Interactions between aquatic plants and turbulent flow: A field study using stereoscopic PIV

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A stereoscopic particle image velocimetry (PIV) system for use in shallow ($\sim$0.5 m deep) rivers was developed and deployed in the Urie River, Scotland, to study the interactions between turbulent flow and a *Ranunculus penicillatus* plant patch in its native environment. Statistical moments of the velocity field were calculated utilising a new method of reducing the contribution of measurement noise, based on the measurement redundancy inherent to the stereoscopic PIV method. Reynolds normal and shear stresses, their budget terms, and higher order moments of the velocity probability distribution in the wake of the plant patch were found to be dominated by the presence of a free shear layer induced by the plant drag. Plant motion, estimated from the PIV images, was characterised by travelling waves that propagate along the plant with a velocity similar to the eddy convection velocity, suggesting a direct coupling between turbulence and the plant motion. The characteristic frequency of the plant velocity fluctuations ($\sim$1 Hz) may suggest that the plant motion is dominated by large eddies with scale similar to the flow depth or plant length. Plant and fluid velocity fluctuations were, in contrast, found to be strongly correlated only over a narrow ($\sim$30 mm) elevation range above the top of the plant, supporting a contribution of the shear layer turbulence to the plant motion. Many aspects of flow-aquatic plant interactions remain to be clarified, and the newly developed stereoscopic field PIV system should prove valuable in future studies.

Key words: ...

1. Introduction

Aquatic plants play a vital role in the management and healthy functioning of river ecosystems. They provide habitat, refuge, and food for periphyton, invertebrates, and fish; they produce oxygen and sink carbon through photosynthesis; they regulate sediment transport and mixing, and they contribute to hydraulic resistance (e.g. Naden *et al.* 2006; Bornette & Puijalon 2011; Folkard 2011*b*; Nepf 2012). Understanding of these processes is important for the successful management of river systems (mitigating flood risk, preserving biodiversity, maintaining water quality) but is still limited by a lack of fundamental knowledge of the interactions between plants and flowing water. One of the reasons for this is that flow-plant interactions are scale dependant, covering a wide range of scales from the sub-leaf to the plant patch and larger scales, and are thus controlled by several complex and interlinked phenomena such as turbulence, viscous and pressure drag

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forces, plant biomechanical properties, and plant motion (Nikora 2010). Another reason is that experimental measurement of these phenomena remains challenging. Many of the experimental investigations on aspects of flow-plant interactions have been carried out in laboratory flumes using artificial plant replicas or plant surrogates (e.g. Ghisalberti & Nepf 2002; Nezu & Sanjou 2008; Siniscalchi *et al.* 2012), or using real plants attached to the bed in some artificial way (e.g. Sand-Jensen 2003; O'Hare *et al.* 2007; Siniscalchi & Nikora 2012). Although these studies allow systematic manipulation of flow conditions and deployment of a full array of experimental technologies, it remains an open question as to whether they are truly representative of real plants in their natural habitats. A number of field studies have also been carried out (e.g. Koehl & Alberte 1988; Sand-Jensen & Mebus 1996; Green 2005; Naden *et al.* 2006; Sukhodolova & Sukhodolov 2012). These studies, however, inevitably resort to point velocity measurement techniques (often involving only time averaged velocities) which miss much of the detailed structure of the flow field.

In the study reported here, the need for more extensive field data on flow-aquatic plant interactions is addressed by developing a stereoscopic PIV system for field use and deploying it in the Urie River, Scotland. The PIV technique has been previously used outside of a laboratory (e.g. Nimmo Smith *et al.* 2002; Zhu *et al.* 2006; Tritico *et al.* 2007; Katija & Dabiri 2008; Liao *et al.* 2009). This study, however, is the first time the stereoscopic PIV method has been used in the field, allowing all three components of the velocity vector to be captured. The system is utilised to study the interactions between river turbulence and the motion of a *Ranunculus penicillatus* plant patch in its natural environment.

The structure of the paper is as follows. First, the design of a stereoscopic PIV system that can be deployed in small rivers ($\sim$0.5 m flow depth) is discussed, including system calibration, analysis algorithms, and a new method of reducing the contribution of measurement errors to certain velocity statistics. Second, features of the field site selected for the study are identified, and measurement errors are analysed. Third, statistics of the flow field in the wake of the *Ranunculus* plant patch are evaluated including terms of the Reynolds stress budget equation, spectra, and convection velocity. Fourth, statistics of the plant motion are evaluated along with correlations between turbulence and plant movement. Finally, potential interaction mechanisms between the plants and the flow are discussed.

2. In-situ stereoscopic PIV system

The in-situ stereoscopic PIV system was designed to utilise existing components from a custom-made laboratory PIV system including the laser (Oxford Lasers Nano-L-50/100 PIV, twin Nd:YAG, 100 mJ at 50 Hz) and cameras (Dalsa 4M60, CMOS, 2352$\times$1728 pixels at 60 frames per second, 7.4 micron pixel pitch, 60% effective fill factor, 532 nm bandpass optical filter, 60 mm lens at f/5.6) and direct to disk image recording setup (4$\times$7200 rpm SATA disks in RAID 0 per camera). At the core of the design is a glass bottomed 'boat' shaped structure which sits at the water surface and allows a pair of cameras and the laser light sheet stable optical access through the fluctuating water surface of the river (figure 1). The streamlined design of the 'boat' limits the disturbed region of the flow-field to a thin boundary layer near the water surface estimated to be approximately 5 mm thick (based on previous experience with similar structures and approximate estimates using conventional relationships). The 'boat' incorporates a trapezoidal shaped water prism (e.g. Prasad 2000) to minimise both optical distortion caused by refraction and internal reflections that occur at the water-glass-air interfaces. The 'boat', cameras,
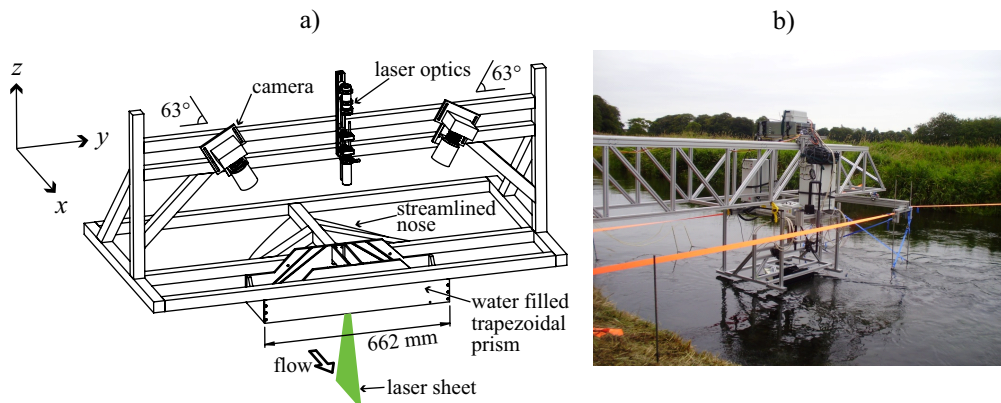
FIGURE 1. Schematic of glass bottomed 'boat' attached to camera and laser mount sub-frame (a). System deployed in the Urie River, Scotland (b).

and laser optics sit on a rigid sub-frame that allows the cameras and laser optics to be aligned, focussed and calibrated in the laboratory prior to field deployment. In the field, the sub-frame is attached to a specially designed frame (bridge) and carriage assembly that allows the PIV system to be traversed in the streamwise (0.5 m) and transverse (5.0 m) directions. The bridge is constructed of aluminium extrusions (Kanya PVS), it spans 7.5 m, weighs 150 kg, and is designed so that at least one end of the bridge is anchored on the river bank. The other end of the bridge can be supported mid river on stainless steel poles with tension straps tied to the far river bank to ensure stability. The turbulent wakes created by the bridge support elements are well clear of the measurement area (figure 1b). The laser, cameras and computer are powered by a portable 5 kVA generator. Seeding (conifer pollen, 60-80 micron diameter, 800-1000 kg/m^3 density) is mixed with water at a concentration of 100 g/l and injected into the river by a pump approximately 5 m upstream of the test section at a solids rate of 100 grams per minute. The entire bridge, carriage, and laser and camera assembly can be installed at a field site by an 8 person team in around 7 hours. Disassembly is faster (around 2 hours) leaving several hours for measurements during a single day deployment. The orientation of our coordinate system is shown in figure 1. We will refer to the x, y, and z axis and their associated velocity components u, v, and w as the nominal streamwise, transverse and bed normal (or vertical) directions and velocities, respectively. In practice, the laser light sheet was aligned visually to be parallel to the local mean flow direction by making use of the visible stream of tracer particles injected upstream.

A stereoscopic camera configuration was selected because it offers a number of benefits over a single orthogonal camera setup. Firstly, all three components of the velocity vector are resolved compared to just two components for a single camera configuration. The additional velocity component provides valuable information on the structure and dynamics of the flow field, particularly in the highly three-dimensional flow regions near the bed of open channels and in the wake of aquatic plants. Secondly, the stereoscopic configuration allows all cameras and laser optics to be placed above the river surface. This minimises the disturbance to the flow field and limits camera vibration which can introduce additional error into the velocity measurements. The need to waterproof the camera and laser components is also removed. Thirdly, perspective errors which occur in single camera systems due to the unresolved out of plane velocity component (Raffel *et al.* 2007) are eliminated by the stereoscopic configuration. Finally, by taking advantage of the redundancy inherent in stereoscopic PIV, some velocity statistics can be calculated

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¹²¹ with a significantly reduced contribution of random measurement noise. In the following
¹²² section, details of our implementation of the stereoscopic PIV method are outlined, in-
¹²³ cluding: calibration and stereoscopic reconstruction, cross correlation algorithms, method
¹²⁴ of extracting the velocity of plant motion, and analysis of measurement errors.

2.1. *Stereoscopic PIV calibration*

¹²⁶ Our stereoscopic PIV implementation is based on the 'mapping' method introduced by
¹²⁷ Willert (1997), where cross correlation is performed on images that have been 'dewarped'
¹²⁸ to obtain a constant magnification across the image. The 2-component vector fields from
¹²⁹ a pair of cameras are subsequently combined to reconstruct the three-component velocity
¹³⁰ field. Critical to both the image dewarping and velocity field reconstruction steps is a
¹³¹ function which relates three-dimensional (x, y, z - streamwise, transverse, bed-normal re-
¹³² spectively) 'world' coordinates to corresponding two-dimensional image coordinates. To
¹³³ obtain it, we use a pinhole camera model (e.g. Calluaud & David 2004) combined with a
¹³⁴ 2-media refraction model (neglecting the contribution of the glass elements of the water
¹³⁵ prism) based on Maas (1996) and a misalignment correction based on Wieneke (2005).
¹³⁶ In total, 13 model parameters need to be estimated for each camera using a calibra-
¹³⁷ tion procedure, including four intrinsic camera parameters (f_x, f_y, i_0, j_0), six extrinsic
¹³⁸ camera parameters (α, β, γ, t_x, t_y, t_z), and three parameters for the refraction model
¹³⁹ (α_g, β_g, t_{zg}). Three additional parameters (α_m, β_m, t_m) apply to all cameras and are
¹⁴⁰ used to correct any misalignment between the laser light sheet and the calibration target.
¹⁴¹ Here f_x and f_y are camera focal lengths, i_0 and j_0 are image origin coordinates, α, β, γ
¹⁴² and t_x, t_y, t_z are the three Euler rotation angles and three translations, respectively, that
¹⁴³ define the position and viewing direction of the camera. The refraction model parame-
¹⁴⁴ ters α_g, β_g and t_{zg} are two rotation angles and one translation that give the position
¹⁴⁵ and orientation of the water-air interface, while misalignment parameters α_m, β_m and
¹⁴⁶ t_m map the light sheet plane onto the calibration plane. Other parameters are available
¹⁴⁷ to incorporate lens distortions or to incorporate the refraction caused by the glass win-
¹⁴⁸ dows of the 'prism boat', but these parameters are not used in this study as they were
¹⁴⁹ found to not improve the calibration. The calibration procedure is carried out in a lab-
¹⁵⁰ oratory tank after final alignment and focussing of the cameras. The intrinsic, extrinsic,
¹⁵¹ and refraction parameters are estimated for each camera based on a set of images of a
¹⁵² two-sided calibration plate (3 mm diameter dots spaced at 20 mm) which is translated
¹⁵³ to different positions using a precision machined baseplate. The calibration images pro-
¹⁵⁴ vide a set of point coordinates (the centres of each dot on the calibration plate image)
¹⁵⁵ and corresponding world coordinates (based on the known calibration plate geometry)
¹⁵⁶ allowing the model parameters to be optimised using an iterative least square fit. Finally,
¹⁵⁷ the misalignment correction parameters are estimated from the experimental PIV images
¹⁵⁸ by ensemble cross correlation between the dewarped images from the first and second
¹⁵⁹ cameras (Wieneke 2005). In this way, the precise position of the light sheet relative to
¹⁶⁰ the cameras does not need to be fixed in the laboratory and some adjustment in the field
¹⁶¹ is possible (as long as the light sheet remains within the camera depth of field).

