

1 **Scottish lobster fisheries and environmental variability**

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8

9 **Abstract**

10 Variability in the fluctuations of two Scottish lobster populations, the Hebrides and  
11 Southeast, was investigated from available long data series of fishery and environmental  
12 variables. In a multivariate context, relationships between selected environmental variables  
13 and the fishery data were studied at different spatial and temporal (annual, spring and  
14 autumn) scales and from individual and overall sampled fleet. Multivariate techniques such  
15 as cross correlation function (CCF), principal components analysis (PCA) and redundancy  
16 analysis (RDA) confirmed that the capture of lobsters was strongly influenced by sea  
17 surface temperature (SST), wind speed (WS), and sea level pressure (SLP) throughout the  
18 year, and this dependence affected the duration of the fishery. There were evident  
19 differences in the patterns of environmental variables for both regions. In the Hebrides, the  
20 total variation (42%) of the interaction fishery-environmental variables for the spring and  
21 autumn fisheries could be attributed to the environmental variables in an 89%. For the  
22 Southeast, the spring fishery was more affected by changes in the environment, with a total  
23 variation of 34%, from which 85% could be explained by the environmental variables  
24 tested, than the autumn fishery where catches and catch rates may be more affected by the  
25 way individual vessel and sampled fleet operate. Two elements were identified, Hebrides  
26 and Southeast spring and autumn fisheries. The Hebrides lobster population is strongly  
27 influenced by density-independence processes at all spatial scales. The Southeast fishery is  
28 also driven by environmental processes, with higher correlations for recruits with  
29 differences at small and large spatial scales

30 Keywords: *Homarus gammarus* fisheries, redundancy analysis, environmental factors.

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32

### 33 **Introduction**

34 Fluctuations in lobster abundance may occur as a consequence of the combination of  
35 environmental and fishery-related processes. This study attempts to account for sources of  
36 variation and highlight the most influential factors that might allow fishery managers to  
37 acquire the best tools for achieving a sustainable fishery. The mechanisms involved in any  
38 fishery have implications for the whole population under exploitation. For example, the  
39 success of trap-based fisheries, like the homarid lobster fisheries, strongly depends on the  
40 behaviour of the target species, trap efficiency and oceanographic conditions of the region  
41 (Miller, 1990; Addison, 1995; Fogarty and Addison, 1997; Tremblay and Smith, 2001;  
42 Watson and Jury, 2013). In homarid lobsters, sea temperature influences behaviour and  
43 availability to traps over the short term (McCleese and Wildner, 1958). Researchers have  
44 found a strong correlation between long term catch rates of the American lobster (more  
45 than 50 years of data) and sea surface temperature at the largest spatial scales, with lags of  
46 0-3 yrs, and it has also been suggested that wind-driven temperature variability can directly  
47 affect catch rates in offshore localities (Comeau and Drinkwater, 1997; Drinkwater 1994).  
48 Other results have found that temperature changes are due to alongshore winds forcing  
49 upwelling or downwelling (Drinkwater et al., 2006).

50 On the other hand, wind speed and direction is important for the transport of larvae directly  
51 affecting recruitment processes in pelagic (Borges et al, 2003) and benthic (Wegner et al,  
52 2003) fish in eastern Atlantic waters. Investigation on the importance of wind on  
53 fluctuations of crustacean populations is desired.

54 Comparative studies of wind and catch rates of the European lobster, *Homarus gammarus*,  
55 in Scottish waters are lacking. However, for Scottish lobster fisheries, authors (Shelton et  
56 al., 1978) have demonstrated differences between spring and autumn in the Southeast of  
57 Scotland, related to moulting and recruitment, but the effects of this process on fisheries  
58 may differ between areas at different geographic scales. Differences in lobster size at  
59 maturity and fecundity (Lizárraga-Cubedo et al., 2003) and population size structure  
60 (Kinneir, 1988; Mill et al, 2009) have been identified between the Hebrides and Southeast,  
61 and it is suggested such discrepancies may occur as a response to the local conditions and  
62 fishing strategies. From this information some questions can be addressed; are wind and sea  
63 temperature the most important environmental factors affecting the fluctuations of lobster  
64 abundance, if so how do they contribute to the total variation in catch rates, and are there  
65 any temporal and spatial differences? The environmental influences on the fishery will be  
66 investigated by addressing these questions.

67 It is acknowledged that catches alone may not necessarily reflect lobster abundance at the  
68 intermediate and small spatial scales (Koeller, 1999; Watson and Jury, 2013). Therefore,  
69 the relative abundance index (CPUE) may not be truly representative of the abundance of  
70 the population under exploitation (Addison, 1995; 1997; Fogarty and Addison, 1997; Jury  
71 et al, 2001) suggesting that more work on this field should be undertaken. This leads us to  
72 inspect how all the fishery components respond to environmental conditions and also what  
73 elements of the fishery are more relevant for stock assessment purposes.

74 In a fishery context, knowledge of the pre-recruit and recruit components is of great  
75 importance. These components are seldom compared with environmental conditions, hence  
76 it would be important to assess: 1) how the pre-recruit (undersized lobsters) and post-recruit

77 (legal size lobsters) components relate to fishing effort and environmental elements at  
78 different temporal and spatial scales; and 2) how do these components relate to catch rates.

79 Individual series of fishery and environmental data may not necessarily present significant  
80 correlation owing to time lags, which may discourage further statistical analysis. However,  
81 a more careful analysis of long time series or short time series at different spatial scales  
82 may reveal the underlying relationships.

83 In this paper, we explore the relationships between the elements involved in the European  
84 lobster fishery (fishing effort, catch, and catch rates of undersized and legal size lobsters)  
85 and environmental data for two Scottish stocks, the Hebrides and Southeast, between 1983-  
86 1997. We also analyse the relationships between variables at smaller (data from individual  
87 vessel) and larger (overall sampled fleet) spatial scales (Table 1). The auto-correlation  
88 function (ACF) and cross-correlation function (CCF) are used to analyse individual data  
89 series and to explore relationships between two pairs of variables, respectively. In addition,  
90 two dimension reduction techniques, principal components analysis (PCA) and redundancy  
91 analysis (RDA), are applied to investigate the data in a multivariate context. As well as  
92 analyses on an annual basis, the data were partitioned into two seasonal (spring and  
93 autumn) components to investigate changes of the variables studied on three different  
94 temporal bases.

95

96 **Materials and Methods**

97 *Fisheries*

98 Fisheries data were obtained from Marine Scotland Science, Marine Laboratory Aberdeen,  
99 in the form of voluntary logbooks completed by selected fishermen. Data from the overall  
100 sampled fleet ( $f_{sf}$ ), aggregated on a weekly basis, include a discontinuous series of catch in  
101 numbers of legal size lobsters ( $L_{sf}$ ) and discarded (undersized,  $S_{sf}$ ) lobsters, and fishing  
102 effort (creels lifted,  $f_{sf}$ ), for the period 1970-1996 for the Hebrides and 1963-97 for the  
103 Southeast of Scotland (Fig. 1). From these data, two relative abundance indices or catch  
104 rates were calculated: the legal lobster catch rate,  $U_{L_{sf}}$  (legal lobsters per 100 creels lifted),  
105 and the undersized lobster catch rate,  $U_{S_{sf}}$  (undersized lobsters per 100 creels lifted). Total  
106 weight of annual, spring and autumn commercial landings data (TL) were obtained from  
107 Scottish Sea Fisheries Statistical Tables for the longest period available of 1981-97. The  
108 number of vessels contributing to the data varied between years and areas. Therefore, for a  
109 better understanding of the fishery data structure, the vessel with the longest continuous  
110 series available was chosen from each area (from 1983-93 for the Hebrides and from 1985-  
111 97 for the Southeast). The individual vessel information ( $i_v$ ), included catch in numbers of  
112 legal lobsters ( $L_{i_v}$ ), discarded lobsters ( $S_{i_v}$ ), fishing effort ( $f_{i_v}$ ), legal lobster catch rate ( $U_{L_{i_v}}$ ),  
113 and undersized lobster catch rate ( $U_{S_{i_v}}$ ). For comparative purposes, fishery data from  
114 all the sampled vessels, individual vessels and total landings were confined to the periods  
115 1983-93 for the Hebrides and 1985-97 for the Southeast.

116 The Hebrides lobster fishery extends over an area of 26,500 km<sup>2</sup> (56.5-59°N and 6-9°W),  
117 containing 8 ICES rectangles. The fishery in the Southeast covers an area of approximately  
118 11,500 km<sup>2</sup> (55.5-56.5°N and 1-4°W) and contains about 3.5 ICES rectangles. Although all

119 the sampled vessels contributed with fishery statistics for the area specified for each region  
120 (Hebrides and Southeast), individual vessels fished in a more reduced range. The individual  
121 vessel in the Southeast fished in an area of about 370 km<sup>2</sup> per year, mainly along the  
122 coastline, and activity was focused on very confined fishing grounds (Fig. 1a). The  
123 individual vessel in the Hebrides covered an average area of approximately 740 km<sup>2</sup> per  
124 year, specifically performing its fishing in two ICES rectangles of the Outer Hebrides (data  
125 from 1990-93, Fig. 1b). It is assumed that data from overall sampled fleet represent  
126 processes at larger spatial scale than information from individual vessels.

