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2 **Nitric-Acid Hydrolysis of *Miscanthus giganteus* to Sugars Fermented**
3 **to Bioethanol**

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1 **ABSTRACT**

2 *Miscanthus giganteus* (*M. giganteus*) is a promising feedstock for the production of
3 bioethanol or biochemicals. Using only dilute nitric acid, this work describes a two-
4 step process for hydrolyzing hemicellulose and cellulose to fermentable sugars.
5 Primary variables were temperature and reaction time. The solid-to-liquid mass ratio
6 was 1:8. No enzymes were used. In the first step, *M. giganteus* was contacted with 0.5
7 wt. % nitric acid at temperatures between 120 to 160°C for 5 to 40 minutes. The
8 second step used 0.5 or 0.75 wt. % nitric acid at temperatures between 180 to 210°C
9 for less than 6 minutes. Under selected conditions, almost all hemicellulose and 58%
10 cellulose were transferred to the liquid phase. Small amounts of degradation products
11 were observed. The xylose solution obtained from the nitric-acid hydrolysis was
12 fermented for 96 hours and the glucose solution for 48 hours to yield 0.41 g ethanol/g
13 xylose and 0.46 g ethanol/g glucose. To characterize residual solids and the liquor
14 from both steps, nuclear-magnetic-resonance (NMR) spectroscopy was performed for
15 each fraction. The analytical data indicate that the liquid phase from Steps 1 and 2
16 contain little lignin or lignin derivatives.

17 **Keywords:** *Miscanthus giganteus*; dilute-nitric-acid hydrolysis; two-step process;
18 fermentation; analysis with NMR

1 **1. Introduction**

2 Stimulated by the depletion of fossil-fuel resources and by increasing CO₂ emissions,
3 lignocellulosic biomass may provide an alternative feedstock for fuels and chemicals.
4 The perennial grass *M. giganteus*, native to Southeast Asia, is a promising natural
5 resource due to its high yield per acre and its ability to grow in marginal soil with
6 little water [1; 2]. *M. giganteus* is mainly composed of cellulose, hemicellulose and
7 lignin, as indicated in Fig.1. A typical biomass-to-ethanol process aims to hydrolyze
8 polysaccharide components to sugars using chemical or biochemical methods; the
9 sugars can then be fermented to alcohols [3]. However, hydrolysis is impeded by
10 lignin that blocks access to cellulose fibers. Additional undesirable factors are
11 cellulose crystallinity and low porosity. Thus, it is necessary to pretreat the biomass to
12 make the polysaccharides more accessible to hydrolysis [3; 4].

13 In the previous studies, alkali was used for pretreatment and enzymes to hydrolyze the
14 pretreated solid [57]. Alkali pretreatment can be conducted at mild or even ambient
15 conditions but, regrettably, some of the alkali is converted to irrecoverable salts or
16 incorporated as salts into the biomass [8]. Moreover, pretreatment with alkali may
17 take hours or days, followed by slow enzymatic hydrolysis to sugars.

18 However, acid can penetrate lignin to decompose cellulose and hemicellulose
19 polymers in lignocellulosic biomass. Decomposition releases oligomers or monomeric
20 sugars without using enzymes [9]. While hydrolysis with enzymes requires a few days,
21 minutes are sufficient for acid hydrolysis. Acid processes were used industrially in the

1 1940s during World War II but they were not economically competitive [10]. In recent
2 years, acid hydrolysis has received extensive attention [11-17]. Hydrochloric acid,
3 nitric acid, phosphoric acid and sulfuric acid have been investigated to obtain sugars
4 from biomass [8; 18; 19]. Nguyen et al. reported a two-stage, dilute-sulfuric-acid
5 pretreatment process for tree chips for large-scale applications [13].

6 Dilute nitric acid is a promising choice, because it is long-time compatible with
7 stainless steel and can easily be neutralized with ammonia to produce harmless
8 ammonium nitrate, a nutrient for microorganisms used in subsequent fermentation
9 [20]. While some authors using nitric acid have reported results for a variety of
10 feedstocks [18; 21; 22], no previous attention has been given to using nitric acid for
11 conversion of *M. giganteus* without enzymes.