2.2. *Cross correlation algorithm*

¹⁶³ A detailed description of our cross correlation algorithm and evaluation of its performance
¹⁶⁴ is available in Cameron (2011). Some modifications were necessary to optimise for the
¹⁶⁵ field PIV images; these are described in this section.
¹⁶⁶ Our PIV algorithm can be classed as an iterative deformation method (IDM) with
¹⁶⁷ windowed Fourier transform based cross correlation. Two key features of the algorithm,
¹⁶⁸ which directly influence measurement noise, measurement resolution, number of outliers,

169 and the number of iterations required to reach convergence are 1) the size and weighting
170 of the interrogation regions (image subsections used for cross correlation analysis, e.g.
171 Raffel *et al.* 2007), and 2) the low pass filtering of the velocity field after each iteration. To
172 analyse the field PIV images, which have a scale factor of 12 pixels/mm, we have selected
173 Blackman weighted 96×96 pixel (8×8 mm) interrogation regions (BL96) with a 12 pixel
174 (1 mm) grid spacing, and a low pass filter based on a windowed sinc function (Sinc2.5).
175 The modulation transfer function (MTF) for this algorithm (IDM-BL96-Sinc2.5) has
176 been estimated following Astarita (2007) and is given in figure 2. The MTF reflects
177 the spatial averaging (low-pass filtering) of the velocity field associated with the cross
178 correlation algorithm. For a MTF value of 0.9, figure 2 indicates the cut-off wavelength
179 (resolution) for IDM-BL96-Sinc2.5 along the $k_x = 1/\lambda_x$ wavenumber axis is 92 pixels
180 (7.7 mm), where k_x is the wavenumber and λ_x is the wavelength in the streamwise di-
181 rection. This algorithm trades in some resolution relative to IDM-BL64-TH6 (Cameron
182 2011, figure 2) in return for improved robustness against outliers due to the larger in-
183 terrogation regions. In comparison to the classic PIV method with 32 pixel unweighted
184 interrogation regions (IDS-TH32, figure 2), IDM-BL96-Sinc2.5 has slightly increased res-
185 olution, improved flatness in the pass band, efficient anti-aliasing due to steep roll off
186 and negligible side lobes, and significantly increased robustness due to having nine times
187 more pixels in each interrogation region. Theoretical convergence for IDM-BL96-Sinc2.5
188 is eight iterations, defined here as the number of iterations required for the equivalent
189 noise bandwidth ($ENBW_q$) to reach 99.9% of its ultimate value. $ENBW_q$ is calculated
190 for each iteration (q) by integrating the squared transfer function predicted after each
191 iteration:

$$ENBW_q = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \left[MTF_q \left(k_x, k_z \right) \right]^2 dk_x dk_z \qquad (2.1)$$

192 where MTF_q is the modulation transfer function estimated for q iterations of the algo-
193 rithm using the method of Astarita (2007), and k_z is the wavenumber in the vertical
194 direction. For comparison, theoretical convergence of IDM-BL64-TH6 is 36 iterations.
195 There is additional filtering of the velocity field associated with the finite thickness of
196 the light sheet ($\sim$1.5 mm), but in this case the light sheet is quite thin and the effect is
197 small relative to the filtering associated with the cross correlation algorithm. The effect
198 of the finite resolution of the measurement system is to reduce the contribution of high
199 wavenumber (small) eddies to the measured velocity variance. The magnitude of this
200 effect depends on the flow field and cannot be easily quantified. For the present experi-
201 ments, however, the cut-off wavelength of the measurements (7.7 mm) is small compared
202 to the flow depth (390 mm) and therefore it is likely that the missing velocity variance
203 is small.

204 A feature of the field PIV images is that some of the interrogation regions were inter-
205 mittently occupied by plant material or void of sufficient seeding particles such that valid
206 velocity vectors could not be obtained. In order to pre-empt these problems we introduce
207 a measurement 'clipping' function, $\phi_M \left(x, z, t_n \right)$, ($t_n$ is the time step) defined as $\phi_M = 1$
208 for valid interrogation regions (with sufficient seeding and absent of any plant), otherwise
209 $\phi_M = 0$. The mean value of $\phi_M \left(x, z, t_n \right)$ can be defined as the measurement porosity.
210 The measurement clipping function serves two purposes, first it is passed to the cross
211 correlation algorithm so that bad interrogation regions can be handled appropriately by
212 the algorithm, and second it is passed to velocity field post processing routines so that
213 velocity statistics are correctly calculated only over valid data. Regions where $\phi_M = 0$
214 are identified in an image pre-processing stage using a type of signal to noise ratio. Each
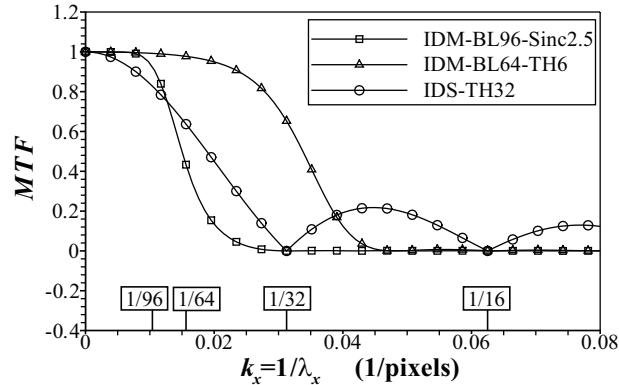215 image is first decomposed into two parts, a plant image (by applying a median filter to

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FIGURE 2. Comparison of transfer functions for different PIV algorithms: IDM-BL96-Sinc2.5 is employed in this study; other algorithms are discussed in Cameron (2011).

the original image) and a seeding image (by subtracting the plant image from the original image). The 'signal' is then defined as the sum of the pixel intensities within an interrogation region for the seeding image, and the 'noise' is obtained as the sum of pixel intensities within an interrogation region for the plant image. For each interrogation region, if the signal divided by the noise is above a threshold value, ϕ_M is set to 1, otherwise it is 0. The threshold value was optimised by visual assessment and a trial and error approach on a subset of the PIV images. Once determined, it was applied globally throughout the image set. Within the PIV algorithm, regions of $\phi_M = 0$ are replaced with interpolated or extrapolated velocity values from neighbouring valid data. This step is important with IDM PIV algorithms as it allows vector field low-pass filtering and interpolation to be performed in each iteration without inadvertently propagating bad vectors to adjacent interrogation regions. We emphasise that the interpolated/extrapolated values are only used within the cross correlation algorithm. Time averaged statistics of the velocity field are calculated incorporating only valid data as, for example, in the case of the first order statistics:

$$\overline{\theta}\left(x, z\right) = \frac{1}{\sum_{t_n=1}^{t_n=T} \left[\phi_M(x, z, t_n)\right]} \sum_{t_n=1}^{t_n=T} \left[\theta(x, z, t_n) \times \phi_M(x, z, t_n)\right] \qquad (2.2)$$

where θ is a flow variable, t_n is the time step, and T is the total number of time steps. The ϕ_M parameter is also used in calculating correlation functions and spectra; equations are given where appropriate in the following sections. Potential measurement uncertainties associated with flow regions having small values of measurement porosity are limited by only presenting data for which $\overline{\phi_M} > 0.75$.

2.3. *Calculating plant velocity*

The field PIV images contained enough detail of the fluctuating plant to extract estimates of the vertical $w_p(x)$ and transverse $v_p(x)$ plant velocity components. We selected rectangular interrogation regions (96×1024 pixels, 8×85 mm) which were sufficiently high to cover the entire visible plant cross section, but narrow enough that plant velocities could be measured as a function of streamwise position. Standard cross correlation applied to median filtered PIV images (to remove seeding particles) resulted in a rather wide peak in the correlation function (proportional to the width of the plant) and therefore poor accuracy in estimating the displacement. To improve cross correlation performance, we have employed Wernet's (2005) symmetric phase only filtering (SPOF) which

makes the correlation function more sensitive to the high wave number content of the image (i.e., the sharply defined edges of the plant stems and leaves). The SPOF takes the form of a weighting function $C(k_m, k_n)$ (2.3) applied to the cross spectral density $G(k_m, k_n) H^*(k_m, k_n)$ between a pair of interrogation regions, where G and H are the Fourier transforms of the first and second interrogation regions, H^* is the complex conjugate of H, and k_m and k_n are wavenumbers in the m and n dewarped image directions. The cross correlation function φ (2.4) is then calculated as the inverse Fourier transform (FFT^{-1}) of the weighted cross spectral density, which for $C = 1$ is the standard Fourier transform based cross correlation:

$$C(k_m, k_n) = \frac{1}{\sqrt{|G(k_m, k_n)|}\sqrt{|H(k_m, k_n)|}} \tag{2.3}$$

$$\varphi = FFT^{-1}\left[C(k_m, k_n) G(k_m, k_n) H^*(k_m, k_n)\right] \tag{2.4}$$

The displacement of the correlation peak is estimated only in the vertical (n) image direction which is sensitive to vertical and transverse displacements of the plant. By combining the displacements estimated from a pair of stereoscopic cameras, the vertical $w_p(x)$ and transverse $v_p(x)$ plant velocity components are recovered. Due to the extended interrogation regions, the measured velocities approximate the cross-sectional average of plant velocity fluctuations.

2.4. *Stereoscopic velocity field reconstruction*

Two-component velocity fields estimated using cross correlation on dewarped images are combined from two cameras to reconstruct the three-component velocity field according to Raffel *et al.* (2007) as:

$$\begin{bmatrix} 1 & 0 & \psi_{1m} \\ 0 & 1 & \psi_{1n} \\ 1 & 0 & \psi_{2m} \\ 0 & 1 & \psi_{2n} \end{bmatrix} \begin{bmatrix} \Delta_x \\ \Delta_z \\ \Delta_y \end{bmatrix} = \begin{bmatrix} \Delta_{1m} \\ \Delta_{1n} \\ \Delta_{2m} \\ \Delta_{2n} \end{bmatrix} \tag{2.5}$$

where Δ_{cm} and Δ_{cn} are the two displacement components estimated from images from the c camera ($c = 1, 2$), Δ_x, Δ_y and Δ_z (pixels) are the three displacement components in the x, y, z directions (figure 1a) and ψ_{cm} and ψ_{cn} are calibration factors calculated at the centre of each interrogation region using the camera calibration model. The ψ_{cm} and ψ_{cn} values indicate the shift in dewarped image coordinate (respectively in the m and n directions) corresponding to a unit displacement in the y (out-of-plane) direction and are equivalent to the tangents of the local view angles.