127 Regulation in the fishery during the study period has been limited to specification of a  
128 minimum landing size (MLS). During this time there have been three different MLSs. Prior  
129 to 1984 the MLS was 80mm carapace length (CL), 83mm CL from 1984-92, 85mm CL  
130 from 1993-97 (data for the studied period) and currently of 87mm CL. There has been no  
131 effort limit, closed season or protection of berried females (although in the Hebrides a  
132 programme of V-notching started in September 2000, after the period considered in this  
133 study, Mill et al, 2009).

134 Other fishery management measures have been inspected recently by the Scottish  
135 Government (2012) such as a reduction of number of creels deployed by fishermen and the  
136 introduction of quotas. These measures could apply to a mix creel fishery composed by  
137 catches of velvet crabs, edible crabs and lobsters, from which lobsters have shown to be the  
138 most valuable resource reaching its maximum value of £13.2 million in 2011 and this trend  
139 has been increasing since 2002.

140 In the Hebrides, the duration (length) of the fishing season is dictated by the local weather  
141 conditions. Normally, the fishery starts from April or May, and ends in October-November.

142 In the Southeast, the weather conditions are more favourable for fishing for the whole year.  
143 This fishery can be divided into two components, the spring or pre-moult season and the  
144 summer or post-moult season. From March-May the sea temperature starts increasing and  
145 lobsters feed actively, and this is reflected in increasing catch rates. Fishing declines and  
146 lobster activity decreases from June-July (Shelton et al., 1978). In the summer (August-  
147 October) recruits join the fishable stock after moulting and catch rates increase to their  
148 highest levels (Thomas, 1958, Shelton et al., 1978; Schmalenbach and Buchholdz 2013).  
149 Based on this seasonal pattern, analysis of the fishery data from each area has been carried  
150 out for annual, spring (March-May) and autumn (August-October) time series.

#### 151 *Environmental variables*

152 Time series of sea surface temperature (SST), air temperature (AT), sea level pressure  
153 (SLP), and wind speed (WS), for the Hebrides and Southeast were acquired from the  
154 COADS (Comprehensive Ocean-Atmosphere Data Set) web site <http://www.cdc.noaa.gov/coads/> for  
155 the period 1960-97. The spatial coverage of the data was the same as for the fishery data.  
156 These environmental variables were chosen as those likely to influence fisheries at a  
157 relatively small spatial scale (areas about 11,500 km<sup>2</sup> to 26,500 km<sup>2</sup>). Sea surface  
158 temperature may affect changes in lobster behaviour and catchability (McCleese and  
159 Wildner, 1958; Tremblay and Drinkwater, 1997; Schmalenbach and Buchholdz 2013;  
160 Watson and Jury 2013; Green et al., 2014). Sea level pressure, the interaction between air  
161 and sea temperature, and wind speed may help to describe valuable meteorological  
162 information for the lobster fishery and highlight differences between sites, and therefore  
163 they were selected as explanatory variables for this investigation.



164 To eliminate seasonal patterns in the data, the annual, spring and autumn arithmetic mean  
165 estimates of SST, AT, SLP and WS were obtained.

166 *Interactions between variables*

167 Patterns in individual exploratory variables were visually inspected, with further  
168 exploration of the temporal patterns of individual variables carried out with the auto-  
169 correlation function (ACF). The ACF was applied to the environmental data series (38  
170 years, 1960-97) and was also used for preliminary investigation of the short fishery data  
171 series, as well as short environmental data series (Hebrides 11 years and Southeast 13  
172 years). To detect relationships between the series, the cross-correlation function (CCF) was  
173 used.

174 Further data exploration was carried out with multivariate techniques as implemented in the  
175 statistical program Brodgar (2014, [www.brodgar.com](http://www.brodgar.com) Highland Statistics Ltd.). Initial  
176 investigations suggested that the relationships were likely to be linear, and therefore  
177 Principal components analysis (PCA) and Redundancy Analysis (RDA), were applied to  
178 the fishery and environmental data from both areas to highlight the most important  
179 gradients (Blackith and Reyment, 1971; Kshirsagar, 1972; Gauch, 1982; ter Braak, 1987).  
180 PCA and RDA have been previously used to detect species-environmental relationships in  
181 ecological data (Depczynski et al., 2009) as well as for fisheries data (Hamon, 2009;  
182 Suprenand et al., 2014) and further technical descriptions can be found in Zuur (1999) and  
183 Ieno (2000).

184

185 **Results**

186 *Data structure*

187 Initial inspection of the environmental data highlighted differences in the environmental  
188 conditions between areas. Sea surface temperature and air temperature were warmer for the  
189 Hebrides, especially in spring. Autumn sea surface temperature was higher by about 6 °C at  
190 both sites. Wind speed was also higher in the Hebrides with an increasing trend over time  
191 for the period of 1960-97. In contrast, sea level pressure was higher for the Southeast (Fig.  
192 2).

193 The results of the ACF analyses are given in the appendix (Tables i and ii). ACF analysis  
194 showed that the mean annual data of SST, AT and WS showed significant auto-correlation  
195 for over the period 1960-97, with time lags of +1 to +6 yrs. Annual data over the shorter  
196 time scales were mostly not significantly auto-correlated. In the Hebrides, the fishery  
197 variables were mostly positively auto-correlated at a lag time of +1 year, and only  $f_{sf}$  and  $U$   
198  $L_{sf}$  were significantly auto-correlated in the three series (spring, autumn and annual). For  
199 the Southeast fishery variables, significant auto-correlation at time lags of between +1 and  
200 +5 years, were obtained only for the annual and autumn series. These results encouraged  
201 further investigation of interactions between individual series.

202 *Investigating relationships between variables*

203 The CCF analysis suggested possible relationships between environmental and fishery  
204 variables. Relationships between all fishery variables were also obtained, although  
205 emphasis was made to the most significant correlations. Significant relationships were  
206 observed in the comparisons of the correlation between the different data series suggesting  
207 temporal changes. Full details of the significant correlations are provided in Tables iii, iv

208 and v of the appendix. For a better interpretation of the results, only the significant  
209 relationships between environmental and catch rates annual, spring and autumn data were  
210 included for both areas (Table iii and iv).

211 In the Hebrides, significant correlations were obtained between the catch rates and the  
212 tested environmental variables on annual, spring and autumn bases and these were more  
213 significant for the legal sized lobsters.

214 In spring, at large spatial scale SST and AT were positively correlated to total landings but  
215 negatively correlated with  $U L_{sf}$  at lag time of 0 years.

216 In autumn, wind speed and sea level pressure presented the strongest correlations with  
217 catch rates of both legal and undersized lobsters for both the sampled fleet and individual  
218 vessel (Table iii).

219 Annual series of all environmental variables were highly correlated to the catch rates of all  
220 lobsters only at large spatial scale.

221 In the Southeast, catch rates and total landings were significantly correlated with the  
222 environmental variables at all spatial scales in spring and autumn, whilst annually, the  
223 relationships were significant at small spatial scale (Table iv). The spring time series  
224 showed negative correlations with SLP, WS and AT. In autumn, TL and catch rates were  
225 correlated with SST and AT (lagged between +2 to +4 yrs). Annually,  $U S_{iv}$  showed a  
226 positive correlation with SST and AT, whilst the  $U L_{iv}$  was negatively correlated with AT  
227 with a 3 year lag.

228 The correlation between fishery variables was highly significant for the Hebrides data  
229 series and moderately significant for the Southeast. For both areas, the CCF analysis  
230 identified fishing effort as a significant correlate with most of the other fishery variables.

231

232 *Multivariate analysis: relationships between variables*

233 Adopting a multivariate approach, RDA revealed the relationships between environmental  
234 and fishery variables for each of the time series (Figs.3-5).

235

236 The Hebrides fishery

237 In the Hebrides, significant correlations were obtained between the catches and catch rates  
238 and the tested environmental variables at any time of the year. In spring, sea surface  
239 temperature is the most significant variable and most noticeable at larger spatial scale (Fig.  
240 3a). This is reflected in the high catches of undersized and legal lobsters obtained,  
241 corresponding to a high fishing effort, hence indicating a significant and negative  
242 relationship with catch rates.

243 In autumn, the short arrows of the triplot showed that environmental conditions have less  
244 significant impact (interaction) on the fishery. In contrast, fishery variables are highly  
245 correlated at large and small spatial scale (Fig. 3b).

246 Annually, total landings seemed to be strongly influenced by the environmental conditions,  
247 and this also coincided with the catches of legal sized lobsters of the sampled fleet. Annual  
248 data series of wind speed showed to be the most important variable at large spatial scale  
249 (Fig. 5a).

