delta EF$ s  
281 and corresponding 95% CIs (Fig. 4). The mean proportional  $\Delta EF$  for paddy rice was 30% (95%  
282 CI: 16%-45%,  $n=31$ ), nearly 3 times that for non-vegetable upland crops (11%, 95% CI: 7%-  
283 14%,  $n=81$ ). The proportional upper and lower boundaries of 95% CI of mean  $EF_{gs}$  in this study

284 were 26% and -23% for upland crops (n=81), and 49% and -40% for paddy rice (n=31),  
285 respectively.

286

## 287 **4 Discussion**

288 Our results show that the N<sub>2</sub>O-EF for a whole year is greater than that for the growing season;  
289 that is, positive mean  $\Delta$ EFs were found for the overall dataset and for most subgroups by crop  
290 type, climatic factor, soil property, fertilization practice, and other management practices (Figs.  
291 2 and 3).

292

### 293 **4.1 Effect of crop type on $\Delta$ EF**

294 Vegetables showed significantly greater differences between whole year EF and growing  
295 season EF compared to other upland crops (e.g., wheat, maize, legumes) (Fig. 2). The nitrogen  
296 use efficiency (NUE; yield N/fertilizer N) for vegetables has been reported to be 14%,  
297 substantially lower than those for wheat (42%), maize (46%), paddy rice (39%), legume (80%)  
298 and other cereal crops (53%) (Zhang et al., 2015). This is related to high fertilizer N inputs for  
299 intensive cropping vegetable systems (Li et al., 2017; Zhang et al., 2012). Globally, vegetables  
300 use 7% of global synthetic N fertilizer (Patrick et al., 2017), but account for only ~4% of  
301 harvested cropland area (FAO, 2019), leading to an application rate that is more than 30% than  
302 for other crops (Fig. S3). As reported by Gerber et al. (2016), vegetables had a slightly higher  
303 EF than other crops (e.g., 2% and 12% higher than maize and wheat, respectively). The high N  
304 application rate and the low NUE would be expected to lead to more N substrate being available  
305 for N<sub>2</sub>O production during the fallow period (see Eq. S1 and Text S2), which is supported by  
306 a significant and positive relationship ( $r=0.3$ ) between N application and N<sub>2</sub>O emissions during  
307 the fallow season found in this study.

308

309 Paddy rice showed a significantly larger  $\Delta EF$  compared with other non-vegetable upland crops  
310 (Fig. 2). Given that N application rates for non-legume crops are similar ( $210 \pm 28 \text{ kg N ha}^{-1}$ ,  
311 mean  $\pm$  standard deviation) in our dataset, the magnitude of the  $\Delta EF$  is determined only by  
312 residue fertilizer-induced  $\text{N}_2\text{O}$  emissions, i.e., the difference in  $\text{N}_2\text{O}$  emissions between  
313 fertilized and unfertilized plots during the fallow period (Eq. S1 and Text S2). The residual  
314 fertilizer-induced  $\text{N}_2\text{O}$  flux during the fallow period was  $6 \mu\text{g N m}^{-2} \text{ h}^{-1}$  ( $n=32$ ) for paddy rice,  
315 which is about twice that of wheat ( $n=33$ ) and about 5 times that of maize ( $n=23$ ) (Table S4).  
316 The  $\text{N}_2\text{O}$  fluxes for these upland crops decreased significantly from the growing season ( $23 \mu\text{g}$   
317  $\text{N m}^{-2} \text{ h}^{-1}$ ,  $n=82$ ) to the fallow period ( $9 \mu\text{g N m}^{-2} \text{ h}^{-1}$ ,  $n=82$ ), which may be due to lower N  
318 availability in soils, while the  $\text{N}_2\text{O}$  fluxes for paddy rice did not decrease during fallow period  
319 ( $19 \text{ vs. } 16 \mu\text{g N m}^{-2} \text{ h}^{-1}$ ,  $p=0.52$ ). This coincides with a change in soil water and oxygen  
320 conditions after flood drainage that favor  $\text{N}_2\text{O}$  emissions during the non-growing period  
321 (Majumdar, 2013; Wang et al., 2013; Zheng et al., 2010).  $\text{N}_2\text{O}$  emissions in paddy rice systems  
322 are impacted greatly by flooding and drainage cycles. For example, the meta-analysis of  
323 Akiyama et al. (2005) reported that the practice of midseason drainage led to a larger EF than  
324 continuous flooding. Though paddy rice is cultivated mostly in regions with a moist climate  
325 and upland crops are grown both in moist and dry areas, our results do not suggest that the  
326 higher  $\Delta EF$  for paddy rice resulted from climatic factors. Instead, we propose that it was most  
327 likely due to residual N fertilizer, since the residual fertilizer-induced  $\text{N}_2\text{O}$  flux for paddy rice  
328 ( $6 \mu\text{g N m}^{-2} \text{ h}^{-1}$ ,  $n=32$ ) was still significantly (2 and 4 times) greater than those for wheat ( $n=32$ )  
329 and maize ( $n=9$ ) in moist areas (Table S4). For other factors with high associations ( $\phi > 0.4$  or  
330  $< -0.4$ , Table S3), such as pH, MAT and freeze-thaw cycles, significantly larger residual  
331 fertilizer-induced  $\text{N}_2\text{O}$  flux was also found for paddy rice than for other non-vegetable crops