Equation (2.5) is an overdetermined system of linear equations (4 equations, 3 unknowns). It can be solved using a least squares method (Raffel *et al.* 2007) or by calculating exact solutions to subsets of the four equations (e.g. Prasad 2000). In the latter case, a redundant estimate for one of the velocity components may be obtained and it is standard practice to average together the redundant estimates to reduce the variance of the measurement noise in that component by a factor of two (Prasad 2000). More efficient use of the redundancy in (2.5) can be made by storing the redundant estimates separately rather than averaging them together. Following the method introduced for acoustic Doppler velocimeters by Hurther & Lemmin (2001), some velocity statistics can then be calculated which have significantly reduced noise contribution. This approach has not previously been tested with stereoscopic PIV data and so a brief evaluation is given in the following section. For the present camera configuration, the redundancy inherent in (2.5) falls substantially on the Δ_x displacement component, although in the general

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case, it may be shared between all of the displacement components. Based on (2.5), we
can write:

$$v = \Delta_y (M\Delta_{ls})^{-1} = (\Delta_{1n} - \Delta_{2n})(\psi_{1n} - \psi_{2n})^{-1}(M\Delta_{ls})^{-1} \tag{2.6}$$

$$w = \Delta_z (M\Delta_{ls})^{-1} = (\Delta_{1n})(M\Delta_{ls})^{-1} - \psi_{1n}v = (\Delta_{2n})(M\Delta_{ls})^{-1} - \psi_{2n}v \tag{2.7}$$

$$u_{[1]} = (\Delta_{1m})(M\Delta_{ls})^{-1} - \psi_{1m}v \tag{2.8}$$

$$u_{[2]} = (\Delta_{2m})(M\Delta_{ls})^{-1} - \psi_{2m}v \tag{2.9}$$

$$u = 0.5\left(u_{[1]} + u_{[2]}\right) \tag{2.10}$$

where M is a scale factor of the dewarped images (pixels/mm), Δ_{ls}(ms) is the time
separation between laser pulses, $u_{[1]}$ and $u_{[2]}$ are redundant estimates of the u velocity
component, and u, v, and w are the velocity components (m/s) in the x, y, and z
directions respectively.

2.5. *Noise reduction*

The redundancy in the streamwise velocity measurement can be used to calculate velocity
variance with a substantially reduced contribution of measurement noise. The instanta-
neous measured velocity fluctuation ($u' = u - \overline{u}$) can be decomposed into the sum of the
actual velocity fluctuation (u_a') and the measurement error (ε_u') as $u' = u_a' + \varepsilon_u'$. The
measured velocity variance can then be written:

$$\overline{u'u'} = \overline{(u_a' + \varepsilon_u')(u_a' + \varepsilon_u')} = \overline{u_a'u_a'} + \overline{\varepsilon_u'\varepsilon_u'} + 2\overline{u_a'\varepsilon_u'} \tag{2.11}$$

where the term $2\overline{u_a'\varepsilon_u'}$ vanishes if the measurement error is not correlated with the ac-
tual velocity fluctuation. The measured velocity variance therefore includes contributions
from the actual velocity variance and the variance of the random measurement error. If
redundant estimates of the velocity fluctuation ($u_{[1]}'$, $u_{[2]}'$) are available, (2.11) can be
rewritten as:

$$\overline{u_{[1]}'u_{[2]}'} = \overline{(u_a' + \varepsilon_{u_{[1]}}')(u_a' + \varepsilon_{u_{[2]}}')} = \overline{u_a'u_a'} + \overline{\varepsilon_{u_{[1]}}'\varepsilon_{u_{[2]}}'} + \overline{u_a'\varepsilon_{u_{[1]}}'} + \overline{u_a'\varepsilon_{u_{[2]}}'} \tag{2.12}$$

where $\varepsilon_{u_{[1]}}'$ and $\varepsilon_{u_{[2]}}'$ are the measurement errors associated with $u_{[1]}'$ and $u_{[2]}'$ respec-
tively. The third and fourth terms on the right vanish if the measurement error is not
correlated with the velocity fluctuation, leaving $\overline{\varepsilon_{u_{[1]}}'\varepsilon_{u_{[2]}}'}$ as the noise contribution to
the measured velocity variance. The magnitude of $\overline{\varepsilon_{u_{[1]}}'\varepsilon_{u_{[2]}}'}$ depends on the degree of
correlation ($-1 \leqslant C_{\varepsilon_{u_{[12]}}} \leqslant 1$) between the two noise terms, i.e.:

$$\overline{\varepsilon_{u_{[1]}}'\varepsilon_{u_{[2]}}'} = C_{\varepsilon_{u_{[12]}}}\sqrt{\left(\overline{\varepsilon_{u_{[1]}}'\varepsilon_{u_{[1]}}'}\right)\left(\overline{\varepsilon_{u_{[2]}}'\varepsilon_{u_{[2]}}'}\right)} \tag{2.13}$$

In the ideal case $C_{\varepsilon_{u_{[12]}}}$ approaches zero, and if additionally the measurement error is
uncorrelated with the velocity fluctuation, then $\overline{u_{[1]}'u_{[2]}'}$ can be considered a 'noise free'
estimate of the velocity variance. In practice, although $u_{[1]}$ and $u_{[2]}$ are measured by
different cameras, some correlation between the noise terms might be expected as the
same particles are imaged by both cameras, albeit from different angles. Furthermore
the equations for $u_{[1]}$ and $u_{[2]}$ (2.8, 2.9) both include the transverse velocity v. In the
present study, however, the multiplying factors ψ_{1m} and ψ_{2m} are quite small, increasing
from zero at the centre of the image to around $|\psi_{cm}| = 0.2$ at the left and right edges.
Nevertheless, part of the measurement error in v will contribute to $\overline{u_{[1]}'u_{[2]}'}$.

For the camera configuration used in our field experiments, this approach is limited
to reducing the noise in statistics of the streamwise velocity component. The noise level

in the other components can, however, still be estimated. Based on (2.5), by assuming $\psi_{1n} = -\psi_{2n}$ (for a symmetric camera system) and $\psi_{1m} = \psi_{2m} = 0$, and applying standard equations for error propagation, it can be shown that

$$\overline{\varepsilon_w'\varepsilon_w'} = \psi_{1n}{}^2\overline{\varepsilon_v'\varepsilon_v'} = 0.5N_{nm}\overline{\varepsilon_{u_{[1]}}{}'\varepsilon_{u_{[1]}}{}'} = 0.5N_{nm}\overline{\varepsilon_{u_{[2]}}{}'\varepsilon_{u_{[2]}}{}'} \quad (2.14)$$

and

$$\overline{\varepsilon_v'\varepsilon_w'} = \overline{\varepsilon_u'\varepsilon_w'} = \overline{\varepsilon_u'\varepsilon_v'} \sim 0 \quad (2.15)$$

where $N_{nm} = \overline{\varepsilon_{\Delta cn}{}'\varepsilon_{\Delta cn}{}'}/\overline{\varepsilon_{\Delta cm}{}'\varepsilon_{\Delta cm}{}'}$, $\varepsilon_{\Delta cm}{}'$ is the random error in Δ_{cm}, and $\varepsilon_{\Delta cn}{}'$ is the random error in Δ_{cn}, and it is assumed that the error variance is the same for each camera (i.e. $\overline{\varepsilon_{\Delta 1n}{}'\varepsilon_{\Delta 1n}{}'} = \overline{\varepsilon_{\Delta 2n}{}'\varepsilon_{\Delta 2n}{}'}$ and $\overline{\varepsilon_{\Delta 1m}{}'\varepsilon_{\Delta 1m}{}'} = \overline{\varepsilon_{\Delta 2m}{}'\varepsilon_{\Delta 2m}{}'}$). For the present camera configuration, the value of N_{nm} is likely to be greater than one due to the elongation of particle images induced by the image dewarping process. Its value can be estimated with the help of computer generated PIV images.

Artificial PIV images were generated using a procedure described in Cameron (2011), but extended here to generate a stereoscopic pair of images by applying the camera calibration model to transform simulated three-dimensional particle coordinates to image coordinates for a pair of cameras. The simulated cameras were positioned similar to the real cameras used in the field experiments (63 degree viewing angle). Other parameters of the simulation were: seeding concentration of 9×10^{-3} particles per pixel, particle image diameter of 2.1 pixels, background intensity of 6 grey levels (8 bit quantization), random additive noise with standard deviation 1.4 grey levels, maximum particle brightness of 500 grey levels (reflecting some saturation of the 8 bit image), and fill factor of 0.6. These parameters were selected to approximate the experimental PIV images obtained in the field. A series of 256×256 pixel images were generated, each with a uniform displacement field across the image, but with the displacement systematically varied over a set of 4×10^5 images to uniformly cover the range $0 < \Delta_{cm} < 2$ and $0 < \Delta_{cn} < 4.4$ pixels which corresponds to two full cycles of the peak locking error (Raffel *et al.* 2007) in each direction. Note that the peak locking error typically has a period of 1 pixel, but when images are dewarped, by in this case stretching the image by a factor of 2.2 in the n direction, the peak locking period is stretched by the same factor. The simulated images were analysed using the same algorithm as was used for the field experiment images, and the error variance for each component was obtained as:

$$\overline{\varepsilon_{u_{[1]}}{}'\varepsilon_{u_{[1]}}{}'} = \overline{\varepsilon_{u_{[2]}}{}'\varepsilon_{u_{[2]}}{}'} = 1.06\overline{\varepsilon_v'\varepsilon_v'} = 0.27\overline{\varepsilon_w'\varepsilon_w'} \quad (2.16)$$

indicating a value of $N_{nm} = 7.4$. This relationship is used in section 3.1 to estimate the variance of the errors in the vertical and transverse velocity components. From the simulation, the correlation coefficient between the errors in $u_{[1]}$ and $u_{[2]}$ was found to be very small ($C_{\varepsilon_{u_{[12]}}} = 1.4 \times 10^{-3}$). The simulation data also indicates that the ratio $\overline{u_a'\varepsilon_{u_{[1]}}}/\overline{u_a'u_a'} \approx \overline{u_a'\varepsilon_{u_{[2]}}}/\overline{u_a'u_a'}$ is of the order 10^{-6} for the present experiments, confirming that the third and fourth terms on the right hand side of (2.12) can be safely neglected. It is therefore reasonable to assume that $\overline{u_{[1]}'u_{[2]}'}$ has significantly reduced noise contribution compared to $\overline{u_{[1]}'u_{[1]}'}$ and $\overline{u_{[2]}'u_{[2]}'}$

Higher order statistics can also be estimated using redundant velocity estimates to reduce noise contribution. For example, by calculating the velocity skewness (S) and kurtosis (K) as:

$$S_u = \frac{\overline{u'u'u'}}{\left(\overline{u_{[1]}'u_{[2]}'}\right)^{3/2}} \quad (2.17)$$

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$$K_u = \frac{\overline{u_{[1]}'u_{[1]}'u_{[2]}'u_{[2]}'} + \left(\overline{u_{[1]}'u_{[2]}'}\right)\left(\overline{u_{[1]}'u_{[2]}'}\right) - \left(\overline{u_{[1]}'u_{[1]}'}\right)\left(\overline{u_{[2]}'u_{[2]}'}\right)}{\left(\overline{u_{[1]}'u_{[2]}'}\right)^2} - 3 \qquad (2.18)$$