250

251 The Southeast fishery

252 The spring fishery varied spatially, with differences between the sampled fleet and the  
253 individual vessel selected. There were strong and positive relationships between fishing

254 effort, pre-recruits and recruits at each spatial scale. Sea level pressure was highly  
255 correlated with the fishery data at large spatial scale, whereas wind speed was found to be  
256 correlated to fishery data at small spatial scale. (Fig. 4a).

257 In the autumn, wind speed was the environmental variable with the highest, and positive,  
258 correlation with fishery data, although the relationship between fishing effort, legal and  
259 undersized lobsters varied at small and large spatial scale (Fig. 4b).

260 The analysis of the annual data series showed that for this fishery both, air and sea surface  
261 temperature strongly correlate with the data series of catches of recruits and pre-recruits, at  
262 small and large spatial scale (Fig. 5b).

263

#### 264 *Multivariate analysis: quantifying variability*

265 In the Hebrides, based on PCA, the proportion of variability explained by the interaction  
266 between fishery variables was greater (71.24%) for the autumn and annual (71.13%) time  
267 series than the spring (63.55%). In the Southeast, less variability was explained, and while  
268 the greatest proportion was also explained in the autumn (62.14%), the proportion  
269 explained for the spring series (57.47%) was greater than for the annual data  
270 (52.42%)(Table 2). From the redundancy analysis, in the Hebrides, the explanatory  
271 variables account for the 88.99% of the variance in autumn, and 84.77% in spring. For the  
272 Southeast, the environmental variables account for more of the variance in spring (84.81%)  
273 than in autumn (69.38%). The RDA analysis, with the four explanatory variables studied,  
274 best described the processes that contribute with most of the variation in the fisheries of  
275 both areas.

276

277 **Discussion**

278 The long annual time series (1960-97) of environmental variables were different between  
279 areas with marked differences in the trends of the three temporal components, spring,  
280 autumn and annual. Overall, sea surface temperature and air temperature were higher in the  
281 Hebrides and also stronger wind speed was observed. These long annual time series were  
282 mostly auto-correlated, while the shorter series (1983-97) were generally not. The lack of  
283 auto-correlation of the short annual time series may be indicative of widely disperse data  
284 points or abrupt changes in the patterns over time as for the sea level pressure and wind  
285 speed (Fig. 2).

286 The analysis of the fishery data indicated that fishery processes are markedly different  
287 between geographic areas and at different spatial scales. Both fisheries are strongly  
288 influenced by the oceanographic conditions with wind speed, sea surface temperature and  
289 air temperature contributing with relevant information in the interactions.

290 Data series of exploratory and explanatory variables at three temporal scales revealed that  
291 fisheries of the Hebrides and Southeast can be divided into two component fisheries, spring  
292 and autumn and this was supported by the CCF, PCA and RDA analyses.

293 For the Hebrides fishery, the information obtained from the sampled fleet represented the  
294 fishery processes at larger spatial scale whilst the individual vessel fished over a reduced  
295 area (Table v). The analysis of the spring fishery indicated sea surface temperature as a  
296 highly relevant variable with significant correlation with the catch rates over the whole  
297 area. High catch rates were inversely correlated to high fishing effort and high catches.

298 In autumn, although there were weaker relationships between the environmental conditions  
299 and catch rates, wind speed was significant. Catch rates were highly correlated at small and

300 large spatial scales ( $f$ , L and S), as depicted by the arrows of the triplots (Fig. 4b).  
301 Annually, wind speed seemed to be important for this fishery for legal lobsters at larger  
302 spatial scale. The PCA indicated the proportion of variability explained by the interaction  
303 between fishery variables was greater for the autumn time series and the RDA also  
304 accounted for a higher variance in autumn.

305 Similar to the Hebrides fishery, in the Southeast the information obtained from the sampled  
306 fleet represented the fishery processes at larger spatial scale whilst the individual vessel  
307 fished over a reduced area but there were marked differences between data series (Table v).  
308 In spring, all the environmental variables tested were correlated with the fishery data at  
309 both spatial scales (Fig. 4a). However, pre-recruits were found to be more correlated to  
310 environmental variables than legal lobsters as this was shown in the triplots with longer and  
311 positively correlated closer arrows (Fig. 4a).

312 In contrast, in autumn wind speed was highly correlated to the fishery data at both spatial  
313 scales but this was only revealed by the RDA analysis (Fig. 4b). For the autumn fishery the  
314 triplots showed undersized lobsters to be more correlated to environmental variables at the  
315 small spatial scale than legal lobsters.

316 The analysis of the annual data series showed that for this fishery both, air and sea surface  
317 temperature, are the prevailing conditions that strongly correlate with the data series of  
318 catches of recruits and pre-recruits, at small and large spatial scale (Fig. 5a-b).

319 As a comparison, and derived from the RDA analysis, less variability could be explained by  
320 the interaction between the environmental and fisheries variables for the Southeast, with the  
321 greatest variation being explained in the autumn but environmental variables explained  
322 greater variance of the relationships in spring (84.81%).

323 The RDA analysis, with the four explanatory variables studied, best described the processes  
324 that contribute with most of the variation in the fisheries of both areas.

325 Although there was a lack of correlation between sea level pressure and wind speed there is  
326 close relation between them product of a wind formation on gradients of sea level pressure  
327 (Parker, 1989). Stronger winds were observed in the Hebrides in spring and autumn whilst  
328 sea level pressure was higher for the Southeast, suggesting weather conditions vary  
329 between areas.

330 In the Hebrides the influence of more regional scale events of temperature in spring,  
331 including the incursion of air masses to the area seems to affect directly the lobster fishery.  
332 For the Southeast in autumn, local events of wind speed have repercussions on the fishery  
333 success, specially affecting the deployment of creels. These events could also produce  
334 strong vertical mixing of the water column which enhance and/or inhibit the growth of  
335 some species of phytoplankton that are the main source of food for the early stages of  
336 crustacean larvae (Zheng and Kruse, 2000). In addition, turbid or dull conditions can  
337 enhance adult lobster activity (Smith et al., 1999) and strong water flow near the seabed can  
338 weakened juvenile lobster mobility (Howard and Nunny, 1983).

339 Previous to this investigation, Shelton et al. (1978) demonstrated the importance of  
340 partitioning the fishery elements into two main fisheries, spring and autumn. The biological  
341 interpretation relies on the fact that lobsters increase their activity, hence increase  
342 catchability and availability to traps, in spring as a response to increasing temperature.  
343 When lobsters start moulting, from June-July (Thomas, 1958), the fishery ceases or stops  
344 only to start again in August when all the recruits have incorporated to the exploitable



345 stock. Although the autumn fishery component is important, the influence of environmental  
346 variables decreases.

347 In spring, however, pre-recruits were found to be more correlated to environmental  
348 variables than legal lobsters. For the autumn fishery undersized lobsters, the triplots  
349 showed stronger correlation to environmental variables at the small spatial scale than legal  
350 lobsters.

351 Studies by Green et al (2014) stressed the need for cautious interpretation when assessing  
352 relationship between environmental variables and the interaction with lobsters and crabs.  
353 Independent assessment may show clearer trends relating temperature to increasing growth  
354 rates of all life stages whereas an assessment of a combination of variables would often  
355 magnify the resulting relationships.

356 In this study, we corroborated the role water temperature and wind have on the lobster  
357 biology and fishery at all spatial-geographic scales. Similar observations have been made  
358 for the *Homarus americanus* fishery in Canadian waters on the interaction of wind,  
359 temperature and catch rates (Koeller, 1999; Comeau and Drinkwater, 1997; Comeau et al.,  
360 1997; Tremblay and Drinkwater, 1997; Drinkwater et al., 2006). Results obtained by  
361 Koeller (1999) showed differences in the correlation between variables and between  
362 adjacent localities (landing districts or ports), at the smallest temporal and spatial scales.  
363 This author found that at the largest spatial and temporal scale (Atlantic coast of Nova  
364 Scotia, 50 yr), these variables were significantly correlated at short lags (0-3 yr) prior to  
365 1974. He also suggested that lobster activity or changes in growth were temperature  
366 induced, and as a consequence affected catch rates.

367 *Homarus gammarus* in some lobster reserves in Norwegian waters experienced sea  
368 temperatures ranging from 2°C during late winter (February to March) to 18°C during late  
369 summer (August to September) with seasonal variations in lobster activity levels being  
370 correlated to water temperature (Moland et al., 2011). Lobster juvenile moulting and  
371 locomotory activity is optimal at temperatures of between 12-14 °C (Schmalenbach and  
372 Buchholz, 2013) and a similar range of temperatures was observed in this study for the  
373 Hebrides and Southeast in autumn throughout the studied period.

374 Koeller (1999) argued that at intermediate scales, catches alone do not accurately reflect  
375 changes in lobster abundance. At smaller spatial and temporal scales changes in fishing  
376 effort were driven by wind, and wind event affected water temperature. In addition, he  
377 concluded that fishing effort must be considered as an important variable at the smallest  
378 temporal and spatial scales for stock assessment.