332 (Table S4). Hence water management factors, such as flooding and drainage, are dominant over  
333 other factors in affecting the  $\Delta EF$  for paddy rice.

334

#### 335 **4.2 Effect of climate on $\Delta EF$**

336 Our results showed that precipitation was the dominant climatic factor over temperature,  
337 showing significant differences in  $\Delta EF$ : moist areas had greater  $\Delta EF$  than dry areas (Fig. 3a).  
338 Higher precipitation was found to significantly promote greater  $N_2O$  emissions in the fallow  
339 season and consequently resulted in greater  $\Delta EF$  values in this study, as evidenced by the  
340 significant and positive relationship ( $r=0.3$ ) between the amount of rainfall during the fallow  
341 period and the  $\Delta EF$  (Fig. S4). Higher precipitation is associated with higher soil water content,  
342 which is known to be a major driver of  $N_2O$  emissions, by regulating oxygen availability to  
343 microbes in the soil (Butterbach-Bahl et al., 2013; Davidson et al., 2000; Song et al., 2019).  
344 Rising soil water content has been reported to increase  $N_2O$  emissions (Smith et al., 2003;  
345 Bateman and Baggs, 2005), before extreme soil anaerobic conditions favor the reduction of  
346  $N_2O$  through denitrification (Butterbach-Bahl et al., 2013; Davidson et al., 2000). A recent  
347 meta-analysis conducted by Xia et al. (2018) also reported a positive relationship between  
348 precipitation and  $N_2O$  emissions. Although MAT and MAP-MEAT had relatively large  
349 associations with MAP ( $\phi > 0.4$  or  $< -0.4$ , Table S3), only MAP had a significant impact on  $\Delta EF$ .

350

#### 351 **4.3 Effect of soil properties on $\Delta EF$**

352 Our results show that SOC and pH have important effects on  $\Delta EF$ s (Fig. 3b), which appear to  
353 be related to their impacts on  $N_2O$ -EF from residual fertilizer N during non-growing periods.  
354 A positive relationship between  $N_2O$  emissions and SOC content was also found in other global

355 meta-analyses (Bouwman et al., 2002a; Charles et al., 2017). Lower soil pH is generally known  
356 to depress the activity of N<sub>2</sub>O reductase enzymes and the reduction of N<sub>2</sub>O to N<sub>2</sub> in the  
357 denitrification process (Bakken et al., 2012; Čuhel et al., 2010; Wang et al., 2018). Soil pH  
358 may also affect other biotic or abiotic processes (e.g., nitrification and chemical denitrification)  
359 and the microbial community and may thereby affect N<sub>2</sub>O emissions, but the mechanisms  
360 remain unclear (Wang et al., 2018). A negative relationship between pH and soil N<sub>2</sub>O emission  
361 has been observed in laboratory studies with soils from acidic tea fields (Tokuda and Hayatsu,  
362 2001), paddy rice fields (Shaaban et al., 2014; Shaaban et al., 2018) and in a recent meta-  
363 analysis (Wang et al., 2018). The association between SOC and pH was relatively low ( $\phi=-0.1$ ,  
364 Table S3) in this study, suggesting that SOC and pH may be independently affecting N<sub>2</sub>O  
365 emission during fallow periods.