The measurement noise is eliminated if it is uncorrelated with the velocity fluctuation and if the noise correlation $C_{\varepsilon_{u_{[12]}}}$ is zero. Again, (2.17) and (2.18) can only be applied for the streamwise velocity component for the present camera configuration. The noise contribution to the measured skewness and kurtosis for other velocity components can be estimated assuming that the random errors have a Gaussian distribution, using:

$$S_{va} = \frac{\overline{v_a'v_a'v_a'}}{\overline{v_a'v_a'}^{3/2}} = (1 + N_v)^{3/2} S_v \qquad (2.19)$$

$$S_{wa} = \frac{\overline{w_a'w_a'w_a'}}{\overline{w_a'w_a'}^{3/2}} = (1 + N_w)^{3/2} S_w \qquad (2.20)$$

$$K_{va} = \frac{\overline{v_a'v_a'v_a'v_a'}}{\overline{v_a'v_a'}^2} - 3 = (1 + N_v)^2 K_v \qquad (2.21)$$

$$K_{wa} = \frac{\overline{w_a'w_a'w_a'w_a'}}{\overline{w_a'w_a'}^2} - 3 = (1 + N_w)^2 K_w \qquad (2.22)$$

where S_v and S_w are the measured transverse and vertical velocity skewness, K_v and K_w are the measured transverse and vertical velocity kurtosis. Actual (or noise free) velocity fluctuations (v_a', w_a'), skewness (S_{va}, S_{wa}) and kurtosis (K_{va}, K_{wa}) are denoted with the subscript 'a'. The terms N_v and N_w are the noise to signal ratios defined as:

$$N_v = \frac{\overline{\varepsilon_v'\varepsilon_v'}}{\overline{v_a'v_a'}} \quad \text{and} \quad N_w = \frac{\overline{\varepsilon_w'\varepsilon_w'}}{\overline{w_a'w_a'}} \qquad (2.23)$$

which can be estimated from (2.16) and the experimentally measured velocity variance. These relationships are used in section 4.3 to estimate noise contributions to measured velocity skewness and kurtosis.

3. Field site and experiments

The site selected for the field deployment was on the Urie River, near the town of Inverurie and 26 km from Aberdeen City. An approximately straight section of the River was identified (figure 3a) with convenient vehicle access and a rich abundance of aquatic plants, including species from the *Myriophyllum*, *Ranunculus*, *Potamogeton*, and *Callitriche* genera, along with various aquatic mosses (figure 3b). The gravel bed at this River reach had a median particle size of 35 mm (estimated from a random sample of 117 particles) and featured intermittent sandy patches and occasional large boulders. At the test section the River was 12.9 m wide (figure 4), the average flow depth was 0.39 m, the flow rate was 2.7 m^3/s, and the water surface slope was $1.5\pm0.4 \times10^{-3}$. The Reynolds number based on flow depth and mean velocity was 1.52×10^5 and the Froude number was 0.28. Assessment of velocity time series (not shown) and observations of the river water surface elevation throughout the deployment suggest that the flow conditions were steady.

A set of PIV measurements were made of the flow field around a *Ranunculus penicillatus* plant patch located 2.8 m from the right river bank. The maximum dimensions of the patch were approximately 400 mm long, 200 mm wide, and 100 mm high. This particular
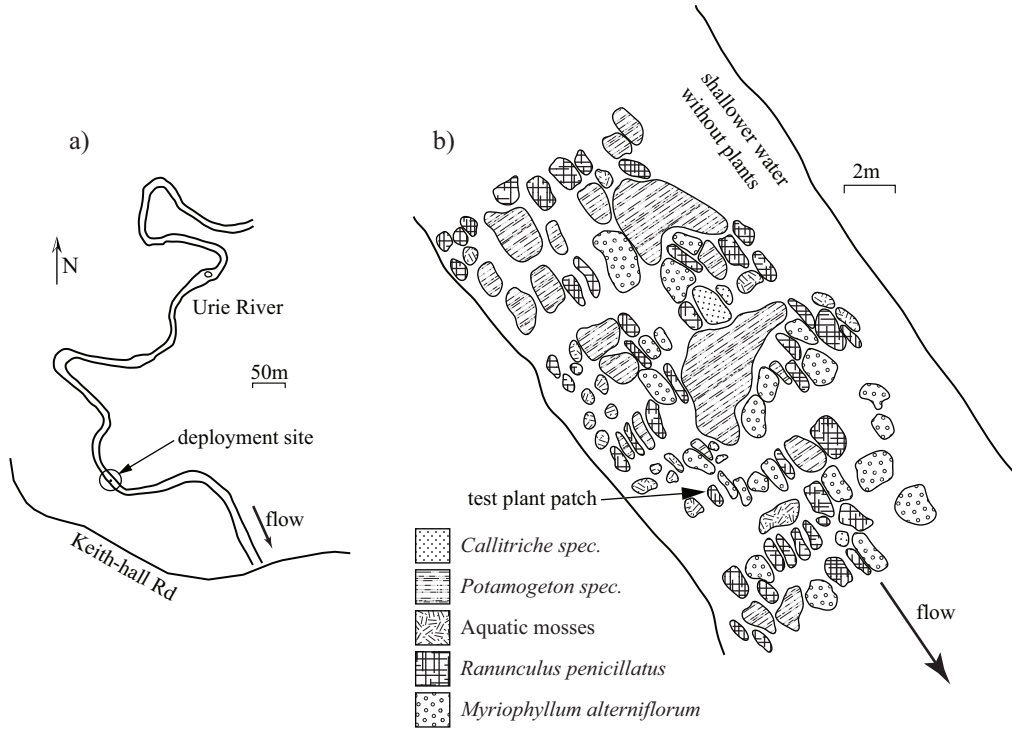
FIGURE 3. Sketch of the field deployment site (a). Aquatic plant species near the test section of the Urie River (b).
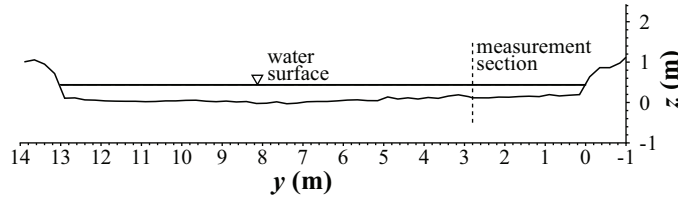

FIGURE 4. Cross section of the Urie River near the test section.

patch was selected because of its size in relation to the PIV field of view and its isolation from other plants and large boulders. Three-minute PIV recordings were made at three measurement locations, starting near the free end of the plant patch and subsequently incremented by 130 mm in the downstream direction. The total measurement coverage was a planar region 400 mm in the streamwise direction and 320 mm in the vertical direction and aligned with the centreline of the plant (figure 5a). The recording rate was 30 image pairs per second, but due to a technical issue, some of the frames were later found to not be viable, resulting in an average of 20 image pairs per second. Missing time steps are assigned $\phi_M = 0$ allowing statistical quantities to be estimated using only valid data.

3.1. *Measurement noise*

Based on the redundant estimates of the streamwise velocity component, the variance of the noise can be estimated as:

$$0.5\left(\overline{\varepsilon_{u_{[1]}}'\varepsilon_{u_{[1]}}'} + \overline{\varepsilon_{u_{[2]}}'\varepsilon_{u_{[2]}}'}\right) = 0.5\left(\overline{u_{[1]}'u_{[1]}'} + \overline{u_{[2]}'u_{[2]}'} - 2\overline{u_{[1]}'u_{[2]}'}\right) \tag{3.1}$$
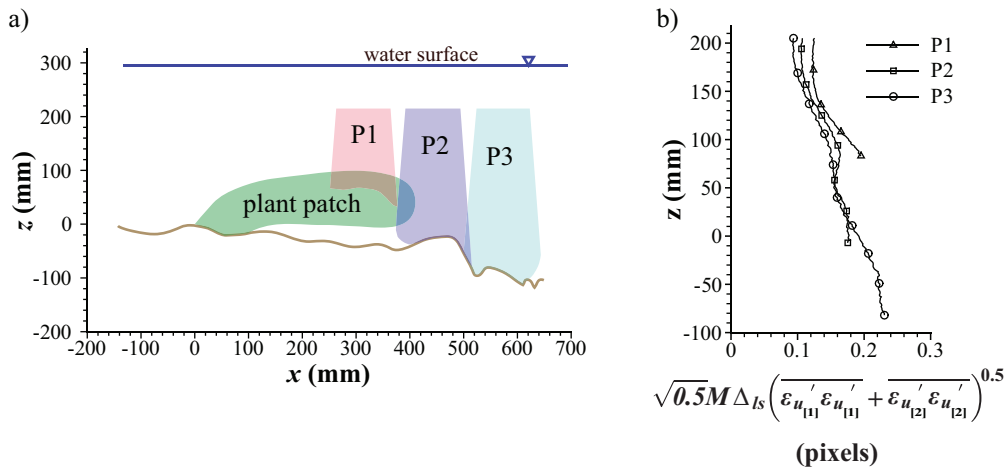
12

FIGURE 5. PIV measurement coverage (P1, P2, P3) relative to the plant patch and local bed topography (a). Standard deviation of measurement noise for the three measurement positions (b).

This noise term is plotted in the form $\sqrt{0.5}M\Delta_{ls}\left(\overline{\varepsilon_{u_{[1]}}'\varepsilon_{u_{[1]}}'} + \overline{\varepsilon_{u_{[2]}}'\varepsilon_{u_{[2]}}'}\right)^{0.5}$ in figure 5b, which is the standard deviation of the error in displacement units (pixels), allowing comparison with previous studies of PIV error (here $M = 12$ pixels/mm and $\Delta_{ls} = 1$ ms). Figure 5b indicates that the standard deviation of the measurement noise in the streamwise displacement component is approximately the same for each of the three measurement positions and increases from around 0.1 pixels for large z (near the free surface) to around 0.2 pixels near the bed. The increase in error approaching the bed reflects the varying magnification of the source images and deteriorating image conditions with distance from the cameras due to light sheet intensity falloff. The magnitude of the error is comparable to that obtained from computer simulations when considering a significant out of plane displacement component (e.g. Nobach & Bodenschatz 2009; Cameron 2011). Values of the noise to signal ratio terms (2.23) for the transverse and vertical velocity components can be estimated as $N_v = 0.02$ and $N_w = 0.07$ in the wake of the plant patch.

4. Flow turbulence and plant fluctuations

4.1. *Mean velocity field*

Mean velocity streamlines combined for the three measurement planes (figure 6) indicate that the flow does not separate from the plant patch and no recirculation zone forms. Folkard (2011a) defines this as the 'canopy through-flow' regime, but the flow and patch conditions for its existence are yet to be identified for real plants. In contrast, the small rock immediately behind the plant patch shows clear signs of separation and recirculation, highlighting the potentially different mechanisms of drag for these two objects. Bluff bodies, such as the rock behind the plant patch, produce drag mainly through the differential pressure between their upstream and downstream surfaces which occurs due to flow separation. Drag on aquatic plants, however, due to their flexibility, porosity, and large wetted surface area, may be dominated by viscous drag (Nikora & Nikora 2007) which forms due to the velocity gradient at the plant surfaces. Although figure 6 is consistent with the proposed conjecture, this hypothesis is difficult to test experimentally as flow separation and pressure drag may occur at several different plant scales (plant
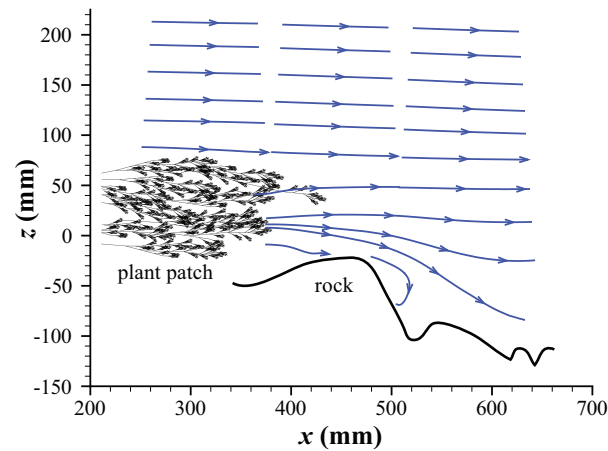
FIGURE 6. Velocity streamlines in the flow region around a *Ranunculus* plant patch.

patch, individual plant, stem, leaf). Recent experimental studies have measured drag forces at each of these scales (e.g. Albayrak *et al.* 2012; Nikora *et al.* 2012; Siniscalchi & Nikora 2012; Siniscalchi *et al.* 2012; Siniscalchi & Nikora 2013), however, separating viscous/pressure drag contributions directly still exceeds experimental capability. Further complicating the viscous/pressure drag argument is that simple scaling relationships such as $F_D \propto u^2$ for pressure drag and $F_D \propto u^1$ for viscous drag (where F_D is drag force on the plant) cannot easily be applied to aquatic plants as they have the tendency to change their structure in response to the velocity field. This so called 'reconfiguration' (Vogel 1994; de Langre 2008) can change the wetted surface area, the effective frontal area and the drag coefficient (through streamlining) of the plant as a function of flow velocity, thereby complicating interpretation of force scaling with flow velocity.