379 Wiig et al (2013) studied the behaviour of individual acoustic tagged European lobsters in  
380 Norwegian Skagerrak coasts in relation to home-range and fishing practices. They found  
381 that behaviour and exposure to coastal fishery varied a small geographical scale of less than  
382 1km. They also observed fishermen's knowledge and selection on fishing grounds may  
383 reflect a high rate of lobster removal. This may cause a clear displacement of big lobsters  
384 that move from high quality habitats (where most of the fishing effort concentrates) to low  
385 quality habitats where fishing effort is low.

386 In the present investigation, fishing effort of the sampled fleet and individual vessels from  
387 both areas was one of the most significant response variables (appendix Tables v-vi and  
388 Figs. 3a-b and 5a). This may indicate the representativeness of the data highlighting  
389 fishermen's knowledge and preferences of fishing grounds as well as stressing differences

390 in fishing practices at small and large spatial scale. In the Hebrides lobsters are caught  
391 mostly in individual creels whereas for the Southeast creels are arranged in strings of up to  
392 10. Catch per unit effort is standardised for all areas as lobster catches per hundred creels.  
393 Therefore care must be taken when interpreting a combination of fishery variables, such as  
394 catch rates, and lobster abundance on different temporal-spatial-geographic scales  
395 (Addison, 1995).

396 Catch rates of undersized lobsters is strongly correlated to the environmental variables, at  
397 any temporal and spatial-geographic scale, and do not necessarily reflects direct changes in  
398 fishing effort. The opposite response was detected in the catch rates of legal lobsters, where  
399 it may validate relative abundance of legal lobsters depending on temporal changes in effort  
400 (increase in deployment of creels) and fishing strategies (differences between individual  
401 vessel and overall sampled fleet).

402 In this investigation, we found that the years 1986, 1990 and 1996 presented peak values in  
403 some of the environmental and fisheries variables. The spring of 1990 was a period of  
404 extremely high wind speed, sea surface and air temperature in both studied areas, and sea  
405 level pressure only in the Southeast. The fishery data showed similar extraordinary values  
406 with catches of legal and undersized lobsters, fishing effort for the Hebrides and catches of  
407 legal and undersized lobsters for the Southeast. For the autumn fishery in 1990, catches of  
408 both, discarded and landed lobsters, and fishing effort were also high in the Hebrides while  
409 in the Southeast only sea surface temperature was greater than normal. This may suggest  
410 that the Hebrides fishery is highly susceptible to environmental conditions at any time of  
411 year, which were favourable in 1990, while it is the Southeast spring fishery which is  
412 susceptible to the changes in the environment, where catches of undersized lobsters highly

413 contribute to the total output of the fishery. In addition, the fact that sea level pressure,  
414 wind speed and sea surface temperature were high in 1990 may indicate that there was a  
415 possible oceanographic regime shift which affected the studied areas. These findings may  
416 be corroborated by the work of Nunn et al. (2010) who found possible evidences of shift in  
417 fish growth and recruitment success linked to changes in the North Atlantic Ocean for the  
418 mid 1990s. In addition, Hannesson (2007) also analysed variation in catches of several  
419 species of fish in relation to ocean temperature recorded along the coasts of the North Sea,  
420 Norwegian Sea and Barents Sea for a period of more than 50 years (mid 1940's to early  
421 2000's). In an attempt to detect past changes he found differences in the correlations  
422 between temperature and catches and recruitment between sites, suggesting that some  
423 species need to past certain thresholds of temperature in order to show changes, other  
424 factors may also influence those variations and that study at big spatial scales may not  
425 necessarily show displacements of stocks.

426 Most recently, a study by Mills et al (2013) found that for the American lobster fisheries in  
427 American coasts, a strong heat wave in 2012 strongly affected the lobster populations by  
428 altering the moulting processes as well as evidencing a shift in temporal landings that  
429 matched a shift in temperatures. These authors also highlighted these type of changes are  
430 likely to occur and caution must be taken.

431 In a climate change context, there is need for a better estimate of the predicted long-term  
432 increases in water temperatures and its effects on the lobster size at maturity, possible  
433 implications in the abundance decline and increase in lobster diseases and shifts in the  
434 increase in catches. Monitoring of the recruitment components becomes relevant and this  
435 leads to consider downscale climate change models to a spatial and temporal scale relevant

436 to lobster stocks as well as considering the uncertainties in the climate change projections  
437 and their effect on the ecosystem (Caputi et al., 2013).

438 In conclusion, based on our statistical analyses, the CCF analysis and RDA triplots showed  
439 similarities in their results. The former indicated the presence of a relationship between two  
440 variables. In contrast, the later allowed comparisons of multiple variables at the same time.  
441 In the triplots, the length of the arrows graphically highlighted the importance of the  
442 relationships tested.

443 The percentage of variation obtained with the use of multivariate analysis techniques (PCA  
444 and RDA), helped to interpret the fishery-environmental interactions. Studying the data  
445 structure in all its components proved to be necessary and a valid approach to explain most  
446 of the variation occurring between and within the interactions of all the variables tested.

447 The PCA analysis gave account of the cumulative percentage of variation obtained from the  
448 fishery variables in two main dimensions. This variation differed between areas, data series,  
449 and axes where the leading eigenvalue contributed most (Table 2). The cumulative  
450 percentage of variance of fishery data proved to be higher for the Hebrides on an annual  
451 and autumn basis and for the Southeast on a spring and autumn basis (Table 2). However,  
452 with the RDA analysis, the variance of the fishery data was generally lower than in the  
453 PCA. In the Hebrides the highest variance was obtained on annual basis and lowest in  
454 spring. For the Southeast, spring variance was highest and autumn lowest (Table 3).

455 Redundancy analysis showed that the variables used represented a reasonable amount of  
456 variation. In the Hebrides the environmental variables represented about 88.99% of the total  
457 42.33% variance obtained from all environmental-fishery variables in autumn (Table 3). In  
458 the Southeast, the percentage of variance of environmental-fishery relationships was higher

459 in spring (84.81%). The low percentage of variance obtained in this analysis may indicate  
460 that there are other biological and environmental factors contributing with the total  
461 variation that were not considered in this study. The difference in estimates between PCA  
462 and RDA indicate that redundancy analysis helped in explaining the source of the variation  
463 obtained specifically from the environmental variables chosen for this study.

464 The fact that for the Hebrides the cumulative eigenvalues of total variance on annual basis  
465 was higher than in spring may be caused by the irregularity and scarce data, in spring,  
466 which are directly affected by the starting period of the fishery as well as the number of  
467 fishing vessels contributing with the information.

468 In the fishery context, our findings confirm that the fisheries of the Hebrides and Southeast  
469 can be divided into two component fisheries, spring and autumn and this was supported by  
470 the CCF, PCA and RDA analyses.

471 The results also suggested that fishery processes are markedly different between geographic  
472 areas and at different spatial scales.

473 For the Hebrides, fishery data were highly correlated to environmental variables with high  
474 catch rates inversely correlated to high fishing effort and high catches in spring and  
475 autumn.

476 For the Southeast fishery pre-recruits were found to be more correlated to environmental  
477 variables than legal lobsters in spring and autumn emphasising at the small spatial scale.

478 This may be an indicative of the importance of recruitment processes affecting the lobster  
479 catches.

480 Finally, care must be taken when considering the abundance index as a valid tool for stock  
481 assessment purposes it may bias or misrepresent the abundance of undersized and legal size  
482 lobsters at any temporal and spatial-geographic scale.

483

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487



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Table 1. Description of variables selected for this study. Environmental and fishery data series from overall sampled fleet ( $f_{sf}$ ) and individual vessel ( $f_{iv}$ ) for the Hebrides (1983-93) and Southeast (1985-97) areas.

Source	Acronym	Variable	Units of measurement
Environmental data	AT	Air temperature	°C
	SLP	Sea level Pressure	mb
	SST	Sea surface Temperature	°C
	WS	Wind Speed	m/sec
Overall sampled fleet	$f_{sf}$	Fishing Effort	Creels (thousands)
	$L_{sf}$	Catch of Legal size lobsters	Numbers (thousands)
	$S_{sf}$	Catch of Sub-legal lobsters	Numbers (thousands)
	TL	Total commercial landings	Kg (thousands)
	$UL_{sf}$	Catch rates of Legal size lobsters	# lobsters per 100 creels lifted
	$US_{sf}$	Catch rates of Sub-legal lobsters	# lobsters per 100 creels lifted
Individual vessel	$f_{iv}$	Fishing Effort	Creels (thousands)
	$L_{iv}$	Catch of Legal size lobsters	Numbers (thousands)
	$S_{iv}$	Catch of Sub-legal lobsters	Numbers (thousands)
	$UL_{iv}$	Catch rates of Legal size lobsters	# lobsters per 100 creels lifted
	$US_{iv}$	Catch rates of Sub-legal lobsters	# lobsters per 100 creels lifted

Table 2. Techniques applied to mean monthly (M), annual (An), spring (Sp) and autumn (Au) environmental and fishery time series for the Hebrides and Southeast of Scotland in different periods.