12 In an acid-hydrolysis process, severe conditions promote digestion of cellulose.
13 However, such conditions cause significant degradation of sugars from hemicellulose.
14 In this work, a two-step dilute-nitric-acid process was investigated. **The primary**
15 **purpose of the first step is to hydrolyze hemicellulose to xylose at mild conditions.** In
16 the second step, **primarily** hydrolyze cellulose to glucose, high temperature was used
17 but only for a short time. The effect of temperature was investigated as well as acid
18 concentration and reaction time to determine favorable conditions for hydrolysis with
19 minimum degradation of sugars.

1 **2. Experimental**

2 **2.1 Materials**

3 **2.1.1 Lignocellulosic feedstock**

4 *M. giganteus* was provided by the Energy Biosciences Institute (EBI), University of
5 Illinois at Urbana-Champaign. A Retsch grinder and a 4-mm sieve produced 4-mm
6 particles. The composition of moisture-free biomass was determined using the
7 analytical procedure recommended by the National Renewable Energy Laboratory
8 (NREL) [23]. By weight, it is 43.1% cellulose, 23.6% hemicellulose, 26.3% lignin,
9 3% ash and 4% extractables (pectins, tannins, and salts), as shown in Fig. 1, adapted
10 from Taherzadeh and Karimi [10].

11 **2.1.2 Reagents**

12 69.4 wt. % nitric acid was purchased from Fisher Scientific (NJ, USA). For chemical
13 analysis, sulfuric acid (95% aqueous solution) was obtained from Acros Organics (NJ,
14 USA). Both were used without further purification. Nanopure water (18.2 M Ω) was
15 used to prepare the solutions and for washing the recovered solid.

16 **2.2 Hydrolysis of biomass using dilute nitric acid**

17 Dried *M. giganteus* and solutions were weighed using analytical balances (Mettler
18 Toledo, Model AB204-S and XS6002S), and then placed into a pressure reactor
19 (18.10 mL) with stirring. The solid-to-liquid ratio was 1:8. The reactor was
20 submerged into a silicone-oil bath at a pre-set temperature. The pre-set temperature
21 was above the desired temperature to allow the reactor and oil bath to reach thermal

1 equilibrium at the desired operating temperature in a short time (3 to 7 minutes). After
2 a predetermined reaction time, the reactor was taken from the oil bath and cooled to
3 70°C using an ice-water bath. For the high-temperature step, a microwave reactor
4 (Milestone, ETHOS EZ) was used to minimize the time for reacting conditions to
5 reach a temperature above 180°C. After cooling, the pulp was filtered to separate the
6 solid from the liquid. The recovered liquid was then analyzed to determine the yields
7 of sugars and degradation products; subsequently, sugars were fermented. The
8 recovered solid was washed several times with Nanopure water until the pH was
9 adjusted to between 6 and 7. A small sample was dried in a 105°C oven overnight to
10 determine its dry weight and composition. The rest of the recovered solid was air-
11 dried prior to the second step where the experimental procedures were similar to those
12 used in the first step.

13 *Fig. 2 shows the proposed two-step process for hydrolysis of *M. giganteus*.*

14 **2.3 Composition analysis for the recovered solid**

15 The composition of the recovered *M. giganteus* was determined using the analytical
16 procedure proposed by the NREL [23]. First, the polysaccharides were hydrolyzed by
17 72 wt. % sulfuric acid and second, by 4 wt. % sulfuric acid. Each sample was
18 analyzed in triplicates.

19 50 mg of dried, ball-milled biomass were put into a glass vial; 0.5 mL of 72 wt. %
20 sulfuric acid was added. The samples were stirred every 15 minutes for one hour to
21 ensure that the biomass was impregnated with acid. 14 mL Nanopure water was added

1 to dilute the sulfuric acid to 4 wt. %. Then, the glass vial was capped and placed into
2 an autoclave reactor (Steris, Amsco Lab 250) for 60 minutes at 121°C. Thereafter, the
3 samples were cooled to normal temperature and then stored in a refrigerator overnight.
4 The liquid was separated from the solid using a glass-microfiber filter (Millipore).
5 The contents of lignin and ash were determined by weighing the recovered solids
6 before and after drying in a 105°C oven as well as ash in a furnace at 575°C. To
7 determine the monosaccharide concentrations, a Shimadzu HPLC was used at 50°C
8 equipped with an Aminex HPX 87H column (300×7.8 mm) and a refractive-index
9 detector. The flow rate of 0.01 N sulfuric acid eluent was 0.6 mL/min. Galactose and
10 mannose could not be separated from xylose and arabinose due to the characteristics
11 of the column. However, because the concentrations of galactose and mannose were
12 only 1-2%, and because their response factors were similar, the error for calculating
13 hemicellulose content was insignificant.