The plant drag, whether viscous or pressure dominated, is a sink of momentum and introduces a free shear layer (and associated inflection in the $\overline{u}(z)$ profile) at the interface between the retarded flow in the wake of the plant and the background channel flow (figure 7). The inflectional form of the mean velocity profile is suggested to lead to the Monami phenomenon in aquatic plant canopies (Ghisalberti & Nepf 2002; Nezu & Sanjou 2008; Nepf 2012) and dominate local turbulence characteristics due to a periodic production of vortices (Kelvin Helmholtz instability). For a single isolated plant patch, however, the mean flow in the wake is distinctly three-dimensional and exposed to high background turbulence levels which would tend to disrupt any periodic vortex formation mechanisms. The shear layer may nevertheless be associated with high levels of turbulence production; the distribution of the Reynolds stresses and their budget terms are examined in the following section. Potential periodicity of the velocity in the plant wake is examined in section 4.5. Figure 7b illustrates the streamwise momentum recovery in the wake of the plant for the x coordinates marked by circles in figure 7a. The streamwise velocity in the wake is steadily increasing with increasing x, and the corresponding decay of the maximum velocity gradient is apparent.

4.2. *Reynolds stresses and their budget terms*

The normal Reynolds stresses $(\overline{u'u'}, \overline{v'v'}, \overline{w'w'})$ and the primary Reynolds shear stress $(-\overline{u'w'})$ all attain maximum values near the shear layer in the wake of the plant (figure 8). In general, the distribution of Reynolds stresses in the patch wake may depend on a variety of patch and approach flow conditions such as patch length and width, the
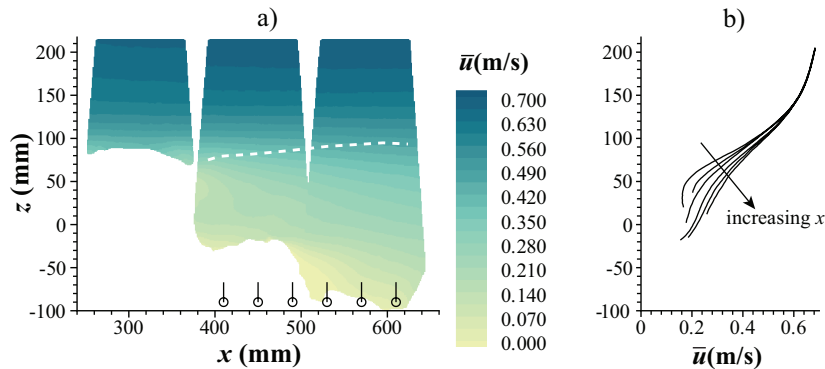
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FIGURE 7. Time-averaged streamwise velocity distribution: a) around a *Ranunculus* plant patch, and b) in the wake of the plant patch for x coordinates corresponding to circle symbols in 'a'. Dashed line indicates local maximum in the $\partial\overline{u}/\partial z$ distribution.

distribution and shape of plant stems and leaves within the patch, the flexibility of the plants, the approach flow Reynolds number, and the flow depth to patch height ratio. For example, in contrast to our study, the peak Reynolds stress for Folkard's (2005) model seagrass canopy formed several patch heights downstream of the patch and near the reattachment point of the separated flow. It is not yet clear if natural patches of *Ranunculus penicillatus* form similar wake features under different flow and patch conditions. Secondary Reynolds shear stresses (not shown) were found to be an order of magnitude smaller than the primary Reynolds shear stress as might be expected (due to symmetry) near the centreline of the plant. The Reynolds stress correlation coefficient $-\overline{u'w'}/(\overline{u'u'}\ \overline{w'w'})^{0.5}$, which reflects the efficiency of the turbulent fluctuations at redistributing momentum, has a maximum value of 0.61 in the plant wake ($x = 400, z = 75$), slightly larger than the 0.4-0.5 typical for open channel flows (Nezu & Nakagawa 1993), the 0.5 found for terrestrial canopies (Raupach *et al.* 1996), and the 0.5 found in the wake of a cylinder (Cantwell & Coles 1983).

The transverse and vertical normal stresses have similar magnitudes to each other in the plant wake $(\overline{v'v'}/\overline{w'w'} = 1-1.2)$ which is smaller than the ratio 1.65 typical for open channel flows (Nezu & Nakagawa 1993), but closer to the ratio of 1.2 measured for a plane mixing layer by Wygnanski & Fiedler (1970). The streamwise normal stress $\overline{u'u'}$ is found to decay with increasing x much faster than the other components. In the far wake ($x = 600$ mm) $\overline{u'u'}$ has reduced to 71% of its near wake ($x = 400$ mm) maximum. Corresponding values for $\overline{v'v'}$ and $\overline{w'w'}$ are 91% and 85% respectively. Subtle differences in the elevations where the maximum variance occurs can be seen between the different components of the Reynolds stress tensor. Local maximums in both $\overline{u'u'}(z)$ and $\overline{u'w'}(z)$ tend to higher elevations with increasing x following the mean shear layer and reflecting the expansion of the wake region into the outer flow. The trend for $\overline{w'w'}(z)$ is nearly horizontal, and for $\overline{v'v'}(z)$ it is downward. The reason for these different trends is not clear, but further understanding might be gained by considering the budget equation for

FIGURE 8. Reynolds normal stresses and the primary Reynolds shear stress. Dashed lines indicate local maximum in the $\partial \overline{u}/\partial z$ distribution.

the Reynolds stresses:

$$
\overbrace{\frac{\partial \overline{u_k' u_i'}}{\partial t}}^{\substack{\text{time rate} \\ \text{of change}}} + \overbrace{\overline{u_j} \frac{\partial \overline{u_i' u_k'}}{\partial x_j}}^{\text{mean conv.}} = \overbrace{- \overline{u_k' u_j'} \frac{\partial \overline{u_i}}{\partial x_j} - \overline{u_i' u_j'} \frac{\partial \overline{u_k}}{\partial x_j}}^{\text{production}}
$$

$$
\underbrace{- \frac{1}{\rho} \frac{\partial \overline{u_k' p'}}{\partial x_i} - \frac{1}{\rho} \frac{\partial \overline{u_i' p'}}{\partial x_k}}_{\text{pres. trans.}} \underbrace{- \frac{\partial \left(\overline{u_k' u_j' u_i'} \right)}{\partial x_j}}_{\text{turb. trans.}} + \underbrace{\nu \frac{\partial}{\partial x_j} \left(\frac{\partial \overline{u_i' u_k'}}{\partial x_j} \right)}_{\text{visc. trans.}}
$$

$$
+ \underbrace{\frac{1}{\rho} \overline{p' \left(\frac{\partial u_i'}{\partial x_k} + \frac{\partial u_k'}{\partial x_i} \right)}}_{\text{pres. strain}} - \underbrace{2\nu \overline{\frac{\partial u_i'}{\partial x_j} \frac{\partial u_k'}{\partial x_j}}}_{\text{dissipation}} \tag{4.1}
$$

where ρ is fluid density, ν is kinematic fluid viscosity, and p is fluid pressure. The free indices (i and k) can take the values 1, 2 or 3 where u_1, u_2, u_3 correspond to the velocity components u, v and w and x_1, x_2, x_3, correspond to the x, y, and z directions respectively (figure 1a). The dummy index j implies summation over all possible values of j ($j = 1, 2, 3$) in accordance with the Einstein summation convention. Overbars indicate time (ensemble) averaged values and the prime symbol defines the deviation of an instantaneous variable from its time averaged value (e.g. $u' = u - \overline{u}$).

The Reynolds stress budget equation can be derived from the Navier-Stokes (NS) momentum conservation equation in three steps. First derive an equation for the fluctuating velocity by subtracting the time average of the NS equation from the NS equation. Second, multiply the equation for the velocity fluctuation by u_k and time average the

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resulting equation. Third, exchange the free indices (i and k) in the equation developed in step 2 and add this new equation to the original equation in step 2 to give (4.1). It can be noted that the budget equation for turbulent kinetic energy is obtained by taking half the trace of (4.1). Equation (4.1) has received considerable attention as a framework to develop closure models for the Reynolds averaged Navier-Stokes equations. Distribution of the terms in (4.1) can also provide some insight into the turbulence in the wake of the plant patch, and in the present study this is our primary interest.

In a uniform, two-dimensional channel flow $\overline{v} = \overline{w} = \partial/\partial x = \partial/\partial y = 0$ and the only non-zero normal stress production term is in the $\overline{u'u'}$ budget. Variance is redistributed from $\overline{u'u'}$ to the other normal stress components by the pressure-strain correlation term which is traceless and therefore does not appear in the total turbulent kinetic energy balance. Away from boundaries, the dissipation rate is expected to be approximately equal in each of the normal stress budgets due to local isotropy, if the Reynolds number is reasonably high (Davidson 2004). Dissipation in the $\overline{u'w'}$ budget is typically small, and production in $\overline{u'w'}$ is balanced largely by the pressure-strain term (Mansour *et al.* 1988; Pope 2000). The mean convection, turbulent transport, and pressure transport terms act to redistribute the Reynolds stresses in space and each of the transport terms integrate to zero over the flow depth in two-dimensional channel flow. The viscous transport term is expected to be negligible away from boundaries compared to other transport mechanisms if the Reynolds number is large. In the wake of an aquatic plant patch, the time-averaged flow field is three-dimensional and some departure from the distributions of the budget terms for two-dimensional flow may be expected. Some of the terms in (4.1) cannot be evaluated from the experimental data. The pressure field is not available, terms involving transverse derivatives cannot be calculated, and there is insufficient spatial resolution to resolve the dissipation rate tensor that would require resolution of the order of the Kolmogorov microscale ($\sim$0.1 mm). We can, however, estimate contributions from streamwise and vertical derivatives to the mean convection, turbulent transport, and production terms as (4.2)-(4.5), where the terms in brackets highlight the transverse derivatives that could not be calculated in this study. The effect of random measurement errors should be negligible for the terms involving third moments (all turbulent transport terms) and terms involving the fluid stresses $\overline{u_{[1]}'u_{[2]}'}$, $\overline{u'w'}$, $\overline{u'v'}$, or $\overline{v'w'}$. Terms involving $\overline{v'v'}$ or $\overline{w'w'}$ will be biased by the measurement noise, but evaluation of the magnitude of the noise contribution to each of these terms suggests that in all cases it is much smaller than the sampling error. Sampling errors were estimated using a resampling technique (Garcia *et al.* 2006) and associated confidence intervals are indicated in figure 9. In general, the sampling error varies with z, but in order to reduce the clutter in figure 9, an average value is given. Derivatives were estimated by convolving the time averaged moments of the velocity field with a 21$\times$21 grid point (21$\times$21 mm) 2nd order least squares kernel. The size of the filter was sufficiently large to smooth over sampling errors (due to finite measurement duration), but still sufficiently small so as not to significantly reduce the amplitude of the measured derivatives.