Data series	Period	Time basis	Uni or bi-variate	Multi-variate
Hebrides				
AT, SST, SLP & WS	1960-97	An	ACF	
AT, SST, SLP & WS	1983-93	An, Sp, Au	ACF	
All Fishery ( <sub>sf</sub> and <sub>iv</sub> )	1983-93	An, Sp, Au	ACF	PCA
Pairs of all fishery variables ( <sub>sf</sub> & <sub>iv</sub> )	1983-93	An	CCF	
Pairs of all environmental with all fishery variables ( <sub>sf</sub> & <sub>iv</sub> )	1983-93	An, Sp, Au	CCF	RDA
Southeast				
AT, SST, SLP & WS	1960-97	An	ACF	
AT, SST, SLP & WS	1985-97	An, Sp, Au	ACF	
All Fishery ( <sub>sf</sub> & <sub>iv</sub> )	1985-97	An, Sp, Au	ACF	PCA
Pairs of all fishery variables ( <sub>sf</sub> & <sub>iv</sub> )	1985-97	An	CCF	
Pairs of all environmental with all fishery variables ( <sub>sf</sub> & <sub>iv</sub> )	1985-97	An, Sp, Au	CCF	RDA

Note: fishery data are related to catch, CPUE, fishing effort and total landings for the overall sampled fleet and individual vessels; environmental data are those referred to air temperature, sea surface temperature, sea level pressure and wind speed, included in table 1.

Table 3. Variability of the factors involved in the PCA analysis with emphasis to the contribution of the response variables for mean annual, spring and autumn data series for the Hebrides (1983-93) and 1985-97 Southeast of Scotland.

Data series	Axis	Eigenvalue	Cumulative percentage of variance of fishery data
Hebrides			
Annual	1	48.61	48.61
	2	22.53	71.13
Spring	1	39.92	39.92
	2	23.63	63.55
Autumn	1	49.45	49.45
	2	21.80	71.24
Southeast			
Annual	1	26.88	26.88
	2	25.54	52.42
Spring	1	35.41	35.41
	2	22.05	57.47
Autumn	1	32.00	32.00
	2	30.14	62.14

Table 4. Variability of the factors involved in the RDA analysis with emphasis to the contribution of the explanatory variables for mean annual, spring and autumn data series for the Hebrides (1983-93) and Southeast (1985-97) of Scotland.

Data series	Axis	Eigenvalue	Cumulative percentage of variance of fishery data	Cumulative percentage of variance of fishery-environmental relationships
Hebrides				
Annual	1	33.22	33.22	51.29
	2	17.05	50.27	77.62
Spring	1	28.29	28.29	62.32
	2	10.19	38.47	84.77
Autumn	1	36.41	36.41	76.55
	2	5.92	42.33	88.99
Southeast				
Annual	1	17.85	17.85	55.87
	2	8.47	26.31	82.38
Spring	1	17.50	17.50	43.94
	2	16.27	33.77	84.81
Autumn	1	13.86	13.87	38.65
	2	11.02	24.89	69.38



## APPENDIX

Table i. Auto-correlation function analysis of time series of environmental data (mean annual estimates) for the Hebrides and Southeast of Scotland period 1960-97. For variables description refer to Table 1.

Variable	r	Lag time (yrs)	r	Lag time (yrs)
	Hebrides		Southeast	
Air temperature	>0.5	+1,+2,+3	>0.5	+1,+2
Sea surface temperature	>0.5	+1,+2	>0.5	+1 to +6
Wind speed	>0.5	+1 to +6	>0.5	+1,+2,+4,+5+6
Sea level pressure	n.s.	-	n.s.	-

n.s. is not significantly auto-correlated at the 5% significance level.

Table ii. Significant auto-correlated individual data series of the explanatory-fishery variables for the Hebrides, 1983-93 and Southeast, 1985-97. The auto-correlation function (ACF) analysis was set at the 5% level of significance. For variables description refer to Table 1.

Data series	Variable	r	Lag time (yrs)	Variable	r	Lag time (yrs)
	Hebrides			Southeast		
Annual	$f_{sf}$	0.70	1	TL	0.50	1
	$L_{sf}$	0.70	1	$S_{sf}$	-0.50	3
	$S_{sf}$	0.50	1	$US_{sf}$	-0.50	2
	$UL_{sf}$	0.70	1	$f_{iv}$	0.60	1
	$f_{iv}$	0.50	1	$L_{iv}$	-0.50	3,4
	$L_{iv}$	0.70	1	$S_{iv}$	-0.50	4,5
	$S_{iv}$	0.70	1	$US_{iv}$	-0.50	5
Spring	$f_{sf}$	0.65	1	SST	0.54	1
	SLP	0.54	3	-	-	-
	$S_{sf}$	0.53	1	-	-	-
	$UL_{sf}$	0.60	1	-	-	-
Autumn	$f_{sf}$	0.73	1	AT	-0.57	3
	$L_{sf}$	0.65	1	$S_{sf}$	-0.54	3
	TL	-0.61	2	$UL_{iv}$	0.52	1
	$UL_{sf}$	0.70	1	$US_{iv}$	0.60	1,2
	$L_{iv}$	-0.64	1	-	-	-

Table iii. Significantly correlated interactions of the environmental-fishery relationships for the Hebrides, 1983-93. The cross-correlation function (CCF) analysis was set at the 5% level of significance. For variables description refer to Table 1.

Data series	Response Variable	Explanatory Variable	r max	Lag time at r max (years)	Lags of sig. correlation (years)
Annual	$US_{sf}$	Wind speed	0.63	+1	+1
	$US_{sf}$	Sea level pressure	-0.69	0	0,+1,+2
	$UL_{sf}$	Air temperature	0.75	+2	+2
	$UL_{sf}$	Sea surface temperature	0.64	+2	+2
Spring	$UL_{sf}$	Sea surface temperature	-0.60	0	0,+1,+2
	$UL_{sf}$	Air temperature	-0.77	+2	0,+2,+3
	TL	Sea surface temperature	0.57	0	0,+2
Autumn	TL	Air temperature	0.67	0	0,+2
	$UL_{sf}$	Sea level Pressure	-0.52	+3	+3
	$US_{sf}$	Air temperature	0.54	0	0
	TL	Wind speed	-0.64	+3	+3
	$US_{iv}$	Wind speed	0.51	+2	+2
	$UL_{iv}$	Sea level Pressure	0.52	0	0

Table iv. Significantly correlated interactions of the environmental-fishery relationships for the Southeast, 1985-97. The cross-correlation function (CCF) analysis was set at the 5% level of significance. For variables description refer to Table 1.

Data series	Response Variable	Explanatory Variable	r max	Lag time at r max (years)	Lags of sig. correlation (years)
Annual	$UL_{iv}$	Air temperature	-0.64	+3	+3
	$US_{iv}$	Air temperature	0.73	0	0
	$US_{iv}$	Sea surface temperature	0.64	0	0
Spring	$US_{sf}$	Wind speed	-0.50	0	0
	$US_{sf}$	Sea level Pressure	-0.50	+1	+1
	$US_{sf}$	Air temperature	-0.59	+2	+2
	$US_{iv}$	Sea level Pressure	-0.72	0	0,+1
	$US_{iv}$	Air temperature	-0.56	+2	+2
	$US_{iv}$	Sea surface temperature	-0.50	0	0
Autumn	TL	Sea surface temperature	-0.62	+2	+2
	$UL_{sf}$	Sea surface temperature	-0.52	+2	+2
	$UL_{sf}$	Air temperature	-0.53	+2	+2
	$US_{iv}$	Sea surface temperature	-0.52	0	0
	$UL_{iv}$	Air temperature	0.65	+4	+4,+5
	$UL_{iv}$	Sea surface temperature	0.53	+4	+4

Table v. Significant correlated interactions of the fishery-fishery relationships for the Hebrides, 1983-93 and Southeast, 1985-97 on annual basis only. The cross-correlation function (CCF) analysis was set at the 5% level of significance. For variables description refer to Table 1.