14 Eqs. (1) and (2) quantify cellulose and hemicellulose contents. When calculating the
15 concentration of the polymeric sugars from the concentration of the corresponding
16 monomeric sugars, a correction factor 0.90 (162/180) was used for C6 sugars (glucose,
17 galactose and mannose) and a correction factor 0.88 (132/150) for C5 sugars (xylose
18 and arabinose) as suggested by Sluiter et al. [23].

Cellulose to glucose conversion (%) =

$$19 \frac{\text{Equiv. glucose conc.} \left(\frac{\text{mg}}{\text{mL}} \right) \times \text{hydrolysis volume (mL)} \times 0.90 \times 100}{\text{Cellulose (mg) in the pretreated miscanthus}} \quad (1)$$

Hemicellulose to xylose conversion (%) =

1
$$\frac{\text{Equiv. xylose conc.}(\frac{\text{mg}}{\text{mL}})\times\text{hydrolysis volume (mL)}\times 0.88\times 100}{\text{Hemicellulose (mg)in the pretreated miscanthus}} \quad (2)$$

2 **2.4 Composition analysis for the recovered liquid**

3 The concentrations of degradation products in the liquid were determined by HPLC
4 together with those for the monomeric sugars. In addition, a Dionex HPLC system
5 (ICS 3000, equipped with CarboPac PA200 Carbohydrate Column) was used to obtain
6 concentrations of oligomeric sugars.

7 **2.5 Characterization by NMR**

8 Two-dimensional solution-state nuclear magnetic resonance (2D-NMR) analysis of
9 the solid residue after each step was performed according to a method reported
10 previously [24]. In brief, solid plant residue (300 mg) was ball-milled for 7 hours with
11 an interval of 5 minutes grinding and 5 minutes standing using a Retsch PM 100 mill
12 (Retsch, Germany). Milled material (25 mg) was dissolved in DMSO-d₆/EmimOAc-
13 d₁₄ (0.75 mL/ 10 μL). To analyze the liquid phase after each step, the liquor (1 mL)
14 was rota-vaporated and the remaining solid was dissolved in 0.75 mL DMSO-d₆. The
15 HSQC 2D-NMR spectra were acquired using a Bruker standard pulse sequence
16 ‘hsqcetgpsisp.2’ on a Bruker AVANCE 600 MHz NMR spectrometer equipped with
17 an inverse gradient 5-mm TXI ¹H/¹³C/¹⁵N cryoprobe using parameters previously
18 reported [24]. All spectra were calibrated using the central DMSO-d₆ solvent peak (δ_C
19 39.9 ppm, δ_H 2.49 ppm). The peak integrals were normalized by the signal of an
20 internal standard (0.8 μM 1,3,5-trimethoxybenzene, Sigma-Aldrich, 98%). The NMR
21 data processing and analysis were performed using Bruker’s Topspin 3.1 software.

1 2.6 Fermentation

2 The fermentation at three conditions was conducted to study the effects of inhibitors
3 on ethanol yield: control without inhibitors, control with inhibitors, and hydrolysate.

4 The first control samples only contain sugars; the second controls with inhibitors,
5 contain sugars, some weak acids and furan derivatives, but no phenolic compounds
6 (not detected in this work). Hydrolysate is collected from the dilute-nitric-acid
7 process.

8 For fermentation of the first-step hydrolysate (mainly containing xylose), the yeast
9 strain *Saccharomyces cerevisiae* (*S. cerevisiae*) SR8 was used, kindly supplied by
10 Professor Cate (EBI at Berkeley) [25]. For fermentation of the second-step
11 hydrolysate (mainly containing glucose), *S. cerevisiae* SA-1 (APA2156) was used,
12 kindly supplied Professor Arkin (EBI at Berkeley) [26]. The stock cultures were
13 grown on a petri dish with YPAD solid media at 30°C in an incubator for three days
14 (YPAD solid media: 10 g/L yeast extract, 20 g/L peptone, 20 g/L glucose, 100 mg/L
15 adenine hemisulfate and 20 g/L agar). The biologic colonies were placed in conical
16 tubes filled with 10 mL YPAD liquid media (YPAD solid media without agar) for
17 growing at 30°C and 220 rpm in an incubator shaker (Innova 44) overnight; the cells
18 were then harvested by centrifugation.