$$\text{mean conv.} \quad -\rho\overline{u}\frac{\partial\overline{u_{[1]}'u_{[2]}'}}{\partial x} - \rho\overline{w}\frac{\partial\overline{u_{[1]}'u_{[2]}'}}{\partial z} - \left(\rho\overline{v}\frac{\partial\overline{u'u'}}{\partial y}\right)$$

$$\text{turb. trans.} \quad -\rho\frac{\partial\overline{u'u'u'}}{\partial x} - \rho\frac{\partial\overline{w'u'u'}}{\partial z} - \left(\rho\frac{\partial\overline{v'u'u'}}{\partial y}\right) \quad\Biggr\}\ \rho\overline{u'u'}\ \text{budget} \quad (4.2)$$

$$\text{production} \quad -2\rho\overline{u_{[1]}'u_{[2]}'}\frac{\partial\overline{u}}{\partial x} - 2\rho\overline{u'w'}\frac{\partial\overline{u}}{\partial z} - \left(2\rho\overline{u'v'}\frac{\partial\overline{u}}{\partial y}\right)$$

$$\text{mean conv.} \quad -\rho\overline{u}\frac{\partial\overline{v'v'}}{\partial x} - \rho\overline{w}\frac{\partial\overline{v'v'}}{\partial z} - \left(\rho\overline{v}\frac{\partial\overline{v'v'}}{\partial y}\right)$$

$$\text{turb. trans.} \quad -\rho\frac{\partial\overline{u'v'v'}}{\partial x} - \rho\frac{\partial\overline{w'v'v'}}{\partial z} - \left(\rho\frac{\partial\overline{v'v'v'}}{\partial y}\right) \quad\Biggr\}\ \rho\overline{v'v'}\ \text{budget} \quad (4.3)$$

$$\text{production} \quad -2\rho\overline{u'v'}\frac{\partial\overline{v}}{\partial x} - 2\rho\overline{v'w'}\frac{\partial\overline{v}}{\partial z} - \left(2\rho\overline{v'v'}\frac{\partial\overline{v}}{\partial y}\right)$$

$$\text{mean conv.} \quad -\rho\overline{u}\frac{\partial\overline{w'w'}}{\partial x} - \rho\overline{w}\frac{\partial\overline{w'w'}}{\partial z} - \left(\rho\overline{v}\frac{\partial\overline{w'w'}}{\partial y}\right)$$

$$\text{turb. trans.} \quad -\rho\frac{\partial\overline{u'w'w'}}{\partial x} - \rho\frac{\partial\overline{w'w'w'}}{\partial z} - \left(\rho\frac{\partial\overline{v'w'w'}}{\partial y}\right) \quad\Biggr\}\ \rho\overline{w'w'}\ \text{budget} \quad (4.4)$$

$$\text{production} \quad -2\rho\overline{u'w'}\frac{\partial\overline{w}}{\partial x} - 2\rho\overline{w'w'}\frac{\partial\overline{w}}{\partial z} - \left(2\rho\overline{v'w'}\frac{\partial\overline{w}}{\partial y}\right)$$

$$\text{mean conv.} \quad -\rho\overline{u}\frac{\partial\overline{u'w'}}{\partial x} - \rho\overline{w}\frac{\partial\overline{u'w'}}{\partial z} - \left(\rho\overline{v}\frac{\partial\overline{u'w'}}{\partial y}\right)$$

$$\text{turb. trans.} \quad -\rho\frac{\partial\overline{u'u'w'}}{\partial x} - \rho\frac{\partial\overline{u'w'w'}}{\partial z} - \left(\rho\frac{\partial\overline{u'w'v'}}{\partial y}\right)$$

$$\text{production} \quad -\rho\overline{u'w'}\frac{\partial\overline{u}}{\partial x} - \rho\overline{w'w'}\frac{\partial\overline{u}}{\partial z} - \rho\overline{u_{[1]}'u_{[2]}'}\frac{\partial\overline{w}}{\partial x} - \rho\overline{u'w'}\frac{\partial\overline{w}}{\partial z}$$
$$- \left(\rho\overline{w'v'}\frac{\partial\overline{u}}{\partial y} - \rho\overline{u'v'}\frac{\partial\overline{w}}{\partial y}\right)$$

$$\Biggr\}\ \rho\overline{u'w'}\ \text{budget}$$

$$(4.5)$$

Distributions of the available Reynolds stress budget terms together with the Reynolds stresses are shown in figure 9 for the near wake ($x = 430$ mm) and for the far wake ($x = 600$ mm). The distribution of the production term in the $\overline{u'u'}$ budget forms a peak at $z = 85$ mm in the near wake and $z = 99$ mm in the far wake which closely matches the peaks in the corresponding Reynolds normal stress. The mean convection term is also a gain at this elevation but is much smaller than the production term. The ratio between the local production and the mean convection terms, 3.8 in the near wake, suggests that the $\overline{u'u'}$ field near the shear layer is dominated by local rather than upstream generation processes. This result is consistent with a plane mixing layer (Wygnanski & Fiedler 1970), but differs from many separated flows which feature a region where convection is the dominant gain term, for example the axisymmetric wake (Uberoi & Freymuth 1970)

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561 and the wake of a surface mounted cube (Hussein & Martinuzzi 1996). The turbulent
562 transport term in the $\overline{u'u'}$ budget is a loss near the shear layer and a gain at both higher
563 and lower elevations. The effect of this term is therefore to diffuse turbulence away
564 from the shear layer where it is produced. It is interesting to note that both turbulent
565 transport and mean convection terms cross zero above and below the shear layer at
566 about the same elevations ($z = 114$ mm and $z = 64$ mm respectively). Further, these
567 elevations correspond to measurement porosity values measured at $x = 350$ mm of $\overline{\phi_M} =$
568 0.98 and 0.04 respectively, i.e. near the extreme upper and lower elevations of the top of
569 the fluctuating plant (considering that $\overline{\phi_M}$ in this region is dominated by the presence or
570 absence of plant within PIV interrogation regions). The alignment between these three
571 statistics may indicate that the fluctuating plant (and corresponding fluctuation of the
572 shear layer elevation) plays a role in regulating the distribution of $\overline{u'u'}$ in the wake.
573 Production in the $\overline{v'v'}$ and $\overline{w'w'}$ budgets is small compared to the production in the
574 $\overline{u'u'}$ budget and does not appear to explain why the maximums in the three Reynolds
575 normal stress distributions do not coincide. The reason for this is likely contained in the
576 pressure-strain and pressure transport terms (which are not available from experimental
577 data) and also in upstream production such as in the wakes of individual plant stems and
578 leaves. Both the turbulent transport and mean convection terms in the transverse and
579 vertical normal stress budgets have similar characteristics to the corresponding terms in
580 the streamwise normal stress budget. The transport terms are a loss where the velocity
581 variance is high and a gain in both the higher and lower flow layers. Convection terms
582 follow the same pattern, but are smaller and have opposite sign. The $\overline{u'w'}$ budget has
583 similar characteristics to the $\overline{u'u'}$ budget, but each term has opposite sign because the
584 primary Reynolds shear stress is negative. We note again a correlation between the
585 distribution of the production term and the corresponding Reynolds stress distribution
586 with the local peaks in these distributions forming at the same elevation. The production
587 is 7.3 times larger than the convection term indicating that the primary Reynolds shear
588 stress distribution is dominated by local rather than upstream production. The turbulent
589 transport and mean convection terms have opposite signs and similar to the $\overline{u'u'}$ budget,
590 each crosses zero near the same elevation.

4.3. *Higher order moments*

592 Skewness $S_i = \overline{u'_i u'_i u'_i} / \overline{u'_i u'_i}^{3/2}$ and kurtosis $K_i = \overline{u'_i u'_i u'_i u'_i} / \overline{u'_i u'_i}^2 - 3$ (repeated
593 index does not imply summation) distributions provide further indication of the nature
594 of the turbulence in the wake of the plant patch. Equations (2.19)-(2.22) indicate that
595 measured skewness and kurtosis are biased towards zero by the measurement noise. The
596 relative error in S_w is around 10% and in K_w is around 15% in the shear zone behind the
597 plant patch. Relative errors for S_v and K_v are 3% and 4% respectively and for S_u and K_u
598 the error contribution is minimised using (2.17) and (2.18). Skewness is an indicator of
599 the asymmetry of the velocity probability distribution, with negative skewness associated
600 with a left-tailed distribution (rare high magnitude velocity fluctuations tend to have a
601 negative sign) while positive skewness indicates a right-tailed distribution (rare high
602 magnitude events tend to have a positive sign). Figure 9 indicates that S_v in the wake
603 of the plant is near zero over much of the flow depth which is expected due to the
604 approximate symmetry of the time averaged flow field near the plant centreline. Skewness
605 of the streamwise and bed-normal velocity components have opposite signs over most
606 of the flow depth. A transition from an 'ejection' dominated upper flow region ($S_u <$
607 0, $S_w > 0$) to a 'sweep' dominated lower flow region ($S_u > 0$, $S_w < 0$) is evident
608 around $z = 88$ mm in the near wake which corresponds to the location of the mean
609 shear layer. Such antisymmetric distributions of S_u and S_w are typical of mixing layers
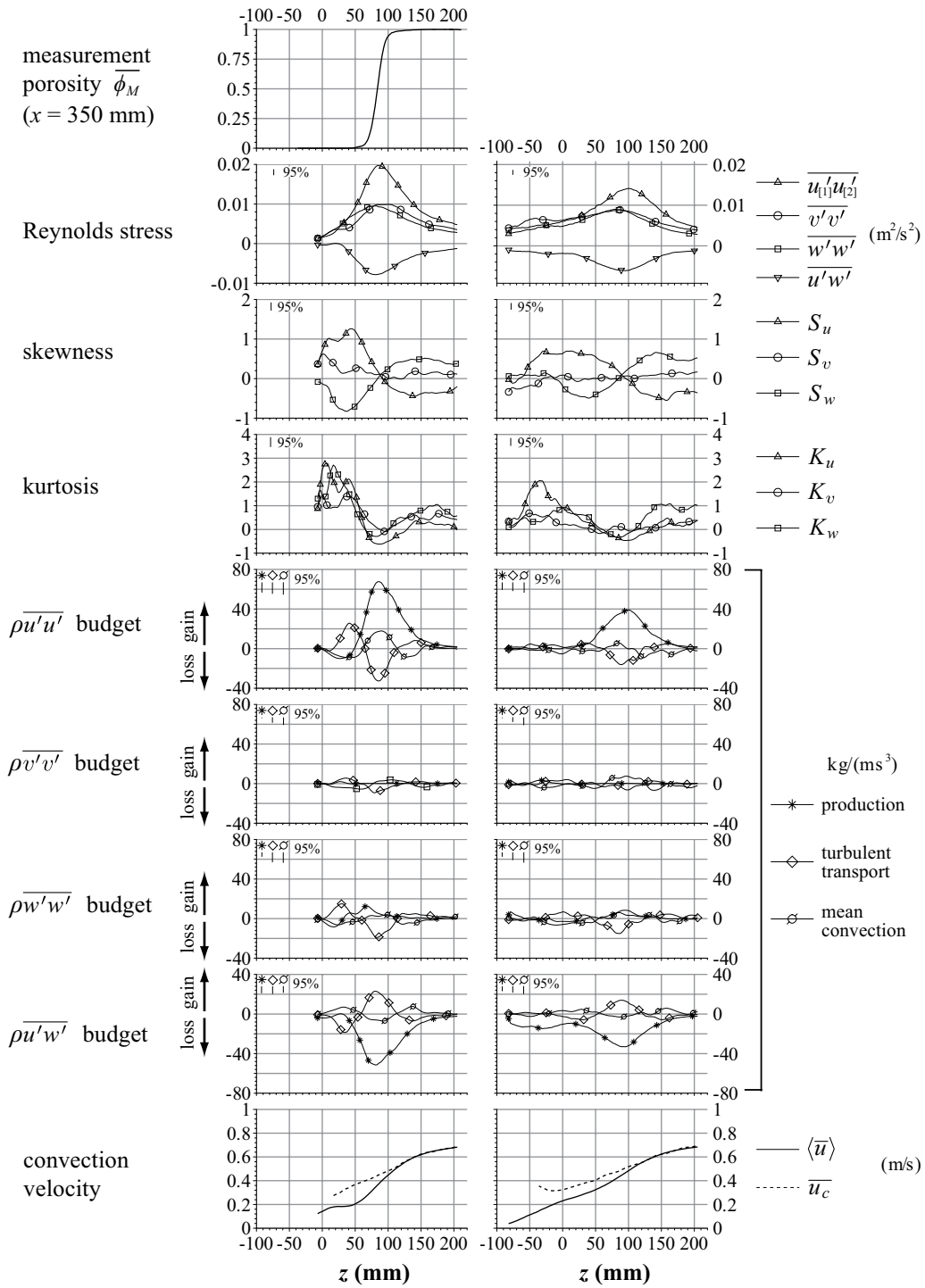
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FIGURE 9. Velocity field statistics in the near wake ($x = 430$, left column except measurement porosity) and far wake ($x = 600$, right column) of a *Ranunculus penicillatus* plant patch.