366

#### 367 **4.4 Effect of management on $\Delta EF$**

368 Our results showed that the  $\Delta EF$  under organic fertilizer (livestock manure) application was  
369 significantly lower than that under urea application (Fig. 3c). As discussed in Section 4.1 and  
370 Text S2, it appears that the residue fertilizer-induced N<sub>2</sub>O flux during fallow period has the  
371 greatest impact on the  $\Delta EF$ , given that N application rates for organic fertilizer and urea in our  
372 dataset were comparable (166 v.s. 172 kg N ha<sup>-1</sup>, p=0.16). The residue fertilizer-induced N<sub>2</sub>O  
373 flux for organic fertilizer (2  $\mu\text{g N m}^{-2} \text{ h}^{-1}$ , n=32) was one third that for urea application (n=45).  
374 Similarly, a meta-analysis conducted by Xia et al. (2017) reported that, compared to urea  
375 application, organic fertilizer also reduced N<sub>2</sub>O emissions by 11.4%, attributed to the  
376 significant promotion of microbial N immobilization (by 36.4%), thereby reducing the  
377 availability of N for N<sub>2</sub>O productions (Zhou et al., 2016).

378

379 The combination of crop residue return with synthetic N fertilizer led to a significantly higher  
380  $\Delta$ EF compared to a single synthetic N fertilizer application at comparable N application rates  
381 (194 v.s. 186 kg N ha<sup>-1</sup>, p=0.78) (Fig. 3c). Straw degradation provides additional N substrates  
382 for nitrification and denitrification, which stimulates N<sub>2</sub>O emissions in the fallow crop season  
383 (Liu et al., 2014). The  $\Delta$ EF for paddy rice with crop residue return (0.15%, n=20) was three  
384 times greater than that for non-vegetable upland crops with crop residue return (n=31). The N<sub>2</sub>O  
385 fluxes for upland crops decreased significantly from the growing season (29  $\mu$ g N m<sup>-2</sup> h<sup>-1</sup>,  
386 n=31) to the fallow period (16  $\mu$ g N m<sup>-2</sup> h<sup>-1</sup>, n=31), which may be due to lower availability of  
387 N in soils, while the N<sub>2</sub>O fluxes for paddy rice did not decrease during the fallow period (14  
388 v.s. 10  $\mu$ g N m<sup>-2</sup> h<sup>-1</sup>, p=0.33). It is coincident with the change of soil water and oxygen  
389 conditions that favor N<sub>2</sub>O emissions resulting from drainage after harvest in paddy fields  
390 (Akiyama et al., 2005; Majumdar, 2013; Wang et al., 2013; Zheng et al., 2010). The meta-  
391 analysis of Xia et al. (2018) reported that the return of crop residue significantly decreased N<sub>2</sub>O  
392 emissions (by 17.3%\_ in the flooded rice-growing season while it increased emissions by 21.5%  
393 for upland crops, supporting the findings reported here.

394

395 Fallow duration is significantly and positively correlated to  $\Delta$ EF (Fig. S5 and Fig. 3d), because  
396 a longer non-growing period means a shorter growing season within a year, which also  
397 represents more residual fertilizer N available for N<sub>2</sub>O production from both nitrification and  
398 denitrification during fallow periods.

399

#### 400 **4.5 Effect of $\Delta$ EF on N<sub>2</sub>O-EF**

401 The 95% CIs of mean  $EF_{gs}$  in this study were similar to those from two meta-analyses for  
402 growing-season Mediterranean upland crops (n: 186; upper: 20%, lower: 26%) (Cayuela et al.,  
403 2017) and global paddy rice (n=50; upper: 33%, lower: 31%) (Akiyama et al., 2005) (Fig. 4).  
404 The mean proportional  $\Delta EF$ s for upland crops and paddy rice were 11% and 30% (Figs. 4a and  
405 b), which means that an  $EF_{gs}$ -based emission inventory, using growing season measurements  
406 only, could underestimate  $N_2O$  emissions by one tenth and one third, respectively. Moreover,  
407 for paddy rice, the mean proportional  $\Delta EF$  and its upper boundary of 95% CI (45%) were close  
408 to, or even exceeded, the upper boundaries of the mean  $EF_{gs}$  from this study (49%) and  
409 Akiyama et al. (2005) (33%). Neglecting  $N_2O$  emissions from the non-growing season will  
410 lead to an underestimation of cropland  $N_2O$  emissions for both crop types, especially for paddy  
411 rice, so emission mitigation for non-growing periods also needs to be considered.