19 Fermentation was carried out anaerobically in 100 mL serum bottles. The density of
20 the culture was determined by measuring its optical density at 600 nm (OD600) using
21 a SpectraMax M2. Before the fermentation, the hydrolysate was adjusted to pH 6.0

1 with a small amount of aqueous ammonium hydroxide. 40 mL hydrolysate were then
2 taken into the serum bottle in addition to synthetic anaerobic fermentation media and
3 harvested yeast cells with initial OD600 3.0 (for **first-step hydrolysate** fermentation)
4 and 0.3 (for **second-step hydrolysate** fermentation). Synthetic anaerobic fermentation
5 media without carbon sources contains 1.7 g/L YNB (yeast nitrogen base without
6 amino acids and without ammonium sulfate), 5.0 g/L ammonium sulfate, 21.3 g/L
7 MES buffer (2-(N-morpholino)ethanesulfonic acid), 1.54 g/L CSM, 1 mL/L ergosterol
8 solution and a small amount of KOH to adjust to pH 6.0. 1 mL ergosterol solution was
9 made by 10 mg ergosterol, 393 μ L tween-80 and 607 μ L ethanol. Control experiments
10 with and without inhibitors were performed under the same conditions.

11 During the fermentation, 1.0 mL of fermentation broth was taken for measuring
12 OD600; 200 μ L were centrifuged, filtered and analyzed for ethanol using a Shimadzu
13 HPLC at 55°C equipped with a Rezex RFQ-Fast Acid H column (100 \times 7.8 nm) and a
14 refractive-index detector. The flow rate of 0.01N sulfuric acid eluent was 1.0 mL/min.
15 The fermentation was studied in triplicates. All experimental materials were sterile.

16 **3. Results and discussion**

17 **3.1 First step**

18 The goal of this work was to establish a two-step dilute-nitric-acid process. In the first
19 step, hemicellulose hydrolysis was maximized using 0.5 wt. % nitric acid at a
20 temperature between 120 to 160°C. The reaction time varied from 5 to 40 minutes.

1 **3.1.1 Effects of temperature and reaction time on hydrolysis**

2 Table 1 presents results for the first step at several operating conditions, and gives the
3 percent removal of cellulose, hemicellulose and lignin based on the original contents.

4 There are two independent variables: temperature and time. As shown in Fig. 3, only
5 one variable (temperature or time) is changed for a given run, while maintaining the
6 other constant. In Fig. 3(d), at a fixed temperature (120, 140 or 160°C), increasing
7 reaction time gives only a small gain in the hydrolysis of cellulose. In Fig. 3(e),
8 experimental data show that a high hydrolysis conversion of hemicellulose is
9 accomplished at 140 or 160°C. Fig. 3(b) shows that, when the reaction time exceeds
10 20 minutes, the hydrolysis of hemicellulose does not improve significantly at
11 temperatures above 140°C. Thus, for maximizing hemicellulose hydrolysis, a
12 temperature between 140 to 160°C is preferred. At these temperatures, the effect of
13 reaction time is not significant.

14 In the first step only little lignin, about 20 wt. % based on its original content, is
15 removed as demonstrated in Figs. 3(c) and 3(f). A higher temperature (160°C) and a
16 longer reaction time (30minutes) do not appear to enhance lignin removal.

17 In summary, for the first step, the yield of sugars derived from hemicellulose is
18 favorable at 140°C for 20 to 40 minutes or at 160°C for 5 to 30 minutes. Within these
19 ranges, the hydrolysis of hemicellulose is not sensitive to reaction time.

20 Table 2 and Fig. 4 show small concentrations of byproducts in the liquid, obtained by
21 HPLC. Furfural originates from xylose and other five-carbon sugars, while acetic acid

1 comes from hydrolysis of the acetyl groups in hemicellulose, as shown in Fig. 1.
2 In Fig. 4(a) the xylose concentration in the liquid increases with rising reaction time
3 and temperature, achieving a maximum at 140°C and 40 minutes. At 160°C, however,
4 the concentration of xylose is largest at 5 minutes and then gradually declines as
5 reaction time increases. The concentration of furfural in the liquid increases with
6 reaction time at 160°C as shown in Fig. 4(c), indicating that at high temperature,
7 undesired conversion of xylose into furfural and other products is significant only at
8 long reaction times.