20

610 and flows over aquatic canopies (Raupach *et al.* 1996; Nezu & Sanjou 2008) but are also
611 found in open channel flows over gravel beds (Nikora & Goring 2000*b*). The kurtosis
612 of a probability distribution is an indicator of its 'peakedness' relative to a Gaussian
613 distribution. A high value of the kurtosis coefficient of a velocity signal indicates the
614 presence of rare (intermittent) high magnitude events, while a kurtosis coefficient that
615 is less than zero indicates that high magnitude events occur more frequently than for a
616 Gaussian distribution. Figure 9 indicates that the kurtosis coefficient for each velocity
617 component follows a similar distribution with regions of positive kurtosis in the higher
618 and lower flow layers and a region of low kurtosis near the shear layer. The largest values
619 of kurtosis are found behind the plant for elevations between $z = 0$ mm and $z = 50$ mm.
620 This indicates, in conjunction with $S_u > 0$, $S_w < 0$, that the flow field in this region
621 is characterised by rare high magnitude sweep events that likely originate from higher
622 flow layers and intermittently impinge into the low velocity region behind the plant. The
623 negative value of kurtosis near the mean shear layer ($K_u = -0.61$ at $z = 88$ mm) is
624 similar to the value of -0.63 measured by Wygnanski & Fiedler (1970) at the centre of
625 a plane mixing layer. Negative values of kurtosis have also been found in the near-bed
626 region of gravel bed open channel flows by Nikora & Goring (2000*b*) and for a smooth
627 wall boundary layer by Balachandar *et al.* (2001). It is interesting to note that in each
628 of these examples, and also for the present aquatic plant wake, the location of minimum
629 kurtosis corresponds to the location of maximum variance.

4.4. *Convection Velocity*

631 Eddy convection velocity ($\overline{u_c}$) has previously been studied primarily because of its rel-
632 evance to Taylors 'frozen turbulence' approximation which can be applied to transform
633 velocity statistics (such as velocity spectra and correlation functions) between time and
634 space domains. Several studies have indicated surprising departures of the convection
635 velocity from the local mean velocity (with $\overline{u_c} > \overline{u}$) such as in terrestrial canopy flows
636 by Shaw *et al.* (1995), in aquatic canopies by Nezu & Sanjou (2008), and for gravel bed
637 open channel flows by Nikora & Goring (2000*a*). Understanding the reasons for this de-
638 parture may provide some further insight into the turbulence structure, and this is our
639 motivation for examining the convection velocity in the wake of the *Ranunculus* plant
640 patch.
641   Convection velocity in the wake of the plant patch can be estimated from the 2-point
642 space-time correlation:

$$R\left(z, x_u, x_d, \Delta t_n\right) \left[\overline{u'u'}(x_u, z)\overline{u'u'}(x_d, z)\right]^{0.5} =$$

$$\frac{\sum_{t_n=1}^{t_n=T} \left[u'(x_u, z, t_n)u'(x_d, z, t_n + \Delta t_n)\phi_M(x_u, z, t_n)\phi_M(x_d, z, t_n + \Delta t_n)\right]}{\sum_{t_n=1}^{t_n=T} \left[\phi_M(x_u, z, t_n)\phi_M(x_d, z, t_n + \Delta t_n)\right]} \quad (4.6)$$

643 where x_u and x_d identify 'upstream' and 'downstream' x coordinates, t_n is the time
644 step, Δt_n is time step separation, T is the total number of time steps, and ϕ_M is the
645 measurement clipping function described in section 2.2. The eddy convection velocity is
646 then:

$$\overline{u_c}\left(z, x_u, x_d\right) = \frac{x_d - x_u}{\Delta t_{R\text{max}}} f_s \quad (4.7)$$

647 where $\Delta t_{R\text{max}}$ is the time separation (measured by time steps) that maximises R, and
648 f_s is the sampling frequency (30 Hz). The mean velocity field in the wake of the plant
649 is not homogeneous, so in order to make a meaningful comparison between the convec-
650 tion velocity and the local mean velocity, the latter is spatially averaged over the range

651    $x_u < x < x_d$:

$$\langle \overline{u} \rangle (z, x_u, x_d) = \frac{1}{\sum_{x=x_u}^{x=x_d} \sum_{t_n=1}^{t_n=T} [\phi_M (x, z, t_n)]} \sum_{x=x_u}^{x=x_d} \sum_{t_n=1}^{t_n=T} [u (x, z, t_n) \phi_M (x, z, t_n)] \quad (4.8)$$

652   Convection velocity and local average velocity are shown for the near wake ($x_u =$
653 $410, x_d = 450$ mm) and for the far wake ($x_u = 580, x_d = 620$ mm) in figure 9. Below
654 $z = 115$ mm in the near wake and below $z = 120$ mm in the far wake, the convection
655 velocity deviates significantly from the local mean velocity. The result $\overline{u_c} > \langle \overline{u} \rangle$ might
656 be expected in the lower flow layers ($z < 50$ mm) as the turbulence in this region is
657 characterised by rare high magnitude velocity fluctuations which are generated near the
658 shear layer (where the mean velocity is higher) and periodically impinge into the low
659 velocity region. It is reasonable to assume that these eddies propagate with a velocity
660 close to the mean velocity where they are generated explaining the observed $\overline{u_c} > \langle \overline{u} \rangle$
661 near the bed. We note, however, that near the shear layer ($z = 85$ mm in the near wake)
662 where the velocity fluctuations are dominated by local production, we can still observe
663 that the convection velocity is larger than the local mean velocity ($\overline{u_c} / \langle \overline{u} \rangle = 1.2$ at
664 $z = 85$ mm). Raupach *et al.* (1996) explains similar observations in terrestrial canopy
665 flows by suggesting that eddies which dominate the two-point correlation R are produced
666 mainly during wind gusts and therefore naturally propagate with the higher velocity of
667 the gust rather than the lower mean velocity. The relevance of this interaction mechanism
668 between the outer flow and the shear layer eddies to the present experiment, where scale
669 separation is much smaller, is not clear and remains to be clarified in future experiments.

670   4.5. *Velocity Spectra*

671 The structure of the velocity field in the wake of the plant is further examined by consid-
672 ering the power spectrum of velocity fluctuations, $F_{ii}(f)$. The spectrum can be evaluated
673 for velocity data with missing samples using the Lomb-Scargle method (Lomb 1976; Scar-
674 gle 1982) which can be written as:

$$2F_{ii}(\omega) = \frac{\left\{ \sum_{t_n=1}^{t_n=T} u_i \phi_M \cos [\omega (t - \tau)] \right\}^2}{\sum_{t_n=1}^{t_n=T} \phi_M \cos^2 [\omega (t - \tau)]} + \frac{\left\{ \sum_{t_n=1}^{t_n=T} u_i \phi_M \sin [\omega (t - \tau)] \right\}^2}{\sum_{t_n=1}^{t_n=T} \phi_M \sin^2 [\omega (t - \tau)]} \quad (4.9)$$

675 where, $t = t_n/f_s$ is the time corresponding to the $t_n{}^{th}$ measurement sample, $\omega = 2\pi f$ is
676 the angular frequency, f is the linear frequency, and τ is a time lag adopted by (Scargle
677 1982) to enforce invariance of the spectrum to time translation of the data and simplify
678 the statistical behaviour, with:

$$\tan (2\omega\tau) = \frac{\sum_{t_n=1}^{t_n=T} \phi_M \sin [2\omega t]}{\sum_{t_n=1}^{t_n=T} \phi_M \cos [2\omega t]} \quad (4.10)$$

679   The Lomb-Scargle method is equivalent to estimating the spectrum by a least squares
680 fit of sine waves to the data and for regularly spaced data reduces to the conventional
681 Fourier spectrum (Scargle 1982).
682   Comparison of the velocity power spectrum near the shear layer in the wake of the
683 plant ($x = 430, z = 85$ mm, figure 10a) with the spectrum at a higher elevation ($x = 430$,
684 $z = 200$ mm, figure 10b), where the influence of the plant is reduced, indicates a broad
685 increase in energy across all resolved frequencies in the plant wake. Some flattening of
686 the spectrum is evident at higher frequencies due to the contribution of aliasing and
687 measurement noise. A subtle clustering of energy around $f = 1$ Hz can be seen in the
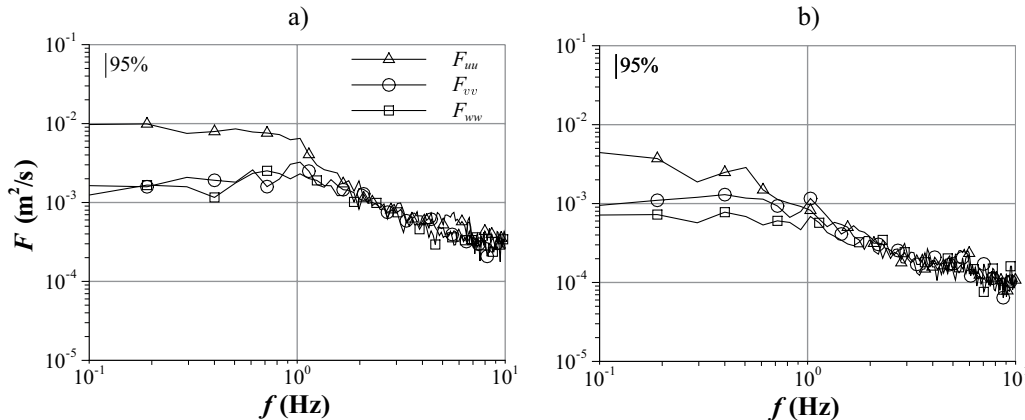
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FIGURE 10. Flow velocity spectrum a) behind the plant patch at $x = 430, z = 85$ mm; b) above the plant patch at $x = 430, z = 200$ mm.

wake spectrum, but there is no indication of a highly periodic component that would suggest a Kelvin Helmholtz type instability of the shear layer.