412

#### 413 **4.6 Limitations**

414 The main limitation of the analysis is the lack of available whole-year measurements, because  
415 most measurement campaigns have focused only on cropping seasons. Geographical coverage  
416 is also an issue; with more  $\Delta EF$ s from Africa, South America and East Europe, we would be  
417 better able to capture the magnitude and important factors for  $\Delta EF$  with higher confidence.  
418 Additional studies are especially needed in cool-dry and warm-dry climatic zones, for  
419 vegetables and legumes and under different irrigation regimes. With more  $\Delta EF$ s for vegetables,  
420 interdependences of environmental and management factors can be tested to determine their  
421 relative importance. In addition, more studies with two or more non-zero N application levels  
422 are required for studying the impacts of N input rate on  $\Delta EF$ . Soil amendments, such as  
423 controlled-release fertilizer and nitrification inhibitors, may potentially lead to an increase in  
424 fallow  $N_2O$  emissions, due to the prolonged release of nitrogen. Further knowledge of the

425 factors controlling the differences in EF between whole years and growing seasons, and their  
426 magnitude, is crucial for reducing the uncertainties of N<sub>2</sub>O inventories and the corresponding  
427 greenhouse gas balance of croplands.

428

## 429 **5 Conclusions**

430 This meta-analysis showed that the inclusion of non-growing season N<sub>2</sub>O emission  
431 significantly increased cropland N<sub>2</sub>O-EF, indicating that residual fertilizer-induced N<sub>2</sub>O  
432 emission during the non-growing season cannot be neglected for national inventories. In  
433 particular, ignoring emissions from the non-growing season can underestimate the N<sub>2</sub>O-EF by  
434 30% for paddy rice and by ~10% for non-vegetable upland crops. Areas with high precipitation,  
435 high soil organic carbon content, or low pH experience higher risks of residual fertilizer-  
436 induced N<sub>2</sub>O emissions. For national cropland N<sub>2</sub>O emission estimates and mitigation  
437 strategies, frequent measurements of N<sub>2</sub>O emission should be taken both during the crop  
438 growing and non-growing periods. In the future, attention should be paid to the fate of residual  
439 fertilizers and their effects on the environment.

440

441 **Declaration of interests**

442 The authors declare no competing interests.

443

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451

452 **Author contributions**

453 Z.S., P.S. and M.A. conceived and designed the research; Z.S. and M.A. collected data; Z.S.  
454 performed the analysis; Z.S., M.A., M.K., F.A., and L.X interpreted the results; Z.S. wrote the  
455 paper with contributions from M.A., M.K., L.X and P.S.; All revised the paper.

456 **Supplementary material**

457 Additional Supplementary Information can be found in the online version of this article.

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606 **Figure captions**

607 **Fig.1 Map showing the locations of experimental sites and numbers of observations used**  
608 **in this paper (123 paired N<sub>2</sub>O EF<sub>gs</sub> and EF<sub>wy</sub> for whole year and growing season at 20**  
609 **sites). Green area represents global croplands.**

610

611 **Fig.2 Overall  $\Delta$ EF and  $\Delta$ EF grouped by crop types. Data are presented as mean  $\pm$  SEM,**  
612 **with n noted at the base of each bar. Asterisks indicate significant differences from zero**  
613 **(\*\*\*p< 0.001; \*\*p < 0.01; \*p<0.05). Different letters indicate significant differences between**  
614 **mean  $\Delta$ EFs for groups within each category. “Others” represent upland crops except wheat,**  
615 **maize and legume.**