9 **3.1.2 Preliminary optimization of the first step**

10 Tables 1-2 and Figs. 3-4 show analyses for recovered solid and liquid from the first
11 step. Compositions of the recovered solid and the liquid show significant differences
12 as process conditions change. To obtain best conditions for the first step, the
13 combined severity factor (CSF) is used to determine the effects of temperature, acid
14 concentration and reaction time [27]. CSF gives a rough estimate of the relative
15 severity of reaction conditions. CSF is defined by:

$$16 \text{CSF} = \log R_0 - pH \quad (3)$$

17 where

$$R_0 = t \cdot \exp[(T_r - T_0)/14.75]$$

18 where t is the reaction time in minutes; T_r is the reaction temperature in degrees
19 Celsius; T_0 is the reference temperature, 100°C; the pH of the solution is measured by
20 a pH meter (Mettler-Toledo, SevenCompact™ pH/Ion S220) before the reaction

1 begins. Table 1 shows CSF and pH used here.

2 For dilute-nitric-acid hydrolysis in the first step, CSF ranges from 0.44 to 2.09. Fig.

3 5(a) shows the trend of cellulose, hemicellulose and lignin removal based on solid-

4 phase analysis. Removal of hemicellulose increases only slightly when CSF rises to

5 above 1.5. Hemicellulose can almost be completely removed and solubilized in the

6 liquid under severe reaction condition (CSF above 2.09), whereas, at best, only 23%

7 cellulose is dissolved in the liquid.

8 Fig. 5(b) shows that the concentration of xylose in the liquid increases slightly when

9 CSF rises from 0.44 to 1.63, but falls when CSF rises from 1.63 to 2.09. In the first

10 step, the maximum concentration of xylose is 21.2 mg/mL when the operating

11 temperature is 140°C and the reaction time is 40 minutes (CSF 1.63). For sugar-cane

12 bagasse, Rodriguez-Chong et al. obtained an optimal 18.6 mg/mL at 122°C after 9.3

13 minutes using 6% nitric acid when the liquid-to-solid ratio is 10 [18]. Degradation to

14 acetic acid and furfural rises as process conditions become more severe. The acetic

15 acid concentration is 2.5 mg/mL at 140°C after 10 minutes and then rises to 4.0

16 mg/mL at 160°C after 20 minutes, while the concentration of furfural rises from 0 to

17 4.3 mg/mL. Degradation to furfural increases almost linearly as CSF rises from 1.5 to

18 2.1 because at these conditions, almost all hemicellulose is removed into the liquid

19 phase in a short time.

20 Optimization of biomass hydrolysis requires a compromise: maximize hydrolysis of

21 hemicellulose, but minimize production of degradation products. The data suggest

1 that for the first step, 140°C for 20 minutes or 160°C for 5 minutes are optimal.

2 Samples treated under these conditions were selected for further treatment in a second
3 step.

4 A material balance for first step is given in the Supplementary Material (SM-1).

5 **3.2 Second step**

6 In the second step, the biomass originating from the first step was treated with 0.5 or
7 0.75 wt. % nitric acid at more severe conditions to hydrolyze cellulose to glucose.

8 Sixteen operating conditions were studied. Temperatures varied from 180 to 210°C
9 and reaction times from 1 to 6 minutes.

10 Results are summarized in Table 3. Similar to step 1, it was observed that how the
11 composition of the recovered solid varies with CSF, as shown in Fig. 6. The overall
12 removal of cellulose increases slightly to a maximum when CSF is 2.12 and then
13 declines when CSF increases further. Hydrolysis of cellulose above 195°C is
14 promising. For further analysis, the composition of the liquid phase was shown in
15 Table 4 and Fig. 6(b). In the second step, 53% of the cellulose is removed into the
16 liquid, resulting in an overall removal of 58% in the two steps combined. For the
17 second step, the theoretical recovery of cellulose is 41%; the experimental recovery is
18 40%, as shown in Supplementary Material (SM-2). For comparison, for softwoods,
19 Nguyen et al. obtained a theoretical yield of 38% for glucose using 2.5 % sulfuric acid
20 at 210°C for 2 minutes (CSF = 3.03) [13].