Response Variable	Explanatory Variable	r max	Lag time at r max (yrs)	Lags of sig. correlation (yrs)
<b>Hebrides</b>				
L <sub>sf</sub>	<i>f</i> <sub>sf</sub>	0.90	0	-1,0,+1
S <sub>sf</sub>	<i>f</i> <sub>sf</sub>	0.82	0	0
TL	<i>f</i> <sub>sf</sub>	-0.81	+1	0,+1
TL	L <sub>sf</sub>	0.84	0	0,+1
TL	L <sub>iv</sub>	-0.77	0	0,+1
TL	S <sub>sf</sub>	0.71	0	0,+1
<i>f</i> <sub>sf</sub>	<i>f</i> <sub>iv</sub>	0.83	+2	+1,+2,+3
L <sub>iv</sub>	<i>f</i> <sub>iv</sub>	-0.80	+2	+2,+3
S <sub>iv</sub>	<i>f</i> <sub>iv</sub>	-0.70	+2	+1,+2
L <sub>sf</sub>	L <sub>iv</sub>	-0.80	+1	+1,+2
S <sub>sf</sub>	S <sub>iv</sub>	-0.78	+2	+1,+2
L <sub>sf</sub>	S <sub>sf</sub>	0.85	+1	0+,+1,+2
L <sub>iv</sub>	S <sub>iv</sub>	0.81	+2	+1,+2
UL <sub>sf</sub>	US <sub>sf</sub>	0.68	+4	+4
UL <sub>iv</sub>	US <sub>iv</sub>	0.66	0	0
<b>Southeast</b>				
L <sub>sf</sub>	<i>f</i> <sub>sf</sub>	0.77	0	0
S <sub>sf</sub>	<i>f</i> <sub>sf</sub>	0.63	0	0
S <sub>iv</sub>	<i>f</i> <sub>iv</sub>	0.58	0	0
L <sub>sf</sub>	S <sub>sf</sub>	0.78	0	0
L <sub>iv</sub>	S <sub>iv</sub>	0.67	0	0
UL <sub>sf</sub>	UL <sub>iv</sub>	0.78	0	0

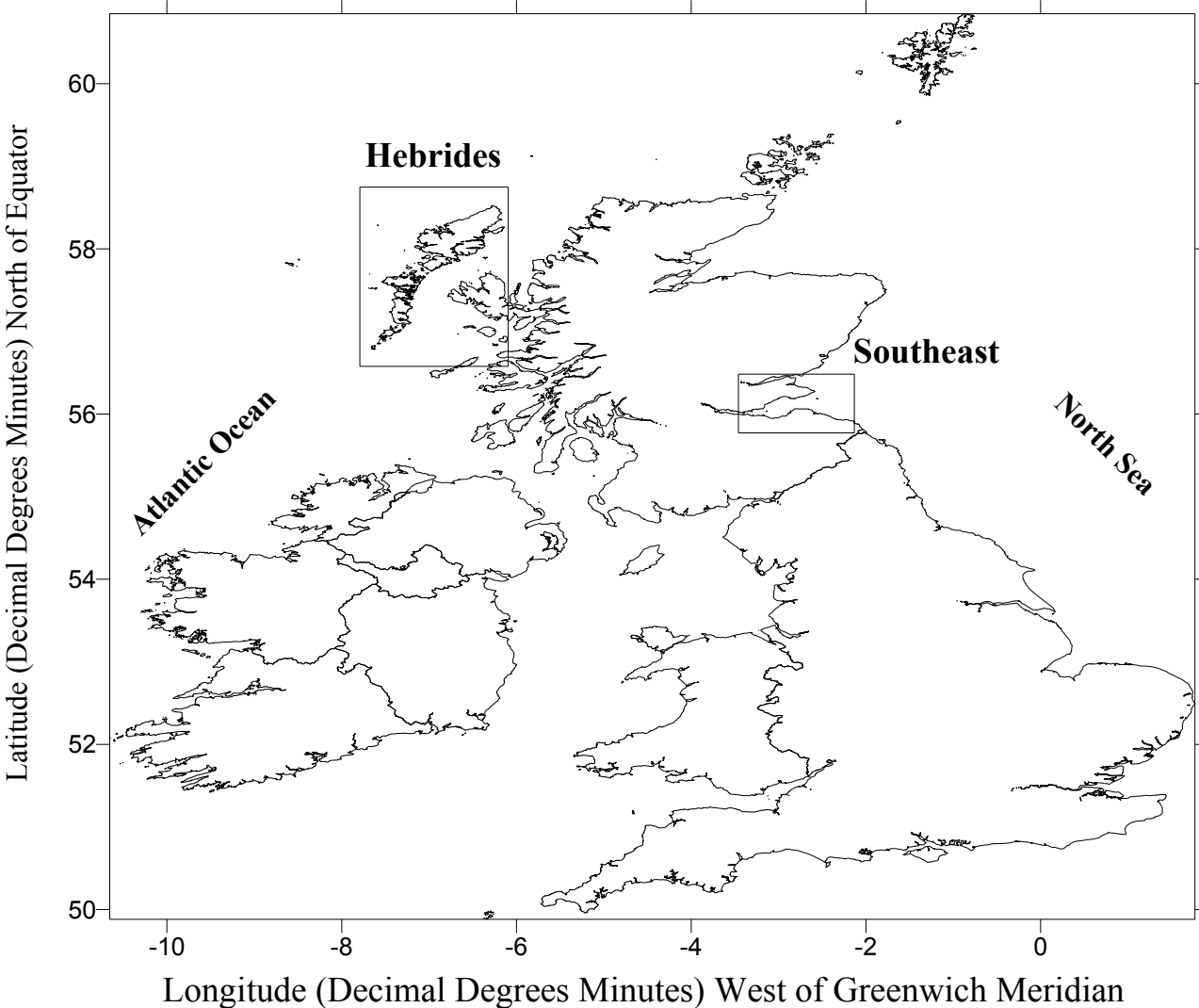
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- Fig. 1a. Map of the area of study for the Scottish Homarus gammarus fishery in the Hebrides and Southeast.
- Fig. 1b. Map of the study area according to ICES rectangles, the Hebrides, where fishery data of overall sampled fleet ( $_{sf}$ ) and an individual vessel ( $_{iv}$ ) were obtained for the period 1983-93.
- Fig. 1c. Map of the study area according to ICES rectangles, the Southeast, where fishery data of overall sampled fleet ( $_{sf}$ ) and an individual vessel ( $_{iv}$ ) were obtained for the period 1985-97.
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indicate negative correlation. An angle of  $90^\circ$  between arrows refers to not significant correlated variables. The acronyms of the variables are included in Table 1.

Fig. 5a. Triplot of the redundancy analysis for the annual Hebrides data series from 1983-93. Long arrows indicate strong relationship. Arrows in the same direction indicate positive correlation, whilst arrows in opposite direction indicate negative correlation. An angle of  $90^\circ$  between arrows refers to not significant correlated variables. The acronyms of the variables are included in Table 1.

Fig. 5b. Triplot of the redundancy analysis for the annual Southeast data series from 1985-97. Long arrows indicate strong relationship. Arrows in the same direction indicate positive correlation, whilst arrows in opposite direction indicate negative correlation. An angle of  $90^\circ$  between arrows refers to not significant correlated variables. The acronyms of the variables are included in Table 1.