616

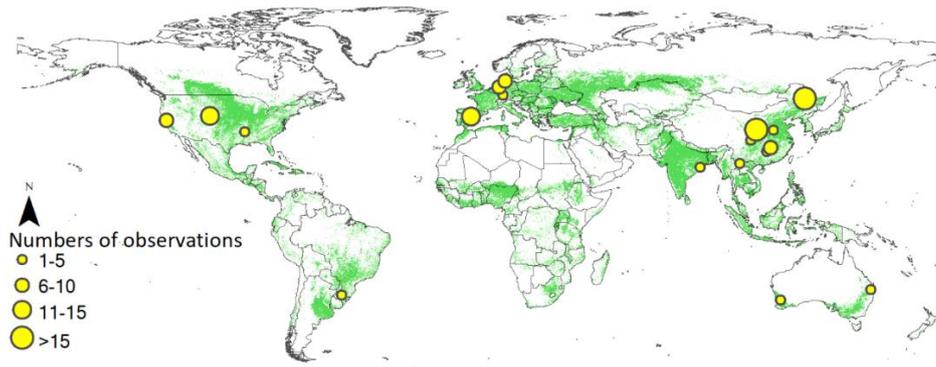
617 **Fig.3  $\Delta$ EFs by climatic factors (a), soil attributes (b), fertilization (c), and other**  
618 **managements (d). Data are presented as mean  $\pm$  SEM, with n noted at the base of each bar.**  
619 **Asterisks indicate significant differences from zero (\*\*\*p< 0.001; \*\*p < 0.01; \*p<0.05).**  
620 **Different letters indicate significant differences between mean  $\Delta$ EFs for groups within each**  
621 **category. <sup>1</sup>: The minimum soil temperature is presumably an indicator for the occurrence of**  
622 **freeze-thaw cycles: if the minimum soil temperature is below 0 °C, then the freeze-thaw cycle**  
623 **is assumed to occur. <sup>2</sup>: The potential net water input is defined as the difference between mean**  
624 **annual precipitation and evapotranspiration.**

625

626 **Fig.4 Impact of  $\Delta$ EF on EF<sub>gs</sub> for non-vegetable upland crops (a) and paddy rice (b). The**  
627 **effect of  $\Delta$ EF is represented by the ratio of its mean and 95% confidence interval (CI)**

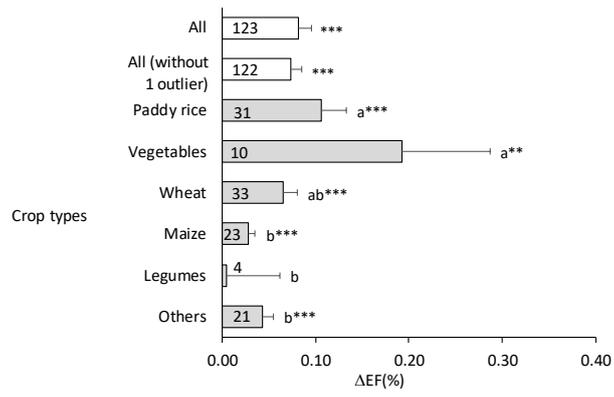
628 boundaries to the mean  $EF_{gs}$  in this study (excluding 1 outlier). 95% CIs of the mean  $EF_{gs}$  in  
629 this study were in comparison with those from Cayuela et al. (2017) and Akiyama et al. (2005).