1 **3.3 Preliminary optimization of the two-step dilute-nitric-acid process**

2 Fig. 2 shows the proposed two-step process for hydrolysis of *M. giganteus*. Using 0.5
3 wt. % nitric acid for the second step following a first step at 140°C, results are better
4 than those following a first step at 160°C. This result is not surprising because when
5 the first step is at 160°C, the solid may become more recalcitrant, requiring a more
6 severe condition for the second step.

7 Maximum glucose is obtained at 195°C after 3 minutes using 0.5 wt. % nitric acid in
8 the second step. The analysis suggests that 140°C for 20 minutes using 0.5 wt. %
9 nitric acid is the best operating condition for the first step.

10 Hydrolysis of lignocellulosic biomass at a more severe condition enhances yields but
11 increases production of degradation products.

12 **3.4 Characterization of the recovered solids and liquids by NMR**

13 Under the conditions studied here, the apparent optimum process uses 0.5 wt. % nitric
14 acid to treat biomass at 140°C for 20 minutes or at 160°C for 5 minutes in the first
15 step followed by a treatment of the recovered solid residue at 195°C for 3 minutes
16 with the same concentration nitric acid in the second step. The resulting recovered
17 solid residues and the aqueous liquor after each step were characterized using
18 solution-state 2D-NMR spectroscopy toward understanding the structural
19 compositional changes of the material. Comparison of the 2D-HSQC NMR spectra
20 between non-treated *M. giganteus* with those for recovered solid after each step is
21 shown in Supplementary Material (SM-3 and SM-4). The peak assignments were

1 based on previous data [24; 28].

2 For the recovered solids, the contour integrals of the α peaks of lignin side-chains

3 show that the β -O-4' linked aryl ether linkage (A) is reduced by 39% and 5-5'/4-O- β '

4 linked dibenzodioxocin (D) is reduced by 90% after the first step compared to non-

5 pretreated *M. giganteus*. However, β -5' linked phenylcoumaran (B) and β - β ' linked

6 resinol (C) are enriched. As Fig. 7(a) shows, the cleavages of lignin-side chains

7 become more apparent when a higher temperature (160°C) is used in the first step.

8 After the second step at 195°C, nearly all aryl ether bonds are cleaved in the solid

9 residue. However, the contents of phenylcoumaran and resinol remain similar to those

10 in the original biomass, suggesting that the hydrolysis may also cause lignin

11 condensation. In addition, the 2D-NMR spectra show that ferulate (FA) units and

12 arabinosyl side-chain of hemicellulose are simultaneously removed in the first step,

13 while *p*-coumaric acid (*p*CA) lignin units seem resistant to this step as well as to the

14 second step. Syringyl (S) lignin units are more resistant to the first step of 140°C and

15 20 minutes than guaiacyl (G) units (Fig. 7b). When 160°C is used in the first step, the

16 content of S and G in the recovered solid decrease significantly. Fig. 7(b) shows that

17 these lignin units are reduced further by the second step at higher temperature. The

18 total amount of lignin can be reduced by 65% by two-step 0.5 wt. % nitric acid

19 hydrolysis (first step: 140°C for 20 minutes and second step: 195°C for 3 minutes).

20 Lignin quantified here by 2D-NMR here only takes those structures into account that

21 can be found in native lignin. Additionally, condensed lignin or other hitherto

1 unknown lignin derivatives during hydrolysis were not taken into account with the
2 2D-NMR analysis, but might be obtained by Klason lignin measurements.

3 The first step at 140°C for 20 minutes removes more than 50% of the hemicellulose,
4 mainly arabinoxylan. Increasing the temperature of the first step raises removal of
5 hemicellulose (Fig. 7c). The second step at 195°C for 3 minutes further removes
6 hemicellulose as well as cellulose. 140°C for 20 minutes for the first step and 195°C
7 for 3 minutes for the second step with 0.5 wt. % nitric acid, hydrolyzes nearly 50% of
8 the cellulose into glucose after the second step. However, degradation products of
9 glucose such as HMF seems to increase at 140°C rather than at 160°C according to
10 the 2D-NMR spectra of the liquid phase (SM-5 and SM-6). However, less cellulose
11 can be hydrolyzed using 160°C in the first step (Fig. 7c), probably because the higher
12 temperature in the first step changes cellulose morphology and hence makes it more
13 resistant to deconstruction. The liquor from each step appears to lack any lignin
14 compounds. Very few oligosaccharides of xylose or glucose were observed.
15 Following pH adjustment, the liquor can be fermented.