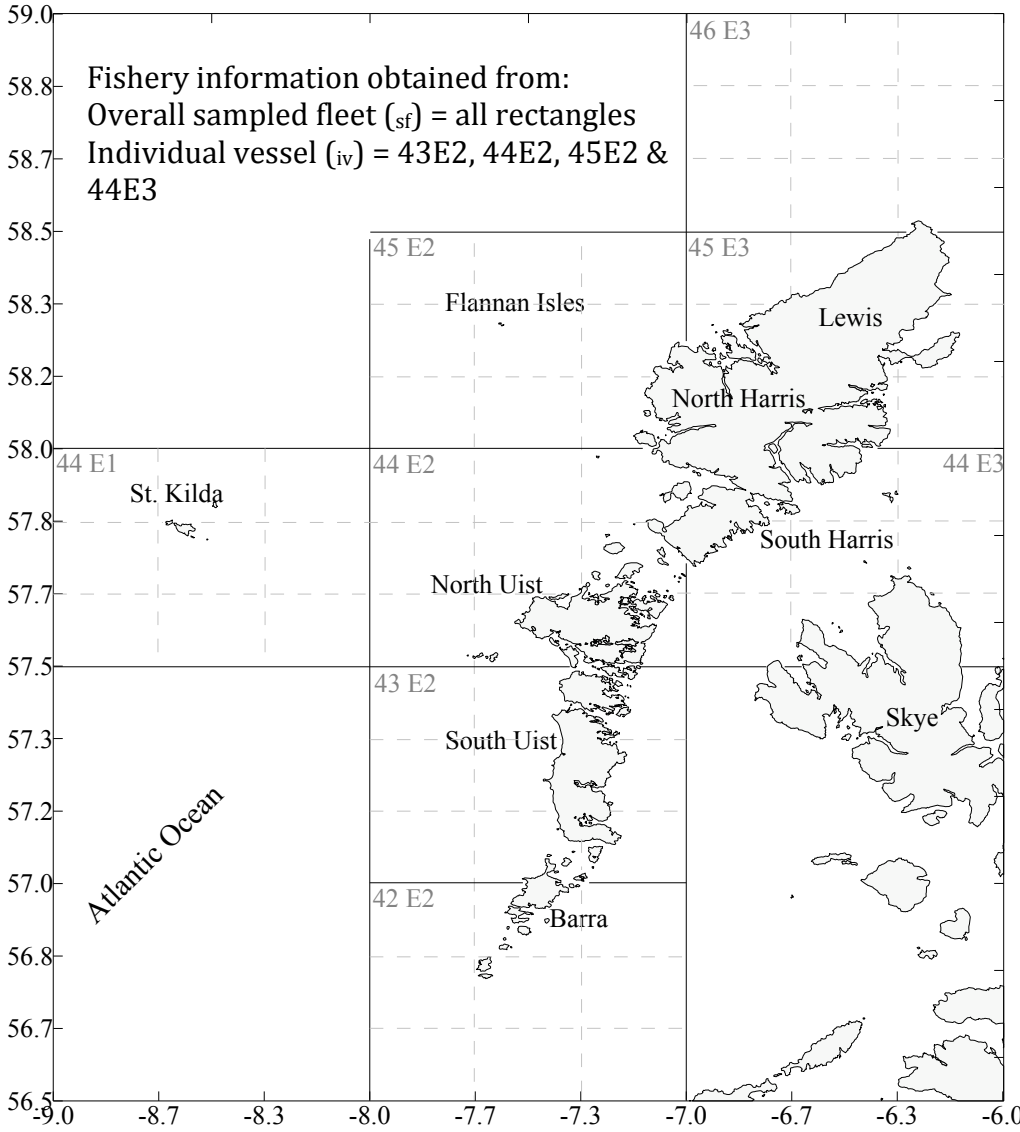


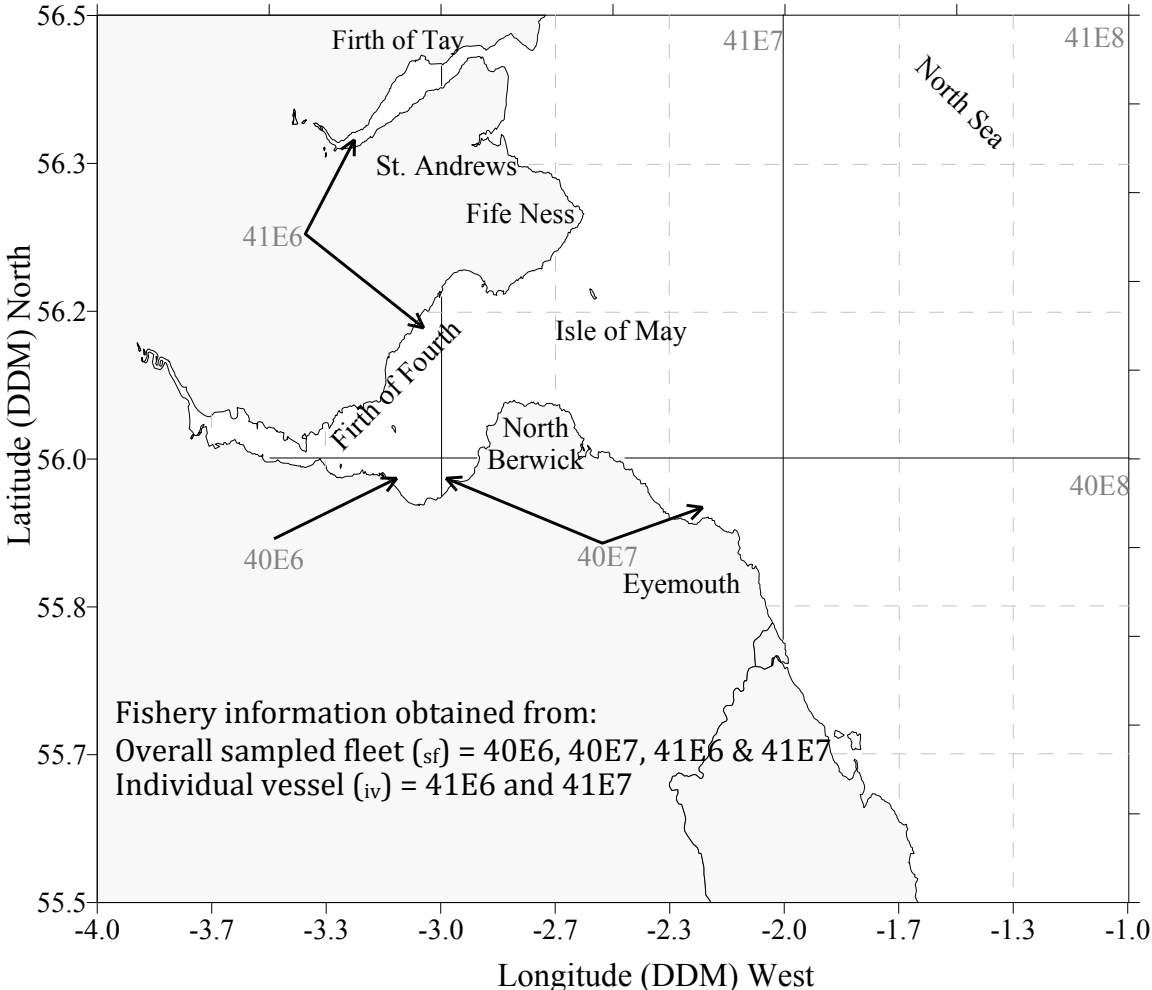
Fishery information obtained from:  
Overall sampled fleet ( $_{sf}$ ) = all rectangles  
Individual vessel ( $_{iv}$ ) = 43E2, 44E2, 45E2 & 44E3

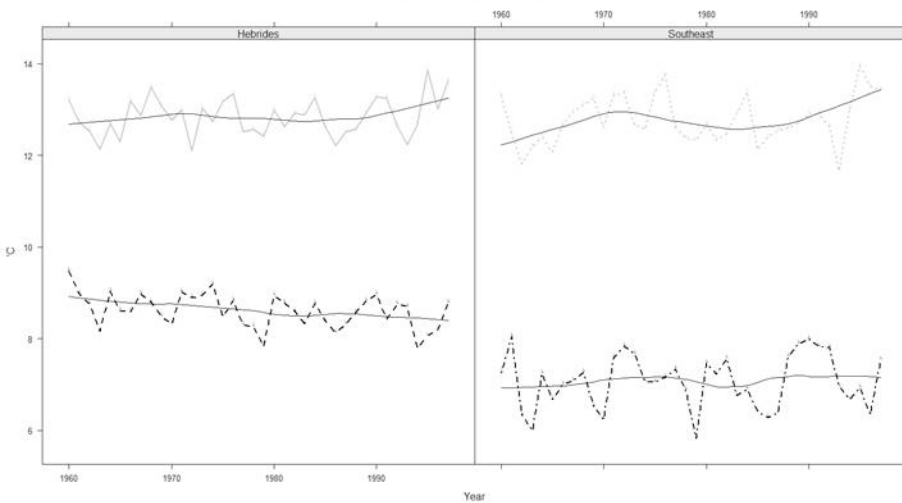
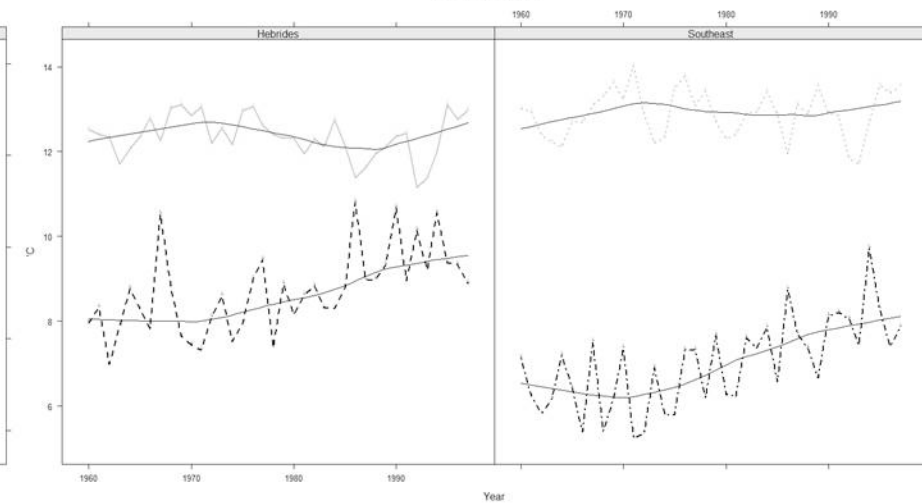
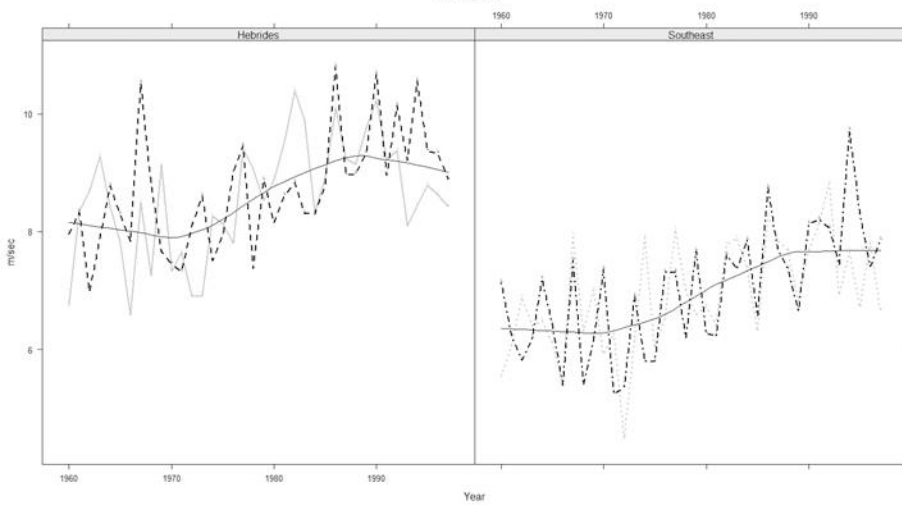
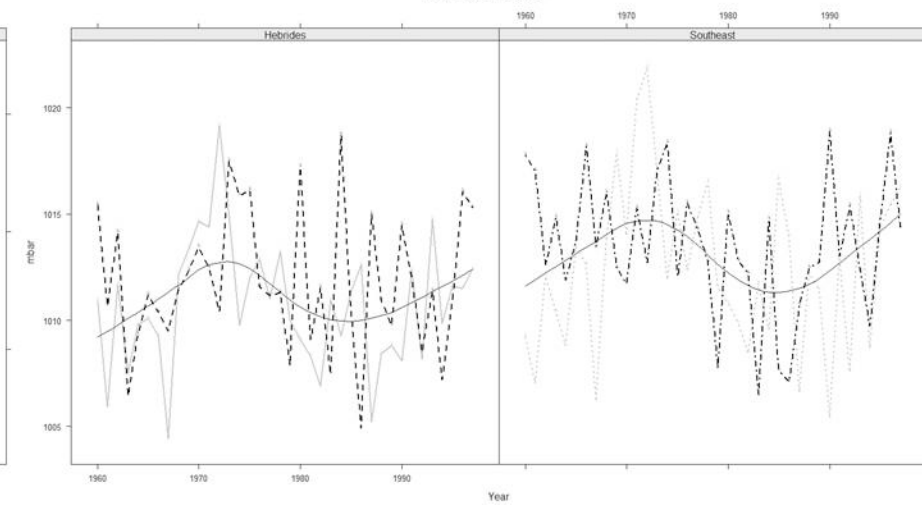
Latitude (DDM) North