4.6. *Plant velocity fluctuations and plant-flow coupling*

The fluctuating movements of aquatic plants are important for several reasons. First, plant motion can enhance photosynthetic rate and nutrient uptake through increased delivery of light and nutrients to leaf surfaces (Koehl & Alberte 1988; Nikora 2010). Second, plant drag forces (which determine plant survival during high flow periods) may be regulated, to some extent, by plant motion. There is some evidence that waving plants can experience less drag by aligning themselves with instantaneous velocity streamlines ('dynamic reconfiguration', Siniscalchi & Nikora 2013), although in general the reverse may also be true. Finally, plant movement can enhance turbulent kinetic energy in the plant wake with implications for sediment transport and mixing processes. In the following, we study plant velocity fluctuations extracted from PIV images using the method described in section 2.3, to examine the nature of the plant motion and potential interaction mechanisms with the turbulent flow.

Measured vertical and transverse plant velocity variance (figure 11a) is found to increase rapidly approaching the free end of the plant, consistent with the similar measurements of Siniscalchi & Nikora (2013) for a variety of aquatic plant species in a laboratory flume. The shape of the variance distribution reflects the structural dynamics of the plant and the turbulent forcing due to the fluctuating viscous and pressure stresses at plant surfaces. For simple structures undergoing free vibration (without external forcing) analytical solutions to the equations of motion may be obtained to predict the relative amplitude (and variance) of vibrations along the structure. The complex geometry of aquatic plants and their as yet uncertain biomechanical properties still preclude such analysis for the present case without dramatic simplifications. The ratio of transverse to vertical plant velocity variance is in the range 1.15 to 1.35 over the resolved plant length, quite similar to the corresponding ratio of fluid velocity variance in the wake of the plant (1 to 1.2). Further information about the nature of plant velocity fluctuations can be obtained from the 2-point correlation function (4.6). Figure 11b indicates that the time ($\Delta t = f_s^{-1} \Delta t_n$) corresponding to the maximum in the correlation function is increasing with increasing point separation ($\Delta x = x_d - x_u$). This suggests that the characteristic plant motion is that of travelling waves rather than standing waves (vibration). These

₇₂₀ two phenomena are, however, closely related as standing waves can be considered to
₇₂₁ arise from the interference (constructive and destructive) of forward and backward prop-
₇₂₂ agating waves (Graff 1991). Païdoussis (2004), considering slender cylindrical structures
₇₂₃ aligned axially with the flow, indicates that wave propagation rather than vibration is
₇₂₄ typical for long structures. The propagation velocity estimated from the time lag that
₇₂₅ maximises the correlation function (figure 11b) is 0.46 m/s for both v_p' and w_p', which
₇₂₆ is similar to the eddy convection velocity measured in the wake of the plant patch in
₇₂₇ the shear zone (figure 9). The similarity between these two convection velocities suggests
₇₂₈ that the waves propagating through the plant are dominated by the passage of turbulent
₇₂₉ fluctuations (vortices). The plant velocity spectrum (figure 11c, $x = 309$ mm) indicates
₇₃₀ maximum energy for frequencies around 1 Hz for both vertical and transverse compo-
₇₃₁ nents. The shape of the spectrum resembles that obtained in laboratory experiments
₇₃₂ using the same species of plant (*Ranunculus penicillatus*, Siniscalchi & Nikora 2013) and
₇₃₃ features a significant decay of energy towards both lower and higher frequencies. In com-
₇₃₄ parison, the transverse and vertical components of the fluid velocity spectrum measured
₇₃₅ outside the flow region influenced by the plant (figure 10b) are constant (saturated) for
₇₃₆ frequencies less than 1 Hz. If the plant velocity can be considered as a (linearly) filtered
₇₃₇ response to the fluid velocity, figure 11c in comparison to figure 10b suggests that the
₇₃₈ plant responds optimally to frequencies around 1 Hz (or wavelengths $\overline{u}/f \sim 0.5$ m, i.e.
₇₃₉ of a similar scale to the patch length or flow depth). This observation may be related
₇₄₀ to Naudascher & Rockwell's (1994) finding that for cylinders aligned axially with the
₇₄₁ flow, each vibration mode of the structure is most efficiently excited by vortices of a cer-
₇₄₂ tain wavelength. Vibration modes for an aquatic plant are, however, yet to be identified.
₇₄₃ Possible mechanisms of flow-plant interaction are further discussed in section 5.

₇₄₄ Potential correlations between fluid (u_j') and plant (u_{ip}') velocity fluctuations can be
₇₄₅ further examined using the normalised covariance function:

$$R_{0i_p j}(x, z) = \frac{\overline{u_{ip}'(x)\ u_j'(x, z)}}{\left(\overline{u_{ip}'^2}\ \overline{u_j'^2}\right)^{0.5}} \tag{4.11}$$

₇₄₆ with i=2, 3 (v_p', w_p') and j=1, 2, 3 (u', v', w'). For $x = 309$ mm and z values approaching
₇₄₇ the free surface, the correlation between plant and fluid motion for all components is
₇₄₈ small ($R_{0i_p j} \sim 0.05$, figure 11d). The $R_{0v_p u}$, $R_{0v_p w}$, $R_{0w_p v}$ terms remain small for all z,
₇₄₉ but the $R_{0v_p v}$, $R_{0w_p w}$, $R_{0w_p u}$ terms increase rapidly approaching the top of the plant.
₇₅₀ While it is not surprising to find a correlation between matching velocity components
₇₅₁ ($R_{0v_p v}$, $R_{0w_p w}$) and the cross-component term $R_{0w_p u}$ through the secondary correlation
₇₅₂ $\overline{u'w'} < 0$, the narrowness of the correlated range $\Delta z \sim 30$ mm is unexpected. Given
₇₅₃ the 1 Hz characteristic frequency (figure 11c) of plant velocity fluctuations, we might
₇₅₄ reasonably be looking for characteristic eddy sizes of the order $\overline{u}/f \sim 0.5$ m and a
₇₅₅ correspondingly larger correlation length. In the following section we consider potential
₇₅₆ flow-plant interaction mechanisms that may help interpret the measured spectra and
₇₅₇ correlation functions.

₇₅₈ 5. Flow-plant interactions: concluding remarks

₇₅₉ Naudascher & Rockwell (1994) indentified three general classes of flow-induced vibra-
₇₆₀ tion mechanisms: extraneously induced excitation (EIE), instability induced excitation
₇₆₁ (IIE), and movement induced excitation (MIE). These classifications were developed to
₇₆₂ help identify and analyse the source of vibrations in engineering structures, but they are
₇₆₃ also relevant to the present case of flow-aquatic plant interactions, even if the charac-
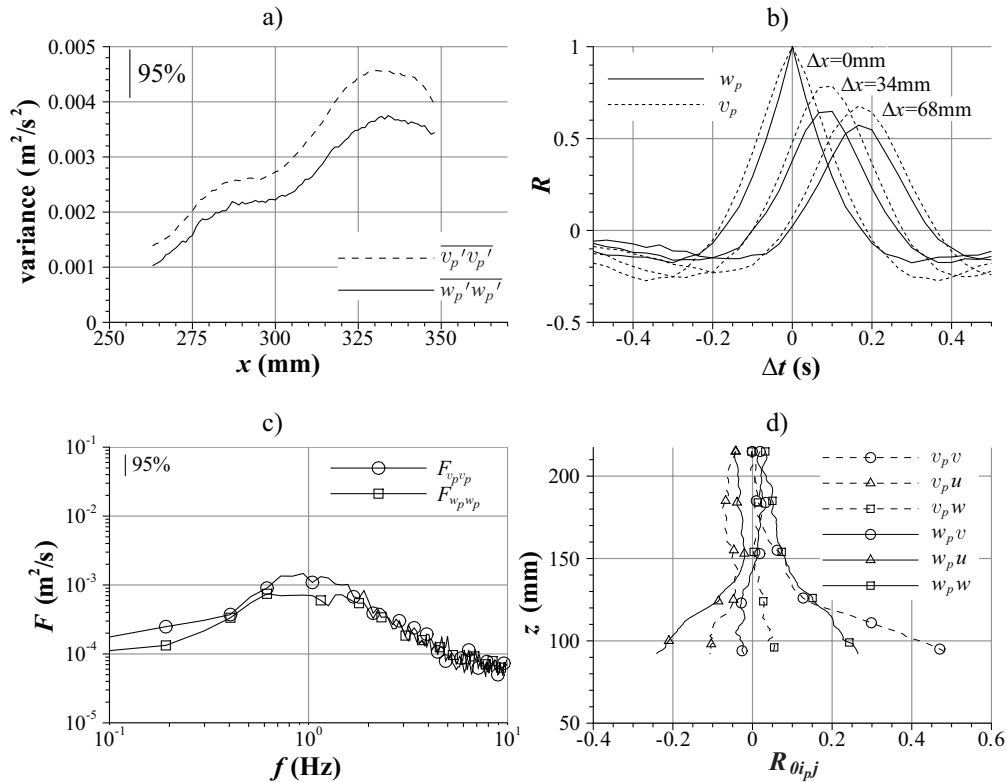
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FIGURE 11. Variation of plant velocity variance along the length of the plant (a). Two-point correlation of plant velocity fluctuations (b). Spectrum of plant velocity fluctuations ($x = 309$ mm, c). Normalised covariance between plant and flow velocity fluctuations ($x = 309$ mm, d).

teristic plant motion is that of a propagating wave (figure 11b) rather than a vibration. Extraneously induced excitation relates to structure (plant) motion caused by turbulence in the flow, but independent of any local flow instability associated with the presence of the plant. Instability induced excitation relates to motion induced by a flow instability that appears due to the presence of a structure. For an aquatic plant, this instability could, for example, be unsteady flow separation from the plant or a shear layer instability. Flow separation at a plant scale seems unlikely based on figure 6, however, there is significant turbulent kinetic energy associated with the shear layer in the wake of the plant patch (figures 8, 9), and the short range of elevations over which plant and fluid velocity fluctuations are correlated (figure 11d) support a contribution of IIE to the plant motion. The absence of strong periodicity in the velocity spectrum measured in the plant patch wake (figure 10a), however, does not support an instability of the Kelvin Helmholtz type. We did observe a weak clustering of energy around 1 Hz (matching well the dominant frequency of plant motion, figure 11c), however, this may simply reflect the flapping elevation of the shear layer as the plant moves up and down. The relative importance of EIE and IIE cannot be confirmed from the present experiments, but this could be further investigated in a laboratory environment by, for example, towing plants through stationary water to eliminate sources of EIE. Movement induced excitation is a self-excited body vibration where the acceleration of a body in a fluid alters the flow field in a way that can feed back to the body (via pressure and viscous stresses) to amplify the initial movement. The 'flutter' of flags or aircraft wings are examples of MIE.

The correspondence between measured convection velocities of plant velocity fluctuations and fluid velocity fluctuations in the plant patch wake suggests that the plant velocity fluctuations are dominated by the passage of turbulent eddies (either EIE and IIE), and MIE seems unlikely in the present case. Flexible cylinders aligned axially with the flow (resembling aquatic plant stems to some extent) can exhibit MIE at certain critical flow velocities, the dynamics for which have been studied extensively (e.g. Païdoussis 2004; de Langre *et al.* 2007). Extension of this type of analysis to an aquatic plant is not yet realistic due to the complex and changing plant geometry (reconfiguration) and the lack of fundamental knowledge of the coupling between fluid flow and resulting lift and drag forces acting on the plant.

Further experimentation in the laboratory and in the field is needed to clarify the nature of flow-plant interaction mechanisms. In this regard, we have demonstrated that the stereoscopic PIV method can be applied in field conditions and should prove to be valuable in further study of flow-aquatic plant interactions.

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