16 **3.5 Fermentation**

17 **For fermentation of first-step hydrolysate, the sample** collected after the first step
18 using 0.5% nitric acid at 140 °C for 20 minutes was neutralized with aqueous
19 ammonia hydroxide and fermented by the yeast strain *S. cerevisiae* SR8 with initial
20 OD600 3.0, as shown in Figs. 8 and SM-7. Also fermented were controls with xylose
21 and the same amount inhibitors detected in the hydrolysate: 20.9 mg/mL xylose, 3.5

1 mg/mL acetic acid, 0.2 mg/mL HMF and 0.5 mg/mL furfural. In the control samples
2 without inhibitors, 96 hours were required to ferment xylose; the ethanol yield was
3 0.45 g/g xylose (theoretical ethanol yield is 0.46 g/g xylose) [29]. **Because the**
4 **hydrolysate contains some glucose, it is assumed that all of the glucose is converted to**
5 **ethanol in theoretical yield.** In the hydrolysate and the control with inhibitors, 120
6 hours were required for fermentation; the ethanol yields are **0.41 g/g xylose and 0.44**
7 **g/g xylose, respectively.** Furfural and HMF were reduced to furfuryl alcohol and 2,5-
8 furandimethanol during the first 12 hours [30].
9 **For fermentation of second-step hydrolysate, sample** was collected after the second
10 step using 0.5 % nitric acid at 195°C for 3 minutes. Fermentation was performed with
11 the yeast strain *S. cerevisiae* SA-1 with initial OD600 of 0.3. Controls with glucose
12 and inhibitors contained 31.5 mg/mL glucose, 0.5 mg/mL formic acid, 1.0 mg/mL
13 acetic acid, 1.5 mg/mL levulnic acid, 1.6 mg/mL HMF and 1.3 mg/mL furfural. As
14 shown in Figs. 9 and SM-8, 24 hours were required to reduce furfural and 31 hours to
15 reduce HMF. Furfural was reduced more rapidly than HMF. **Because the hydrolysate**
16 **contains some xylose, it is assumed that all of the xylose is converted to ethanol in**
17 **theoretical yield.** Ethanol yields for the control without inhibitors, for control with
18 inhibitors, and for hydrolysate samples were 0.49 g/g glucose, 0.47 g/g glucose and
19 **0.46 g/g glucose** (theoretical ethanol yield is 0.51 g/g glucose), respectively.
20 Results from control samples with inhibitors are similar to those from hydrolysate,
21 indicating that any potential phenolic byproducts produced in the nitric-acid

1 hydrolysis process (not detected in this work) do not affect the fermentation. The
2 ethanol yields for control samples without inhibitors are almost the same as those for
3 hydrolysate, suggesting that weak acids and furan derivatives do not influence the
4 ethanol yield. However, these byproducts reduce the growth rates of the yeasts and the
5 initial rate of ethanol production.

6 **4 Conclusions**

7 A two-step, dilute-nitric-acid process was investigated to hydrolyze hemicellulose and
8 cellulose in *M. giganteus* to sugars that are subsequently fermented to bioethanol. In
9 the two-step process, no enzymes were used. In the first step at 140°C for 20 minutes,
10 88% hemicellulose is removed into the liquid and 61% of original hemicellulose is
11 converted to xylose. In the second step at 195°C for 3 minutes, 53% cellulose is
12 removed into the liquid and 40% of original cellulose is converted to glucose. Overall
13 for the two steps combined, 58% cellulose and nearly all hemicellulose are removed;
14 47% of original total hemicellulose and cellulose are converted to sugars. Xylose and
15 glucose are fermented separately using different yeasts. Fermentation data with
16 controls show that byproducts do not lower the ethanol yield, but reduce the initial
17 rate of fermentation. Based on dissolved cellulose and hemicellulose, overall ethanol
18 yield is close to the theoretical yield.

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