630



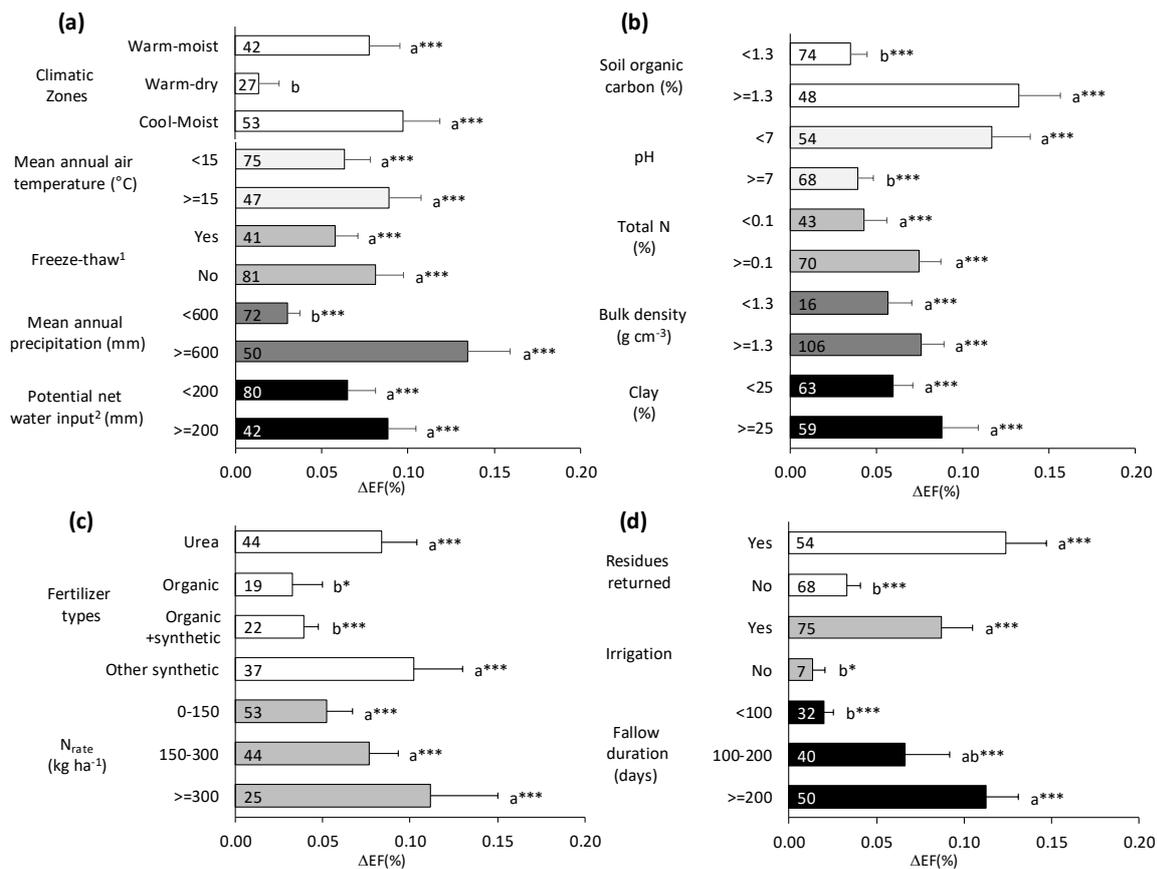
631

632 **Fig.1**



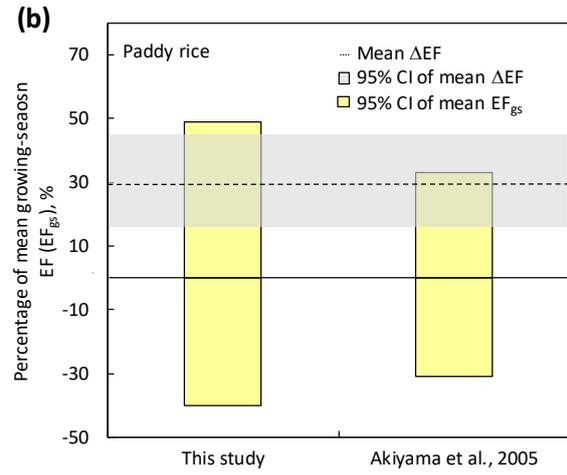
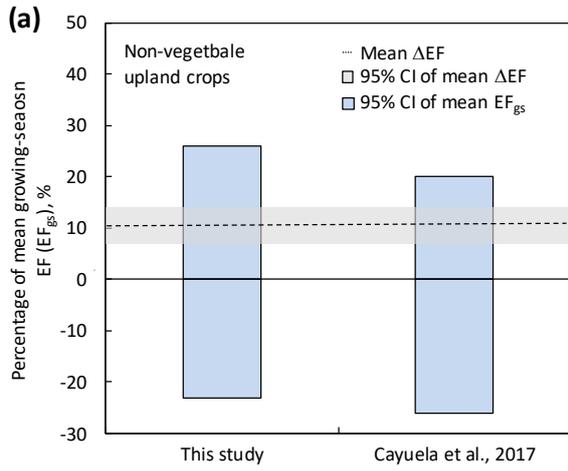
633

634 **Fig.2**



635

636 **Fig.3**



637

638 **Fig.4**