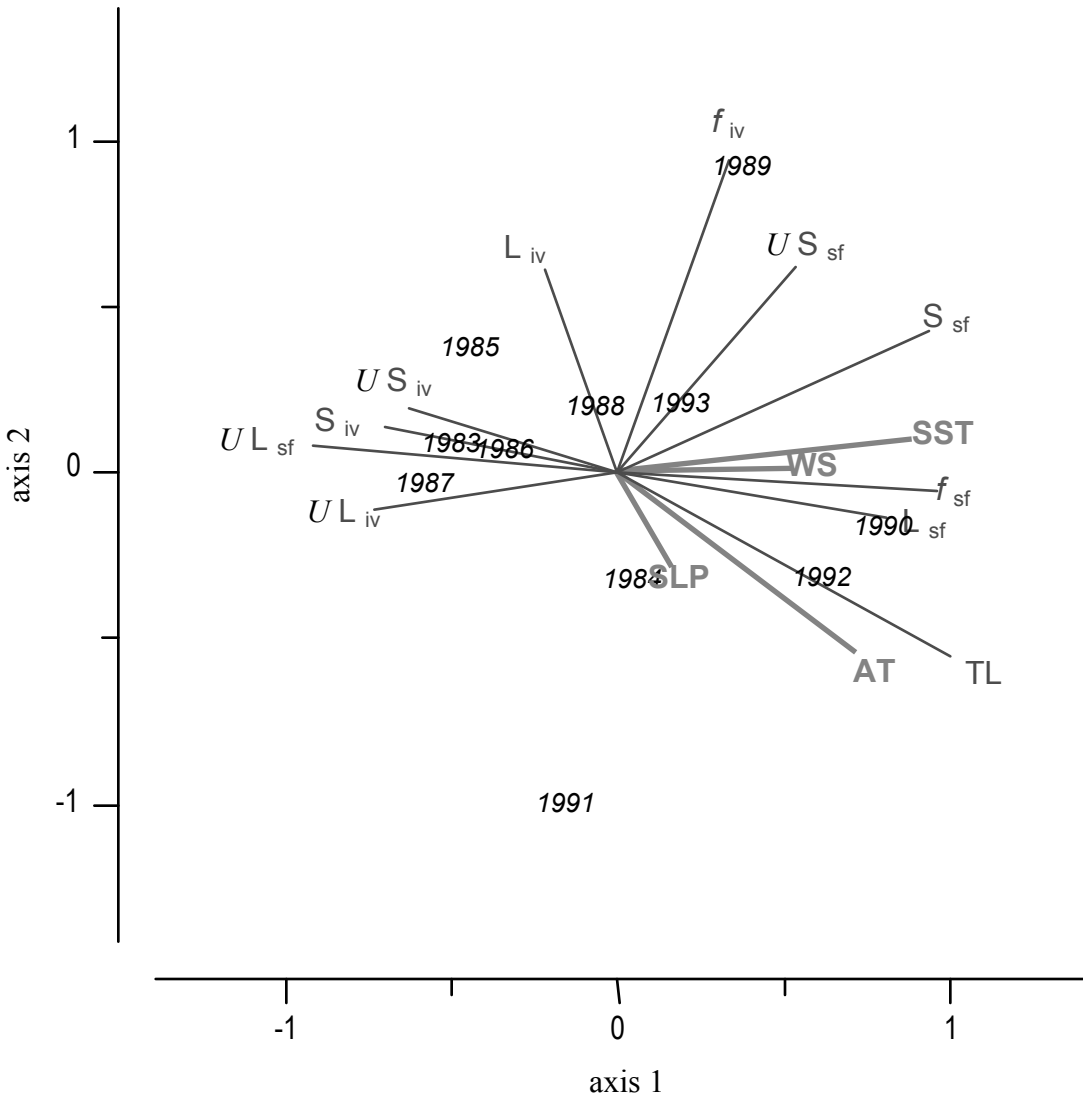
Atlantic Ocean

Longitude (DDM) West





**Sea Surface Temperature****Air Temperature****Wind Speed****Sea Level Pressure**

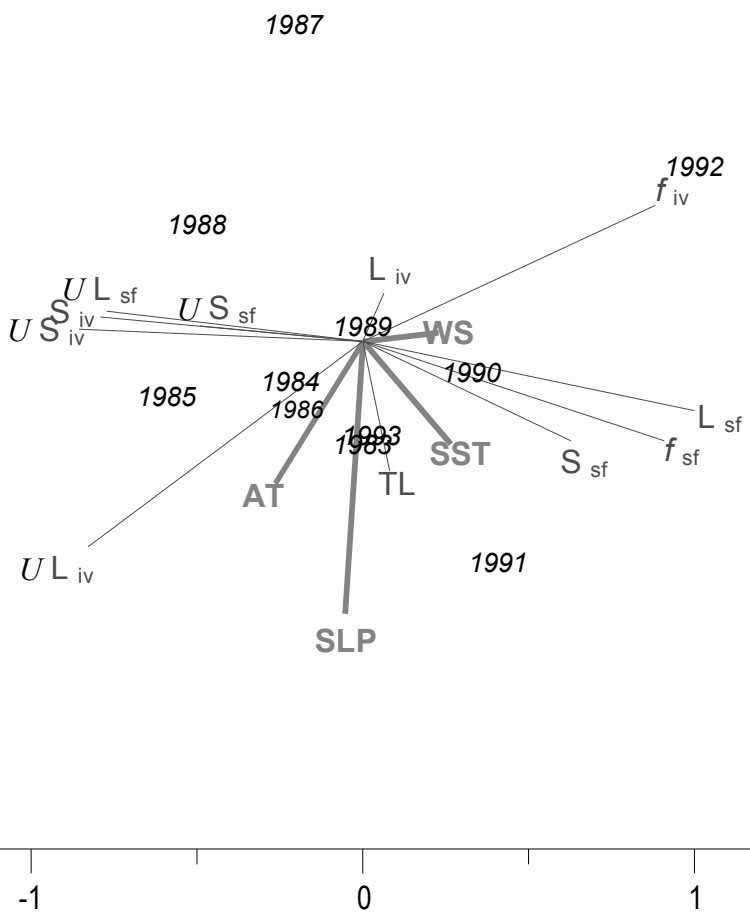


axis 2

1

0

-1



-1

0

1

axis 1

